

**Hearing
Before the
United States
Commission on Civil Rights**

**AGE DISCRIMINATION IN
FEDERALLY-ASSISTED PROGRAMS**

HEARING HELD IN

**SAN FRANCISCO,
CALIFORNIA**

June 27-28, 1977

VOLUME III: EXHIBITS

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U. S. COMMISSION ON CIVIL RIGHTS

The United States Commission on Civil Rights is a temporary independent, bipartisan agency established by the Congress in 1957 to:

- Investigate complaints alleging denial of the right to vote by reason of race, color, religion, sex, or national origin, or by reason of fraudulent practices;
- Study and collect information concerning legal developments constituting a denial of equal protection of the laws under the Constitution because of race, color, religion, sex, or national origin, or in the administration of justice;
- Appraise Federal laws and policies with respect to the denial of equal protection of the laws because of race, color, religion, sex, or national origin, or in the administration of justice;
- Serve as a national clearinghouse for information concerning denials of equal protection of the laws because of race, color, religion, sex, or national origin; and
- Submit reports, findings, and recommendations to the President and Congress.

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By the Older Americans Amendments of 1975, the U.S. Commission on Civil Rights was directed to: investigate unreasonable age discrimination in federally-assisted programs; report the findings of the investigation to Congress, the President, and affected Federal agencies; recommend statutory changes or administrative actions based on its findings; and draft general regulations for implementation of the Age Discrimination Act of 1975.

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ELIGIBILITY REQUIREMENTS RELATING TO AGE IN ELEVEN HEALTH AND SOCIAL SERVICES PROGRAMS
(Federal, State, and Local Levels)

October 21, 1977

ELIGIBILITY REQUIREMENTS PERTAINING TO AGE IN ELEVEN HEALTH AND
SOCIAL SERVICES PROGRAMS (Federal, State, and Local Levels)

At its hearing in San Francisco, California, the Commission on Civil Rights Age Discrimination Study was asked to determine the age-oriented prohibitions or restrictions which have been established at the Federal level, and at the state and regional level, for eleven programs:

- Medicare
- Medicaid
- Food Stamps (1964 Act, as amended through 1976, and P.L. 95-113, 1977)
- Supplemental Security Income (SSI)
- Aid to Families with Dependent Children (AFDC)
- Vocational Rehabilitation
- Title XX
- Legal Services Corporation
- Older Americans Act, Title III
- Older Americans Act, Title VII
- Older Americans Act, Title XIX

The state and local restrictions were to be examined for the six sites in which the Commission study conducted field work and the three hearing sites. The following matrix presents this information.

Descriptions of the programs appear in the introductions to "Eligibility Requirements of Ten Federal Health and Social Services Programs", and "Eligibility Requirements of Legal Service Programs: Legal Services Corporation and Older Americans Act, Title III".

The texts of the Statutes, Regulations and selected program guidelines of all eligibility requirements for these programs were given in the two matrices on federal eligibility requirements. Using these as a base, this matrix displays provisions which are considered to be age-oriented prohibitions or restrictions. To define this further, the citations are those which assign some form of age limitation to eligibility for services under a program.

The first part of this matrix displays the age-oriented provisions in federal Statutes, Regulations, and program guidelines. The programs included have national eligibility standards pertaining to age which are applied at the state and local level. These are:

- Medicare
- Food Stamps (1964 Act, as amended through 1976, and P.L. 95-113, 1977)
- Supplemental Security Income (SSI) ,
(State Supplemental Payments were not considered because they do not affect eligibility based on age)
- Older Americans Act, Titles III, VII, IX

The second part of the matrix displays age-oriented provisions in federal Statutes, Regulations, and program guidelines for the remaining five programs. These requirements have been interpreted at the state and/or local levels in the nine states included in the Age Discrimination Study. An introduction before each of the five programs describes the type of state/local analysis undertaken in preparing that portion of the matrix. The five programs are:

- Medicaid
- Title XX
- Aid to Families with Dependent Children (AFDC)
- Vocational Rehabilitation
- Legal Services Corporation

AGE-RELATED PROVISIONS: MEDICARE

PART A (HOSPITAL INSURANCE)

42 USC 426 (a, b)

(a) Every individual who--
 (1) has attained age 65, and
 (2) is entitled to monthly insurance benefits under section 402 of this title or is a qualified railroad retirement beneficiary,
 shall be entitled to hospital insurance benefits under part A of sub-chapter XVIII of this chapter for each month for which he meets the condition specified in subparagraph (B), beginning with the first month after June 1966 for which he meets the conditions specified in subparagraphs (A) and (B).

(b) Every individual who--
 (1) has not attained age 65, and
 (2) (A) is entitled to, and has for 24 consecutive calendar months been entitled to, (i) disability insurance benefits under section 423 of this title or (ii) child's insurance benefits under section 402(d) of this title by reason of a disability (as defined in section 423(d) of this title) or (iii) widow's insurance benefits under section 402(e) of this title or widower's insurance benefits under section 402(r) of this title by reason of a disability (as defined in section 423(d) of this title), or (B) is, and has been for not less than 24 consecutive months a disabled qualified railroad retirement beneficiary, within the meaning of section 231f(d) of Title 45,
 shall be entitled to hospital insurance benefits under part (A) of subchapter XVIII of this chapter for each month beginning with the later of (I) July 1973 or (II) the twenty-fifth consecutive month of his entitlement or status as a qualified railroad retirement beneficiary described in paragraph (2), and ending with the month following the month in which notice of termination of such entitlement to benefits or status as a qualified railroad retirement beneficiary described in paragraph (2) is mailed to him, or if earlier, with the month before the month in which he attains age 65.

Summary Note: Monthly social security cash benefits under section 402 can be paid to:

- A. A disabled insured worker under age 65.
- B. A retired insured worker at age 62 or over.
- C. The wife of a retired or disabled worker entitled to benefits if she:
 1. Is age 62 or over; or
 2. Has in her care the worker's child who is under 18 and entitled to social security benefits.
- D. The divorced wife (in some cases) of a retired or disabled worker entitled to benefits if she is age 62 or over and was married to the worker for at least 20 years.
- E. The dependent husband of a retired or disabled woman entitled to benefits if he is age 62 or over.
- F. The dependent, unmarried child of a retired or disabled worker entitled to benefits, or of a deceased insured worker if the child is:
 1. Under age 18; or
 2. Age 18 or over but under a disability which began before age 22.
 3. Age 18-22 and attending school full time.
- G. The widow (including a surviving divorced wife in some cases) of a deceased insured worker if the widow is age 60 or over.
- H. The disabled widow (including a surviving divorced wife in some cases) of a deceased insured worker if the widow is at least age 50-59 and becomes disabled within a specified period.
- I. The widow (including a surviving divorced wife in some cases) of a deceased insured worker, regardless of her age, if she is caring for a child of the deceased under age 18 or disabled who is entitled to benefits.
- J. The dependent widower of a deceased insured worker at age 60 or over.
- K. The disabled dependent widower of a deceased insured worker if the widower is at least age 50-59 and becomes disabled within a specified period.
- L. The dependent parents of a deceased insured worker at age 62 or over.

A lump-sum death payment can also be paid to a widow or widower who was living in the same household with the insured worker at the time of the worker's death. If there is no such eligible widow or widower, the lump sum may then go toward payment of the worker's burial expenses.

AGE-RELATED PROVISIONS: MEDICARE

A special monthly cash payment can be made to certain persons who reached age 72 before 1972 who are not insured for regular monthly benefits.

42 USC 426(a)(c, d)

(c) For purposes of subsection (a) of this section--

(1) entitlement of an individual to hospital insurance benefits for a month shall consist of entitlement to have payment made under, and subject to the limitations in, part A of subchapter XVIII of this chapter on his behalf for inpatient hospital services, post-hospital extended care services, and post-hospital home health services (as such terms are defined in part C of subchapter XVIII of this chapter) furnished him in the United States (or outside the United States in the case of inpatient hospital services furnished under the conditions described in section 1395(f) of this title) during such month; except that (A) no such payment may be made for post-hospital extended care services furnished before January 1967, and (B) no such payment may be made for post-hospital extended care services or post-hospital home health services unless the discharge from the hospital required to qualify such services for payment under part A of subchapter XVIII of this chapter occurred (1) after June 30, 1966, or on or after the first day of the month in which he attains age 65, whichever is later, or (11) if he was entitled to hospital insurance benefits pursuant to subsection (b) of this section, at a time when he was so entitled; and

(2) an individual shall be deemed entitled to monthly insurance benefits under section 402 or 423 of this title, or to be a qualified railroad retirement beneficiary, for the month in which he died if he would have been entitled to such benefits, or would have been a qualified railroad retirement beneficiary for such month had he died in the next month.

(d) For purposes of this section, the term "qualified railroad retirement beneficiary" means an individual whose name has been certified to the Secretary by the Railroad Retirement Board under section 228a-2

or 228a-3 of Title 45. An individual shall cease to be a qualified railroad retirement beneficiary at the close of the month preceding the month which is certified by the Railroad Retirement Board as the month in which he ceased to meet the requirements of section 231f(d) of Title 45.

20 CFR 405.102

(a) *Conditions of entitlement.* An individual is entitled to hospital insurance benefits under the provisions described in this Subpart A if such individual has attained age 65 and:

(1) Is entitled to monthly insurance benefits under section 202 of the Social Security Act as described in Subpart D of Part 404 of this chapter; or

(2) Is a "qualified railroad retirement beneficiary" as described in paragraph (b) of this section; or

(3) Is deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, solely for purposes of entitlement to hospital insurance benefits, by meeting the requirements prescribed in §405.103.

(b) *Qualified railroad retirement beneficiary.* For purposes of this Part 405, the term "qualified railroad retirement beneficiary" means an individual whose name has been certified to the Social Security Administration by the Railroad Retirement Board under section 7(d) of the Railroad Retirement Act if 1974. An individual shall cease to be a qualified railroad retirement beneficiary at the close of the month preceding the month which is certified by the Railroad Retirement Board as the month in which he ceases to meet the requirements of section 7(d) of the Railroad Retirement Act of 1974.

(c) *Beginning of coverage.* An individual is entitled to hospital insurance benefits beginning with the first day of the first month after June 1966 for which he meets the conditions of paragraph (a) of this section.

(d) *End of coverage--(1) General.* An individual's entitlement to hospital insurance benefits under paragraph (a) of this section ends with whichever occurs first:

(i) The last day of the month in which he dies; or

AGE-RELATED PROVISIONS: MEDICARE

(ii) The last day of the month before the month he no longer meets the requirements:

(2) *Deemed entitlement in the month of death.* For purposes of paragraph (d)(1) of this section, an individual will be deemed to have been entitled to a monthly insurance benefit under section 202 of the Social Security Act, or to have been a qualified railroad retirement beneficiary, for the month in which he died if he would have been entitled to a monthly insurance benefit under section 202 of the Act, or would have been a qualified railroad retirement beneficiary, for such month had he died in the next month.

(34 FR 11202, Jul. 3, 1969; 40 FR 24357, Jun. 6, 1975)

42 USC 1395i-2(a-e)

(a) Every individual who--

(1) has attained the age of 65,

(2) is enrolled under part B of this subchapter,

(3) is a resident of the United States, and is either (A) a citizen or (B) an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under this section, and

(4) is not otherwise entitled to benefits under this part,

shall be eligible to enroll in the insurance program established by this part.

(b) An individual may enroll under this section only in such manner and form as may be prescribed in regulations, and only during an enrollment period prescribed in or under this section.

(c) The provisions of section 1395p (except subsection (z) thereof), section 1395q, subsection (c) of section 1395r, and subsections (r) and (h) of section 1395s of this title shall apply to persons authorized to enroll under this section except that--

(1) individuals who meet the conditions of subsection (a)(1), (3), and (4) of this section on or before the last day of the seventh month after the month in which this section is enacted

may enroll under part B during an initial general enrollment period which shall begin on the first day of the second month which begins after the date on which this section is enacted and shall end on the last day of the tenth month after the month in which this Act is enacted;

(2) in the case of an individual who first meets the conditions of eligibility under this section on or after the first day of the eighth month after the month in which this section is enacted, the initial enrollment period shall begin on the first day of the third month before the month in which he first becomes eligible and shall end 7 months later;

(3) in the case of an individual who enrolls pursuant to paragraph (1) of this subsection, entitlement to benefits shall begin on--

(A) the first day of the second month after the month in which he enrolls;

(B) July 1, 1973, or

(C) the first day of the first month in which he meets the requirements of subsection (a) of this section, whichever is the latest;

(4) termination of coverage under this section by the filing of notice that the individual no longer wishes to participate in the hospital insurance program shall take effect at the close of the month following the month in which such notice is filed;

(5) an individual's entitlement under this section shall terminate with the month before the first month in which he becomes eligible for hospital insurance benefits under section 426 of this title or section 426a of this title; and upon such termination, such individual shall be deemed, solely for purposes of hospital insurance entitlement, to have filed in such first month the application required to establish such entitlement; and

(6) termination of coverage for supplementary medical insurance shall result in simultaneous termination of hospital insurance benefits for uninsured individuals who are not otherwise entitled to benefits under this chapter.

(d)(1) The monthly premium of each

AGE-RELATED PROVISIONS: MEDICARE

individual for each month in his coverage period before July 1974 shall be \$33.

(2) The Secretary shall, during the last calendar quarter of each year, beginning in 1973, determine and promulgate the dollar amount (whether or not such dollar amount was applicable for premiums for any prior month) which shall be applicable for premiums for months occurring in the 12-month period commencing July 1 of the next year. Such amount shall be equal to \$33, multiplied by the ratio of (A) the inpatient hospital deductible for such next year, as promulgated under section 1395e(b)(2) of this title, to (B) such deductible promulgated for 1973. Any amount determined under the preceding sentence which is not a multiple of \$1 shall be rounded to the nearest multiple of \$1, or if midway between multiples of \$1 to the next higher multiple of \$1.

(e) Payment of the monthly premiums on behalf of any individual who meets the conditions of subsection (a) of this section may be made by any public or private agency or organization under a contract or other arrangement entered into between it and the Secretary if the Secretary determines that payment of such premiums under such contract or arrangement is administratively feasible.

20 CFR 405.106

(a) *General.* Hospital insurance benefits under Part A of title XVIII are available on a voluntary basis beginning July 1973 to eligible individuals age 65 or over who do not otherwise qualify for hospital insurance benefits and are willing to pay the full average cost of such insurance in a monthly premium. Eligible individuals must enroll timely for this insurance, pay a monthly premium (see paragraph (d) of this section), and enroll or already be enrolled in the supplementary medical insurance plan under Part B.

(b) *Requirements for eligibility to enroll for premium hospital insurance.* An individual is eligible to enroll for premium hospital insurance if:

- (1) He has attained age 65; and
- (2) He is already enrolled under the supplementary medical insurance plan under Part B of title XVIII or is eligible for such enrollment and files

a timely enrollment request which entitles him to such coverage; and

(3) He is a resident of the United States and is either--

(i) A citizen of the United States, or

(ii) An alien lawfully admitted for permanent residence who resided in the United States continuously for the 5-year period immediately preceding the month in which all other requirements are met; and

(4) He is not otherwise eligible for hospital insurance benefits.

(c) *Enrollment and coverage periods.* The regulations in Subpart B of this part governing supplementary medical insurance enrollment and coverage periods are applicable to enrollment and periods of coverage for premium hospital insurance, subject to the following provisions:

(1) *Initial general enrollment period--first eligibility before June 1973.* Individuals who first met the eligibility requirements of paragraph (b) of this section, other than subparagraph (2) thereof, before June 1973 could enroll for premium hospital insurance benefits during a 9-month initial general enrollment period that began December 1, 1972, and ended August 31, 1973. The premium hospital insurance coverage period of such an individual who enrolled during such 9-month period began on whichever of the following last occurred:

(i) The first day of the second month after the month in which he enrolled; or

(ii) July 1, 1973; or

(iii) The first day of the first month in which he met the requirements of paragraph (b) of this section.

(2) *Termination of premium hospital insurance coverage period.* (i)

(i) *Filing of request for termination.* An individual may at any time file a notice with the Social Security Administration that he no longer wishes to participate in the hospital insurance program. If such notice is filed before his hospital insurance coverage period begins, he will be deemed not to have enrolled for such insurance. If such notice is filed during his coverage period, such period will terminate

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at the close of the month following the month in which such notice is filed;

(ii) *Eligibility for hospital insurance and for supplementary medical insurance.* An individual's premium hospital insurance coverage shall terminate with the month before the first month in which he becomes eligible for hospital insurance benefits under §405.102. Upon such termination, the individual shall be deemed, solely for purposes of hospital insurance entitlement, to have filed in such first month the application required to establish such entitlement;

(iii) *Termination of supplementary medical insurance benefits.* The termination of an individual's supplementary medical insurance coverage period shall result in the simultaneous termination of his premium hospital insurance coverage period.

(iv) *Nonpayment of premium.* An individual's premium hospital insurance coverage period shall be terminated because of nonpayment of premiums under the rules provided in Subpart I of this part governing termination for nonpayment of premiums of the supplementary medical insurance of an individual who is not entitled to monthly benefits payable under title II of the Social Security Act, the Railroad Retirement Act, or an act administered by the Civil Service Commission providing retirement or survivorship protection and who is not enrolled under a Federal-State agreement pursuant to section 1843 of the Act.

(3) *No deemed enrollment.* The provisions of §405.210(b) and (c) relating to deemed enrollment for supplementary medical insurance of certain individuals who become entitled to hospital insurance do not apply to individuals who become entitled to hospital insurance do not apply to individuals enrolling for hospital insurance under this section.

(d) *Premiums for hospital insurance.*

(1) *Premiums before July 1974; enrollment in initial enrollment period.* The monthly premium for each month of coverage before July 1974 shall be \$33.

(2) *Premiums after June 1974; enrollment in initial enrollment period.* For each month of premium hospital insurance coverage after June 1974, the amount of the

hospital insurance premium will be governed as follows: The Secretary will, during the last calendar quarter of 1973 and of each year thereafter, determine and announce the dollar amount of the premium for each such month of coverage in the 12-month period commencing July 1 of the next year. Such premium amount for each month of the next year shall be equal to \$33 multiplied by the ratio of (i) the amount of the inpatient hospital deductible for such next year as promulgated under §405.113, to (ii) \$76, the actuarially determined amount of such deductible for 1973. Any amount determined under the preceding sentence which is not a multiple of \$1 shall be rounded to the nearest multiple of \$1, or if midway between multiples of \$1, to the next higher multiple of \$1.

(3) *Enrollment after initial enrollment period.* For months of hospital insurance coverage resulting from enrollment or reenrollment by an individual after the close of his initial enrollment period, the amount of the monthly premium as determined under paragraph (d)(2) of this section shall be subject to increase in accordance with the same rules, set forth in §405.902(b), as are applicable to supplementary medical insurance premiums.

(4) *Collection of premiums.* Premiums must be paid for premium hospital insurance under the same rules set forth in Subpart I of this Part as are applicable to collection of supplementary medical insurance premiums from individuals who are not entitled to monthly benefits payable under title II of the Social Security Act, the Railroad Retirement Act, or an act administered by the Civil Service Commission providing retirement or survivorship protection and who are not enrolled pursuant to a Federal-State agreement under section 1843 of the Social Security Act.

(40 FR 24359, Jun. 6, 1975)

42 USC 1395o

PART B

Every individual who—

(1) is entitled to hospital insurance benefits under part A, or

(2) has attained age 65 and is a resident of the United States, and is either (A) a citizen or (B) an alien

AGE-RELATED PROVISIONS: MEDICARE

lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under this part.

is eligible to enroll in the insurance program established by this part.

20 CFR 405.205

An individual is eligible for enrollment in the supplementary medical insurance plan (unless excluded under §405.206) if he either:

(a) Is entitled to hospital insurance under Part A of title XVIII of the Act (see §§405.102-405.106 of this chapter);

(b) Has attained age 65 and is a resident of the United States and is either a citizen, or an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under the regulations in this part.

(40 FR 18165, Apr. 25, 1975)

Section 1836(2)(B) of the Social Security Act provides that an otherwise eligible alien may enroll for supplementary medical insurance if he has been lawfully admitted for permanent residence in the United States and has continuously resided in the United States for the 5 years immediately preceding the month he applies for enrollment. The Department of Health, Education, and Welfare has been enjoined by a three-judge court in *Dias v. Weinberger*, 361 P.

20 CFR 405.206

Notwithstanding the provisions specified in §405.205, an individual is not eligible for enrollment in the supplementary medical insurance plan if he has been convicted of any offense under chapter 37 (relating to espionage and censorship), chapter 115 (relating to sabotage), or chapter 115 (relating to treason, sedition, and subversive activities) of title 18 of the United States Code, or under sections 4,

112, or 113 of the Internal Security Act of 1950, as amended (relating to conspiracies to establish dictatorships and conspiracies to commit espionage or sabotage).

20 CFR 405.104(d)(2,3)

Entitlement to benefits based on end-stage renal disease:

(2) "Child" and "spouse" defined. An individual is the child or spouse of a person, for purposes of paragraph (a)(2)(iii) of this section, if the individual is so related to that person that he meets the relationship requirements set forth in Subpart L of Part 404 of this chapter for entitlement, respectively, to child's insurance benefits or to wife's, husband's, widow's, widower's, or mother's insurance benefits under title II on that person's earnings record, whether or not the relationship has continued long enough for such individual to qualify for such benefits. Notwithstanding the foregoing, an individual who qualifies as a spouse by virtue of §404.1105 of this chapter must meet the duration of relationship requirements prescribed therein.

(3) *Dependency of child.* For purposes of paragraph (a)(2)(iii) of this section, the child of a person is that person's "dependent child" if on the first day he has end-stage renal disease he is unmarried and meets the dependency requirements set forth in §§404.323-404.327a of this chapter for entitlement to child's insurance benefits on that person's earnings record and either:

(i) He has not attained age 22, or is under a disability (as defined in section 223(d) of the Act) which began before he attained age 22; or

(ii)(A) He has attained age 22, but not age 26, and

(B) He is receiving at least one-half support from that person, and

(C) He has continuously received since the day before he attained age 22, at least one-half support from that person.

For purposes of this subparagraph, the requirement in §404.323(a)(1) that a child be dependent upon an individual "at the time the application for child's insurance benefits is filed," shall be deemed to read "on the first day he has end-stage renal disease," and the term "insured individual"

AGE-RELATED PROVISIONS: MEDICARE

referred to in §§404.323-404.324, and the term "individual" referred to in §404.327a shall be deemed to read "a person who meets the requirements of paragraph (a)(2)(i) or (a)(2)(ii) of §405.104."

(4) *Was considered "at least one-half support."* A child is receiving at least one-half of his support from the person if such person makes regular contributions, in cash or kind, to such child's support and the amount of such contributions equals or exceeds one-half of such child's support.

(38 FR 17211, June 29, 1973;
40 FR 24358, June 6, 1975)

42 USC 426(h)

(h)(1) For purposes of determining entitlement to hospital insurance benefits under subsection (b) of this section in the case of widows and widowers described in paragraph (2)(A)(iii) thereof --

(A) the term "age 60" in sections 402(e)(1)(B)(ii), 402(e)(5), 402(f)(B)(ii) and 402(r)(6) of this title shall be deemed to read "age 65"; and

(B) the phrase "before the attained age 60" in the matter following subparagraph (F) of section 402(e)(1) of this title and the phrase "before he attained age 60" in the matter following subparagraph (C) of section 404(r)(1) of this title shall each be deemed to read "based on a disability."

(2) For purposes of determining entitlement to hospital insurance benefits under subsection (b) of this section in the case of an individual under age 65 who is entitled to benefits under section 402 of this title, and who was entitled to widow's insurance benefits or widower's insurance benefits based on disability for the month before the first month in which such individual was so entitled to old-age insurance benefits (but ceased to be entitled to such widow's or widower's insurance benefits upon becoming entitled to such old-age insurance benefits), such individual shall be deemed to have continued to be entitled to such widow's insurance benefits or widower's insurance benefits for and after such first month.

(3) For purposes of determining entitlement to hospital insurance benefits

under subsection (b) of this section any disabled widow age 50 or older who is entitled to mother's insurance benefits (and who would have been entitled to widow's insurance benefits by reason of disability if she had filed for such widow's insurance benefits) shall, upon application, for such hospital insurance benefits be deemed to have filed for such widow's benefits and shall, upon furnishing proof of such disability prior to July 1, 1974, under such procedures as the Secretary may prescribe, be deemed to have been entitled to such widow's benefits as of the time she would have been entitled to such widow's benefits if she had filed a timely application therefor.

20 CFR 405.105

(a) *Conditions of entitlement -- (1) General.* An individual is entitled to hospital insurance benefits described in this Subpart A if such individual:

(i) Has not attained age 65 and is entitled, and has for the 24 preceding consecutive calendar months been entitled, to --

(A) Disability insurance benefits under section 223 of the Social Security Act (see §404.306 of this chapter); or

(B) Child's insurance benefits under section 202(d) of the Social Security Act by reason of disability (see §404.320(a)(4)(iii) of this chapter); or

(C) Widow's insurance benefits under section 202(e) of the Social Security Act by reason of disability (see §404.328(a)(3)(ii) of this chapter and paragraphs (a)(2), (4), and (5) of this section); or

(D) Widower's insurance benefits under section 202(f) of the Social Security Act by reason of disability (see §404.331(a)(3)(ii) of this chapter and paragraphs (a)(3) and (4) of this section); or

(i) Has not attained age 65 and is, and has for the immediately preceding 24 consecutive calendar months been, a disabled qualified railroad retirement beneficiary under section 7(d) of the Railroad Retirement Act of 1974.

(2) *Modification of age requirement for widow's insurance benefits.* For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)

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(C) of this section, §404.328(a)(3)(ii) and §404.328(e) of this chapter are modified by substituting "age 65" where "age 60" appears therein.

(3) *Modification of age requirement for widower's insurance benefits.* For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)(D) of this section, §404.331(a)(3)(ii) and §404.331(c) of this chapter are modified by substituting "age 65" where "age 62" appears therein.

(4) *Deemed entitlement to widow's or widower's insurance benefits of certain individuals entitled to old-age insurance benefits.* For purposes of determining entitlement to hospital insurance benefits under paragraphs (a)(1)(i)(C) and (D) of this section, an individual who is entitled to old-age insurance benefits, and who was entitled to widow's or widower's insurance benefits based on disability for the month before the first month in which the individual was so entitled to old-age insurance benefits, shall be deemed to have continued to be entitled to such widow's insurance or widower's insurance benefits for and after such first month.

(5) *Deemed entitlement to widow's insurance benefits of certain individuals entitled to mother's insurance benefits.* For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)(C) of this section, an individual who is entitled to mother's insurance benefits under §404.335 of this chapter (and would have been entitled to widow's insurance benefits by reason of disability if she had filed for such widow's insurance benefits) shall, upon application for hospital insurance benefits, be deemed to have filed for such widow's insurance benefits. Such individual shall, upon application and furnishing proof of such disability before July 1, 1974, be deemed to have been entitled to such widow's insurance benefits as of the first month she would have been entitled to such benefits if she had filed timely application therefor.

20 CFR 404.320(a)(4)(iii)

(a) *Entitlement.* A child is entitled to a child's insurance benefit if he:

(4) At the time such application is filed:

(iii) Is under a disability which began before attainment of age 22;

20 CFR 404.328(a)(3)(ii)

(a) *Conditions of entitlement after August 1965.* The widow (as defined in §404.1104) and every surviving divorced wife (as defined in §404.1105(b) of an individual who died fully insured is entitled to widow's insurance benefits if she:

(3)(ii) For benefits for months after January 1968, but only on the basis of an application filed in or after January 1968, has attained age 50 but is under age 60 and (A) is under a disability as defined in section 223(d) of the Act which began during the period specified in paragraph (e)(1) of this section, and (B) has been under such disability through the waiting period as defined in paragraph (e)(2) of this section where such period is required.

20 CFR 404.328(e)(1)

(e) *Widow's entitlement based on disability -- (1) When disability must begin.* For the purposes of paragraph (a)(3)(ii) of this section a widow or surviving divorced wife must be under age 60 and be under a disability as defined in section 223(d) of the Act which began before the month she attained age 60 or, if earlier, before the close of the 84th month following the latest of

(i) The month in which the wage earner died, or

(ii) The last month for which she was entitled to a mother's insurance benefit on the basis of the earnings of such individual, or

(iii) The month in which a previous entitlement to widow's insurance benefits on the basis of the earnings record of such individual terminated because her disability had ceased.

20 CFR 404.331(a)(3)(ii)

(a) *Conditions of entitlement.* A man is entitled to widower's insurance benefits if:

(3)(ii) For benefits for months after January 1968, but only on the basis of an application filed in or after January 1968,

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has attained age 50 but is under age 60 (age 62 for months prior to January 1973) and (A) is under a disability as defined in section 223(d) of the Act which began during the period specified in paragraph (c)(1) of this section, and (B) has been under such disability throughout the waiting period as defined in paragraph (c)(2) of this section where such period is required;

20 CFR 404.331(c)(1)

(c) *Widower's entitlement based on disability.* (1) *When disability must begin.* For the purposes of paragraph (a)(3)(ii) of this section a widower must be under age 60 (age 62 for months prior to January 1973) and under a disability as defined in section 223(d) of the Act which began before the month he attained age 60 (age 62 for months prior to January 1973) or if earlier before the close of the 84th month following the latest of:

(i) The month in which the wage earner died, or

(ii) The month in which a previous entitlement to widower's insurance benefits on the basis of the earnings record of such individual terminated because his disability had ceased.

20 CFR 404.335(b)

Mother's insurance benefits:

(b) *Child over 18 not disabled.* For the purposes of paragraph (a)(2) of this section a child age 18 or over who is entitled to child's insurance benefits for any month but is not under a disability (as defined in section 223(d) of the Act, and which, for months before January 1973, began before he attained the age 18) shall be deemed not entitled to child's insurance benefits, unless he was under such a disability in the third month before such month.

42 USC 426(b)(3)

Transitional provisions for certain uninsured individuals:

(b) The provisions of subsection (a) of this section shall not apply to any individual who--

(3)(A) at the beginning of such first month is covered by an enrollment in a health benefits plan under chapter 89 of Title 5,

(B) was so covered on February 16, 1965, or

(C) could have been so covered for such first month if he or some other person had availed himself of opportunities to enroll in a health benefits plan under such chapter and to continue such enrollment (but this subparagraph shall not apply unless he or such other person was a Federal employee at any time after February 15, 1965).

Paragraph (3) shall not apply in the case of any individual for the month (or any month thereafter) in which coverage under such a health benefits plan ceases (or would have ceased if he had had such coverage) by reason of his or some other person's separation from Federal service, if he or such other person was not (or would not have been) eligible to continue such coverage after such separation.

20 CFR 405.103(b)(1)

(b) *Individuals not deemed entitled.* An individual may not be deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, for purposes of §405.102(a)(3), if either of the following exists:

(1)(i) He was covered by an enrollment in a health benefits plan under the Federal Employees Health Benefits Act of 1959 as of February 16, 1965, or as of the first day of the first month in which he meets the requirements set forth in paragraph (a) of this section, or (ii) he could have been so covered for such first month if he or some other person had availed himself of an opportunity to enroll in a health benefits plan under the Federal Employees Health Benefits Act of 1959 and to continue such enrollment, provided that he or such other person was a Federal employee at any time after February 15, 1965. This exclusion shall not apply in the case of any individual for the month (or any month thereafter) in which--

(i) His coverage under such health benefits plan ceases (or would have

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ceased if he had had such coverage).

(ii) Such cessation of coverage was by reason of his or some other person's separation from Federal service, and

(iii) He, or such other person, was not (or would not have been) eligible to continue such coverage after such separation.

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7 USC 2012(e, 1)

The term "household" shall mean a group of related individuals (including legally adopted children and legally assigned foster children) or non-related individuals over age 60 who are not residents of an institution or boarding house, but are living as one economic unit sharing common cooking facilities and for whom food is customarily purchased in common. The term "household" shall also mean (1) a single individual living alone who has cooking facilities and who purchases and prepares food for home consumption, (2) an elderly person who meets the requirements of section 2019(h) of this title, or (3) any narcotics addict or alcoholic who lives under the supervision of a private non-profit organization or institution for the purpose of regular participation in a drug or alcoholic treatment and rehabilitation program. No individual who receives supplemental security income benefits under title XVI of the Social Security Act shall be considered to be a member of a household or an elderly person for any purpose of this chapter for any month if such person receives for such month, as part of his supplemental security income benefits or payments described in section 1382e(a) of Title 42 (if any), an amount equal to the bonus value of food stamps (according to the Food Stamp Schedule effective for July 1973) in addition to the amount of assistance such individual would be entitled to receive for such month under the provisions of the plan of the State approved under title I, X, XIV, or XVI, as appropriate, in effect for such month and such individual were aged, blind, or disabled, as the case may be, under the provisions of such State plan or under Public Law 92-603 as amended. The Secretary of Health, Education, and Welfare shall issue regulations for the implementation of the foregoing sentence after consultation with the Secretary of Agriculture. Residents of federally subsidized housing for the elderly, built under either section 1701q of Title 12, or section 1715-1 of Title 12 shall not be considered residents of an institution or boarding house for purposes of eligibility for food stamps under this chapter.

(1) The term "elderly person" shall mean a person sixty years of age or over who is not a resident of an institution or boarding house, and who is living alone, or with spouse, whether or not he has cooking facilities in his home.

7 USC 2019(h)

(h) Subject to such terms and conditions as may be prescribed by the Secretary in the regulations issued pursuant to this chapter, members of an eligible household who are sixty years of age or over or an elderly person and his spouse may use coupons issued to them to purchase meals prepared for and delivered to them by a political subdivision or by a private non-profit organization which: (1) is not receiving federally donated foods from the United States Department of Agriculture for use in the preparation of such meals; (2) is operated in a manner consistent with the purposes of this chapter; and (3) is recognized as a tax exempt organization by the Internal Revenue Service: *Provided*, that household members or elderly persons to whom meals are delivered are household, feeble, physically handicapped, or otherwise disabled, to the extent that they are unable to adequately prepare all of their meals. Meals served pursuant to this subsection shall be deemed "food" for the purposes of this chapter. Subject to such terms and conditions as may be prescribed by the Secretary, in the regulations issued pursuant to this chapter, members of an eligible household who are sixty years of age or over or elderly persons and their spouses may also use coupons issued to them to purchase meals prepared by senior citizens' centers, apartment buildings occupied primarily by elderly persons, any public or non-profit private school which prepares meals especially for elderly persons, any public or nonprofit private eating establishment which prepares meals especially for elderly persons during special hours, and any other public or nonprofit private establishment approved for such purpose by the Secretary. When an appropriate State or local agency contracts with a private establishment to offer, at concessional prices, meals prepared especially for elderly persons during regular or special hours, the Secretary shall permit members of eligible households who are sixty years of age or over

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or elderly persons and their spouses to use coupons issued to them to purchase such meals.

7 CFR 270.2(r)

(r) "Elderly person" means a person 60 years of age or older who:

- (1) Is not a resident of a boarding house or an institution;
- (2) Is living alone or only with spouse, whether or not he has cooking facilities in his home;
- (3) If he has no cooking facilities, is eligible for and elects to use coupons issued to him to purchase meals prepared for and delivered to him by a nonprofit meal delivery service authorized by FNS to accept food coupons or elects to use coupons to purchase meals prepared especially for the elderly at communal dining facilities authorized by FNS for such purpose.

7 CFR 271.3(a)(2,3)

(2) Eligible household members 60 years of age or older who are housebound, feeble, physically handicapped or otherwise disabled to the extent that they cannot adequately prepare all their meals, an elderly person as defined in §270.2(r) of this subchapter, who is housebound, feeble, physically handicapped or otherwise disabled to the extent that he cannot adequately prepare all of his meals and the spouse of such an elderly person may use all or part of the coupons issued to them to purchase meals prepared for and delivered to them by a nonprofit meal delivery service authorized by FNS.

(3) Eligible household members 60 years of age or older, or elderly persons as defined in §270.2(r) of this subchapter and the spouse of such an elderly person may use all or any part of the coupons issued to them to purchase meals prepared especially for them at communal dining facilities authorized by FNS for such purpose.

7 USC 2014(c)

"...a household shall not be eligible for assistance under this chapter if it includes an able-bodied adult person between the ages of 18 and 65 (except mothers or other members of the household who have the responsibility of care of dependent children or of incapacitated adults, bona fide students in any accredited school or training program, or persons employed and working at least 30 hours per week.) who either (a) fails to register for employment at a State or Federal employment office or, when impractical, at such other appropriate State or Federal employment office designated by the Secretary, or (b) has refused to accept employment or public work..."

7 CFR 271.3(d)

(d) *Work registration requirement.* At the time of application and at least once every six months thereafter, each able-bodied person between the ages of 18 and 65, who is a member of a household, including a person who is not working because of a strike or lockout at his place of employment (except mothers or other members of the household who have responsibility for the care of dependent children under 18 years of age or of incapacitated adults; students enrolled at least half-time in any school or training program recognized by a Federal, State, or local governmental agency; or persons working at least 30 hours per week), shall register for employment by executing the registration form which shall be provided by the State agency, and which the State agency shall forward to the State or Federal employment office having jurisdiction over the area where the registrant resides...

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7 CFR 270.2(jj)

Definition of household:

Provided, That residents of federally subsidized housing for the elderly, built under either Section 202 of the Housing Act of 1959 or Section 236 of the National Housing Act, or any narcotics addict or alcoholic meeting the criteria in paragraph (jj)(3) of this section, shall not be considered residents of an institution or boarding house. It shall also mean (1) a single individual living alone who purchases and prepares food for home consumption, (2) an elderly person as defined in this section and his spouse,

7 CFR 271.10(c)(1-3)

(c) Food stamp eligibility of SSI recipients--(1) Ineligible SSI recipients.

(i) No SSI recipient shall be considered a household member or an elderly person for food stamp program purposes if he resides in the States of California or Massachusetts. The Secretary of Health, Education, and Welfare has determined that California and Massachusetts qualify as cash-out States pursuant to the provisions in Pub. L. 93-233, as amended.

(ii) In California and Massachusetts, the income and resources of an SSI recipient who resides with eligible household members or elderly persons shall not be considered available to the household members or elderly persons (except as provided in paragraph (c)(3)(ii) of this section), nor shall his presence be considered in determining the household coupon allotment and purchase requirement. In such instances any payment for a deductible expense made on behalf of the household by the ineligible SSI recipient shall not be counted as income to the household nor shall the household be allowed a deduction for such expense. If deductible household expenses are shared among household

members and an ineligible SSI recipient, the household shall be granted a deduction based on that proportion of the expense which is actually borne by the household.

(iii) In such States the income and resources of an SSI recipient who resides with eligible household members or elderly persons shall not be considered available to the household members or elderly persons (except as provided in paragraph (c)(3)(i) of this section), nor shall his presence be considered in determining the household coupon allotment and purchase requirement. In such instances any payment for deductible expense made on behalf of the household by the ineligible SSI recipient shall not be counted as income to the household nor shall the household be allowed a deduction for such expense. If deductible household expenses are shared among household members and an ineligible SSI recipient, the household shall be granted a deduction based on that proportion of the expense which is actually borne by the household.

(2) Eligible SSI recipients. (i) In States other than those specified in paragraph (c)(1)(i) of this section, SSI recipients shall be considered household members or elderly persons. If otherwise eligible under this subchapter, households consisting solely of SSI recipients or of an SSI recipient and an essential person shall be eligible to participate in the program without regard to the income and resources of the household. The State agency shall provide for the certification of such households according to the terms of §271.4(a)(2)

(ii) In States where SSI recipients are considered household members or elderly persons, (a) households which consist exclusively of SSI recipients (or an SSI recipient and an essential person) and individuals who are recipients of federally aided public assistance or general assistance shall be classified as public assistance households and (b) households which consist of SSI recipients and individuals who are not exclusively recipients of federally aided public assistance or general assistance shall be classified as nonpublic

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assistance households and shall be subject to the regular program income and resource standards of eligibility.

(iii) Effective July 1, 1976, Nevada (applies to aged and blind only) and New York will no longer be considered "cash-out" States. With prior approval from FNS, State agencies in such States, may, as provided in (A) and (B) of this subdivision, elect to waive the standard nonassistance application for and the interview requirement for certain households containing SSI recipients during the three month period immediately following the approval by FNS of the State agency's request for waiver authority. With respect to Nevada, this waiver authority applies only to the aged and the blind.

(Subsections A and B cover application requirements.)

(3) *Essential persons.* (i) If otherwise eligible under this subchapter, an essential person shall be considered a household member or an elderly person for purposes of participation in the program.

(ii) The amount of the SSI payment made on behalf of such essential person shall be counted as income available to the household.

7 CFR 270.2(v)

(v) "Federally aided public assistance" means any of the following programs authorized by the Social Security Act of 1935, as amended: Old-Age Assistance, Aid to Families with Dependent Children, Aid to the Blind, and Aid to the Permanently and Totally Disabled.

7 CFR 271.3(b)

(b) *Income and resource eligibility standards of public assistance and general assistance households.* Households in which all members are included in a federally aided public assistance or general assistance grant shall, if otherwise eligible under this subchapter, be determined to be eligible to participate in the program while receiving such grants without regard to the income and resources of the household members.

7 CFR 271.3(c)(1)(i)(e)

(e) Payments received as an annuity; pension; retirement or disability benefit; veterans', workmen's, or unemployment compensation; and old-age, survivors, or strike benefit.

7 CFR 271.3(c)(1)(ii)(a,e,g,h)

Exclusions from income:

(a) Income received as compensation for services performed as an employee or income from self-employment by a child residing with the household who is a student and who has not attained his eighteenth birthday;

(e) Monies received from insurance settlements, sale of property (except for property related to self-employment provided for in subdivision (c)(1)(i)(b) of this section), cash prizes, awards, and gifts, inheritances, retroactive lumpsum Social Security or Railroad Retirement pension payments, income tax refunds and similar nonrecurring lumpsum payments;

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(g) Income received by volunteers for services performed in the National Older Americans Volunteer Program as stipulated in the 1973 amendments to the Older Americans Act of 1965, Public Law 93-29 (87 Stat. 30); and

(h) Payments received under the WIC (Women, Infants and Children) Program.

7 CFR 271.3(c)(1)(iii)(b,d)

Deductions from income of household expenses:

(b) Mandatory deductions from earned income which are not elective at the option of the employee such as local, State, and Federal income taxes, Social Security taxes under FICA, and union dues;

(d) The payments necessary for the care of a child or other persons when necessary for a household member to accept or continue employment, or training or education which is preparatory for employment;

7 USC 2014(b)

. . . Any household which includes a member who has reached his eighteenth birthday and who is claimed as a dependent child for Federal income tax purposes by a tax payer who is not a member of an eligible household, shall be ineligible to participate in any food stamp program established pursuant to this chapter during the tax period such dependency is claimed and for a period of one year after expiration of such tax period.

7 CFR 271.3(e)(1-3)

(e) *Tax dependency.* (1) No student shall be considered a household member for Food Stamp Program purposes if such student: (ii) is enrolled in an institution of higher education; and (iii) is properly claimed as a dependent child for Federal income tax purposes by a taxpayer who is a member of another household which is not an eligible household.

(2) *Definitions.* For the purpose of this paragraph, the following definitions shall apply:

(i) "*Institution of higher education*" means an institution providing post-high school education including, but not limited to, colleges, universities, and vocational and technical schools at the post-high school level.

(ii) "*Properly claimed*" means that the dependent child is claimed by a taxpayer who provides such child with more than half of his or her support during the calendar year in which the taxable year of the taxpayer begins.

(iii) "*Eligible household*" means a household certified as being eligible for participation in the Food Stamp Program, the Food Distribution Program or a federally aided public assistance or general assistance program, or the Supplemental Security Income Program; or one which can demonstrate that it would be eligible to participate in the Food Stamp Program based on the income and resource standards applicable to non-assistance households.

(3) Notwithstanding any other provisions of this subchapter, the income and resources of an individual who is not considered a household member under subparagraph (1) of this paragraph and who resides with eligible household members or elderly persons, nor shall not be considered available to the household members or elderly persons, nor shall his presence be considered in determining the household coupon allotment.

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7 CFR 271.3(c)(4)(1)

(4) *Resource definition and standards*
(i) *Maximum allowable resources.* The maximum allowable resources--including both liquid and nonliquid assets--of all members of the household shall not exceed \$1,500 for the household, except that, for households of two or more persons with a member or members age 60 or over, such resources shall not exceed \$3,000.

7 CFR 271.3(c)(4)(iii)(f)

Exclusions from resources:
(f) Payments under the WIC (Women, Infants, and Children) Program.

AGE-RELATED PROVISIONS: FOOD STAMPS (P.L. 95-113, 1977)

Sec. 3g(3,4)

(a) 'Food' means... (3) in the case of those persons who are sixty years of age or over or who receive supplemental security income benefits under title XVI of the Social Security Act, and their spouses, meals prepared by and served in senior citizens' centers, apartment buildings occupied primarily by such persons, public or private nonprofit establishments (eating or otherwise) that feed such persons, private establishments that contract with the appropriate agency of the State to offer meals for such persons at concessional prices, and meals prepared for and served to residents of federally subsidized housing for the elderly, (4) in the case of persons who are physically or mentally handicapped or otherwise so disabled that they are unable adequately to prepare all of their meals, meals prepared for and delivered to them (and their spouses) at their home by a public or private nonprofit organization or by a private establishment that contracts with the appropriate State agency to perform such services at concessional prices.

Sec. 3(i)

(1) 'Household' means (1) an individual who lives alone or who, while living with others, customarily purchases food and prepares meals for home consumption separate and apart from the others, or else pays compensation to the others for such meals, or (2) a group of individuals who live together and customarily purchase food and prepare meals together for home consumption or else live with others and pay compensation to the others for such meals. In neither event shall any individual or group of individuals constitute a household if they reside in an institution or boarding house. For the purposes of this subsection, residents of federally subsidized housing for the elderly and narcotics addicts or alcoholics who live under the supervision of a private nonprofit institution for the purpose of regular participation in a drug or alcoholic treatment program shall not be considered residents of institutions.

Sec. 6d(1)

(d) (1) Unless otherwise exempted by the provisions of paragraph (d) (2) of this

subsection, no household shall be eligible for assistance under this Act if it includes a physically and mentally fit person between the ages of eighteen and sixty who (i) refuses at the time of application and once every six months thereafter to register for employment in a manner determined by the Secretary; (ii) refuses to fulfill whatever reasonable reporting and inquiry about employment requirements as are prescribed by the Secretary; (iii) is head of the household and voluntarily quits any job without good cause, unless the household was certified for benefits under this Act immediately prior to such unemployment...

Sec. 6e

(e) No individual who is a member of a household otherwise eligible to participate in the food stamp program under this section shall be eligible to participate in the food stamp program as a member of that or any other household if he or she (1) has reached his or her eighteenth birthday, (2) is enrolled at least half time in an institution of higher education, and (3)(A) is properly claimed or could properly be claimed as a dependent child for Federal income tax purposes by a taxpayer who is not a member of an eligible household, or (B) during the regular school year (1) is not employed a minimum of twenty hours per week or is not participating in a federally financed work study program, (ii) does not have weekly earnings which at least equal the minimum hourly rate under the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 206(a)(1), multiplied by twenty hours, (iii) is not registered for work amounting to at least twenty hours per week, (iv) is not the head of a household containing one or more other persons who are dependents of that individual because he or she supplies more than half of their support, or (v) is not covered by an exemption from the work registration requirement contained in subsection (d) of this section other than clause (d) of paragraph (2) of that subsection.

AGE-RELATED PROVISIONS: FOOD STAMPS (P.L. 95-113, 1977)

Sec. 6d(2)(B-D)

Exemption from work requirement:

(2)(B) a parent or other member of a household with responsibility for the care of a dependent child under age twelve or of an incapacitated person; (C) a parent or other caretaker of a child in a household where there is another able-bodied parent who is subject to the requirements of this subsection; (D) a bona fide student enrolled at least half time in any recognized school, training program, or institution of higher education (except that any such person shall be subject to the requirements of paragraph (1) of this subsection during any period of more than thirty days when such school or program is in vacation or recess and any such person enrolled in an institution of higher education shall be subject to the requirements of subsection (e) (3)(B) of this section as well;

Sec. 5(d)(7,8)

Definition of income includes:

(7) income earned by a child who is a member of the household, who is a student, and who has not attained his eighteenth birthday, (8) moneys received in the form of nonrecurring lump-sum payments, including, but not limited to, income tax refunds, rebates, or credits, retroactive lump-sum social security or railroad retirement pension payments and retroactive lump-sum insurance settlements: Provided, That such payments shall be counted as resources, unless specifically excluded by other laws, "

Sec. 5(e)

Exclusions from income:

Households shall also be entitled to (1) a dependent care deduction, the maximum allowable level of which shall be the same as that for the excess shelter expense deduction contained in clause (2) of this subsection, for the actual cost of payments necessary for the care of a dependent, regardless of the dependent's age, when such care enables a household member to accept or continue employment, or training or education which is preparatory for employment,

Sec. 3(o)

(o) 'Thrifty food plan' means the diet required to feed a family of four persons consisting of a man and a woman twenty through fifty-four, a child six through eight, and a child nine through eleven years of age, determined in accordance with the Secretary's calculations. The cost of such diet shall be the basis for uniform allotments for all households regardless of their actual composition, (with exceptions)...

Sec. 5(g)

(g) The Secretary shall prescribe the types and allowable amounts of financial resources (liquid and nonliquid assets) an eligible household may own, and shall, in so doing, assure that a household otherwise eligible to participate in the food stamp program will not be eligible to participate if its resources exceed \$1,750, or, in the case of a household consisting of two or more persons, one of whom is age 60 or over, if its resources exceed \$3,000.

AGE-RELATED PROVISIONS: SSI

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42 USC 1381

Aged: 65 years of age or older; Child: Not married, not head of household, under 18 or under 22 and a student regularly attending a school, college, or university or a course of vocational or technical training designed to prepare him for gainful employment.

20 CFR 416.202

For purposes of this part, an aged, blind, or disabled individual is an individual who:

(a) Is 65 years of age or older (as determined under Subpart H of this part), is blind (as determined under Subpart I of this part), or is disabled (as determined under Subpart I of this part).

20 CFR 416.120(c)(4)

(4) "Attainment of age". An individual attains a given age on the first moment of the day preceding the anniversary of his birth corresponding to such age.

42 USC 1382c(a)(3)(A,B)

(A) An individual shall be considered to be disabled for purposes of this subchapter if he is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than twelve months (or, in the case of a child under the age of 18, if he suffers from any medically determinable physical or mental impairment of comparable severity).

(B) For purposes of subparagraph (A), an individual shall be determined to be under a disability only if his physical or mental impairment or impairments are of such severity that he is not only unable to do his previous work but cannot, considering his age, education, and work experience, engage in any other kind of substantial gainful work which exists in the national economy, regardless of whether such work exists in the immediate area in which he lives, or whether a specific job vacancy exists for him, or

whether he would be hired if he applied for work. For purposes of the preceding sentence (with respect to any individual), "work which exists in the national economy" means work which exists in significant numbers either in the region where such individual lives or in several regions of the country.

20 CFR 416.901(b)(1)

(b) *Disability defined.* An individual is disabled for purposes of this part if:

(1) He is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 continuous months (or, in the case of a child under the age of 18, if he suffers from any medically determinable physical or mental impairment of comparable severity).

20 CFR 416.1073

A child is the head of a household where he is living alone or with others as an economic entity and he is the individual responsible for day-to-day decisions on the operation of that household provided the child has left his parental home on a permanent basis. Where a group of individuals share equally in the decision-making, for example, a group of students share off-campus housing, the collective group does not qualify as a household for the purposes of title XVI of the Act since the decision-making is not under one head or management. In this instance, each individual is responsible for the day-to-day decisions of his living arrangements and as such is a separate entity and the circumstances of his separation from his parental home will determine his status.

20 CFR 416.1075

Where the child is not living in the parental home, his statement setting out the reason for the separation, his present living arrangements, and who has the authority for the day-to-day decisions will be required. The child's statement, absent information to the contrary, is acceptable without additional verification. Only

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where the statement raises some question as to the identity of the head of the household or other aspects of title XVI of the Act will additional information be required. For example, information that the child is living in the same household with an unrelated person of the opposite sex requires ascertainment of possible marital relationship.

20 CFR 416.120(c)(14)

(14) "Eligible spouse" means an aged, blind, or disabled individual who is the husband or wife of another aged, blind, or disabled individual and has not been living apart from such other individual for more than 6 months.

20 CFR 416.248(d)

(d) *Essential person becomes eligible for benefits.* If the essential person becomes eligible for supplemental security income payments on the basis of age, blindness, or disability (see §416.201), his status as an essential person shall continue throughout the month prior to the month for which he is determined to be eligible for such payment in his own right and shall cease at the end of such prior month.

20 CFR 416.1001

(a) *Marital relationship.* In determining whether an aged, blind, or disabled individual may receive payments under title XVI of the Social Security Act, the income and resources tests which such individual must meet (see Subparts K and L of this Part 416) may depend in part on whether such individual has a spouse, whether such individual and such spouse are living in the same household (or have been separated for less than 6 months) and whether such spouse is also an aged, blind, or disabled individual. If a husband and wife are both aged, blind, or disabled individuals living in the same household, or if such husband and wife have been separated for less than 6 months, and such husband and wife are eligible for payments under title XVI of the Act, such payments shall be made to them as a couple. The payment made for an eligible couple will

be less than the sum of the separate amounts which they could receive if each was an eligible individual. The eligibility of an individual or couple for a payment for a month will be based on their marital status on the first day of the month. Any subsequent change in marital status within a month will not affect eligibility for, or the amount of, the payment for such month. The special income and resources tests (see Subparts K and L of this part 416) which may be applicable to a blind or disabled individual who is under age 18, or who is a student age 18 but under age 22 regularly attending school, apply only if such blind or disabled individual is not married.

(b) *Parent-child relationship.* Where a blind or disabled individual applies for payments under title XVI of the Act, and such blind or disabled individual is under age 18, or under age 22 and a student, the method of computing such blind or disabled individual's income and resources may be affected if:

(1) Such blind or disabled individual is under age 21 and is living with a parent (or such parent's spouse) and such parent (or such parent's spouse, if any) has income or resources; or

(2) Such blind or disabled individual is living apart from his or her parent but receiving payments from such parent for such individual's support.

(c) *Determining relationship.* The rules for determining whether an aged, blind or disabled individual applying for (or receiving) payments under title XVI of the Act is married are set forth in §416.1003-416.1041. For determining whether the person with whom a blind or disabled individual is living, or from whom such individual is receiving payments for support, is such individual's parent (or the spouse of such parent), see §416.1052 and 416.1053.

20 CFR 416.1050

The term "child" means an individual who is neither married nor the head of a household and who is (a) under the age of 18 or, (b) under the age of 22 and a student regularly attending school, college, or university or a course of vocational or technical training designed to prepare the individual for gainful employment.

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20 CFR 416.1052

For purposes of the special income and resources inclusions and exclusions applicable to a child under title XVI of the Act, a parent is an individual related to the eligible individual as a natural parent, or an adoptive parent. The marital status of the biological parent is immaterial in establishing the natural parent-child relationship. A final legal adoption is required for the individual to qualify as an adoptive parent. A spouse of a child's parent is the husband or wife (as defined in §416.1005) of such parent.

20 CFR 416.1057

An individual who is under age 18, or age 18-21 and a student, is considered married for purposes of title XVI of the Act if at the time the application for payments is made, or at any later time:

(a) Such individual is living in the same household with a member of the opposite sex and the parties are holding themselves out to the community in which they reside as husband and wife; or

(b) A determination has been made for purposes of title II of the Act that such individual was married and such relationship has not been terminated; or

(c) Such individual is married under appropriate State law.

20 CFR 416.1070

Living in the same household.

(a) *Defined.* A child and his or her parent (or the parent's spouse) are living in the same household if the parent and child are sharing the same residence and the parent (or the parent's spouse) is exercising parental control and authority over the child.

(b) *Periodic or temporary separation.* A child and parent will be considered to be sharing the same residence during a periodic or temporary separation if the circumstances indicate that the child and parent have shared and again expect to share the same residence when conditions permit. However, a child is not considered to be living in the same household with a parent where the parent does not

have the right to exercise parental control and authority over the child; for example, when the child is committed to a correctional institution.

42 USC 1382c(c)

For purposes of this subchapter, the term "child" means an individual who is neither married nor (as determined by the Secretary) the head of a household, and who is (1) under the age of 18, or (2) under the age of 22 and (as determined by the Secretary) a student regularly attending a school, college, or university, or a course of vocational or technical training designed to prepare him for gainful employment.

20 CFR 416.1061

Where regular attendance at a school, college, or university, or at a course of vocational or technical training designed to prepare the student for gainful employment, is pertinent to a determination under this title, the student, when so requested by the Administration, shall submit evidence of enrollment as follows:

(a) An ID card issued by the school or institution.

(b) A tuition receipt issued by the school or institution; or

(c) The following information:

- (1) Name and address of the school or institution furnishing the training;
- (2) The name of the person to contact for verification; and
- (3) Information on course or courses of study, dates of enrollment, hours in attendance, and other activities of the student.

(39 FR 30483, Aug. 23, 1974)

20 CFR 416.1060

(a) *General.* A student is in regular attendance when he is determined by the Secretary to be enrolled in a course or courses of study and attending to the extent required for the purpose of continued enrollment.

- (1) A college or university at least 8 semester or quarter hours weekly;

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(2) A secondary school at least 12 clock hours weekly;

(3) A course of vocational or technical training (other than at a secondary school, college, or university) designed to prepare the student for gainful employment (i) involving shop practice, at least 15 clock hours weekly; or (ii) without shop practice, at least 12 clock hours weekly; or

(4) Less than the appropriate requirement in subparagraph (1), (2), or (3) of this paragraph if the Secretary determines that there are extenuating circumstances beyond the control of the student and he is pursuing a course of study reasonably comparable to the requirements in this paragraph (a)(1), (2), or (3), e.g., attendance is limited due to transportation difficulties.

(b) *Homebound students.* A student shall be determined by the Secretary to be in regular attendance if he is engaged in "home study" provided by a secondary school, college, university, or a governmental agency (e.g., the home industry program under the direction of a State bureau of vocational rehabilitation) and a home visitor or tutor supervises the study or training. For purposes of this section, government-sponsored courses in the various self-improvement and anti-poverty programs are deemed to be for the purposes of preparing the child for gainful employment.

(c) *Vocational periods.* A student is deemed to be in regular attendance during normal vacation periods if he is in regular attendance in the month immediately preceding and the month immediately following the vacation period.

(d) *Final month of school or training program.* A student is deemed to be in regular attendance for the month in which he completes or discontinues his school or training program.

(39 FR 30483, Aug. 23, 1974)

45 CFR 206.10(a)(1)(iv)

(a) *State plan requirements.* A State plan under title I, IV-A, X, XIV, XVI, or XIX of the Social Security Act shall provide that:

(iv)(A) Individuals found eligible for financial assistance under a State plan for title I, IV-A, X, XIV, or XVI (AABG) are eligible for medical assistance without a separate application.

(B) Aged, blind, or disabled individuals found eligible for Supplementary Security Income benefits under title XVI of the Act or for State supplemental payments are eligible for medical assistance without a separate application to the extent provided for in agreements between the individual's State of residence and the Social Security Administration; and

(C) Individuals not found eligible as specified in paragraph (a)(1)(iv) (A) or (B) of this section must file a separate application for medical assistance.

42 USC 1382(a)(1-2)

(1) Each aged, blind or disabled individual who does not have an eligible spouse and (A) whose income, other than income excluded pursuant to section 1382a(b) of this title, is at a rate of not more than \$1,752 (or, if greater, the amount determined under section 1382f of this title) for the calendar year 1974, or any calendar year thereafter, and

(B) whose resources, other than resources excluded pursuant to section 1382b(a) of the title, are not more than (i) in case such individual has a spouse with whom he is living, \$2,250, or (ii) in case such individual has no spouse with whom he is living, \$1,500, shall be an eligible individual for purposes of this subchapter. (2) Each aged, blind, or disabled individual who has an eligible spouse and —

(A) whose income (together with the income of such spouse), other than income excluded pursuant to section 1382a(b) of this title, is at a rate of not more than \$2,628 (or, if greater, the amount determined under section 1382f of this title) for the calendar year 1974, or any calendar year thereafter, and (B) whose resources (together with the resources of such spouse), other than resources excluded pursuant to section 1832b(a) of this title, are not more than \$2,250, shall be an eligible individual for purposes of this subchapter.

20 CFR 416.1101(a)(ii)

(a) Under title XVI of the Act, an individual's income includes all of his own income in cash or in kind, both earned income and unearned income. It also includes all of the income of his or her eligible

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spouse (as defined in §416.120(c)(14)). In addition, an individual's income is deemed to include:

(1) If the individual is a child as defined in §416.1050 and under age 21, certain income of his parent and the spouse of such parent who live in the same household.

20 CFR 416.1055

In determining income and resources, certain inclusions and exclusions apply where the eligible individual meets the definition of a child. The special income and resources provisions applicable where a child is an eligible individual are:

(a) Exclusion of his earned income within prescribed limits (see §416.1163) where the eligible individual is a child who is also a student regularly attending school;

(b) Exclusion of one-third of any payment received from an absent parent for the eligible individual's support (see §416.1161); and

(c) Inclusion (see formula in §416.1185 (b)) of the income and resources of a parent (or the parent's spouse) while the child is under age 21 and living in the same household (see §416.1070) with such parent (or the parent's spouse).

20 CFR 416.1190

In the case of a qualified individual (as defined in §416.242) whose payment standard has been increased because of the presence of an essential person (as defined in §416.243), the income of such qualified individual shall be deemed to include all the income of such essential person. The income deemed to the qualified individual shall be treated as unearned income. If such qualified individual would not meet the income criteria for eligibility (as defined in §416.241) because of this deemed income, then the payment standard increase because of the essential person will be nullified and the provision of this section will not apply; essential person status is lost permanently. However, if such essential person is the ineligible spouse of the qualified individual, or a parent (or spouse of a parent) of the qualified individual who is a child under age 21, then the income of such person

will be deemed to such qualified individual in accordance with the provisions of section 1614(f) of the Act and §416.1185 of this subpart.

(Secs. 1102 and 1611, Social Security Act; sec. 211 Pub. Law 93-66; sec. 4, Public Law 93-233; 49 Stat. 647, as amended; 86 Stat. 1466, as amended; 87 Stat. 154, as amended; 87 Stat. 953 (42 U.S.C. 1302, 1382, 1382nts)) (39 FR 33796, Sept. 20, 1974)

20 CFR 404.429(b)(2)

(b) *Net earnings from self-employment; net loss from self-employment.* An individual's net earnings from self-employment and his net loss from self-employment are determined under the provisions in Subpart K of this part except that:

(2) For the sole purpose of the retirement test under this subpart, an individual's gross earnings for taxable years beginning after December 1964 may not include royalties received in or after the year in which the individual attained age 65 if the royalties are attributable to a copyright or patent obtained before the taxable year in which he attained age 65 on property created by his own personal efforts.

42 USC 1382a(b)

In determining the income of an individual (and his eligible spouse) there shall be excluded --

(1) subject to limitations (as to amount or otherwise) prescribed by the Secretary, if such individual is a child who is, as determined by the Secretary, a student regularly attending a school, college or university, or a course of vocational or technical training designed to prepare him for gainful employment, the earned income of such individual;

(2) the first \$240 per year (or proportionately smaller amounts for shorter periods) of income (whether earned or unearned) other than income which is paid on the basis of need of the eligible individual;

(3)(A) the total unearned income of such individual (and such spouse, if any) in a calendar quarter which, as determined in accordance with criteria prescribed by the Secretary, is received too infrequently or irregularly to be included, if such income so received does not exceed \$60 in such quarter, and (B) the total earned income of

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such individual (and such spouse, if any) in a calendar quarter which, as determined in accordance with such criteria, is received too infrequently or irregularly to be included, if such income so received does not exceed \$30 in such quarter;

(4)(A) if such individual (or such spouse) is blind (and has not attained age 65, or received benefits under this subchapter (or aid under a State plan approved under section 1202 or 1382 of this title) for the month before the month in which he attained age 65), (i) the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof, (ii) an amount equal to any expenses reasonably attributable to the earning of any income, and (iii) such additional amounts of other income where such individual has a plan for achieving self-support approved by the Secretary, as may be necessary for the fulfillment of such plan,

(B) if such individual (or such spouse) is disabled but not blind (and has not attained age 65, or received benefits under this subchapter (or aid under a State plan approved under section 1352 or 1382 of this title) for the month before the month in which he attained age 65), (i) the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof, and (ii) such additional amounts of other income, where such individual has a plan for achieving self-support approved by the Secretary, as may be necessary for the fulfillment of such plan, or

(C) if such individual (or such spouse) has attained age 65 and is not included under subparagraph (A) or (B), the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof;

(5) any amount received from any public agency as a return or refund of taxes paid on real property or on food purchased by such individual (or such spouse);

(6) assistance described in section 1382e(a) of this title which is based on need and furnished by any State or political subdivision of a State;

(7) any portion of any grant, scholarship,

or fellowship received for use in paying the cost of tuition and fees at any educational (including technical or vocational education) institution;

(8) home produce of such individual (or spouse) utilized by the household for its own consumption;

(9) if such individual is a child one-third of any payment for his support received from an absent parent; and

(10) any amounts received for the foster care of a child who is not an eligible individual but who is living in the same home as such individual and was placed in such home by a public or nonprofit private child-placement or child-care agency.

20 CFR 416.1145(b)(3,6-8)

(b) For the purpose of determining countable income in accordance with §416.1115, the following income shall be excluded after income described in paragraph (a) of this section:

(3) Tuition and fee portions of grants, scholarships and fellowships (§416.1153).

(6) Certain payments received for foster care (§416.1159).

(7) One-third of child support payments by an absent parent (§416.1161).

(8) Student child's earned income up to specified limits (§416.1163).

20 CFR 416.1146(e-g, i)

Payments or benefits provided under a Federal statute other than title XVI of the Social Security Act where exclusion from income is required by such statute include:

(e) The value of assistance to children under the National School Lunch Act (60 Stat. 230, 42 U.S.C. 1751 et. seq.) as amended by Pub. L. 90-302 (82 Stat. 117, 42 U.S.C. 1761(h)(3)).

(f) The value of assistance to children under the Child Nutrition Act of 1966 (80 Stat. 889, 42 U.S.C. 1780(b)).

(g) Any grant or loan to any undergraduate student for educational purposes made or insured under any program administered by the Commissioner of Education as provided by section 507 of the Higher Education Amendments of 1968, Pub. L. 90-575 (82 Stat. 1063).

(i) Benefits received under title VII

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of the Older Americans Act of 1965 as amended by Pub. L. 92-258 (86 Stat. 95, 42 U.S.C. 3045(h)).

§416.1153 Grants, scholarships, and fellowships.

Any portion of any grant, scholarship or fellowship, for use in paying tuition, fees or other expenses necessary to the securing of an education at an educational institution received by an individual or spouse shall not be considered in determining countable income under §416.1115. Portions used to defray the expenses of food, clothing or shelter shall be considered in determining countable income under §416.1115.

§416.1159 Foster care payments.

Income to an individual or spouse which represents payment for the foster care of a child who is not an eligible individual but is living in the same home as such individual and was placed there by a public or non-profit private child-placement or child-care agency, shall not be considered in determining countable income under §416.1115.

§416.1161 Child support.

Income received as support by an individual who meets the definition of child in §416.1050 from an absent parent of such child shall be reduced by one-third before it is considered in determining countable income under §416.1115.

§416.1163 Earned income of a student child.

The first \$1,200 of quarterly earnings, not to exceed \$1,620 a calendar year, shall be excluded from the earned income of a blind or disabled child who is a student regularly attending school in accordance with the provisions of §416.1057.

20 CFR 416.1107

The term "income" does not include the amount of a premium payment for supplementary medical insurance under title XVIII of the Act which is paid by a third-party insurer on behalf of a beneficiary.

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20 CFR 416.1055

In determining income and resources, certain inclusions and exclusions apply where the eligible individual meets the definition of a child. The special income and resources provisions applicable where a child is an eligible individual are:

(a) Exclusion of his earned income within prescribed limits (see §416.1163) where the eligible individual is a child who is also a student regularly attending school;

(b) Exclusion of one-third of any payment received from an absent parent for the eligible individual's support (See §416.1161); and

(c) Inclusion (see formula in §415.1185 (b)) of the income and resources of a parent (or the parent's spouse) while the child is under age 21 and living in the same household (see §416.1070) with such parent (or the parent's spouse).

(Note under Section 416.1055(c) the regulations do not cite Section 416.1185; see Section 416.1070.)

42 USC 1382(a)(2)(B)

(2) ... unearned income means all other income, including --

(B) any payments received as an annuity, pension, retirement, or disability benefit, including veterans' compensation and pensions, workmen's compensation payments, old-age, survivors, and disability insurance benefits, railroad retirement, annuities and pensions, and unemployment insurance benefits;

20 CFR 416.1130(b,f,g)

The following types of periodic payments are among those included in unearned income; however, this list is not all-inclusive:

(b) *Pensions or retirement payments.* Normally, pension or retirement payments are paid to a worker following his retirement from employment. Such payments may be paid directly by a former employer; however, they also may be paid from a fund, insurance, or any similar-purpose source.

(f) *Social security benefits.* Old-age survivors, and disability insurance payments made by the Social Security Administration under provisions of the Social Security Act are unearned income. Also

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included are special payments at age 72 (see §404.374 of this chapter) and payments under title IV of the Federal Coal Mine Health and Safety Act of 1969 (i.e., Black Lung Benefits).

(5) *Railroad retirement payments.* Payments, such as sick pay, annuities, pensions, and unemployment insurance benefits, which are paid by the Railroad Retirement Board to an individual who is or was a railroad worker, or to his dependents or survivors, are unearned income.

20 CFR 416.1202(b, c)

(b) *Child.* In the case of a child (as defined in § 416.1050) who is under age 21, such child's resources shall be deemed to include any resources, not otherwise excluded under this subpart, of a parent of such child (or the spouse of such a parent) who is living in the same household (as defined in § 416.1070) as such child, whether or not available to such child, to the extent that the resources of such parent (or such spouse of a parent) exceed \$1,500 in the case of one parent or \$2,250 in the case of two parents (or one parent and the spouse of such parent). As used in this section, the term "parent" means the natural or adoptive parent of a child and "spouse of a parent" means the spouse (as defined in Subpart J of this part) of such natural or adoptive parent.

(c) *Applicability.* When used in this Subpart L, the term "individual" refers to an eligible aged, blind, or disabled person, and also includes a person whose resources are deemed to be the resources of such individual (as provided in paragraphs (a) and (b) of this section).

20 CFR 416.1203

In the case of a qualified individual (as defined in § 416.242) whose payment standard has been increased because of the presence of an essential person (as defined in § 416.243), the resources of such qualified individual shall be deemed to include all the resources of such essential person. If such qualified individual would not meet the resource criteria for eligibility (as defined in §§ 416.1205 and 416.1260) because of the deemed resources, then the payment standard increase because of the essential person

will be nullified and the provision of this section will not apply; essential person status is lost permanently. However, if such essential person is an ineligible spouse of a qualified individual or a parent (or spouse of a parent) of a qualified individual who is a child under age 21, then the resources of such person will be deemed to such qualified individual in accordance with the provision in § 416.1202.

20 CFR 416.1052

For purposes of the special income and resources inclusions and exclusions applicable to a child under title XVI of the Act, a parent is an individual related to the eligible individual as a natural parent, or an adoptive parent. The marital status of the biological parent is immaterial in establishing the natural parent-child relationship. A final legal adoption is required for the individual to qualify as an adoptive parent. A spouse of a child's parent is the husband or wife (as defined in § 416.1005) of such parent.

AGE-RELATED PROVISIONS: OAA, TITLE III

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42 USC 3021 (Purpose):

It is the purpose of this title to encourage and assist State and local agencies to concentrate resources in order to develop greater capacity and foster the development of comprehensive and coordinated service systems to serve older persons by entering into new cooperative arrangements with each other and with providers of social services for planning for the provision of, and providing, social services and, where necessary, to reorganize or reassign functions, in order to--

- (1) secure and maintain maximum independence and dignity in a home environment for older persons capable of self-care with appropriate supportive services; and
- (2) remove individual and social barriers to economic and personal independence for older persons.

NOTE: While there is no specific age reference, statutory provisions on allotment of funds and establishment of planning and service areas cite aged sixty or over.

42 USC 3023(b)(1)(2)

(b)(1) From the sums appropriated for the fiscal year ending June 30, 1973, under subsection (a) of this section, (A) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted an amount equal to one-fourth of 1 per centum of such sum, (B) each other State shall be allotted an amount equal to one-half of 1 per centum of such sum, and (C) from the remainder of the sum so appropriated, each State shall be allotted an additional amount which bears the same ratio to such remainder as the population aged sixty or over in such State bears to the population aged sixty or over in all States.

(2) Subject to the provisions of paragraph (3), from the sums appropriated for the fiscal year ending June 30, 1974, for the fiscal year ending June 30, 1975, for the fiscal year ending June 30, 1976, the period beginning July 1, 1976, and ending

September 30, 1976, and for the fiscal years ending September 30, 1977, and 1978, each State shall be allotted an amount which bears the same ratio to such sums as the population aged sixty or over in such State bears to the population aged sixty or over in all States, except that (A) no State shall be allotted less than one-half of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; (B) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted no less than one-fourth of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; and (C) no State shall be allotted an amount less than that State received for the fiscal year ending June 30, 1973. For the purpose of the exception contained in clause (A) of this paragraph only, the term "State" does not include Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands.

See also: 42 USC 3026(b)(1)

42 USC 3024(a)

(a) In order for a State to be eligible to participate in the program of grants to States from allotments under section 3023 and section 3026--

(1) the State shall, in accordance with regulations of the Commissioner, designate a State agency as the sole State agency (hereinafter in this title referred to as "the State agency") to: (A) develop the State plan to be submitted to the Commissioner for approval under section 3025, (B) administer the State plan within such State, (C) be primarily responsible for the coordination of all State activities related to the purposes of this Act, (D) review and comment on, at the request of any Federal department or agency, any application from any agency or organization within such State to such Federal department or agency for assistance related to meeting the needs of older persons; and (E) divide the entire State into distinct areas (hereinafter in this title referred to as "planning and service areas"), in accordance with regulations of the Commissioner, after

AGE-RELATED PROVISIONS: OAA, TITLE III

considering the geographical distribution of individuals aged sixty and older in the State, the incidence of the need for social services (including the numbers of older persons with low incomes residing in such areas), the distribution of resources available to provide such services, the boundaries of existing areas within the State which were drawn for the planning or administration of social services programs, the location of units of general purpose local government within the State, and any other relevant factors: *Provided*, That any unit of general purpose local government which has a population aged sixty or over of fifty thousand or more or which contain 15 per centum or more of the State's population aged sixty or over shall be designated as a planning and service area; except that the State may designate as a planning and service area, any region within the State recognized for purposes of areawide planning which includes one or more such units of general purpose local government when the State determines that the designation of such a regional planning and service area is necessary for, and will enhance, the effective administration of the programs authorized by this title, the State may include in any planning and service area designated pursuant to this provision such additional areas adjacent to the unit of general purpose local government or region so designated as the State determines to be necessary for, and will enhance, the effective administration of the programs authorized by this title;

AGE-RELATED PROVISIONS: OAA, TITLE VII

42 USC 3045(a)

(a) The Congress finds that the research and development nutrition projects for the elderly conducted under title IV of the Older Americans Act have demonstrated the effectiveness of, and the need for, permanent nationwide projects to assist in meeting the nutritional and social needs of millions of persons aged sixty or older. Many elderly persons do not eat adequately because (1) they cannot afford to do so; (2) they lack the skills to select and prepare nourishing and well-balanced meals; (3) they have limited mobility which may impair their capacity to shop and cook for themselves; and (4) they have feelings of rejection and loneliness which obliterate the incentive necessary to prepare and eat a meal alone. These and other physiological, psychological, social, and economic changes that occur with aging result in a pattern of living, which causes malnutrition and further physical and mental deterioration.

42 USC 3045e(a)(2)

Funds allotted to any State shall be disbursed by the state agency to recipients of grants or contracts who agree--

(2) to provide such nutrition project for individuals aged sixty or over who meet the specifications set forth in clauses (1), (2), (3), or (4) or section 3045(a), and their spouses (referred to herein as "eligible individuals"); 3045(a).

45 CFR 909.3(a)

For the purposes of this part, in addition to the definitions in § 901.2, the following definitions apply:

(a) "Eligible individuals" are those persons who are aged 60 or over and who:

(1) Cannot afford to eat adequately;

(2) Lack the skills and/or knowledge to select and prepare nourishing and well-balanced meals;

(3) Have limited mobility which may impair their capacity to shop and cook for themselves; or

(4) Have feelings of rejection and loneliness which obliterate the incentive necessary to prepare and eat a meal alone.

The spouses of each individuals are also considered eligible individuals.

42 USC 3045a(a)(2)(F)(ii)

Under the State plan:

(ii)...Recipients of grants or contracts may charge participating individuals for meals, furnished pursuant to guidelines established by the Commissioner, taking into consideration the income ranges of eligible individuals in local communities and other sources of income of the recipients of a grant or contract.

45 CFR 909.44 (a-d)

The State plan shall provide that:

(a) Recipients of grants or contracts under this part will provide opportunity for the participants in nutrition projects to pay all or part of the cost of the meals served under this program.

(b) Recipients of grants or contracts will establish schedules of suggested fees, which could include a suggested flat fee, for contributions by participants toward the cost of the meal. Such schedules must take into consideration the income ranges of eligible individuals. However, each individual participant shall determine for himself what he is able to contribute toward the cost of a meal. Each participant shall be informed of his responsibility to decide for himself what he should pay, including the right to obtain meals free of charge if the participant decides he is unable to pay for such meals. No individual shall be denied participation in the nutrition program because of the inability to pay all or part of the cost of the meals served.

(c) Suggested contribution schedules shall in no case be used as means tests to determine the eligibility of individuals to participate in the nutrition project.

(d) Methods of receiving contributions from individuals shall be handled in such a manner so as not to differentiate among individuals' contributions publicly.

AGE-RELATED PROVISIONS: OAA, TITLE IX

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42 USC 3056(a)

(a) In order to foster and promote useful part-time opportunities in community service activities for unemployed low-income persons who are fifty-five years old and who have poor employment prospects, the Secretary of Labor (hereinafter in this title referred to as the "Secretary") is authorized to establish an older American community service employment program.

42 USC 3056e(2)

(2) The term "eligible individual" means an individual who is fifty-five years old or over, who has a low income, and who has or would have difficulty in securing employment, except that, pursuant to regulations prescribed by the Secretary, any such individual who is sixty years old or over shall have priority for the work opportunities provided for under this title;

29 CFR 89.19(a)(b)(1)

(a) *General.* The eligibility criteria set forth in this section shall be followed by project sponsors in determining the eligibility of persons for enrollment in the project. These criteria apply to:

(1) Individuals who apply for enrollment in a project and who have not previously been enrolled in the project;

(2) Individuals who apply for re-enrollment in a project and whose last previous enrollment was terminated for reasons other than extended illness or placement into unsubsidized employment;

(3) Individuals who apply for re-enrollment in a project and whose last previous enrollment was terminated for reasons of extended illness or placement into unsubsidized employment; and

(4) Individuals actually enrolled in a project.

(b) *Criteria.* The eligibility criteria relate to age, physical and mental capacity, and economic status. The criteria pertaining to age and physical and mental capacity apply to all

determinations of eligibility. There are, however, two different criteria which pertain to economic status; and the appropriate criterion must be applied to each situation.

(1) *Age.* To be eligible for enrollment in a project, an individual must be 55 years old or older. No person may be determined ineligible solely for reasons of advanced age, and no upper-age limitation may be used.

29 CFR 89.20(a)(3)

(a) In selecting eligible individuals for entry into the project, the priorities described below shall be observed. The priorities are listed in order of precedence.

(3) *Third.* Priority shall be given to individuals who are 60 years old or older.

MEDICAID

Two matrices for State Medicaid programs display information about certain aspects of state programs where age-oriented restrictions or prohibitions could be in effect. These are:

1. Age Limitations in Medicaid Services.

Title XIX of the Social Security Act requires that certain basic services must be offered in a State Medicaid program: inpatient hospital services, outpatient hospital services, laboratory and x-ray services, skilled nursing facility services for individuals 21 and older, home health care services for individuals eligible for skilled nursing services, physicians' services, family planning services, and early and periodic screening, diagnosis and treatment services for individuals under 21 (EPSDT). In addition, states may provide a number of other services if they elect to do so, including drugs, eyeglasses, private duty nursing, intermediate care facility services, inpatient psychiatric care for the aged and persons under 21, physical therapy, dental care, etc.

Definitions and limitations on eligibility and services vary from state to state, particularly for those additional services they choose to provide with federal financial participation. These limitations appear in each state's Medicaid plan.

Four of the additional services most often provided under Medicaid have age limitations. These are: Skilled Nursing Facility Services for Patients under 21; Care for Patients 65 or Older in Institutions for Mental Diseases; Care for Patients 65 or Older in Institutions for Tuberculosis; and Care for Patients under 21 in Psychiatric Hospitals. The first of the two Medicaid matrices shows which of these four services are offered in the states.

The second matrix contains those limitations based on age in other services, as they appear in Medicaid plans on file with the Medical Services Administration. The limitations included are those which refer to eligible individuals by age in the plan's description of services other than those for which age breakdowns are specifically mandated, such as EPSDT.

2. Financially Eligible Individuals Under 21

States can also provide Medicaid coverage to certain special groups within the state. One such group consists of any financially eligible children under 21 years of age regardless of whether they are members of intact families or not. This is the only situation under Medicaid where the requirement of fitting into one of the welfare categories is overridden.

In providing this coverage, some states have established certain "Reasonable Classifications" of eligibility (as set forth in 45 CFR 248.1(c)(4), among them: (1) provision of children in foster care for whom public agencies assume financial responsibility, or (2) individuals under 21 in psychiatric hospitals. Nine of the 19 states nationwide which provide this coverage in some form were the ones included in the Age Discrimination Study. This information is displayed in the second matrix.

8

3. Eligibility of Disabled SSI Recipients

Medicaid eligibility is linked to the federally assisted welfare programs of Aid to Families with Dependent Children (AFDC) and Supplemental Income (SSI) for the Aged, Blind and Disabled. In general, states must cover all cash assistance recipients, with the exception that states have the option (42 USC 1396a(f)) of limiting Medicaid coverage of SSI recipients by requiring that such recipients meet any more restrictive eligibility standard which was in effect in the state on January 1, 1972, prior to the implementation of the SSI program. As a result, in some states, eligibility requirements for the disabled may contain age-oriented prohibitions or restrictions.

Four states (Colorado, Illinois, Mississippi, and Missouri) exercise this option on SSI recipients. If such prohibitions exist, they are displayed in the second matrix. Any modifications of the SSI definition of disability are also included.

AGE-RELATED PROVISIONS: MEDICAID

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△ 42 USC 1396(a)(b)(1,2)

The Secretary shall approve any plan which fulfills the conditions specified in subsection (a) of this section, except that he shall not approve any plan which imposes, as a condition of eligibility for medical assistance under the plan--

- (1) An age requirement of more than 65 years, or
- (2) Effective July 1, 1967, any age requirement which excludes any individual who has not attained the age of 21 and 18, or would, except for the provisions of section 606(a)(2) of this title, be a dependent child under part A of subchapter IV of this chapter...

△ 45 CFR 248.2(a-c)

(a) All groups the State elects to include in the program are based on reasonable classifications that do not result in arbitrary or inequitable treatment of individuals or groups and are not otherwise inconsistent with the broad objectives of title XIX of the Act.

(b) Except for financial eligibility, the conditions of eligibility that are imposed on elective groups

(1) In the case of families and children, are not more stringent or more numerous than those imposed on families and children receiving aid under the approved State title IV-A plan, and

(2) In the case of aged, blind, or disabled individuals, are not more stringent or more numerous than those imposed on such individuals receiving benefits under title XVI (except for individuals receiving a State supplementary payment as provided in paragraph (d) of this section, or individuals who become eligible for medical assistance as provided in § 248.1(b)(2)(iii)).

(c) No age, residence, citizenship, or other requirements is imposed that is prohibited by title XIX of the Act.

△ 45 CFR 248.30 (a,b)

(a) *Conditions for plan approval.* A State plan under title XIX of the Social Security Act may not impose:

(1) Any age requirement of more than 65 years;

(2) Any age requirement which excludes any individual who has not attained the age of 21 and is or would, except for the provisions of section 406(a)(2) of the Act (regarding attendance at school or a training course), be a dependent child under the State's AFDC plan; or

(3) Age requirements more stringent than are imposed in the State's approved plan for financial assistance under title IV-A.

(b) *Federal financial participation.*

(1) Federal financial participation is available in medical assistance provided to otherwise eligible persons who were, for any portion of the month in which they received medical care or services, under 21 years of age (or under 22 years of age and receiving inpatient psychiatric services pursuant to § 249.10(b)(16) of this chapter), or 65 years of age or over.

△ 45 CFR 249.10(d)(3)(1)

(3) *Continuation of Federal financial participation under specified conditions.*
(i) FFP may be continued for the following services provided for eligible individuals:

(A) Inpatient hospital services, other than services in an institution for tuberculosis or mental diseases (paragraph (b)(1) of this section);

(B) Inpatient hospital services, skilled nursing facility services, and intermediate care facility services for individuals 65 years of age or over in institutions for tuberculosis or mental diseases (paragraph (b)(14)(1) of this section; and

(C) Inpatient psychiatric facility services for individuals under the age of 21 (paragraph (b)(16) of this section); in institutions or facilities which, on or after April 25, 1977, met the applicable definition, but later no longer meets it.

- * CATEGORICALLY NEEDY
- MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

36

* 42 USC 1396(a)(10)(A)

A State plan for medical assistance must

(10) provide (A) for making medical assistance available to all individuals receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, or with respect to whom supplemental security income benefits are being paid under subchapter XVI of this chapter.

△ 42 USC 1396a(f)

(f) Notwithstanding any other provision of this subchapter, except as provided in subsection (e) of this section, no State not eligible to participate in the State plan program established under subchapter XVI of this chapter shall be required to provide medical assistance to any aged, blind, or disabled individual (within the meaning of subchapter XVI of this chapter) for any month unless such State would be (or would have been) required to provide medical assistance to such individual for such month had its plan for medical assistance approved under this subchapter and in effect on January 1, 1972, been in effect in such month, except for this purpose any such individual shall be deemed eligible for medical assistance under such State plan if (in addition to meeting such other requirements as are or may be imposed under the State plan) the income of any such individual as determined in accordance with section 1396b(f) of this title (after deducting any supplemental security income payment and State supplementary payment made with respect to such individual, and incurred expenses for medical care as recognized under State law) is not in excess of the standard for medical assistance established under the State plan as in effect on January 1, 1972. In States which provide medical assistance to individuals pursuant to clause (10)(C) of subsection (a) of this section, an individual who is eligible for medical assistance by reason of the requirements of this section concerning the deduction of incurred medical expenses

from income shall be considered an individual eligible for medical assistance under clause (10)(A) of that subsection if that individual is, or is eligible to be (1) an individual with respect to whom there is payable a State supplementary payment on the basis of which similarly situated individuals are eligible to receive medical assistance equal in amount, duration, and scope to that provided to individuals eligible under clause (10)(A), or (2) an eligible individual or eligible spouse, as defined in subchapter XVI of this chapter, with respect to whom supplemental security income benefits are payable; otherwise that individual shall be considered to be an individual eligible for medical assistance under clause (10)(C) of that subsection. In States which do not provide medical assistance to individuals pursuant to clause (10)(C) of that subsection, an individual who is eligible for medical assistance by reason of the requirements of this section concerning the deduction of incurred medical expenses from income shall be considered an individual eligible for medical assistance under clause (10)(A) of that subsection.

* 45 CFR 248.1(a)(1)

(a) *General provisions governing eligibility for medical assistance--(1) Categorically needy--(i) General.* In order to be considered as categorically needy for purposes of title XIX, an individual must in general be receiving financial assistance or sufficiently in need to be financially eligible for financial assistance under title IV-A or XVI of the Social Security Act, or under a State supplement to title XVI assistance.

(ii) *States limiting coverage by returning to earlier Medicaid standard.* (A) In a State which covers both the categorically needy and medically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, an individual who meets the more restrictive eligi-

- * CATEGORICALLY NEEDY
- MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

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bility criteria through having his title XVI payment (if any) and incurred medical expenses deducted from income is considered as categorically needy if he is eligible for a cash payment under title XVI of the Social Security Act or a State supplementary payment which meets the conditions specified in §248.2(d).

* (B) In a State which covers only the categorically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, all individuals establishing eligibility for medical assistance by deducting their title XVI payments (if any) and incurred medical expenses from income will be considered categorically needy regardless of whether their income would allow them to qualify for cash assistance.

* 45 CFR 248.1(b)(1),(1)

(b) *Required coverage of the categorically needy.* A State plan under title XIX of the Social Security Act must specify what groups of individuals are covered as categorically needy for Medicaid. These groups must, as a minimum--(1) in the case of families and children, include: (i) All individuals receiving aid under the State's approved plan under title IV-A;

(ii) All individuals under 21 who are (or would be, except for age or school attendance requirements) dependent children under the State's approved AFDC plan; and

○ 42 USC 1396a(a)(10)(c)

(c) if medical assistance is included for any group of individuals who are not described in clause (A) and who do not meet the income and resources requirements of the appropriate State plan, or the supplemental security income program under subchapter XVI of this chapter, as the case may be, as determined in accordance with standards prescribed by the Secretary--

(1) for making medical assistance available to all individuals who would, except for income and resources be eligible for aid or assistance under any such State plan or to have paid with

respect to these supplemental security income benefits under subchapter XVI of this chapter, and who have insufficient (as determined in accordance with comparable standards) income and resources to meet the costs of necessary medical and remedial care and services, and

△ (11) that the medical assistance made available to all individuals not described in clause (A) shall be equal in amount, duration and scope; except that (1) the making available of the services described in paragraph (4), (14), or (16) of section 1396(a) of this title to individuals meeting the age requirements prescribed therein shall not, by reason of this paragraph (10), require the making available of any such services, or the making available of such services of the same amount, duration, and scope, to individuals of any other ages, (11) the making available of supplementary medical insurance benefits under part B of subchapter XVIII of this chapter to individuals eligible therefor (either pursuant to an agreement entered into under section 1395v of this title or by reason of the payment of premiums under such subchapter by the State agency on behalf of such individuals), or provision for meeting part or all of the cost of deductibles, cost sharing, or similar charges under part B of subchapter XVIII of this chapter for individuals eligible for benefits under such part, shall not, by reason of this paragraph (10), require the making available of any such benefits, or the making available of services of the same amount, duration, and scope, to any other individuals, and (11) the making available of medical assistance equal in amount, duration, and scope to the medical assistance made available to individuals described in clause (A) to any classification of individuals approved by the Secretary, with respect to whom there is being paid, or who are eligible, or would be eligible if they were not in a medical institution, to have paid with respect to them, a State supplementary payment shall not, by reason of this paragraph (10), require the making available of any such assistance, or the making

- * CATEGORICALLY NEEDY
- MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

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available of such assistance in the same amount, duration, and scope, to any other individuals not described in clause (A):



42 USC 1396a(a)(14)(B), (15)

(B) With respect to individuals (other than individuals with respect to whom there is being paid, or who are eligible or would be eligible if they were not in a medical institution, to have paid with respect to them, a State supplementary payment and are eligible for medical assistance equal in amount, duration, and scope to the medical assistance made available to individuals described in paragraph (10)(A) who are not receiving aid or assistance under any such State plan and with respect to whom supplemental security income benefits are not being paid under subchapter XVI of this chapter and who do not meet the income and resources requirements of the appropriate State plan, or the supplemental security income program under subchapter XVI of this chapter, as the case may be--

(i) there may be imposed an enrollment fee, premium, or similar charge which (as determined in accordance with standards prescribed by the Secretary) is related to the individual's income, and

(ii) any deductible, cost-sharing, or similar charge imposed under the plan will be nominal;

(15) in the case of eligible individuals 65 years of age or older who are covered by either or both of the insurance programs established by subchapter XVIII of this chapter, provide where, under the plan, all of any deductible, cost sharing, or similar charge imposed with respect to such individual under the insurance program established by such subchapter is not met, the portion thereof which is met shall be determined on a basis reasonably related (as determined in accordance with standards approved by the Secretary and included in the plan) to such individual's income or his income and resources;

* 45 CFR 248.1(a), (b)(1)(i-ii)

(a) *General provisions governing eligibility for medical assistance--(1) Categorically needy--(2) General.* In order to be considered as categorically needy for purposes of title XIX, an individual must in general be receiving financial assistance or sufficiently in need to be financially eligible for financial assistance under title IV-A or XVI of the Social Security Act, or under a State supplement to title XVI assistance.



(ii) *States limiting coverage by returning to earlier Medicaid standard.* (A) In a State which covers both the categorically needy and medically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, an individual who meets the more restrictive eligibility criteria through having his title XVI payment (if any) and incurred medical expenses deducted from income is considered as categorically needy if he is eligible for a cash payment under title XVI of the Social Security Act or a State supplementary payment which meets the conditions specified in § 248.2(d).

* △

(B) In a State which covers only the categorically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, all individuals establishing eligibility for medical assistance by deducting their title XVI payments (if any) and incurred medical expenses from income will be considered categorically needy regardless of whether their income would allow them to qualify for cash assistance.

(1) In the case of families and children, include (i) All individuals receiving aid under the State's approved plan under title IV-A;

(ii) All individuals under 21 who are (or would be, except for age or school attendance requirements) dependent children under the State's approved AFDC plan; and

- CATEGORICALLY NEEDY
- △ MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

39

* 45 CFR 248.1(c)(3-5)

(c) *Options for coverage of categorically needy.* A State may at its option also cover additional groups of individuals as categorically needy provided they are so specified in the plan. These groups may include any of the following:

(3) Individuals who would be eligible for financial assistance under the State public assistance plan approved under title IV-A except that the State plan imposes eligibility conditions more stringent than, or in addition to, those required under the Social Security Act. For example, individuals who would be eligible for AFDC if the State's program covered families with children deprived of parental support or care to the full extent permitted under title IV-A of the Act, including AFDC for families with unemployed fathers.

(4) All individuals under 21 who qualify on the basis of financial eligibility, but do not qualify as dependent children under a State's AFDC plan; or groups of such individuals if based on reasonable classifications. Children in foster homes or private institutions, or in subsidized adoptions, for whom public agencies are assuming financial responsibility, in whole or in part, constitute a reasonable classification. The additional inclusion of children placed in foster homes or private institutions by private, nonprofit agencies would also be considered reasonable. Individuals under age 21 who are in intermediate care facilities or in psychiatric facilities, also constitute a reasonable classification.

(5) Caretaker relatives enumerated in section 406(a)(1) of the Act who have in their care one or more children under 21 who, except for age or school attendance requirements, would be dependent children under the State's AFDC plan.

△ PROGRAM GUIDELINES:

Coverage of Children Under 21

The guidelines include the following provisions for coverage of eligible individuals under 21 years:

Although the intent of the Medicaid program is to give every eligible individual under age 21 the opportunity for periodic assessment of his health needs it is recognized that not all young people will need to be screened. Therefore, for purposes of the screening program recipients will fall into three categories:

- (a) (some) individuals never seen by a physician.
- (b) individuals who have had medical care for acute episodes or crisis oriented problems with little follow-up or continuing health supervision.
- (c) individuals under regular health supervision or have had extensive medical care.

Those in categories (a) and (b) should be encouraged to participate in the screening program and helped to utilize the screening facilities. Those in category (c) may not need to be screened if the physician responsible for their health supervision is providing at least the equivalent of a screening examination.

For this last group, the State Agency should develop procedures to assure that at least the equivalent of a screening evaluation is available to such individuals. (MSA-PRG-27, December 6, 1972.)

△ 42 USC 1396a(a)(17)(D)

A State plan for medical assistance must--

(17) include reasonable standards for determining eligibility for and the extent of medical assistance under the plan which

(D) do not take into account the financial responsibility of any individual for any applicant or recipient of assistance under the plan unless such applicant or recipient is such individual's spouse or such individual's child who is under 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally

- CATEGORICALLY NEEDY
- MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

△ PROGRAM GUIDELINES

States which use SSI as eligibility criteria for medicaid must use the eligibility criteria for SSI which includes the following:

- aged (age 65 or over)
- blind (central visual acuity of 20/200 or less)
- disabled (physical or mental impairment which prevents any substantial work or which is expected to last at least 12 months or result in death)
- presumptively disabled (because of time limitations where prima facie evidence of disability is evident)

Financial eligibility criteria include income, income "in-kind" and resources. States applying for medicaid under 42 USC 1396a(f) must fall within the aged, blind, or disabled categories and are entitled to benefits after "spending down." The SSI benefit, any optional State supplementary payment, and incurred medical expenses are deducted from income in determining financial eligibility under the "spend-down" requirement. More restrictive eligibility conditions may apply to all aged, blind or disabled or only to the aged, or to the blind, or to the disabled. However, eligibility criteria must not be more restrictive than conditions applied in the State's plan for medical assistance in effect in January 1972 or more liberal than conditions applied under the SSI program or under a State program for optional supplementary payments. A State may use a more restrictive definition than SSI, of blindness and disability, however, it cannot restrict or liberalize the requirement of 65. (SRS-HSA-76-105, June 29, 1976).

* In States where medicaid eligibility is the same as SSI eligibility, medicaid eligibility is determined by SSA (under an agreement pursuant to Section 1634 of the Act) and the SSI application constitutes an application for medicaid. (SRS-HSA-76-115, June 29, 1976)

△ 42 USC 1396a(a)(18)

(18) provide that no lien may be imposed against the property of any individual prior to his death on account of medical assistance paid, or to be paid on his behalf under the plan (except pursuant to the judgment of a court on account of benefits incorrectly paid on behalf of such individual), and that there shall be no adjustment or recovery (except, in the case of an individual who was 65 years of age or older when he received such assistance, from his estate, and then only after the death of his surviving spouse, if any, and only at a time when he has no surviving child who is under age 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally disabled, or is blind or disabled as defined in section 1382c of this title (with respect to States which are not eligible to participate in such program)) of any medical assistance correctly paid on behalf of such individual under the plan;

△ 45 CFR 249.70(a,b)

State plan requirements: A State plan under title XIX of the Social Security Act must provide that:

(a) No lien or encumbrance of any kind will be required from or be imposed against the property of any individual prior to his death because of medical assistance paid or to be paid on his behalf or at any time if he was under 65 years of age when he received such assistance (except pursuant to the judgment of a court on account of benefits incorrectly paid on behalf of such individual).

(b) There will be no adjustment or recovery of medical assistance correctly paid, except from the estate of an individual who was 65 years of age or older when he received such assistance, and then only after the death of his surviving spouse, if any, and only at a time when he has no surviving child who is under age 21 or is blind or permanently and totally disabled.

- CATEGORICALLY NEEDED
- MEDICALLY NEEDED
- △ APPLIES TO BOTH CATEGORIES

AGE-RELATED PROVISIONS: MEDICAID

Under this regulation, the term "property" includes not only the homestead but all other personal and real property in which the recipient has a legal interest; and a money payment under another program may not be reduced as a method of recovery for vendor payments incorrectly paid under title XIX of the Act.

△ 45 CFR 248.21(a)(2)(i,iii,v,vi)

(ii) Provide that financial responsibility (including later collection for assistance paid) of any individual for any applicant or recipient of medical assistance will be limited to the responsibility of spouse for spouse and of parents for children under age 21, or blind, or permanently and totally disabled.

(iii) Provide that in no event will eligibility be determined by taking into account the income or resources of individuals other than the applicant or recipient unless such individuals are the spouses or parents (with respect to children under age 21, or blind or disabled) of the applicant for or recipient of medical assistance, even though the income or resources of additional relatives are considered for purposes of financial assistance to the aged, blind and disabled under the provisions of the State plans pursuant to titles I, X, XIV, and XVI (Aid to the Aged, Blind and Permanently and Totally Disabled (AABD) of the Act.

(v) Provide that the available income and resources of spouses and parents of individuals under age 21 or individuals who apply for medical assistance on the basis of their status as AFDC-related individuals shall be determined on the basis of the rules which would govern if they were applicants for aid under title IV-A of the Act. Even if State law of general applicability confers adult status at an age below 21, parental income is considered available to children under age 21 in family groups living together.

(vi) Provide that, where an individual can establish eligibility for medical assistance in more than one category covered in the State plan (title IV-A related persons, individuals under age 21, blind, disabled or over age 65), such individual, or his representative, shall have the right to select the category for which application

is made, and the income and resources of such an individual's spouse or parents (in the case of children under age 21 or blind or disabled) shall then be considered as available under the appropriate provisions of paragraphs (a)(2)(iii)(iv) of this section.

- * CATEGORICALLY NEEDY
- MEDICALLY NEEDY
- △ APPLIES TO BOTH CATEGORIES

MEDICAID (MATRIX 1)

PROVISION OF OPTIONAL SERVICES WITH SPECIFIC AGE REQUIREMENTS

STATE	Skilled Nursing Facility Services for Patients Under 21	Care for Patients 65 or Older in Institutions for Mental Diseases	Care for Patients 65 or Older in Institutions for Tuberculosis	Care for Patients under 21 in Psychiatric Hospitals
California	X	X	X	X
Colorado	X	X		X
Florida		X	X	
Illinois	X	X	X	X
Maine	X	X		X
Mississippi	X	X	X	
Missouri		X	X	
Texas			X	
Washington	X	X	X	X

SOURCE: "Data on the Medicaid Program: Eligibility, Services, Expenditures. Fiscal Years 1966-77." Prepared by the staff for the Use of the Subcommittee on Health and Environment of the Committee on Interstate and Foreign Commerce, U.S. House of Representatives, 95th Cong., 1st Session, Committee Print 95-10, March 1977.

MEDICAID (MATRIX 2)STATEMEDICAID SERVICES AND SSI ELIGIBILITY: LIMITATIONS BASED ON AGECalifornia(MEDICALLY NEEDY)
Services

None

Children under 21

Covers all under 21

SSI (Disabled)

N/A

Colorado(CATEGORICALLY NEEDY)
Services

None

Children under 21

Covers:

1. All children under age 21 in foster homes or private institutions for whom public agencies are assuming some financial responsibility.
2. All individuals under age 21 in Medicaid-certified intermediate care facilities.
3. All individuals under age 21 receiving active treatment in Medicaid-certified psychiatric hospitals.

SSI (Disabled)

Disability definition same as SSI; 18 years of age minimum.

Florida

(CATEGORICALLY NEEDY)

Services

None

Children under 21

Covers: All children under age 21 in foster homes or private institutions for whom public agencies are assuming some financial responsibility.

SSI (Disabled)

N/A

 N/A: Not applicable. State did not elect SSI option.

Illinois

(MEDICALLY NEEDED)

Services

1. Dental Services: Limitation under preventive services: Topical fluoride application for children under age 12
2. Other diagnostic, screening, preventive, rehabilitative services: Preventive services other than through the EPSDT Program are limited to medical examinations and immunizations and dental examinations required for entry to the Illinois school system and at specified grade levels.

Children under 21

Covers: All children under age 21 in foster homes or private institutions for whom public agencies are assuming some financial responsibility.

SSI (Disabled)

Disability definition same as SSI; no age requirement.

Maine

(MEDICALLY NEEDED)

Services

None

Children under 21

Covers all under 21.

SSI (Disabled)

N/A

Mississippi

(CATEGORICALLY NEEDED)

Services

None

Children under 21

Covers: All children under 21 in foster homes or private institutions for whom public agencies are assuming some financial responsibility.

SSI (Disabled)

Disability definition same as SSI; no age requirement.

Missouri

(CATEGORICALLY NEEDY)

Services

1. Intermediate Care Facilities Services: Limited to persons age 18 and over who are medically certified by a Division of Family Services staff physician as requiring this level of care.
2. Optometric services for recipients age 21 and over is limited to examination and refractions. No glasses are provided for these recipients.

Children under 21

Covers: All children under 21 in foster homes or in subsidized adoptions for whom public agencies are assuming some financial responsibility.

SSI (Disabled)

Definition: Permanent and total disability means that the individual has some physical or mental impairment, disease or loss from which recovery of substantial improvement cannot be expected and which substantially precludes him from engaging in any occupation within his competence, such as holding a job.

18 years of age minimum

Texas

(CATEGORICALLY NEEDY)

Services

Adult Denture Program: Limited to individuals who are eligible medical assistance recipients twenty-one years of age and older. Eligible individuals under twenty-one years of age are serviced under the EFSDT Program.

Children under 21

Covers: Individuals under 21 for whom State Department of Public Welfare assumes financial responsibility, in whole or in part, and who are being care for in:

1. family foster homes which are licensed or certified and supervised by State Department of Public Welfare;
2. family foster homes which are licensed or certified and supervised by licensed public or private non-profit-child-placing agencies;
3. private non-profit child-care institutions licensed by State Department of Public Welfare.

SSI (Disabled)

N/A

Washington

(MEDICALLY NEEDY)

Services

Skilled Nursing Facility Services for Patients under 21 years of age: requires prior approval for child under 15.

Children under 21

Covers all under 21

SSI (Disabled)

N/A

TITLE XX

Title XX program services are provided by the states to individuals who become eligible primarily through their receipt of assistance from certain other federal programs (AFDC, SSI), and income. Federal law allows each state to determine the type and amount of services it will provide annually. These services and state eligibility requirements are described in the Comprehensive Annual Service Program (CASP) plan.

In order to determine what, if any, age-oriented definitions are contained in the nine states' Title XX program, selected services provided under Title XX in each state have been reviewed, and are displayed in the matrix. These services are: Day Care Services for Children, Home-Based Services, and Education, Employment and Training Services. They were selected because: 1) together they represent an estimated 42.3% of the total expenditures for Title XX in FY '77; 2) almost all are available in all states; and 3) their recipients are children and adults of all ages.

The general definitions of each of these services are:

1. Day Care Services for Children (24.1% of total Title XX expenditures)

Analysis of day care definitions indicates that states are providing:

- meals and snacks;
- entrance health examinations and ongoing health care;
- educational and recreational activities; and
- social development activities.

General day care is essentially targeted toward the employability of the parent rather than the needs of the child.

2. Home-Based Services (13.2% of total Title XX expenditures):

Home-Based Services include a wide variety of activities, usually directed towards more efficient functioning of the family or the individual within the home. Those service titles which most frequently describe Home-Based Services are listed below:

- Homemaker Services are general household activities (meal preparation, child care, and routine household care) provided by a trained homemaker when the individual regularly in charge of such activities is temporarily absent or unable to adequately manage the home and care for the personal needs of others in the home.
- Chore Services are most often described as home maintenance activities (repairs, yard work, shopping, house cleaning) performed by an untrained person for individuals unable to do such chores themselves. Personal care activities are often included as well.
- Home Management Services are described as formal or informal instruction and training in child care, home maintenance, meal preparation, budget management, and consumer education.
- Home Health Aide Services are medical home care activities provided by nursing aides. Such activities include maintaining an individual's health by assisting the individual in carrying out physician's instructions and administering medications.

3. Education, Employment and Training Services (5.0% of total Title XX expenditures):

Education, Employment and Training Services are those services designed to (1) increase an individual's employment potential, or (2) provide various educational opportunities. These services typically provide counseling, training in basic skills, work orientation, and job placement. These services are most often specifically directed to adults.

The above definitions were obtained from: "Technical Notes: Summaries and Characteristics of States' Title XX Social Services Plans for Fiscal Year 1977"(prepared by Eileen Wolff, Office of the Assistant Secretary for Planning and Evaluation, DHEW, March 1, 1977). This reference document provided a list of all state services pertinent to each category defined above. The state Title XX matrix includes age-oriented definitions in these services as they appear in the states' FY '77 CASP Plan. Relevant portions of the states' eligibility requirements are also included.

AGE-RELATED PROVISIONS: TITLE XX

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42 USC 1397a(a)(4)(C,D)

Fifty percent of State funds must be expended on services to certain individuals, among them:

- (C) with respect to whom supplemental security income benefits under subchapter XVI of this chapter or State supplementary payments, as defined in section 1387f(1) of this chapter or State supplementary payments, as defined in section 1387f(1) of this title, are being paid, or who are eligible to have such benefits or payments paid with respect to them or
- (D) whose income and resources are taken into account in determining the amount of supplemental security income benefits or State supplementary payments, as defined in section 1397f(1) of this title, being paid with respect to an individual, or whose income and resources would be taken into account in determining the amount of such benefits or payments to be paid with respect to an individual who is eligible to have such benefits or payments paid with respect to him,

45 CFR 228.1

Family means one or more adults and children, if any, related by blood, or law, and residing in the same household, where adults, other than spouses, reside together, each may be considered a separate family by the State. Emancipated minors and children living under the care of individuals not legally responsible for that care may be considered one-person families by the State.

Fiscal year means the Federal fiscal year unless otherwise specified.

45 CFR 228.60(b)(1,2)

(b) *Categories of individuals who may receive services.*

(1) *Income maintenance status.* The following individuals are eligible on the basis of income maintenance status:

- (i) Recipients of AFDC; and
- (ii) Those persons whose needs were taken into account in determining the needs of AFDC recipients; and
- (iii) Recipients of SSI benefits or State supplementary payments.

(2) *Income status.* Individuals other than those described in paragraph (b)(1) of this section, are eligible if the family's monthly gross income is less than 115 percent (or, at State option, a lower percentage) of the median income of a family of four in the State adjusted for size of family, subject to the limitations set forth in §228.62. Income status individuals include those whose eligibility is determined on a group basis.

45 CFR 228.60(f)(2)(iv)A,B

(iv) When eligibility is determined on a group basis in accordance with § 228.61, requests for services shall be handled in one of two different ways:

(A) If the State has established specific conditions (other than income) or characteristics as a condition precedent to the receipt of a service on the basis of group eligibility, the State shall, in the intake process, elicit information necessary to determine whether an individual meets the specified conditions or has the characteristics for membership in the group (e.g.,--if eligibility for homemaker services to teenage parents maintaining their own homes in public housing is determined on a group basis, the intake process shall elicit a statement regarding age, parenthood, address, and maintenance of one's own home).

AGE-RELATED PROVISIONS: TITLE XX

(B) If the State has concluded solely on the basis of the nature of a service and/or the location where it will be provided (e.g.,--recreation services in specified senior centers or day care services for migrant children), that substantially all of the individuals who would apply for the service are members of families with gross monthly incomes of 90 percent or less of the State's median income, adjusted for family size, no information need be elicited at intake or documentation made except as to the numbers served. The presence of the individual at the service site or participation in the service is deemed a request for services.

45 CFR 228.66(a)(4,6-7)

Monthly gross income includes:

(4) *Social Security* includes Social Security pensions and survivors' benefits, and permanent disability insurance payments made by the Social Security Administration prior to deductions for medical insurance and railroad retirement insurance checks from the U.S. Government.

(6) *Public assistance or welfare payments* include public assistance payments such as AFDC, SSI, State Supplemental Payments, and general assistance.

(7) *Pensions and annuities* include pensions or retirement benefits paid to a retired person or his survivors by a former employer or by a union, either directly or through an insurance company; periodic receipts from annuities or insurance.

45 CFR 228.66(b)(12,14)

Exclusions from monthly gross income include:

(12) The value of supplemental food assistance under the Child Nutrition Act of 1966 and the special food service program for children under the National School Lunch Act, as amended;

(14) Earnings of a child under 14 years of age (no inquiry shall be made);

TITLE XX

STATE/SERVICEELIGIBILITY AND SERVICE DEFINITIONS RELATING TO AGECalifornia

ELIGIBILITY

Under "income eligible" section of eligibility requirements: "In addition to SSI/SSP beneficiaries, the following persons are eligible for in-home supportive services:

1. Persons who have filed applications for SSI/SSP cash assistance and their eligibility had not yet been determined.
2. Persons who were eligible for aged, blind, and disabled cash assistance prior to January 1974,
3. Persons who would be eligible for SSI/SSP cash assistance except for excess income. Total excess income must be applied toward in-home supportive services costs.

Within each county providing a service, the type and quality of service is the same for all eligible individuals receiving the service."

SERVICES

Day Care

Child Day Care Services. None

Home-Based

In-Home Supportive Services. Service defined as: The In-Home Supportive Services program is that set of activities which enables aged, blind, or disabled individuals to remain in or return to their own homes rather than being in out-of-home care facilities when this can be accomplished by providing help with essential housekeeping tasks and/or nonmedical personal care within the resources available for the program

Objective defined as: To enable 112,996 aged, blind and disabled individuals to remain in or return to their own homes, to improve their capacities for self-care or to maintain and safeguard self-functioning. (Statewide mandatory services).

Home Management and Other Functional Education Services. None

Home Management and Other Functional Education Services for Mentally Disabled Individuals. None

*Employment, Education,
Training*

Employment Related Services. None

Employment/Education/Training Services. None

Colorado

ELIGIBILITY

Eligibility statement: "For certain program areas and services special conditions must be present before the person is eligible for services. For example, some services are provided to the developmentally disabled persons. These persons must satisfy the eligibility criteria specified below and must be developmentally disabled. Within the different groups of persons eligible to receive services are individuals whose unique disability, behavior pattern, or situation more definitely identifies them. For example, such individuals may be runaway youth, mentally retarded, drug abusers, alcoholics, disabled, or aged. These persons may be eligible for services contained in the service catalog if they are included in the description of persons eligible to receive that service."

Glossary of terms includes: (1) Delinquent child: Any child ten years of age or older who has violated:

- a. Any federal or state law, except state traffic and game and fish laws or regulations;
- b. Any municipal ordinance except traffic ordinances, the penalty for which may be jail sentence; or
- c. Any lawful order of the court made under the Children's Code, Title 19, C.R.S. 1973, as amended.

The definition excludes certain children who have committed Class 1, 2, or 3 felonies. (2) Developmental Disability: A disability attributable to mental retardation, cerebral palsy, epilepsy, autism, or a neurological impairment, which may have originated during the first 18 years of life, which can be expected to continue indefinitely, and which constitutes a substantial handicap.

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SERVICES

Day Care

Day Care. Service definition includes: Day care services for school age children during regular school hours must be different from, and cannot be substituted for, educational services provided by school districts under Colorado's Handicapped Act.

Home-Based

Financial Management. Service definition includes: Serving as representative payee for Social Security or SSI benefits.

Home Management. None

Homemaker Services. Service definition of activities typically performed by homemakers, includes: Providing personal care services such as bathing, grooming the hair and nails, dressing, and exercising aged and disabled people. Personal care services must be under medical supervision.

*Employment, Education,
Training*

Employment Services. None

Education and Training Services. Definition includes criteria limitations on purchased service: (1) Training for AFDC recipients over age 16, SSI, and other assistance payments recipients may be purchased by the county department when the training plan is directed at the goal of self-support through employment related to the training program. (2) AFDC children and children placed in foster care by the county departments who wish to continue their education

and demonstrate an ability to do so may receive assistance in paying for reasonable costs for education which cannot be offset by tuition waivers, scholarships and grants, and other forms of financial assistance. This assistance may continue until the eligible child is 21 years old; and must be directed at the self-support goal.

Florida

ELIGIBILITY

(1) Definition of family includes: "Emancipated minors (individuals under eighteen (18) years of age who are legally married or financially independent to the extent they are not claimed as a tax deduction by another person) and children living under the care of individuals not legally responsible for that care may be considered one person families." (2) Plan includes "Target Groups," the specific group of clients for which services will be provided, on an estimated basis. Among the target groups listed are: Adult and Aging, Children's Medical Services, Youth Services. (3) Group eligibility allowed for certain services according to corresponding specific group criteria. One group receiving all services is "All retarded individuals in a foster home, group home, community residential treatment center, or institution and/or all retarded individuals eighteen (18) years of age or older."

SERVICES

Child Day Care. Service defined as: Comprehensive care, supervision and protection of infants and pre-school and school age children during a portion of a 24 hour day in facilities approved by the State Agency. Child Day Care may include educational, health, recreational and other developmental activities related to the individual needs of a child as well as the provision of one or more nutritious meals or snacks per day.

Day Care

Home-Based

Chore Services. Objectives defined as: To provide chore services to 2,102 elderly and disabled adults; 200 low income families in which the principle homemaker is temporarily absent or temporarily or permanently incapacitated.

Consumer Education and Protection. Objectives defined as: To provide formal or informal consumer education to 2,033 elderly or disabled adults, 4,994 low income families, and 7,914 persons with mental, emotional, alcohol or drug problems.

Home Management Services. Objectives defined as: To provide home management services to 7,183 elderly or disabled adults; 24,932 low income families; 1,454 individuals with mental, emotional, drug or alcohol problems.

Homemaker Services. Objectives defined as: To provide homemaker services to: 10,189 elderly and disabled adults; 16,949 retarded persons; 958 low income families temporarily threatened with disruption due to illness or temporary absence of the regular homemaker.

Employment, Education, Training

Employment Services. Objectives defined as: To provide employment services to 500 low income elderly and disabled adults, 22,074 individuals with mental health, alcohol and drug abuse problems, 10,607 low income youth and heads of households.

Training and Related Services. Objectives defined as: To provide training and related services to: 415 aged or disabled adults, 18,656 individuals with mental, alcohol or drug problems, 370 delinquent children, 30,509 retarded persons, 4,978 blind and severely visually handicapped adults and children, 8,214 low income families and youth.

Illinois

ELIGIBILITY

Group eligibility allowed. Among those who the State has determined are to be specifically characterized as groups are:

Group 2: Aged -- individuals 60 years of age or older.

Group 5: Adult Mentally Ill and Developmentally Disabled -- (1) the DD individual must be professionally diagnosed as being mentally retarded or suffering from cerebral palsy, epilepsy or autism to the degree that it constitutes a substantial handicap; (2) this condition usually originates prior to age 18; (3) this disability (or combination of disabilities) requires special services over a substantial period of time as it usually continues indefinitely and is irreversible; (4) this handicap impairs an individual's ability to earn a living; (5) developmental disabilities, though differing in etiology, require similar care, treatment and/or training services; and (6) adult, 21 years of age or older. The MI individual (1) must be professionally diagnosed as being mentally ill or emotionally disturbed; (2) is unable to live independently because of current mental disabilities and cannot provide for their basic needs -- food, clothing and housing; (3) is chronically unemployable for prolonged periods of time (more than six months); (4) is coming out of a "crisis situation" (short-term hospitalization or intensive outpatient treatment) and requires counseling and vocational rehabilitation; and (5) is an adult, 21 years of age or older.

Group 7: Educationally Deficient -- any individual that is determined Title XX eligible by DPA and/or is 16 years of age or older, does not have a high school diploma and is not enrolled in school.

Day Care

Day care for children. Service defined as: Comprehensive and coordinated sets of activities providing direct care, nutrition, preschool and school age children during a portion of a 24-hour day, inside or outside the child's own home. The service may be provided for protection of a child or to allow parent(s) or relatives to seek or accept education, training or employment. The service includes recruitment, study and approval/licensing of day care resources. One or two meals may be provided during the day, and transportation may be included as part of the service. Medical or remedial care may be included as an integral but subordinate part of the service. Examples are preschool physical examinations. Services may be provided through cash payment to an individual.

Home-Based

Chore and Housekeeping Services. None

Homemaker Service. Objectives defined as: To maintain and safeguard children in the home, when a caretaker relative is unable to care for the home or is absent from the home and no other responsible person is available; to provide home care to elderly or disabled people unable to care for themselves or their home because of incapacity; to strengthen and rehabilitate family functioning by providing homemaker service to families to prevent foster placement of the children.

*Employment, Education,
Training*

Home and Financial Management. None

Education and Training Services. Objectives defined as: To enable adults to learn to read and write English in order to be self-supporting; to provide vocational training or additional education to individuals in order to increase employment potential; to provide counseling to youth to enable them to obtain appropriate education and training services. Group 7 eligibility (Educationally Deficient), one category of eligible recipient, based on: Professional assessment that individuals are educationally deficient and that service delivery will enable them to meet the service objectives.

Maine

ELIGIBILITY None

SERVICES

Day Care

Day Care. Service defined as: Social Services activities providing direct care of infants, preschool, and school-aged children during a portion of the 24 hour day, inside or outside of the child's own home.

Home-Based

Homemaker-Health Aide. None

Social Diagnosis and Evaluation Services (Self-Care Adults). None

*Employment, Education,
Training*

None. Services not provided by State.

Mississippi

ELIGIBILITY None

SERVICES

Day Care

Day Care. Services defined as: Care of an adult or child for a portion of the day, but less than 24 hours outside the recipient's home in an approved family setting or an approved day care center. Day care may be provided either on a partial-day (less than four hours) or full day (four or more hours) basis. Day care may be appropriate for normal or handicapped adults or children of any age. Day care programs serving children under age 14 years must meet Federal Interagency Standards, State licensing requirements, and the Department's certification standards. Day care provides a program of developmental activities geared to the individual needs of families and children and adults.

Home-Based

Homemaker Service. None

*Employment, Education,
Training*

Employment Related. Service definition states: "also includes, as needed, activities identified in counseling service. The service definition for Counseling for Self Care is: Assistance to a person in the determination and

management of personal affairs, as age appropriate. Activities include:

- a. Helping the person educationally through assistance in securing training most appropriate to their capacities.
- b. Counseling with expectant unmarried parent(s) and, when indicated, with their parents and other significant persons toward the best interest of the parent(s) and the child;
- c. Helping the person to identify health needs and to obtain needed health services available under Medicaid, Medicare, Early and Periodic Diagnosis and Treatment, maternal and child health programs, handicapped adult's or children's programs, and from other public or private agencies or providers of health services; planning with the person and health providers to help assure continuity of treatment and carrying out of health recommendations; helping the person to secure admission to hospitals serving the acutely ill and other related medical facilities.
- d. Helping a person to obtain or retain adequate housing by offering advice and guidance and through actions, on behalf of the person, with public or private housing resources.
- e. Arranging for and follow-up on the delivery of other needed services.
- f. Transportation of the client, as necessary, to receive needed services.

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Missouri

ELIGIBILITY

Any specified client groups to which services will be offered are designated in the plan under each Title XX goal pertaining to the service. Among specialized groups represented by the following alphabetical codes are:

- A - Aging: An individual who is 60 years of age or older.
- AO - Adult Offender: An individual over age 18 who has been convicted of a criminal offense, living in correctional or community-based care facilities.
- DD - Developmentally Disabled: An individual with medically determined condition of cerebral palsy, epilepsy, autism, learning disability related to brain dysfunction, or other neurological handicapping condition closely related to mental retardation or requiring similar treatment and originating before the individual attains age 18, and which has continued or can be expected to continue indefinitely.
- JO - Juvenile Offender: An adjudicated offender under age 18 currently living in residential or community-based care facilities, or other community care placements under the legal custody of the juvenile court or other court designated agency.
- R - Runaway: A minor (as defined by State Law) who is reported, in accordance with the State's definition of a runaway, to have left his/her place of abode without consent of parent, guardian, or other person acting in the capacity of parent."

SERVICES

Day Care

Day Care for Children. Service defined as: Day Care Service provides for the direct care and protection of infants, preschool and school age children during a portion of a 24-hour day. This service is given inside the child's own home by a responsible person, or outside of his own home by a responsible person, or outside of his own home in a family or group day care home, or a day care center. Day care services must be provided in compliance with State agency standards and regulation. Day Care includes physical care and provision of scheduled nutritional meals; supervised play and recreation; and training and practice in developmental skills including socialization, intellectual progress, and emotional advancement.

Special Group: Developmentally Disabled (Statewide)

Home-Based

Chore Services. Special groups: Developmentally Disabled (Statewide); Aging (St. Louis City).

Homemaker Services. Service defined as: Homemaker service is designed to provide emergency and substitute care for individuals and families in their own homes, to train individuals in improved functioning in the home and/or to supplement the capability of aged or disabled persons as a means of allowing them to remain in their own homes. Service activity by a trained homemaker includes the performance of home-related tasks such as meal planning and preparation, child care, personal care, and routine household activities. Training for each of these areas can also be arranged and provided, depending upon the individual's needs.

Special groups: Aging (St. Louis City, Phelps County), Developmentally Disabled (Statewide).

Home Management Service. Special Group: Developmentally Disabled (Statewide).

*Employment, Education,
Training*

Employment Readiness. Service defined as: Employment Readiness is a service designed to assess an individual's potential for job training and employment. Service activity involves social, psychological and physical diagnostic assessment. Medical examination and laboratory procedures may be provided only when essential to evaluation of an individual for potential employment and when such medical care is unavailable to the individual under Title XVIII or Title XIX. Reimbursable medical care may not exceed 25 percent of the total cost of this services.

Special Groups: Adult Offender, Developmentally Disabled (Statewide).

Educational Service. None

Educational Counseling. None

Employment Related Counseling. Special Groups: Adult Offender, Developmentally Disabled (Statewide).

Texas

ELIGIBILITY

None

SERVICES

Day CareDay Care Services. NoneHome-Based

Chore Services. Objective defined as: To provide chore services for 6,422 individuals. Purchase of chore services will enable aged, blind, or disabled persons to remain in their own homes when they are unable to perform essential household tasks. This service will also reduce inappropriate institutional care and prevent or remedy abuse, neglect or exploitation.

Eligible Client categories: Within funds available, priority will be given to:

1. Current adult recipients of SSI or MAO who are released from nursing homes or institutions.
2. All persons not included in the above group who are recipients of SSI or MAO who are 65 years or older.
3. Other adults 18-64 years old who are current recipients of SSI or MAO.
4. Other adult income eligibles below 65% of the State's median income.

Homemaker Services. Objective defined as: To provide homemaker services for 728 individuals. Purchase of homemaker services will enable aged, blind or disabled persons to avoid inappropriate institutional care. This service will also prevent or remedy abuse, neglect or exploitation and help these individuals become self-sufficient.

Eligible client categories: Within funds available, priority will be given to:

1. Current adult recipients of SSI or MAO who are released from nursing homes or institutions.
2. All persons not included in the above group who are recipients of SSI or MAO who are 65 years old or older.
3. Other adults 18-64 years old who are current recipients of SSI or MAO.
4. Other adult income eligibles below 65% of the State's median income.

Emergency Homemaker Services. None

Family Care Services. Objective defined as: To provide family care services for 40,246 individuals. Purchase of family care services for aged, blind, or physically or mentally disabled adults will enable them to remain in their own homes, thereby reducing inappropriate institutional care. This service will also help these persons to achieve and maintain self-sufficiency and prevent neglect, abuse or exploitation.

Eligible client categories: Within funds available, priority will be given to:

1. Current adult recipients of SSI or MAO who are released from nursing homes or institutions.
2. All persons not included in the above group who are recipients of SSI or MAO who are 65 years or older.
3. Other adults 18-64 years old who are current recipients of SSI or MAO.
4. Other adult income eligibles below 65% of the State's median income.

Emergency Services. None

*Employment, Education,
Training*

Vocational Training Service. None

Vocational Rehabilitation Service. None

Washington

ELIGIBILITY

None

SERVICES

Day Care

Child Day Care Services. Service defined as: Day care is the provision of care and protection and related services for a child under 15 years of age during that portion of the 24-hour day that the child's parents are unable to provide necessary care and supervision for the following reasons: Parent is enrolled in a pre-vocational program (only geographic area #3); parent is employed or seeking employment in accord with an approved case plan; parent is enrolled in an approved training program (not to exceed two years) leading toward employment or for school age parent to complete secondary education or attainment of G.E.D.; parent is an AFDC recipient serving as a volunteer either on DSHS Day Care Advisory Board or to attain pre-employment skills; for parent to keep physical or mental health appointments; or, child requires day care as part of a children's protective services case plan.

Home-Based

Chore Services. Among individuals eligible are: Recipients of federal aid medical care only who meet income eligibility restrictions.

The plan states: "This is a high priority program in terms of enhancing self-sufficiency and preventing institutionalization; however, there are certain problems inherent in the administration of the chore service program in that at present the client functions as the employer, which has led to instances of failure to pay for the service and much confusion in managing the social security contributions to the chore service provider's accounts. Some thought is being given to instituting a process of vendor payments to the chore service workers to help alleviate this problem. However, vendor payments to other than representative payees might be interpreted by the Social Security Administration as income to SSI beneficiaries and deducted from their awards.

Plans are currently being considered at the State Office level to contract with a chore service provider agency to assume the functions of paying the chore service workers, including the handling of the Social Security, etc., and in some areas providing a corps of trained chore service workers. ESSO service workers would remain responsible for determining need and eligibility for chore services and agreeing with the eligible individual on the hours and type of work to be performed."

Homemaker Services. None

None. Services not provided by State.

*Employment, Education,
Training*

AID TO FAMILIES WITH DEPENDENT CHILDREN (AFDC)

Eligibility requirements for federal assistance under the Aid to Families with Dependent Children (AFDC) programs, Title IV-A of the Social Security Act, are set forth in State plans. Depending upon federal Statutes and Regulations, each state may establish its own criteria.

Age-oriented prohibitions or restrictions in current State AFDC plans have been categorized in this matrix* as follows:

Age. Identifies the chronological age requirements governing eligibility for AFDC and the state's use of the Federal option to extend eligibility to children age 18 but under 21 years of age if the child is regularly attending a school, college, a university or a course of vocational education.

Unborn Child. Where applicable in states, gives provisions affecting the eligibility of the mother of an unborn child, specifically a woman with no other children, and any requirements as to proof of existence of pregnancy.

Dependent Child. Provides the definition of a dependent child, including (1) state's interpretation of the deprivation of parental support or care through death, continued absence from the home, physical or mental incapacity or a parent; and (2) the relatives with whom a child may live while receiving aid to dependent children. If the state has an approved plan for AFDC Unemployed Fathers, this is indicated.

Property Resources. States allow certain kinds of property to be held by an individual or family without disqualifying them from initial or continuing eligibility. If there are specific references to reserves held by children, these are indicated.

Special Requirements. Where applicable, shows additional eligibility requirements affecting persons requiring assistance under the program, where such requirements specifically refer to age.

Income. In addition to personal characteristics pertaining to eligibility, certain income requirements included in determining need under AFDC do have an age orientation. Those covered include:

1. Disregarded earnings of students (mandatory under Title IV-A).
2. Whether under the state's option it permits the conservation of some amount of income of the family or of the child to be set aside for future identifiable needs of the child, and if so, any limitations as to source or control of the funds conserved.

*Source: "Characteristics of State Plans for Aid to Families with Dependent Children under the Social Security Act, Title IV-A", 1976 ed., DHEW, Social Security Administration, Assistance Payments Administration. Data updated to present.

AGE-RELATED PROVISIONS: AFDC

42 USC 606 (a)

(a) The term "dependent child" means a needy child (1) who has been deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, and who is living with his father, mother, grandfather, grandmother, brother, sister, stepfather, stepmother, stepbrother, stepsister, uncle, aunt, first cousin, nephew, or niece, in a place of residence maintained by one or more of such relatives at his or their own home, and (2) who is (a) under the age of eighteen, or (B) under the age of twenty-one and (as determined by the State in accordance with standards prescribed by the Secretary) a student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment.

42 USC 607(a)

(a) The term "dependent child" shall, notwithstanding section 606(a) of this title, who has been deprived of parental support or care by reason of the unemployment (as determined in accordance with standards prescribed by the Secretary) of his father, and who is living with any of the relatives specified in section 606(a) (1) of this title in a place of residence maintained by one or more of such relatives as his (or their) own home.

42 USC 608(a)

Effective for the period beginning May 1, 1961 -

(a) the term "dependent child" shall, notwithstanding section 606(a) of this title, also include a child (1) who would meet the requirements of such section 606(a) or of section 607 of this title except for his removal after April 30, 1961, from the home of a relative (specified in such section 606(a) as a result of a judicial determination to the effect that continuation therein would be contrary to the welfare of such child, (2) whose replacement and care

are the responsibility of (A) the State or local agency administering the State plan approved under section 602 of this title, or (B) any other public agency with whom the State agency administering or supervising the administration of such State plan has made an agreement which is still in effect and which includes provision for assuring development of a plan, satisfactory to such State agency, for such child as provided in paragraph (f) (1) and such other provisions as may be necessary to assure accomplishment of the objectives of the State plan approved under section 602 of this title, (3) who has been placed in a foster family home or child-care institution as a result of such determination, and (4) who (A) received aid under such State plan in or for the month in which court proceedings leading to such determination were initiated, or (B)(i) would have received such aid in or for such month if application had been made therefor, or (ii) in the case of a child who had been living with a relative specified in section 606(2) of this title within 6 months prior to the month in which such proceedings were initiated, would have received such aid in or for such month if in such month he had been living with (and removed from the home of) such a relative and application had been made therefor;

45 CFR 233.30

(a) *Condition for plan approval.* A State plan under title I or XVI of the Social Security Act may not impose any age requirement of more than 65 years.

(b) *Federal financial participation.*

(1) Federal financial participation is available in financial assistance provided to otherwise eligible persons who were for any portion of the month for which assistance is paid:

(i) in OAA or AAABD with respect to the aged, 65 years of age or over;

(ii) in AFDC, under 18 years of age; or under 21 years of age if a student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment;

(iii) in AB or AAABD with respect to the blind, any age;

AGE-RELATED PROVISIONS: AFDC

(iv) In AFDC or AABD with respect to the disabled, 18 years of age or older.

(2) Federal determination of whether an individual meets the age requirements of the Social Security Act will be made according to the common-law method (under which a specific age is attained the day before the anniversary of birth) unless the State plan specifies that the popular usage method (under which an age is attained on the anniversary of birth), is used.

(3) The State agency may adopt an arbitrary date such as July 1 as the point from which age will be computed in all instances where the month of an individual's birth is not available, but the year can be established.
(36 F.R. 3866, Feb. 27, 1971)

45 CFR 233.10(b)(2)(ii)

(2) the following is a summary statement regarding the groups for whom Federal financial participation is available. (More detailed information is given elsewhere.)

(ii) AFDC - for:

(a) Needy children under the plan who are:

(1) Under the age of 18, or under 21 if regularly attending a school, college, or university, or regularly attending a course of vocational or technical training.

(2) Deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, or unemployment of a father; and

(3) Living in the home of a parent or of certain relatives specified in the Act, or in foster care under certain conditions.

42 USC 602(a)(19)(A)(i,ii,v-vi)

...provide--

(A) that every individual, as a condition of eligibility for aid under this part, shall register for manpower services, training, and employment as provided by regulations of the Secretary of Labor, unless such individual is--

(i) a child who is under age 16 or attending school full time;

(ii) a period who is ill, incapacitated, or of advanced age;

(iii) a person so remote from a work incentive project that his effective participation is precluded;

(iv) a person whose presence in the home is required because of illness or incapacity of another member of the household;

(v) a mother or other relative of a child under the age of six who is caring for the child; or

(vi) the mother or other female caretaker of a child, if the father or another adult male relative is in the home and not excluded by clause (i), (ii), (iii), or (iv) of this subparagraph (unless he has failed to register as required by this subparagraph, or has been found by the Secretary of Labor under section 633(g) of this title to have refused without good cause to participate under a work incentive program or accept employment as described in subparagraph (F) of this paragraph); and that any individual referred to in clause (v) shall be advised of her option to register, if she so desires, pursuant to this paragraph, and shall be informed of the child care services (if any) which will be available to her in the event she should decide so to register;

42 USC 602(a)(19)(F)(ii-iv)

(F) that if and for so long as any child, relative, or individual (certified to the Secretary of Labor pursuant to subparagraph (G) has been found by the Secretary of Labor under section 633 (g) of this title to have refused without good cause to participate under a work incentive program established by part C with respect to which the Secretary of Labor has determined his participation is consistent with the purposes of such part C, or to have refused without good cause to accept employment in which he is able to engage which is offered through the public employment offices of the State, or is otherwise offered by an employer, if the offer of such employer is determined, after notification by him, to be a bona fide offer of employment--

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(ii) aid with respect to a dependent child will be denied if a child who is the only child receiving aid in the family makes such refusal;

(iii) if there is more than one child receiving aid in the family, aid for any such child will be denied (and his needs will not be taken into account in making the determination under clause (7)) if that child makes such refusal; and

(iv) if such individual makes such refusal, such individual's needs shall not be taken into account in making the determination under clause (7); except that the State agency shall, for a period of sixty days, make payments of the type described in section 606(b)(2) of this title (without regard to clauses (A) through (E) thereof) on behalf of the relative specified in clause (i), or continue aid in the case of a child specified in clause (ii) or (iii), or take the individual's needs into account in the case of an individual specified in clause (iv), but only if during such period such child, relative, or individual accepts counseling or other services (which the State agency shall make available to such child, relative, or individual) aimed at persuading such relative, child, or individual, as the case may be, to participate in such program in accordance with the determination of the Secretary of Labor; and

45 CFR 244.20(a)(b)(f)

A State plan under Title IV-A of the Social Security Act must provide that:

(a) All applicants and recipients who are required to register by section 402 (a)(19)(A) of the Act shall register for manpower services, training, and employment as a condition of eligibility for AFDC, except as otherwise provided under paragraph (b) of this section;

(b) The Income Maintenance Unit (IMU) of the State or local welfare agency shall determine which AFDC applicants and recipients are exempt from registration and which are required to

register as a condition of eligibility for AFDC benefits, based on the following criteria: Each AFDC applicant and recipient shall register unless he is:

(1) Under age 16;

(2) Regularly attending school and age 16 but not yet 21 years of age (or 18 years of age if the State AFDC plan limits benefits to child who are under age 18). There must be verification according to State welfare regulations that the person is enrolled or has been accepted for enrollment for the next school term as a full-time student as defined in 45 CFR 233.90;

(5) 65 years of age or older;

(8) A mother of other caretaker relative of a child under age 6; or

(f) The IMU of the welfare agency shall include a redetermination of exemption as a part of the AFDC eligibility redetermination process, except where an individual has been determined to be 65 years of age or older, or permanently incapacitated.

45 CFR 233.80(a)(1)

Definition of "permanently and totally disabled":

"Totally" involves considerations in addition to those verified through the medical findings, such as age, training skills, and work experience and the probable functioning of the individual in his particular situation in light of his impairment; an individual's disability would usually be tested in relation to ability to engage in remunerative employment; the ability to keep house or to care for others would be the appropriate test for (and only for) individuals, such as housewives, who were engaged in this occupation prior to the disability and do not have a history of gainful employment; eligibility may continue, even after a period of rehabilitation and readjustment, if the individual's

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work capacity is still very considerably limited (in comparison with that of a normal person) in terms of such factors as the speed with which he can work, the amount he can produce in a given period of time, and the number of hours he is able to work.

45 CFR 233.20(a)(1)
As amended, 41 FR 54489

(a) *Requirements for State Plans.* A State Plan for OAA, AFDC, AB, APTD or AABD must, as specified below:

(1) *General.* (i) Provide that the determination of need and amount of assistance for all applicants and recipients will be made on an objective and equitable basis and all types of income will be taken into consideration in the same way except where otherwise specifically authorized by Federal statute and (ii) Provide that individuals receiving SSI benefits under the title XVI, for the period for which such benefits are received, shall not be included in the AFDC assistance unit for purposes of determining need and the amount of the assistance payment. Under this requirement, "individuals" receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under Section 212 of Pub. L. 93-66.

45 CFR 233.20(a)(3)(x)
As amended, 41 FR 54490

(x) Provide that the income and resources of individuals who are receiving SSI benefits under title XVI, for the period for which such benefits are received, shall not be counted as income and resources of an assistance unit applying for or receiving assistance under title IV-A. Under this requirement,

"individuals receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under section 212 of Pub. L. 93-66.

45 CFR 233.90(b,c)

(b) *Conditions for plan approval.*

(1) A child may not be denied AFDC either initially or subsequently "because of the conditions of the home in which the child resides" or because the home is considered "unsuitable", unless "provision is otherwise made pursuant to a State statute for adequate care and assistance with respect to such child". (Section 404(b) of the Social Security Act.)

(2) An otherwise eligible child who is under the age of 18 years may not be denied AFDC, regardless of whether he attends school or makes satisfactory grades.

(3) If a State elects to include in its AFDC program children 18 and over, it must include all children 18 years of age and under 21 who are students regularly attending a school, college or university, or a course of vocational or technical training designed to fit them for gainful employment.

(4) (i) A child may not be denied AFDC either initially or subsequently because a parent or other caretaker relative fails to cooperate with the child support agency in performing any of the activities needed to:

(A) Establish the paternity of a child born out of wedlock; or

(B) Obtain support from a person having a legal duty to support the child.

(ii) Any parent or caretaker relative who fails to so cooperate shall be treated in accordance with § 232.12 of this chapter.

(5) (Reserved)

(6) An otherwise eligible child may not be denied AFDC if a parent is mentally or physically incapacitated as defined in paragraph (c)(1)(iv) of this section.

AGE-RELATED PROVISIONS: AFDC

(c) *Federal financial participation.*
 (1) Federal financial participation under title IV-A of the Social Security Act in payments with respect to a "dependent child", as defined in section 406(a) of the Act, is available within the following interpretations:

(i) *Needy child deprived by reason of:*
 The phrase "needy child * * * deprived * * * by reason of" requires that both need and deprivation of parental support or care exist in the individual case. The phrase encompasses the situation of any child who is in need and otherwise eligible, and whose parent--father or mother--either has died, has a physical or mental incapacity, or is continually absent from the home. This interpretation is equally applicable whether the parent was the chief bread winner or devoted himself or herself primarily to the care of the child, and whether or not the parents were married to each other. The determination whether a child has been deprived of parental support or care is made in relation to the child's natural parent or, as appropriate, the adoptive parent or stepparent described in paragraph (a) of this section.

(ii) *Death of a parent.* If either parent of a child is deceased, the child is deprived of parental support or care, and may, if he is in need and otherwise eligible, be included within the scope of the program.

(iii) *Continued absence of the parent from the home.* Continued absence of the parent from the home constitutes the reason for deprivation of parental support or care when the parent is out of the home, the nature of the absence is such as either to interrupt or terminate the parent's functioning as a provider of maintenance, physical care, or guidance for the child, and the known or indefinite duration of the absence precludes counting on the parent's performance of his function in planning for the present support or care of the child. If these conditions exist, the parent may be absent for any reason, and he may have left only recently or some time previously.

(iv) *"Physical or mental incapacity".*
 "Physical or mental incapacity" or a parent shall be deemed to exist when one parent has a physical or mental defect, illness, or impairment. The incapacity shall be supported by competent medical testimony and must be of such a debilitating nature as to reduce substantially or eliminate the parent's ability to support or care for the otherwise eligible child and be expected to last for a period of at least 30 days. In making the determination of ability to support, the agency shall take into account the limited employment opportunities of handicapped individuals.

A finding of eligibility for OASDI or SSI benefits, based on disability or blindness is acceptable proof of incapacity for AFDC purposes.

(v) *"Living with (a specified relative) in a place of residence maintained * * * as his * * * own home).*

(A) A child may be considered to meet the requirement of living with one of the relatives specified in the Act if his home is with a parent or a person in one of the following groups:

(1) Any blood relative, including those of half-blood, and including first cousins, nephews, or nieces, and persons of preceding generations as denoted by pre-fixed of grand, great, or great-great.

(2) Stepfather, stepmother, stepbrother, and stepsister.

(3) Persons who legally adopt a child or his parent as well as the natural and other legally adopted children of such persons, and other relatives of the adoptive parents in accordance with State law.

(4) Spouses of any persons named in the above groups even after the marriage is terminated by death or divorce.

(B) A home is the family setting maintained or in process of being established, as evidenced by assumption and continuation of responsibility for day to day care of the child by the relative with whom the...

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AFDC

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child is living. A home exists so long as the relative is temporarily absent from the customary family setting. Within this interpretation, the child is considered to be "living with" his relative even though

(1) He is under the jurisdiction of the court (e.g., receiving probation services or protective supervision); or

(2) Legal custody is held by an agency that does not have physical possession of the child.

(vi) "Student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment". A child may be considered a student regularly attending a school or a training course:

(A) If he is enrolled in and physically attending a full-time (as certified by the school or institute attended) program of study or training leading to a certificate, diploma or degree; or

(B) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program of study or training leading to a certificate, diploma or degree and is regularly employed in or available for and actively seeking part-time employment; or

(C) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program of study or training leading to a certificate, diploma or degree and is precluded from full-time attendance or part-time employment because of a verified physical handicap.

Under this interpretation:

(i) Full-time and half-time attendance are defined, as set forth in Veterans Administration requirements:

(1) In a trade of technical school, in a program involving shop practice, full-time is 30 clock hours per week and half-time is 15 clock hours; in a program without shop practice, full-time is 25 clock hours and half-time is 12 clock hours;

(2) In a college or university, full-time is 12 semester or quarter hours and half-time is 8 semester or quarter hours;

(3) In a secondary school, full-time is 25 clock hours per week or 4 Carnegie units per year and half-time is 12 clock hours or 2 Carnegie units;

(4) In a secondary education program of cooperative training or in apprenticeship training, full-time attendance is as defined by State regulation or policy; and

(5) A child shall be considered in regular attendance in months in which he is not attending because of official school or training program vacation, illness, convalescence, or family emergency, and for the month in which he completes or discontinues his school or training program.

45 CFR 233.90(c)(2)ii)

(2) Federal financial participation is available in:

(ii) Payments with respect to an unborn child when the fact of pregnancy has been determined by medical diagnosis;

42 USC 602(a)(12)

(a) A State plan for aid and services to needy families must...

(12) provide, effective October 1, 1950, that no aid will be furnished any individual under the plan with respect to any period with respect to which he is receiving Old Age Assistance...

AGE-RELATED PROVISIONS: AFDC

45 CFR 206.10(a)(1)(iv)(A)

(a) *State plan requirements.* A State plan under title I, IV-A, X, XIV, XVI, or XIX of the Social Security Act shall provide that:

(iv) (A) Individuals found eligible for financial assistance under a State plan for title I, IV-A, X, XIV, or XVI (AABD) are eligible for medical assistance without a separate application.

45 CFR 233.20(a)(3)(vi)

As amended, 41 FR 54489-90

(3)

(vi) If the State agency holds relatives responsible for the support of applicants and recipients, (a) include an income scale for use in determining whether responsible relatives have sufficient income to warrant expectation that they can contribute to the support of applicants or recipients, which income scale exceeds a minimum level of living and at least represents a minimum level of adequacy that takes account of the needs and other obligations of the relatives; and (b) provide that no request will be made for contributions from relatives whose net cash income is below the income scale. In family groups living together, income of the spouse is considered available for his spouse and income of a parent is considered available for children under 21, except that, under the AFDC plan, if a spouse or parent is receiving SSI benefits under title XVI, then, for the period for which such benefits are received, his income and resources shall not be counted as income and resources available to the AFDC unit. For purposes of this exception, "a spouse or parent receiving SSI benefits" includes a spouse or parent receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under section 212 or Pub. L. 93-66.

45 CFR 233.20(a)(3)(iii)

(iii) Provide that no inquiry will be made of the amount of earnings of a child under 14 years of age.

45 CFR 233.20(a)(4)(ii)

(4) *Disregard of income common to OAA, AFDC, AB, APTD, or AABD.*

(ii) Provide that, in determining eligibility for public assistance and the amount of the assistance payment, the following will be disregarded as income and resources:

(d) Any grant or loan to any undergraduate student for educational purposes made or insured under any programs administered by the Commissioner of Education;

(f) Any benefits received under Title VII, Nutrition Program for the Elderly, of the Older Americans Act of 1966, as amended;

(g) Payments for supporting services or reimbursement of out-of-pocket expenses made to individual volunteers serving as foster grandparents, senior health aides, or senior companions, and to persons serving in the Service Corps of Retired Executives (SCORE) and Active Corps of Executives (ACE) and any other programs under titles II and III, pursuant to section 418 of Pub. L. 93-113;

(i) The value of supplemental food assistance received under the Child Nutrition Act of 1966 as amended, and the special food service program for children under the National School Lunch Act, as amended (Pub. L. 92-433 and Pub. L. 93-150)

45 CFR 233.20(a)(11)(ii)

(11) Disregard of income applicable only to AFDC.

(ii) Provide for the disregard of:

(a) All of the earned income of any child receiving AFDC if the child is a full-time student or is a part-time student who is not a full-time employee. A student is one who is attending a school, college, or university or a course of vocational or technical training designed to fit him for gainful employment and includes a participant in the Job Corps program under the Comprehensive Employment and Training Act of 1973 (CETA). (See §233.90(c)(1)(vi) for definition of "student");

AID TO FAMILIES WITH DEPENDENT CHILDREN (AFDC)STATEELIGIBILITY REQUIREMENTSCalifornia

- Age:* Under 21 years and unmarried. If 18 and under 21, must be regularly attending school or a training program, and if attending college, must be a full-time student with passing grades.
- Unborn Child:* Mother with no other children eligible on behalf of unborn child if pregnancy medically verified.
- Dependent Child:* Deprived of parental support or care by reason of death, continued absence from home, or physical or mental incapacity of a parent or unemployment of the father, or has been relinquished to a county adoption agency or to a private adoption agency. Child may live with any relative listed in Federal act as interpreted, or, in foster care as provided under the Federal act.
- Property Resources:* A child's share of an undistributed estate excluded from consideration under the maximum personal property reserve allowed.
- Income:* In determining need, or amount of payment, state disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - All earned income of any child receiving AFDC if he is a full-time student or is a part-time student who is not a full-time employee, and is regularly attending school or a training program, and if attending college, must be a full-time student with passing grades.

Colorado

- Age:* Under 21 years. If 18 and under 21, must be regularly attending school, college or university, or taking a vocational or technical training course.
- Unborn Child:* Mother with no other children eligible on behalf of unborn child if pregnancy medically verified; unborn child not included in payment as a child.
- Dependent Child:* Deprived of parental support or care by reason of death, continued absence from home, or physical or mental incapacity of a parent, or unemployment of the father, and living with relatives listed in Federal act as interpreted, or in foster care as provided under the Federal act.
- Special Requirement:* Civil proceedings to establish paternity and to compel support must be brought by the County Department of Public Welfare prior to the child's reaching 5 years of age unless paternity has been established or support is being furnished.

Income: In determining need, and amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - All earned income of any child receiving AFDC if he is a full-time student or is a part-time student who is not a full-time employee, and is regularly attending school, college or university, or taking a vocational or technical training course.

Florida

Age: Under 18 years and unmarried.

Dependent Child: Deprived of parental support or care because of death, continued absence from the home, or mental or physical incapacity of one or both parents, and living with relatives listed in Federal act as interpreted, or in foster care as provided under the Federal act.

Property Resources: A child living with financially independent relatives may not own more than \$600, or a maximum of \$1,200 for 2 or more such children.

Income: In determining need and amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - All earned income of any child receiving AFDC if he is a full-time student or is a part-time student who is not a full-time employee.

Illinois

Age: Under 21 years. If 18 and under 21, must be regularly attending a school, college or university, or vocational or technical training course.

Dependent Child: Deprived of parental support or care by death, continued absence (including for military service or deportation), physical or mental incapacity of a parent, or unemployment of the father and living with relatives listed in Federal act as interpreted, or in foster home or private child-care institution as permitted under the Federal act.

Income: In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - all of the earned income of each dependent receiving AFDC who is a full-time student, or part-time student who is not a full-time employee, who is attending a school, college, or university, or a course of vocational or technical training.

Maine

Age: Under 21 years. If 18 and under 21, must be regularly attending high school, college or university, or taking a vocational or technical training course.

Dependent Child:

Deprived of parental support or care by death, continued absence from home (including service in armed forces), or physical or mental incapacity of a parent (totally precluding the father from engaging in any useful occupation outside the home or the mother from homemaking). Child must be living with relatives listed in the Federal act as interpreted, in a home meeting legal requirement of suitability, or in foster care as provided under the Federal act.

Income:

In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) -

- all of the earned income of each dependent child receiving AFDC who is a full-time student, or part-time student who is not a full-time employee, who if 18 and under 21 is regularly attending a high school, college, or university, or a course of vocational or technical training.
- Provision for conservation of all or any part of income for the future education, special training, and employment of a child

Mississippi

Age:

Under 18 years.

Dependent Child:

Deprived of parental support or care by death, continued absence, or physical or mental incapacity of a parent, and living with relatives listed in Federal act as interpreted, or in foster care as provided under the Federal act.

Income:

In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - all of the earned income of each dependent child receiving AFDC who is a full-time student, or part-time student who is not a full-time employee.

Missouri

Age:

Under 21 years. If 18 and under 21, must be regularly attending high school, college or university, or taking a vocational or technical training course.

Dependent Child:

Deprived of parental support or care by reason of death, continued absence from home, or physical or mental incapacity of a parent, or unemployment of the father, and living with relatives listed in Federal act as interpreted, or in a foster home or private non-profit institution as permitted under the Federal act.

Income:

In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - all of the earned income of each dependent child receiving AFDC who is a full-time student, or part-time student who is not a full-time employee.

Texas

- Age:* Under 21 years. If 18 and under 21, must be regularly attending a school, college, or university, or a course of vocational or technical training.
- Dependent Child:* Deprived of parental support or care by reason of death, continued absence from home, or physical or mental incapacity of a parent, and living with relatives listed in the Federal act as interpreted, or living in foster care as provided under the Federal act.
- Income:* In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - all of the earned income of each dependent child receiving AFDC who is a full-time student, or part-time student who is not a full-time employee, who if 18 and under 21 is regularly attending a school, college, or university, or a course of vocational or technical training.

Washington

- Age:* Under 18 years.
- Unborn Child:* Mother with no other children eligible on behalf of unborn child if pregnancy medically verified.
- Dependent Child:* Deprived of parental support or care by reason of death, continued absence from home, physical or mental incapacity of a parent, or unemployment of the father and living with relatives listed in the Federal act as interpreted, or in a foster home as permitted under the Federal act.
- Property Resources:* Excluded from limitation: Livestock or property owned by child solely for the purpose of participating in a group or school activity, with profits reserved for education.
- Income:* In determining need, or amount of payment, State disregards: Earned income (for applicant families who are otherwise eligible and for families receiving assistance) - all earned income of each dependent child receiving AFDC if he is a full-time student or is a part-time student who is not a full-time employee.

VOCATIONAL REHABILITATION

Regulations governing eligibility in the Vocational Rehabilitation Program state: "No upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual who otherwise meets the basic eligibility requirements" (45 CFR 1361.33(a)(1)). The matrix on state programs includes information provided by the states which more specifically describes their policies regarding age. Citations from each state document are given.

AGE-RELATED PROVISIONS: VOCATIONAL REHABILITATION

45 CFR 1361.33(a)(1)

(a) *General provisions.* (1) The State plan shall provide that eligibility requirements will be applied by the State agency without regard to sex, race, age, creed, color, or national origin of the individual applying for service. The State plan shall further provide that no group of individuals will be excluded or found ineligible solely on the basis of type of disability. With respect to age, the State plan shall specify that no upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual who otherwise meets the basic eligibility requirements specified in paragraph (b) of this section.

PROGRAM REGULATION GUIDE FOR ELIGIBILITY, INELIGIBILITY AND CERTIFICATIONS (RSA-PRG-77-4, January 19, 1977)

1507.07 E. Age

"No upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual who otherwise meets the basic eligibility requirements (section 1361.33(a) of the Regulations). A person who is otherwise eligible as a client in the vocational rehabilitation program will not be excluded because of failure to fall within a specified age range. Section 7(6) of the Rehabilitation Act of 1973, as amended, and section 1361.33 (b) of the Regulations make it clear, however, that the Act is directed to the rehabilitation of handicapped individuals for employment.

On the question of how young a handicapped individual may be and still meet the eligibility requirement, obviously the circumstances will vary from case to case. There may be handicapped youngsters entering their teens or junior high school training for whom preparation for employment would be appropriate. In all cases it is necessary to recognize that some or all of the services necessary for rehabilitation may be the responsibility of other agencies.

and that vocational rehabilitation services under an individualized written rehabilitation program may not be appropriate until a later age. On the other hand, programs may overlap or the disabled young person may receive special services from one or more State agencies and at the same time require complementary services as a vocational rehabilitation client. Each individual determined eligible for vocational rehabilitation services or extended evaluation must have an individualized written rehabilitation program (section 1361.39 of the Regulations), specifying the vocational rehabilitation objectives and goals, and providing for a continuum of services to accomplish the client's vocational rehabilitation or to determine vocational rehabilitation potential."

45 CFR 1361.113

Vocational rehabilitation services to recipients of Social Security Disability Insurance (SSDI):

(a) "Disability Beneficiary" means a disabled individual who is entitled to benefits under section 223 of the Social Security Act (including disabled individuals serving a waiting period prior to such entitlement), a disabled individual age 18 or over who is entitled to child's insurance benefits under section 202(d) of the Social Security Act, or a disabled widow, widower, or surviving divorced wife under sections 202(e) and (f) of the Social Security Act.

AGE-RELATED PROVISIONS: VOCATIONAL REHABILITATION

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45 CFR 1361.120,123(a)

Vocational rehabilitation services to recipients of Supplemental Security Income (SSI):

1361.120 (a) Section 1615 of the Social Security Act provides for the referral of blind or disabled supplemental security income recipients who are under age 65 to the appropriate State agency administering the State plan for vocational rehabilitation services approved under the Rehabilitation Act of 1973 and for a periodic review of their need for and utilization of available vocational rehabilitation services. Individuals so referred must accept such vocational rehabilitation services as are made available, unless there is good cause to refuse. Authorization is provided to pay the State agency the costs incurred in the provision of such services to individuals so referred.

1361.123(a) "Supplemental security income recipient", or "recipient", as used in this subpart, means an individual who is receiving cash payments (or with respect to whom payments are made) under the supplemental security income program based on blindness or disability.

PROGRAM REGULATION GUIDE FOR SSI-VR OPERATING GUIDELINES (RSA-PRG-75-1, January 24, 1975)

3711 Background on Referrals to VR

Section 1615 of Title XVI provides for the referral of all disabled or blind recipients under age 65. Any recipient who refuses services which are offered, without good cause, will no longer be eligible for SSI cash payments. Provision is made for the Federal payment of the costs of providing services to such recipients, including the costs of administration.

"In implementing the referral provisions, it has been decided to use the social security disability insurance-vocational rehabilitation program (SSDI-VR) as a model. Thus, consideration of an individual's need for and ability to benefit from VR services will be accomplished by a procedure which begins with the application of VR screening and referral criteria by the State Disability Determination Services (DDS). Under the combined efforts of RSA, SSA, and the Committee on Social Security Relationships of the Council of State Administrators of Vocational Rehabilitation, the screening and referral criteria utilized at present has been reviewed and revised where necessary so that both SSDI and SSI applicants can be considered for VR referral under one set of guidelines. The major change in the screening procedure has been in the application of the screening table (Section 3713.02C). Individuals with three or more factors in the "good" or "excellent" columns may be referred. As in the SSDI-VR program, the screening and referral process applies without regard to the determination of the allowed or denied status of the applicant. Moreover, VR information is provided to applicants at the SSA district office in order to encourage self-referrals without regard to eligibility for SSI payments.

3713.02 National Guidelines for Screening and Referral

The screening and referral criteria guidelines are divided into three categories:

- (1) Automatic Screen-In:
 - Designed to identify certain cases which clearly reflect good potential for VR services.
- (2) Automatic Screen-Out:
 - Designed to identify certain cases which clearly are not suitable for VR services.
- (3) Grey-Area Screening Table:
 - Designed to provide basic factors which may be applied in deciding whether to refer a particular case which falls in the grey area between the first two categories.
 - A. Automatic Screen-In Criteria
 - The Disabled/Blind applicant age 15 or over (see Section 3713.03) who meets any of the following automatic screen-in criteria should be referred to the VR agencies.

AGE-RELATED PROVISIONS: VOCATIONAL REHABILITATION

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2. Applicants between the ages of 15 and 45, unless contraindicated by evidence such as items shown under the automatic screen-out criteria.

B. Automatic Screen-Out Criteria

Any disability/blindness applicant, other than one with a visual impairment, who meets any of the following criteria should not be referred.

5. Advanced age with such severe organ and system impairment that potential to adjust to or sustain work is doubtful;

6. An older worker with a significant impairment and either a sparse work record or a record of performing arduous unskilled labor for at least 35 years, having a marginal education with no transferable skill;

7. Applicant under age 15 unless the individual circumstances indicate a special readiness for beginning VR services (see Section 3713.03).

C. Grey-Area Screening Criteria (Screening Table)

1. General

The Screening Table is to be used only for those cases that do not meet the screen-in or screen-out criteria. The Screening Table lists factors for consideration with graduated vocational rehabilitation potential levels of "Excellent", "Good", and "Guarded" for each factor. When the Screening Table evaluation reveals three or more factors listed under the "Excellent" or "Good" columns, referral should be made unless there are specific contraindications to such referral. If the factors do not fall into the referral pattern but it is strongly felt that the individual has vocational rehabilitation potential, a referral should be made.

2. Screening Table

	<u>Excellent</u>	<u>Good</u>	<u>Guarded</u>
<u>Age</u>	Under 36	36-50	Over 50
<u>Academic Level or Equivalent</u>	12 and Up	8-11	Below 8
<u>Attitude Toward Work Motivation</u>	Positive	Passive	Negative
<u>Mental Aptitude</u>	High	Average	Limited
<u>Activity Level, Physical Limitations</u>	Minor	Moderate	Severe
<u>Medical Prognosis</u>	Favorable	Stable	Guarded
<u>Work History</u>	Stable	Fair	Poor
<u>Work Performed</u>	Light	Moderate	Arduous

Explanation of Factors:

Age - Chronological age;
Academic Level or Equivalent - Actual grade level achieved or equivalent;

Attitude Toward Work (Motivation) - General impressions of applicant's interest in working from file evidence, including work record, if any; applicant's statements about his desire to work; comments or observations by interviewers, physicians, or former employers about his attitude toward work;

Mental Aptitude - Consider any psychological reports, level of education or work attained and applicant's ability to comprehend and express himself as indicated by the report of the DO or DDS interview, or comments of others;

Activity Level, Physical Limitations - Evident from medical reports, vocational assessments, and observations;

Medical Prognosis - "Favorable" means a minor impairment or a moderate impairment which is expected to improve. "Stable" means moderate or severe impairment expected to remain at present level of severity. "Guarded" means moderate to severe impairment which is expected to be rapidly progressive;

Work History - Consider regularity and level of earnings from earnings record or other work record, if any;

Work Performed - Classifications as described by the Dictionary of Occupational Titles."

VOCATIONAL REHABILITATIONSTATEPROVISIONS RELATING TO AGEDepartment of Rehabilitation Policy:California

"There is no minimum age involved in determining eligibility. The general principle is that the client should be able to enter employment following the completion of vocational rehabilitation services."

". . . older applicants should not be accepted for services if they would be beyond employable age at completion of services," (Statement prepared for U.S. Commission on Civil Rights, San Francisco hearing, June 27-28, 1977)

Colorado

None

Florida

None

Illinois

None

Maine

None

MississippiB. Eligibility and Potentiality Requirements

3. A number of factors, in addition to the two general requirements (presence of a physical or mental disability, reasonable expectation that services benefit employability), will be considered in determining eligibility and acceptability. Some of the most important are the following:
 - a. Age: With respect to age, no upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual, who otherwise meets the basic eligibility requirements.
 - (1) Minimum - The minimum age for acceptance is such that by the completion of a continuous program of vocational rehabilitation services, the client will have reached the age of employability. In addition, the individual must be of such age that a vocational goal can reasonably be planned.

VOCATIONAL REHABILITATION

Mississippi (cont'd)

- (2) Maximum - A definite maximum age limit for cases acceptable for services is not established. The individual, regardless of age, may be accepted for service if his general physical or mental condition is such that he can become employable as a result of the service, and can be expected to remain in employment a sufficient length of time to justify the expenditures for his rehabilitation. Consideration must be given to the physician's medical diagnosis and prognosis relative to the general physical or mental condition of the applicant. (Manual of Policies, January 1976)

Missouri

General brochure on program, "Vocational Rehabilitation in Missouri: The Answers to Some Questions" contains section on age:

"Does Age Have a Bearing on Eligibility?"

There is no set age limitation. As a practical matter, though, persons served are those who are normally considered to be of an employable age. As a result, the great majority of Vocational Rehabilitation clients would fall in the age range of from 16 through 65."

Texas

II. Other Specific Criteria for Eligibility Imposed by Law and Administrative Decision of the Commissioner.

- B. There is no upper or lower age limit for Vocational Rehabilitation Services except when age becomes a significant factor in determining that there is a reasonable expectation of making the individual employable. If an individual under 16 years of age is accepted for Vocational Rehabilitation Services, it must be determined that the individual is to be of working age by the time the INRP has been completed. (Rehabilitation Services Manual, No. 02-4, February 1, 1977 (Rev.))

Washington

None

LEGAL SERVICES CORPORATION

Under the Legal Services Corporation's Statutes and Regulations each recipient of Corporation funds to operate a legal services program must establish a maximum annual income level for persons to be eligible for legal assistance. These maximum levels cannot (unless specifically authorized by the Corporation) exceed 125% of the official poverty threshold as defined by the Office of Management and Budget. These levels were published by the Corporation and appear in Eligibility Requirements of Legal Services Programs: Legal Services Corporation and Older Americans Act, Title III.

Income is the criterion upon which a decision can be made regarding a person's eligibility under the Corporation program. The Regulations state that when adopting guidelines for its program, in addition to income, a recipient shall consider other relevant factors "before determining eligibility". One of those factors is "age or physical infirmity of resident family members".

Several local grantee agencies examined in this study have established separate senior citizens programs for persons 60 or over. These programs receive funding from various sources, including Title III of the Older Americans Act, Title XX, city and county funds.

The Legal Services Corporation's local matrix displays the maximum income levels for each Legal Services Corporation program and the senior citizens programs. Additional information available on age-oriented policies of the programs is also included where appropriate.

AGE-RELATED PROVISIONS: LEGAL SERVICES CORPORATION

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45 CFR 1611.5(b)(5)

(b) In addition to income, a recipient shall consider other relevant factors before determining whether a person is eligible to receive legal assistance. Factors considered shall include:

(5) Age or physical infirmity of resident family members.

42 USC 2996r(b)(4)

No funds made available by the corporation under this title, either by grant or contract, may be used--

"(b) to provide legal assistance under this title to any unemancipated person of less than eighteen years of age, except (A) with the written request of one of such person's parents or guardians, (B) upon the request of a court of competent jurisdiction, (C) in child abuse cases, custody proceedings, persons in need of supervision (PINS) proceedings, or cases involving the initiation, continuation, or conditions of institutionalization, or (D) where necessary for the protection of such person for the purpose of securing, or preventing the loss of, benefits, or securing, or preventing the loss or imposition of, services under law in cases not involving the child's parent or guardian as a defendant respondent.

45 CFR 1614

1614.1 This part is designed to prevent improper interference in parent-child relationships, while permitting legal assistance when it is necessary to protect essential rights of a juvenile.

1614.2 As used in this part,

(a) "Guardian" means a person or institution lawfully appointed to protect the interests of a juvenile.

(b) "Institution" means any facility, public or private, providing a juvenile with shelter, care, education or other services.

(c) "Juvenile" means any person less than 18 years of age who is not emancipated under applicable law.

1614.3 Corporation funds may be used to provide legal assistance to a juvenile when authorized by this part.

1614.4

(a) Legal assistance may be provided to a juvenile

(1) When the written request of a parent or guardian of the juvenile is received; or

(a) At the request of an official or agent of a court of competent jurisdiction; but

(b) Legal assistance shall not be provided to a juvenile who is tried as an adult in a criminal proceedings, as defined in § 1613.2, unless required as part of an attorney's professional responsibilities, pursuant to § 1613.4, or § 1614.6

1614.5 Legal assistance may be provided to a juvenile without a request from a parent, guardian, or court in

(a) Cases, proceedings, or matters (1) Involving child abuse or neglect;

(2) To determine legal custody or guardianship of a juvenile;

(3) In which a court has jurisdiction by reason of a juvenile's alleged need for treatment, services, supervision or control, including but not limited to proceedings formally designed for persons in need of supervision (PIHS) under state law; or

(4) Involving the initiation, continuation, or conditions of institutionalization of a juvenile; or

(b) When no judicial action is commenced against the parent or non-institutional guardian of the juvenile, legal assistance may be provided

(1) To secure or prevent the loss of benefits or services, or

(2) To prevent the imposition of services against the will of the juvenile.

1614.6 If a criminal proceeding, as defined in § 1613.2, arises out of a case, proceeding, or matter with respect to which a juvenile has received assistance authorized by this part,

AGE-RELATED PROVISIONS:

LEGAL SERVICES CORPORATION

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an attorney should make a good faith effort, consistent with professional responsibility, to obtain approval of the court to withdraw from representation in the criminal proceeding, but may continue to provide representation unless relieved by the court.

1614.7 A recipient shall adopt policies designed to insure that Corporation funds are not used to relieve a governmental entity of its legal responsibility to provide compensated counsel to represent juveniles in particular categories of cases, matters, or proceedings.

45 CFR 1611.2

"Income" means actual current annual total cash receipts before taxes of all persons who are resident members of, and contribute to, the support of a family unit.

"Total cash receipts" includes regular payments from social security; public or private employee pensions,

LEGAL SERVICES CORPORATIONCalifornia

*San Francisco Neighborhood
Legal Assistance Foundation*

Maximum Income Levels (Gross Income)

<u>Family Size</u>	<u>Amount</u>
1 individual	\$3300
Married couple	4500

In addition, \$600 per dependent is provided when client is legally obligated and in fact supporting the dependent

Colorado

*Legal Aid Society of
Metropolitan Denver*

Maximum Income Levels are the same as those issued by the Legal Services Corporation under 45 CFR 1611.3(b), above.

A separate Senior Center program has been established to serve people 60 or older; same income eligibility requirements apply.

Florida

*Legal Services Corporation
of Greater Miami*

Maximum Income Levels (Net Income)

<u>Family Size</u>	<u>Amount</u>
1	\$2000
2	3700
3	4600
4	5500
5	6400
6	7300

For family units with more than six members, add \$900 for each additional member.

Senior Citizens Law Center, Revised Standards for Eligibility, set the following maximums:

<u>Family Size</u>	<u>Amount</u>
1	\$3500
2	4625
3	5750

(Note: These maximums are designed for those senior citizens only receiving social security benefits, in order to increase their income to the maximum allowed by the Corporation)

Illinois

*Legal Assistance Foundation
of Chicago*
(Program serves City of
Chicago)

Maximum Authorized Levels (Based on number of wage earners and family size; amounts are monthly).

<u>Family Size</u>	<u>No. of Wage Earners</u>	<u>One Wage Earner</u>	<u>Two Wage Earners</u>
1	\$ 204	\$ 256	--
2	274	330	\$ 400
3	330	385	456
4	385	439	511
5	439	495	565
6	499	551	622
7	557	605	677
8	605	661	731

For each additional family member, add \$56. To levels above, add rent which is paid per month; \$20 for each child over 12 years old; subtract \$15 for each child under 6, and compare to income.

(Note: The Board of Directors is currently considering a proposal to adopt the maximum income Levels issued by the Legal Services Corporation per 45 CFR 1611.3(b) in place of the above.)

Illinois (cont'd)

*Cook County Legal
Assistance Foundation
(Program serves Cook
County except for City
of Chicago)*

Maximum Income Levels

<u>Family Size</u>	<u>Amount</u>
1	\$ 3713
2	4913
3	6113
4	7313
5	8513
6	9713

For family units with more than 6 members, add \$960 for each additional member.

Maine

*Pine Tree Legal
Assistance
(Portland; Statewide
Program)*

Maximum Income Levels are the same as those issued by the Legal Services Corporation under
45 CFR 1611.3(b), above.

Mississippi

*Central Mississippi Legal
Services
(Jackson)*

Maximum Income Levels

<u>Family Size</u>	<u>Amount</u>
1	\$ 2970
2	3930
3	4890
4	5850
5	6810
6	7770

For each family over 6 members, add \$960 per year for each additional member.

Missouri

*Legal Aid Society of
the City and County of
St. Louis*

Eligibility Standards (Legal Aid Society)

<u>No. of Persons in Family</u>	<u>Net Yearly Income</u>
1	\$ 3,380
2	4,420
3	4,940
4	5,460
5	5,980
6	6,500
7	7,020
8	7,540
9	8,060
10	8,580

A unit of the program funded partially through Title XX serves people 60 or over or disabled whose income exceeds the Legal Aid Society eligibility standards but is within standards for state Title XX program.

Texas

East County Legal Aid Association
(San Antonio)

Maximum Income Levels: Persons Under 60

Persons under 60 years of age whose net income does not exceed the following limits are generally eligible for free legal services unless their eligibility is modified by other considerations set out further herein:

<u>Person(s)</u>	<u>Per Year</u>
1	\$2,460
2	3,060
3	3,660
4	4,260
5	4,860
6	5,460
7	6,060
8	6,660
9	7,260
10	7,860

No ceiling. Add \$25.00 per month per dependent.

Maximum Income Levels: Persons 60+

Persons 60 years of age and older and totally disabled persons whose net income does not exceed the following limits are generally eligible for free legal services unless their eligibility is modified by other considerations set out further herein:

<u>Person(s)</u>	<u>Per Week</u>	<u>Per Month</u>	<u>Per Year</u>
1	\$ 49.81	\$215.83	\$2,590.00
2	65.58	284.17	3,410.00

No ceiling. Add \$68.00 per month per dependent,

Texas (cont'd)

Memorandum on Eligibility Criteria (March 23, 1977) further states:

Food stamps will not be included in arriving at net income. Payments by working mothers for child care to a licensed agency or someone outside the home will be deducted from income up to a maximum limit as set by the Legal Aid from time to time.

Legal Aid may take into consideration the following additional factors in determining eligibility and the acceptance or rejection of a case may be influenced by one or more of the following:

1. Current employment status and possible future gainful employment.
2. Age and general health.
3. Health and educational opportunities of dependents which may cause unusual expenditures (mental retardation, etc.).
4. Probable cost of retaining legal counsel.
5. Other assets or property or interest in either.
6. Amount of indebtedness and the nature of the goods for which the indebtedness was incurred.

Legal Aid reserves the right to set its priorities in order to insure that persons least able to afford legal assistance are given preference. Legal Aid may include or exclude certain income, property and expenses as it deems necessary from time to time in furtherance of this policy.

Washington

*Evergreen Legal Services
Corporation
(Serves entire State)*

Eligibility for Seattle and King County

1) Legal Services Corporation Program:

One individual: \$2500 annual income plus \$750 per person in family

2) Senior Citizens Legal Services Project:

(a) 60 or over

(b) maximum annual income: \$4000 for single person, \$4000 couple (only one person must be 60+)

(c) \$4000* in liquid assets (excluding value of home)

(d) own home

*Will be changed to \$5400

Evergreen is a state-wide program. Each grantee agency sets its own eligibility standards. There are slight revisions in eligibility established by grantees in other parts of the State for these two programs.

ELIGIBILITY REQUIREMENTS OF LEGAL SERVICES PROGRAMS:
LEGAL SERVICES CORPORATION AND OLDER AMERICANS ACT, TITLE III

October 21, 1977

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ELIGIBILITY REQUIREMENTS OF LEGAL SERVICES PROGRAMS;
LEGAL SERVICES CORPORATION AND OLDER AMERICANS ACT, TITLE III

This matrix compares the federal eligibility requirements of legal services programs conducted under the Legal Services Corporation Act and Title III of the Older Americans Act, Grants for State and Community Programs on Aging. The text of Statutes and Regulations are given according to the categories of eligibility described in the introduction to the matrix "Eligibility Requirements of Ten Federal Health and Social Service Programs".

The Legal Services Corporation was established by Congress in 1974. It is an independent non-profit corporation which provides financial support to local programs which offer legal assistance in non-criminal proceedings to low-income persons.

Title III, Grants for State and Community Programs on Aging, authorizes states to establish a single state agency to develop a state plan. Under the plan, local area offices on aging provide funds for social service programs in their localities which serve older persons. The legal services portion of Title III is not a separate program; it is one of the social services authorized under the Act. Citations for legal services appear under the Age category in the matrix.

PERSONAL CHARACTERISTICS: AGE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>45 CFR 1611.5(b)(5)</p> <p>(b) In addition to income, a recipient shall consider other relevant factors before determining whether a person is eligible to receive legal assistance. Factors considered shall include:</p> <p>(5) Age or physical infirmity of resident family members.</p> <hr/> <p>42 USC 2996r(b)(4)</p> <p>No funds made available by the corporation under this title, either by grant or contract, may be used--</p> <p>"(4) to provide legal assistance under this title to any unemancipated person of less than eighteen years of age, except (A) with the written request of one of such person's parents or guardians, (B) upon the request of a court of competent jurisdiction, (C) in child abuse cases, custody proceedings, persons in need of supervision (PINS) proceedings, or cases involving the initiation, continuation, or conditions of institutionalization, or (D) where necessary for the protection of such person for the purpose of securing, or preventing the loss of, benefits, or securing, or preventing the loss or imposition of, services under law in cases not involving the child's parent or guardian as a dependant respondent.</p> <p>-----</p> <p>45 CFR 1614</p> <p>1614.1 This part is designed to prevent improper interference in parent-child relationships, while permitting legal assistance when it is necessary to protect essential rights of a juvenile.</p> <p>1614.2 As used in this part, (a) "Guardian" means a person or institution lawfully appointed to protect the interests of a juvenile.</p>	<p>42 USC 3021 (Purpose):</p> <p>It is the purpose of this title to encourage and assist State and local agencies to concentrate resources in order to develop greater capacity and foster the development of comprehensive and coordinated service systems to serve older persons by entering into new cooperative arrangements with each other and with providers of social services for planning for the provision of, and providing, social services and, where necessary, to reorganize or reassign functions, in order to--</p> <p>(1) secure and maintain maximum independence and dignity in a home environment for older persons capable of self-care with appropriate supportive services; and</p> <p>(2) remove individual and social barriers to economic and personal independence for older persons.</p> <p>NOTE: While there is no specific age reference, statutory provisions on allotment of funds and establishment of planning and service areas cite aged sixty or over.</p> <p>42 USC 3023(b)(1)(2)</p> <p>(b)(1) From the sums appropriated for the fiscal year ending June 30, 1973, under subsection (a) of this section, (A) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted an amount equal to one-fourth of 1 per centum of such sum, (B) each other State shall be allotted an amount equal to one-half of 1 per centum of such sum, and (C) from the remainder of the sum so appropriated, each State shall be allotted an additional amount which bears the same ratio to such remainder as the population aged sixty or over in such State bears to the population aged sixty or over in all States.</p> <p>(2) Subject to the provisions of paragraph (3), from the sums appropriated for the fiscal year ending June 30, 1974, for the fiscal year ending June 30, 1975, for the fiscal year ending June 30, 1976, the period beginning July 1, 1976, and ending</p>

PERSONAL CHARACTERISTICS: AGE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>(b) "Institution means any facility, public or private, providing a juvenile with shelter, care, education or other services.</p> <p>(c) "Juvenile" means any person less than 18 years of age who is not emancipated under applicable law.</p> <p>1614.3 Corporation funds may be used to provide legal assistance to a juvenile when authorized by this part.</p> <p>1614.4</p> <p>(a) Legal assistance may be provided to a juvenile</p> <p>(1) When the written request of a parent or guardian of the juvenile is received; or</p> <p>(a) At the request of an official or agent of a court of competent jurisdiction; but</p> <p>(b) Legal assistance shall not be provided to a juvenile who is tried as an adult in a criminal proceedings, as defined in § 1613.2, unless required as part of an attorney's professional responsibilities, pursuant to § 1613.4 or § 1614.6</p> <p>1614.5 Legal assistance may be provided to a juvenile without a request from a parent, guardian, or court in</p> <p>(a) Cases, proceedings, or matters</p> <p>(1) Involving child abuse or neglect;</p> <p>(2) To determine legal custody or guardianship of a juvenile;</p> <p>(3) In which a court has jurisdiction by reason of a juvenile's alleged need for treatment, services, supervision or control, including but not limited to proceedings formally designed for persons in need of supervision (PINS) under state law; or</p> <p>(4) Involving the initiation, continuation, or conditions of institutionalization of a juvenile; or</p> <p>(b) When no judicial action is commenced against the parent or non-institutional guardian of the juvenile, legal assistance may be provided</p> <p>(1) To secure or prevent the loss of benefits or services, or</p>	<p>September 30, 1976, and for the fiscal years ending September 30, 1977, and 1978, each State shall be allotted an amount which bears the same ratio to such sums as the population aged sixty or over in such State bears to the population aged sixty or over in all States, except that (A) no State shall be allotted less than one-half of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; (B) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted no less than one-fourth of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; and (C) no State shall be allotted an amount less than that State received for the fiscal year ending June 30, 1973. For the purpose of the exception contained in clause (A) of this paragraph only, the term "State" does not include Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands.</p> <p>See also: 42 USC 3026(b)(1)</p> <p>42 USC 3024(a)</p> <p>(a) In order for a State to be eligible to participate in the programs of grants to States from allotments under section 3023 and section 3026--</p> <p>(1) the State shall, in accordance with regulations of the Commissioner, designate a State agency as the sole State agency (hereinafter in this title referred to as "the State agency") to: (A) develop the State plan to be submitted to the Commissioner for approval under section 3025, (B) administer the State plan within such State, (C) be primarily responsible for the coordination of all State activities related to the purposes of this Act, (D) review and comment on, at the request of any Federal department or agency, any application from any agency or organization within such State to such Federal department or agency for assistance related to meeting the needs of older persons; and (E) divide the entire State</p>

PERSONAL CHARACTERISTICS: AGE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>(2) To prevent the imposition of services against the will of the juvenile.</p> <p>1614.6 If a criminal proceeding, as defined in § 1613.2, arises out of a case, proceeding, or matter with respect to which a juvenile has received assistance authorized by this part, an attorney should make a good faith effort, consistent with professional responsibility, to obtain approval of the court to withdraw from representation in the criminal proceeding, but may continue to provide representation unless relieved by the court.</p> <p>1614.7 A recipient shall adopt policies designed to insure that Corporation funds are not used to relieve a governmental entity of its legal responsibility to provide compensated counsel to represent juveniles in particular categories of cases, matters, or proceedings.</p>	<p>into distinct areas (hereinafter in this title referred to as "planning and service areas"), in accordance with regulations of the Commissioner, after considering the geographical distribution of individuals aged sixty and older in the State, the incidence of the need for social services (including the numbers of older persons with low incomes residing in such areas), the distribution of resources available to provide such services, the boundaries of existing areas within the State which were drawn for the planning or administration of social services programs, the location of units of general purpose local government within the State, and any other relevant factors: <i>Provided</i>, That any unit of general purpose local government which has a population aged sixty or over of fifty thousand or more or which contain 15 per centum or more of the State's population aged sixty or over shall be designated as a planning and service area; except that the State may designate as a planning and service area, any region within the State recognized for purposes of areawide planning, which includes one or more such units of general purpose local government when the State determines that the designation of such a regional planning and service area is necessary for, and will enhance, the effective administration of the programs authorized by this title, the State may include in any planning and service area designated pursuant to this provision such additional areas adjacent to the unit of general purpose local government or region so designated as the State determines to be necessary for, and will enhance, the effective administration of the programs authorized by this title;</p> <hr/> <p>The legal services portion of Title III is not a separate program. Legal Services are established under the following portions of the Act and Regulations.</p>

PERSONAL CHARACTERISTICS: AGE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
	<p>42 USC 3022 (1)(F)</p> <p>For purposes of this title-- (1) The term "social services" means any of the following services which meet such standards as the Commissioner may prescribe: (F) services designed to provide legal and other counseling services and assistance, including tax counseling and assistance and financial counseling, to older persons;</p> <p>45 CFR 903.2(g)(11)</p> <p>(g) For the purposes of this part, the term "social services" means only the following services: (11) Legal services which provide legal advice and counseling to older persons in matters of importance to the individual, including serving as an advocate of older persons who have consumer problems;</p> <hr/> <p>42 USC 3025(a)(10), (b)</p> <p>(a) In order for a State to be eligible for grants for a fiscal year from its allotments under section 3023 and section 3026, except as provided in section 3027 (a), it shall submit to the Commissioner a State plan for such year which meets such criteria as the Commissioner may prescribe by regulation and which-- (10) provides assurances in such form as the Commissioner shall prescribe that of the funds allotted to the State under section 3023(b) in any fiscal year to carry out the State plan, not less than 50 per centum of the amount of which such allotment exceeds the allotment made for the same purpose in the fiscal year ending June 30, 1975, shall be used for the purposes set forth in section 3025(b), except with respect to any State which provides assurances found satisfactory by the Commissioner that at least 33 1/3 per centum of the total amount allotted to the State under section 3023(b) to carry out the State plan in any fiscal year shall be used for the purposes set forth in sec-</p>

PERSONAL CHARACTERISTICS: AGE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
	<p>tion 3025(b), but in no case shall less than 20 per centum of the funds allotted to any State under section 3023(b) to carry out the State plan in any fiscal year beginning after September 30, 1976, be used for the purposes set forth in section 3025(b).</p> <p>(b) Every State plan shall provide for the establishment or maintenance of programs (including related training) for the provision of some or all of the following services designed to assist older persons in leading independent lives and avoiding unnecessary institutionalization:</p> <p>(1) Transportation services.</p> <p>(2) Home services, including homemaker services, home health services, shopping services, escort services, reader services, letter writing services, and other services designed to assist such persons to continue living independently in a home environment.</p> <p>(3) Legal and other counseling services and assistance programs, including tax counseling and assistance and financial counseling, for older persons.</p> <p>(4) Residential repair and renovation programs designed to enable older persons to maintain their homes in conformity with minimum housing standards or to adapt homes to meet the needs of elderly persons suffering from physical disabilities.</p>

PERSONAL CHARACTERISTICS: EMPLOYMENT

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>42 USC 2996f(a)(2)(B)(iv)</p> <p>With respect to grants or contracts in connection with the provision of legal assistance to eligible clients under this title, the corporation shall--</p> <p>(B) establish guidelines to insure that eligibility of clients will be determined by recipients on the basis of factors which include--</p> <p>(iv) such other factors as relate to financial inability to afford legal assistance, which shall include evidence of a prior determination, which shall be a disqualifying factor, that such individual's lack of income results from refusal or unwillingness, without good cause, to seek or accept an employment situation;</p> <p>-----</p> <p>45 CFR 1611.5(c)</p> <p>(c) Evidence of a prior administrative or judicial determination that a person's present lack of income results from refusal or unwillingness, without good cause, to seek or accept suitable employment, shall disqualify the person from receiving legal assistance under the Act. This paragraph does not bar provision of legal assistance to an otherwise eligible person who seeks representation in order to challenge the prior determination.</p> <hr/> <p>45 CFR 1611.5(b)(4)</p> <p>(b) In addition to income, a recipient shall consider other relevant factors before determining whether a person is eligible to receive legal assistance. Factors considered shall include--</p> <p>(4) child care, transportation, and other expenses necessary for employment.</p>	

PERSONAL CHARACTERISTICS: DISABILITY

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>45 CFR 1611.5(b)(5)</p> <p>(b) In addition to income, a recipient shall consider other relevant factors before determining whether a person is eligible to receive legal assistance. Factors considered shall include:</p> <p>(5) Age or physical infirmity of resident family members.</p>	<p>45 CFR 903.1(d)(1)(4)</p> <p>(d) In order to achieve this purpose, the resources made available under title III shall be used to:</p> <p>(1) Provide for the development and implementation by designated State and area agencies, in conjunction with other planners and service providers, and older consumers of service, of State and area plans which set forth specific program objectives and priorities for meeting the needs of the elderly with special attention being given to the needs of low income and minority older persons, and the older physically and mentally disabled;</p> <p>(4) Make existing social services more accessible to older persons in need through the development and support of services such as transportation, outreach, information and referral and escort which can increase the ability of older persons, including the older physically and mentally disabled, to obtain other social services;</p>

PERSONAL CHARACTERISTICS: INSTITUTIONAL RESIDENCE

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
	<p data-bbox="846 409 960 427">45 CFR 903.1(c)</p> <p data-bbox="846 435 1162 469">(c) The goals of the comprehensive and coordinated service systems are to:</p> <p data-bbox="846 469 1176 538">(1) Secure and maintain maximum independence and dignity in a home environment for older persons capable of self-care with appropriate supportive services; and</p> <p data-bbox="846 538 1162 623">(2) Remove individual and social barriers to economic and personal independence for older persons, including the provision of opportunities for employment and volunteer activities in the communities where older persons live.</p>

PERSONAL CHARACTERISTICS: RECIPIENTS OF OTHER
PROGRAM BENEFITS

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>45 CFR 1611.2</p> <p>"Governmental income maintenance program" means Aid for Dependent Children, Supplemental Security Income, Unemployment Compensation, and a state or county general assistance or home relief program.</p> <p>"Governmental program for the poor" means any federal, state or local program that provides benefits of any kind to persons whose eligibility is determined on the basis of financial need.</p> <p>-----</p> <p>45 CFR 1611.4 (b, c)</p> <p>A person whose income exceeds the maximum income level established by a recipient may be provided legal assistance under the Act if:</p> <p>(b) The person is seeking legal assistance to secure benefits provided by a governmental program for the poor; or</p> <p>(c) The person would be eligible but for receipt of benefits from a governmental income maintenance program.</p> <hr/> <p>45 CFR 1611.3(e)</p> <p>(e) This Part does not prohibit a recipient from providing legal assistance to a client whose annual income exceeds the maximum income level established here, if the assistance provided the client is supported by funds from a source other than the Corporation.</p> <hr/>	<p>45 CFR 903.1(f, g)</p> <p>(f) Funds made available under this part may be used to provide social services only when it has been clearly shown that:</p> <p>(1) The social services are needed and are not already available; and</p> <p>(2) No other public or private agency can or will provide the social services.</p> <p>(g) Agencies providing services to older persons under this part must seek reimbursement for the costs of providing the services when a third party (including a government agency) is authorized or is under legal obligation to pay such costs.</p>

PERSONAL CHARACTERISTICS: OTHERS

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>42 USC 2996r(a)(2)(c)</p> <p>The Corporation shall— (C) establish priorities to insure that persons least able to afford legal assistance are given preference in the furnishing of such assistance.</p> <p>45 CFR 1611.1</p> <p>This Part is designed to insure that a recipient will determine eligibility according to criteria that give preference to the legal needs of those least able to obtain legal assistance, and afford sufficient latitude for a recipient to consider local circumstances and its own resource limitations. The Part also seeks to insure that eligibility is determined in a manner conducive to development of an effective attorney-client relationship.</p> <p>45 CFR 1611.5 (b, d)</p> <p>(b) In addition to income, a recipient shall consider other relevant factors before determining whether a person is eligible to receive legal assistance. Factors considered shall include:</p> <ol style="list-style-type: none"> (1) Current income prospects, taking into account seasonal variations in income; (2) Liquid net assets; (3) Fixed debts and obligations, including federal and local taxes, and medical expenses; (4) Child care, transportation, and other expenses necessary for employment; (5) Age or physical infirmity of resident family members. (6) The cost of obtaining private legal representation with respect to the particular matter in which assistance is sought; (7) The consequences for the individual if legal assistance is denied; and (8) Other factors related to financial inability to afford legal assistance. <p>(d) A recipient may provide legal assistance to a group, corporation, or association if it:</p> <ol style="list-style-type: none"> (1) Is primarily composed of persons eligible for legal assistance under the Act, or 	<p>45 CFR 903.1(d)(1)</p> <p>(d) In order to achieve this purpose, the resources made available under title III shall be used to:</p> <ol style="list-style-type: none"> (1) Provide for the development and implementation by designated State and area agencies, in conjunction with other planners and service providers, and older consumers of service, of State and area plans which set forth specific program objectives and priorities for meeting the needs of the elderly with special attention being given to the needs of low income and minority older persons, and the older physically and mentally disabled; <p>45 CFR 903(d)</p> <p>(d) The term "low income" is described in § 903.48(c).</p> <p>45 CFR 903.48(c)</p> <p>(c) The State plan shall provide that in the conduct of the title III program in the State, the term "low income" refers to those incomes which are below the current Department of Commerce, Bureau of Census poverty threshold. The State plan may, however, provide for a modification of this definition when the State agency can demonstrate with relevant data that special factors affecting the economic status of older persons in the State require such modification. The modified definition shall be set forth in the State plan. Approval of the modified definition will be in the form of approval of the State plan each fiscal year.</p> <p>45 CFR 903.2(e)</p> <p>(e) The term "minority" means those persons who identify themselves as American Indian, Negro, Oriental, or Spanish language, and members of any additional limited English-speaking groups designated as minority within the State by the State agency.</p>

PERSONAL CHARACTERISTICS: OTHERS

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>(2) Has as its primary purpose furtherance of the interests of persons in the community unable to afford legal assistance, and</p> <p>(3) Provides information showing that it lacks, and has no practical means of obtaining, funds to retain private counsel.</p> <p>45 CFR 1611.6</p> <p>(a) A recipient shall adopt a simple form and procedure to obtain information to determine eligibility in a manner that promotes the development of trust between attorney and client. The form and procedure adopted shall be subject to approval by the Corporation, and the information obtained shall be preserved, in a manner that protects the identify of the client, for audit by the Corporation.</p> <p>(b) If there is substantial reason to doubt the accuracy of the information, a recipient shall make appropriate inquiry to verify it, in a manner consistent with an attorney-client relationship.</p> <p>(c) Information furnished to a recipient by a client to establish financial eligibility shall not be disclosed to any person who is not employed by the recipient in a manner that permits identification of the client, without the express written consent of the client.</p> <p>45 CFR 1611.7</p> <p>If an eligible client becomes ineligible through a change in circumstances, a recipient shall discontinue representation if the change in circumstances is sufficiently likely to continue for the client to afford private legal assistance, and discontinuation is not inconsistent with the attorney's professional responsibilities.</p>	

INCOME

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>42 USC 2996f(a)(2)(A)(Bi-111)</p> <p>With respect to grants or contracts in connection with the provision of legal assistance to eligible clients under this title, the Corporation shall--</p> <p>(2)(A) establish in consultation with the Director of the Office of Management and Budget and with the Governors of the several States, maximum income levels (taking into account family size, urban and rural differences, and substantial cost-of-living variations) for individuals eligible for legal assistance under this title;</p> <p>(B) establish guidelines to insure that eligibility of clients will be determined by recipients on the basis of factors which include--</p> <p>(i) the liquid assets and income level of the client,</p> <p>(ii) the fixed debts, medical expenses, and other factors which affect the client's ability to pay,</p> <p>(iii) the cost of living in the locality.</p> <p>-----</p> <p>45 CFR 1611.2</p> <p>"Income" means actual current annual total cash receipts before taxes of all persons who are resident members of, and contribute to, the support of a family unit.</p> <p>"Total cash receipts" include money wages and salaries before any deductions, but do not include food or rent in lieu of wages. They include income from self-employment after deductions for business or farm expenses, they include regular payments from public assistance, social security, unemployment and worker's compensation, strike benefits from union funds, veterans benefits, training stipends, alimony, child support and military family allotments or other regular support from an absent family or someone not living in the household; public or private employee pensions, and regular insurance or annuity payments; income from dividends, interest, rents, royalties, or from estates and trusts. They do not include money withdrawn from a bank,</p>	

INCOME

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES
<p>or received from sale of real or personal property, or from tax refunds, gifts, one-time insurance payments or compensation for injury; nor do they include non-cash benefits.</p> <p>45 CFR 1611.3 (a-d)</p> <p>(a) Every recipient shall establish a maximum annual income level for persons to be eligible to receive legal assistance under the Act.</p> <p>(b) Unless specifically authorized by the Corporation, a recipient shall not establish a maximum annual income level that exceeds one hundred and twenty-five percent (125%) of one official poverty threshold as defined by the Office of Management and Budget.</p> <p>(c) Before establishing its maximum income level, a recipient shall consider relevant factors including:</p> <ol style="list-style-type: none"> (1) Cost-of-living in the locality; (2) The number of clients who can be served by the resources of the recipient; (3) The population who would be eligible at and below alternative income levels; and (4) The availability and cost of legal services provided by the private Bar in the area. <p>(d) Unless authorized by § 1611.4, no person whose income exceeds the maximum annual income level established by a recipient shall be eligible for legal assistance under the Act.</p> <p>45 CFR 1611.4</p> <p>A person whose income exceeds the maximum income level established by a recipient may be provided legal assistance under the Act if:</p> <ol style="list-style-type: none"> (a) The person's circumstances require that eligibility should be allowed on the basis of one or more of the factors set forth in § 1611.5(b); or (b) The person is seeking legal assistance to secure benefits provided by a governmental program for the poor; or 	

INCOME

LEGAL SERVICES	OLDER AMERICANS ACT: TITLE III LEGAL SERVICES																												
<p>(c) The person would be eligible but for receipt of benefits from a governmental income maintenance program.</p> <p>45 CFR 1611.5(a)</p> <p>(a) The governing body of a recipient shall adopt guidelines, consistent with these regulations, for determining the eligibility of persons seeking legal assistance under the Act. At least once a year, guidelines shall be reviewed and appropriate adjustments made.</p> <p>MAXIMUM INCOME LEVELS AUTHORIZED BY THE LEGAL SERVICES CORPORATION REGULATION 1611.3(B)</p> <p>FOR ALL STATES EXCEPT ALASKA AND HAWAII</p> <table data-bbox="467 864 715 1010"> <thead> <tr> <th><u>Size of Family Unit</u></th> <th><u>Amount</u></th> </tr> </thead> <tbody> <tr><td>1</td><td>\$ 3,713</td></tr> <tr><td>2</td><td>4,913</td></tr> <tr><td>3</td><td>6,113</td></tr> <tr><td>4</td><td>7,313</td></tr> <tr><td>5</td><td>8,513</td></tr> <tr><td>6</td><td>9,713</td></tr> </tbody> </table> <p>For Family Units with more than six members, add \$1200 for each additional member.</p> <p>POVERTY GUIDELINES FOR ALASKA</p> <table data-bbox="467 1114 715 1260"> <thead> <tr> <th><u>Size of Family Unit</u></th> <th><u>Amount</u></th> </tr> </thead> <tbody> <tr><td>1</td><td>\$ 4,650</td></tr> <tr><td>2</td><td>6,150</td></tr> <tr><td>3</td><td>7,650</td></tr> <tr><td>4</td><td>9,150</td></tr> <tr><td>5</td><td>10,650</td></tr> <tr><td>6</td><td>12,150</td></tr> </tbody> </table>	<u>Size of Family Unit</u>	<u>Amount</u>	1	\$ 3,713	2	4,913	3	6,113	4	7,313	5	8,513	6	9,713	<u>Size of Family Unit</u>	<u>Amount</u>	1	\$ 4,650	2	6,150	3	7,650	4	9,150	5	10,650	6	12,150	
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ELIGIBILITY REQUIREMENTS OF TEN FEDERAL HEALTH AND SOCIAL SERVICES PROGRAMS

October 21, 1977

ELIGIBILITY REQUIREMENTS OF TEN FEDERAL HEALTH AND SOCIAL SERVICES PROGRAMS

At its California hearing, the Commission on Civil Rights Age Discrimination Study was asked to develop a matrix displaying the federal eligibility rules for ten programs:

- Supplemental Security Income (SSI)
- Aid to Families with Dependent Children (AFDC)
- Food Stamps (1964 Act, as amended through 1976, and P.L. 95-113, 1977)
- Medicare (Title XVIII)
- Medicaid (Title XIX)
- Vocational Rehabilitation
- Social Services (Title XX)
- Older Americans Act, Title III
- Older Americans Act, Title VII
- Older Americans Act, Title IX

The eligibility rules were to be drawn from Federal Statutes, Regulations, and administrative guidelines.

The eligibility requirements of ten federal health and social services programs in effect as of September 30, 1977 are presented in the matrix which follows this introduction. The texts of Federal Statutes and Regulations are displayed for all programs; program guidelines which elaborate on them are also provided where appropriate. Four of the programs in the matrix were examined in depth by the Age Discrimination Study.

Programs Included in the Matrix

The matrix covers the following federal programs:

Supplemental Security Income (SSI): Under Title XVI of the Social Security Act, SSI gives cash assistance to people in financial need who are 65 or older and to people in need at any age who are blind or disabled. Eligibility is determined for people 65 or over on the basis of monthly income and resources. To be considered disabled, a person must be unable to engage in substantial gainful work because of a physical or mental impairment which has lasted (or is expected to last) for at least 12 months or which can be expected to result in death. There are specific definitions of blindness.

There are no restrictions on the use of benefits received by beneficiaries. In most states, a person who is eligible for SSI is also eligible for Medicaid and social services provided by the state under Title XX.

Aid to Families with Dependent Children (AFDC): Under the AFDC Statutes and Regulations, general standards for state administration and the federal financial share to states for aid to families with dependent children are established. Federal funds go to a certified state welfare agency, which must operate under an HEW-approved state plan. Beneficiaries of assistance are needy families with dependent children deprived of parental support or care, and families with children needing emergency welfare assistance. Payments are also made for care of specified children in foster homes or institutions. States also have the option of including families with an unemployed father in their state plan. Individuals must meet state eligibility requirements.

Cash payments by states are made directly to eligible needy families with dependent children to cover costs for food, shelter, clothing, and other items of daily living recognized as necessary by each state's program.

Food Stamps. The Food Stamp Act of 1964, as amended (P.L. 88-525), is designed "to permit low income households to purchase a nutritionally adequate diet through normal channels of trade." Under the program, families buy a certain amount of food stamps or "coupons" which then may be redeemed for more than they cost. Eligibility for the allotment of stamps is set at the federal level and applied nationally. It is based on income and resources of households as defined in the Act.

The program is operated on a state basis, with plans approved by the Department of Agriculture, and is conducted through normal channels of trade. The coupons may be used in participating retail stores to buy any food for human consumption and garden seeds and plants to produce food for personal consumption of eligible households. Food coupons may be used by certain elderly persons who cannot prepare their own meals to pay for meals delivered to them in their homes by authorized nonprofit meal delivery services. Elderly persons may also use food coupons to purchase meals in establishments providing communal dining for the elderly. Drug addicts and alcoholics who are participating in approved rehabilitation programs may use food coupons to purchase meals prepared under the program.

The Food Stamp Act was amended significantly in 1977. The text of P.L. 95-113, signed into law in September 1977, is included in this matrix along with the old program described above which was in effect during the field site visits of the Age Discrimination Study.

Medicare. Under Title XVIII of the Social Security Act, a health insurance program is available to persons 65 and older and certain disabled people under 65. The program has two parts: Part A, hospital insurance, which covers an individual's inpatient hospital care and certain followup care after leaving the hospital; and Part B, medical insurance, which covers doctor's services, outpatient hospital services, and other medical items and services not covered under hospital insurance. Hospital insurance is financed by contributions from employees, their employers, and self-employed people. Medical insurance is financed by the monthly premiums paid by people who choose to enroll in it and by the federal government.

Medicaid. Title XIX of the Social Security Act provides for a program of medical assistance for certain low-income individuals and families. Medicaid is financed jointly with state and federal funds, with the current federal contribution to the cost of the program ranging from 50 percent to 78 percent. It is basically administered by each state within certain broad federal requirements and guidelines.

Medicaid is designed to provide medical assistance to those groups or categories of people ("categorically needy") who are eligible to receive cash payments under one of the existing welfare programs established under the Social Security Act; that is, Title IV, the program of Aid to Families with Dependent Children (AFDC), or Title XVI, the Supplemental Security Income (SSI) program for the aged, blind and disabled. In general, receipt of a welfare payment under one of these programs means automatic eligibility for Medicaid (although since 1974, when the welfare programs for the aged, blind and disabled were federalized as the SSI program, states may exclude some of these SSI cash assistance recipients from automatic Medicaid eligibility if they are eligible only because the standards for the federal program are more liberal than those previously utilized by the state).

In addition, states may provide Medicaid to the "medically needy", that is, to people who fit into one of the categories of people covered by the cash welfare programs (aged, blind, or disabled individuals, or members of families with dependent children when one parent is absent, incapacitated or unemployed), who have enough income and/or resources to pay for their basic living expenses (and so are not recipients of welfare) but not enough to pay for their medical care. Eligibility is determined by applying the excess income to incurred medical expenses, in order to "spend down" to a specified medically needy level. Remaining expenses are subject to Medicaid coverage.

In the matrix, Statutes and Regulations are arranged according to their applicability to the "categorically needy" or "medically needy". Placement in the middle of the program chart indicates applicability to both.

Vocational Rehabilitation. The Rehabilitation Services Act of 1973 authorizes single state agencies to administer a vocational rehabilitation program of services to persons with mental and physical handicaps. Eligibility is based on the presence of a physical or mental disability, the existence of a substantial handicap to employment, and a reasonable expectation that vocational rehabilitation services may render the individual fit to engage in a gainful occupation. Federal and state funds are used to cover the costs of providing rehabilitation services such as diagnosis, comprehensive evaluation, counseling, training and employment placement.

Title XX. Title XX of the Social Security Act is the most recent of federal financial assistance programs to enable states to provide social services to low-income individuals and families. Legislation enacted in 1974 replaced previous categorical service programs. Title XX funds are to be used for social service programs directed at the following goals:

1. Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
2. Achieving or maintaining self-sufficiency, including reduction or prevention of dependency; ,

3. Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest, or preserving, rehabilitating, or reuniting families;
4. Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care;
5. Securing referral or admissions for institutional care when other forms of care are not appropriate, or providing services to individuals in institutions.

A minimum of 50 percent of a state's aggregate expenditures must provide services to recipients of AFDC, Medicaid, SSI benefits or State Supplemental Payments. Persons with a monthly gross income exceeding 115 percent of the median income of a family of four in the state, adjusted for family size, are ineligible for services other than Information and Referral or Protective Services for Children and Adults. There is a \$2.5 billion ceiling on all federal funds for the program, allocated to the states on a population basis. Each state then has its own ceiling, to which is applied their general matching requirement of 25 percent for the state's share of service costs. States are required to prepare a Comprehensive Annual Services Program (CASP) plan which describes the services they plan to provide, where and how they will be provided, what their scope will be, and the categories of individuals to whom they will be available.

Older Americans Act. Three titles of this Act are included in the matrix.

1. Title III, Grants for State and Community Programs on Aging, authorizes states to establish a single state agency to develop a state plan. Under the plan, local area offices on aging provide funds for social service programs in their localities which serve older persons. Examples of the comprehensive social services which can be provided are: information and referral; legal assistance; transportation to facilitate access to social services; health related services which identify health needs of older individuals, and assist them to obtain them under Medicare, Medicaid or other health services programs; and housing assistance, technical help (not financial) to assist people to obtain adequate housing.

2. Title VII, Nutrition Program for the Elderly, provides state agencies with funds for projects giving low income persons aged sixty or over low cost, nutritionally sound meals. These meals are served in schools, churches, community centers, senior citizen centers, and other public or private facilities where individuals can obtain other social and rehabilitation services. Local projects may establish fee schedules for the meals based on income, but eligible individuals pay only on a voluntary basis. In addition to promoting better health, the program is also aimed at reducing the isolation of the elderly.
3. Title IX, Older American Community Service Employment Program, provides project grants or contracts to organizations which use the funds to create and pay for part-time community service jobs for economically disadvantaged persons aged 55 and above. The individuals who are employed may be placed in work assignments at established local service agencies (e.g., school, hospitals, day care centers, park systems, etc.) or may be given work assignments in connection with newly formed or innovative community service projects. A portion of project funds may be used to provide participants with training, counseling, and other supportive services. No more than 15 percent of the Federal share of the project costs may be spent for administration. Participants may not be employed in projects involving political activities, sectarian activities, or involving work which would ordinarily be performed by the private sector; nor may participants displace any employed worker or perform work which impairs existing contracts for services. State agencies will soon become project sponsors in addition to national organizations.

Categories of Eligibility Requirements in the Matrix

Eligibility requirements for the programs have been grouped in several categories in order to facilitate an understanding of them and to display the relationship of one program to another. The three major categories in the order they appear are: Personal Characteristics, Income, and Resources.

Personal Characteristics

Statutes, Regulations, and program guidelines placed in this category pertain to those factors which define service delivery for a specific target group within a population. Members of that group share common characteristics, such as age, disability, or their eligibility for assistance under another federally financed program.

1. Age. Relevant citations are given when specific age is established as a pre-requisite for participation, when specified age groups must satisfy other requirements (i.e., work requirements), or when an age determination is expressly prohibited as an eligibility criterion.
2. Employment. If any requirements pertain to an individual's work history (past or present), they are cited in this section.
3. Disability. The presence of a disability often qualifies an individual for several of the programs. How that disability affects a person's eligibility is covered in this section.
4. Institutional Residence. Persons in the care of public or private residential institutions for services may or may not be eligible for certain types of assistance.
5. Receipt of Other Program Benefits. Individuals eligible for one type of assistance (e.g. AFDC) may automatically be eligible for another (e.g., Medicaid). In addition, receipt of assistance from a program (e.g., Food Stamps) is often specifically excluded in income determinations conducted under other programs. These inclusion and exclusion factors are presented in this section.
6. Others. Additional factors, such as citizenship or special limitations which do not fall under the above categories are included in this section. In addition, citations which elaborate on terms used earlier are also included here.

Income

All but one of the programs require information, or contain references to income as the basis for determining the "need" of the individual or household. The types of income considered vary with the program. They include, for example, total or household income; self-employment income; student earned income; retirement/disability benefits and compensation payments; and deductions. All income references of any type are included in the texts presented in this section.

Resources

Many of the programs apply a resources or assets test as part of their determination of need for cash assistance or social services. Examples of the factors considered include: cash on hand, checking and savings accounts, building and land, household goods, home, auto, insurance policies, cut-off level (maximum allowable assets), and promissory notes or mortgages. Often, income and resources are linked together in Statutes and Regulations.

The texts presented usually refer to eligibility requirements for individuals, families, or households. In certain instances it was necessary to include other references such as State plan requirements in order to present a complete analysis of the program. This is particularly the case in the sections for the Older Americans Act, especially Title III. The text includes pertinent citations about the application of Title III funds by organizational entities which receive them.

Guide to the Matrix

The following points will be of assistance in reviewing the text of the matrix:

1. Statutory references are printed in light faced type; regulatory citations in bold face.

2. The Statutes and Regulations which pertain to them have been grouped together. This is indicated by a broken line (- - -). A solid line (___) indicates that the Statutes and/or Regulations which follow cover another eligibility factor. Often only Regulations are given; this reflects the lack of a specific Statute in the Act being covered to which they can be attributed, or a cross reference to other statutory titles.
3. A blank column indicates that the particular eligibility category does not apply to the program.
4. Statutes and Regulations have been repeated in different categories when they apply. Often there will be references to previous citations in order to indicate their reference to a particular category.

* * * * *

A significant portion of the material in this matrix, and the program categories described above, is credited to the following report: SPAARS (Single Purpose Application with an Automatic Referral Service): The Legal Constraints Study. Submitted to the Mountain Plains Federal Regional Council by Irwin E. Kirk and Gordon M. Bourne, prepared by Lyn Krieger and Rita Carrizales, Colorado SPAARS, March 1977.

Personal Characteristics: AGE

SSI	AFDC
<p>42 USC 1381</p> <p>Aged: 65 years of age or older; Child: Not married, not head of household, under 18 or under 22 and a student regularly attending a school, college, or university or a course of vocational or technical training designed to prepare him for gainful employment.</p> <p>-----</p> <p>20 CFR 416.202</p> <p>For purposes of this part, an aged, blind, or disabled individual is an individual who:</p> <p>(a) Is 65 years of age or older (as determined under Subpart H of this part), is blind (as determined under Subpart I of this part), or is disabled (as determined under Subpart I of this part).</p> <p>20 CFR 416.120(c)(4)</p> <p>(4) "Attainment of age". An individual attains a given age on the first moment of the day preceding the anniversary of his birth corresponding to such age.</p>	<p>42 USC 606 (a)</p> <p>(a) The term "dependent child" means a needy child (1) who has been deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, and who is living with his father, mother, grandfather, grandmother, brother, sister, stepfather, stepmother, stepbrother, stepsister, uncle, aunt, first cousin, nephew, or niece, in a place of residence maintained by one or more of such relatives at his or their own home, and (2) who is (a) under the age of eighteen, or (3) under the age of twenty-one and (as determined by the State in accordance with standards prescribed by the Secretary) a student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment.</p> <p>42 USC 607(a)</p> <p>(a) The term "dependent child" shall, notwithstanding section 606(a) of this title, who has been deprived of parental support or care by reason of the unemployment (as determined in accordance with standards prescribed by the Secretary) of his father, and who is living with any of the relatives specified in section 606(a) (1) of this title in a place of residence maintained by one or more of such relatives as his (or their) own home.</p> <p>42 USC 608(a)</p> <p>Effective for the period beginning May 1, 1961 -</p> <p>(a) the term "dependent child" shall, notwithstanding section 606(a) of this title, also include a child (1) who would meet the requirements of such section 606(a) or of section 607 of this title except for his removal after April 30, 1961, from the home of a relative (specified in such section 606(a) as a result of a judicial determination to the effect that continuation therein would be contrary to the welfare of such child, (2) whose replacement and care are the responsibility of (A) the State or local agency administering the State plan</p>

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SSI	AFDC
	<p>approved under section 602 of this title, or (B) any other public agency with whom the State agency administering or supervising the administration of such State plan has made an agreement which is still in effect and which includes provision for assuring development of a plan, satisfactory to such State agency, for such child as provided in paragraph (f) (i), and such other provisions as may be necessary to assure accomplishment of the objectives of the State plan approved under section 602 of this title, (3) who has been placed in a foster family home or child-care institution as a result of such determination, and (4) who (A) received aid under such State plan in or for the month in which court proceedings leading to such determination were initiated, or (B)(i) would have received such aid in or for such month if application had been made therefor, or (ii) in the case of a child who had been living with a relative specified in section 605(2) of this title within 6 months prior to the month in which such proceedings were initiated, would have received such aid in or for such month if in such month he had been living with (and removed from the home of) such a relative and application had been made therefor;</p> <p>-----</p> <p>45 CFR 233.30</p> <p>(a) <i>Condition for plan approval.</i> A State plan under title I or XVI of the Social Security Act may not impose any age requirement of more than 65 years.</p> <p>(b) <i>Federal financial participation.</i></p> <p>(1) Federal financial participation is available in financial assistance provided to otherwise eligible persons who were for any portion of the month for which assistance is paid:</p> <p>(i) in OAA or AABD with respect to the aged, 65 years of age or over;</p> <p>(ii) in AFDC, under 18 years of age; or under 21 years of age if a student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment;</p> <p>(iii) in AB or AABD with respect to the blind, any age;</p> <p>(iv) in APTD or AABD with respect to the disabled, 18 years of age or older.</p>

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SSI	AFDC
	<p>(2) Federal determination of whether an individual meets the age requirements of the Social Security Act will be made according to the common-law method (under which a specific age is attained the day before the anniversary of birth) unless the State plan specifies that the popular usage method (under which an age is attained on the anniversary of birth), is used.</p> <p>(3) The State agency may adopt an arbitrary date such as July 1 as the point from which age will be computed in all instances where the month of an individual's birth is not available, but the year can be established. (36 F.R. 3866, Feb. 27, 1971)</p> <p>45 CFR 233.10(b)(2)(ii)</p> <p>(2) the following is a summary statement regarding the groups for whom Federal financial participation is available. (More detailed information is given elsewhere.)</p> <p>(ii) AFDC - for:</p> <p>(a) Needy children under the plan who are:</p> <p>(1) Under the age of 18, or under 21 if regularly attending a school, college, or university, or regularly attending a course of vocational or technical training.</p> <p>(2) Deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, or unemployment of a father; and</p> <p>(3) Living in the home of a parent or of certain relatives specified in the Act, or in foster care under certain conditions.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2012(e, 1)</p> <p>The term "household" shall mean a group of related individuals (including legally adopted children and legally assigned foster children) or non-related individuals over age 60 who are not residents of an institution or boarding house, but are living as one economic unit sharing common cooking facilities and for whom food is customarily purchased in common. The term "household" shall also mean (1) a single individual living alone who has cooking facilities and who purchases and prepares food for home consumption, (2) an elderly person who meets the requirements of section 2019(h) of this title, or (3) any narcotics addict or alcoholic who lives under the supervision of a private nonprofit organization or institution for the purpose of regular participation in a drug or alcoholic treatment and rehabilitation program. No individual who receives supplemental security income benefits under title XVI of the Social Security Act shall be considered to be a member of a household or an elderly person for any purpose of this chapter for any month if such person receives for such month, as part of his supplemental security income benefits or payments described in section 1382e(a) of Title 42 (if any), an amount equal to the bonus value of food stamps (according to the Food Stamp Schedule effective for July 1973) in addition to the amount of assistance such individual would be entitled to receive for such month under the provisions of the plan of the State approved under title I, X, XIV, or XVI, as appropriate, in effect for such month and such individual were aged, blind, or disabled, as the case may be, under the provisions of such State plan or under Public Law 92-603 as amended. The Secretary of Health, Education, and Welfare shall issue regulations for the implementation of the foregoing sentence after consultation with the Secretary of Agriculture. Residents of federally subsidized housing for the elderly, built under either section 1701q of Title 12, or section 1715z--1 of Title 12 shall not be considered residents of an institution or boarding house for purposes of eligibility for food stamps under this chapter.</p>	<p>Sec. 3g(3,4)</p> <p>(a) 'Food' means... (3) in the case of those persons who are sixty years of age or over or who receive supplemental security income benefits under title XVI of the Social Security Act, and their spouses, meals prepared by and served in senior citizens' centers, apartment buildings occupied primarily by such persons, public or private nonprofit establishments (eating or otherwise) that feed such persons, private establishments that contract with the appropriate agency of the State to offer meals for such persons at concessional prices, and meals prepared for and served to residents of federally subsidized housing for the elderly, (4) in the case of persons who are physically or mentally handicapped or otherwise so disabled that they are unable adequately to prepare all of their meals, meals prepared for and delivered to them (and their spouses) at their home by a public or private nonprofit organization or by a private establishment that contracts with the appropriate State agency to perform such services at concessional prices.</p> <p>Sec. 3(i)</p> <p>(i) 'Household' means (1) an individual who lives alone or who, while living with others, customarily purchases food and prepares meals for home consumption separate and apart from the others, or else pays compensation to the others for such meals, or (2) a group of individuals who live together and customarily purchase food and prepare meals together for home consumption or else live with others and pay compensation to the others for such meals. In neither event shall any individual or group of individuals constitute a household if they reside in an institution or boarding house. For the purposes of this subsection, residents of federally subsidized housing for the elderly and narcotics addicts or alcoholics who live under the supervision of a private nonprofit institution for the purpose of regular participation in a drug or alcoholic treatment program shall not be considered residents of institutions.</p>

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FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
<p>(1) The term "elderly person" shall mean a person sixty years of age or over who is not a resident of an institution or boarding house, and who is living alone, or with spouse, whether or not he has cooking facilities in his home.</p> <p>7 USC 2019(h)</p> <p>(h) Subject to such terms and conditions as may be prescribed by the Secretary in the regulations issued pursuant to this chapter, members of an eligible household who are sixty years of age or over or an elderly person and his spouse may use coupons issued to them to purchase meals prepared for and delivered to them by a political subdivision or by a private nonprofit organization which: (1) is not receiving federally donated foods from the United States Department of Agriculture for use in the preparation of such meals; (2) is operated in a manner consistent with the purposes of this chapter; and (3) is recognized as a tax exempt organization by the Internal Revenue Service: <i>Provided</i>, That household members or elderly persons to whom meals are delivered are household, feeble, physically handicapped, or otherwise disabled, to the extent that they are unable to adequately prepare all of their meals. Meals served pursuant to this subsection shall be deemed "food" for the purposes of this chapter. Subject to such terms and conditions as may be prescribed by the Secretary, in the regulations issued pursuant to this chapter, members of an eligible household who are sixty years of age or over or elderly persons and their spouses may also use coupons issued to them to purchase meals prepared by senior citizens' centers, apartment buildings occupied primarily by elderly persons, any public or nonprofit private school which prepares meals especially for elderly persons, any public or nonprofit private eating establishment which prepares meals especially for elderly persons during special hours, and any other public or nonprofit private establishment approved for such purpose by the Secretary. When an appropriate State or local agency contracts with a private establishment to offer, at concessional prices, meals prepared</p>	<p>Sec. 6d(1)</p> <p>(d) (1) Unless otherwise exempted by the provisions of paragraph (d) (2) of this subsection, no household shall be eligible for assistance under this Act if it includes a physically and mentally fit person between the ages of eighteen and sixty who (i) refuses at the time of application and once every six months thereafter to register for employment in a manner determined by the Secretary; (ii) refuses to fulfill whatever reasonable reporting and inquiry about employment requirements as are prescribed by the Secretary; (iii) is head of the household and voluntarily quits any job without good cause, unless the household was certified for benefits under this Act immediately prior to such unemployment...</p> <p>Sec. 6e</p> <p>(e) No individual who is a member of a household otherwise eligible to participate in the food stamp program under this section shall be eligible to participate in the food stamp program as a member of that or any other household if he or she (1) has reached his or her eighteenth birthday, (2) is enrolled at least half time in an institution of higher education, and (3)(A) is properly claimed or could properly be claimed as a dependent child for Federal income tax purposes by a taxpayer who is not a member of an eligible household, or (B) during the regular school year (i) is not employed a minimum of twenty hours per week or is not participating in a federally financed work study program, (ii) does not have weekly earnings which at least equal the minimum hourly rate under the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 206(a)(1)), multiplied by twenty hours, (iii) is not registered for work amounting to at least twenty hours per week, (iv) is not the head of a household containing one or more other persons who are dependents of that individual because he or she supplies more than half of their support, or (v) is not covered by an exemption from the work registration requirement contained in subsection (d) of this section other than clause (D) of paragraph (2) of that subsection.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>especially for elderly persons during regular or special hours, the Secretary shall permit members of eligible households who are sixty years of age or over or elderly persons and their spouses to use coupons issued to them to purchase such meals.</p> <p>-----</p> <p>7 CFR 270.2(r)</p> <p>(r) "Elderly person" means a person 60 years of age or older who:</p> <p>(1) Is not a resident of a boarding house or an institution;</p> <p>(2) Is living alone or only with spouse, whether or not he has cooking facilities in his home;</p> <p>(3) If he has no cooking facilities, is eligible for and elects to use coupons issued to him to purchase meals prepared for and delivered to him by a nonprofit meal delivery service authorized by FNS to accept food coupons or elects to use coupons to purchase meals prepared especially for the elderly at communal dining facilities authorized by FNS for such purpose.</p> <p>7 CFR 271.3(a)(2,3)</p> <p>(2) Eligible household members 60 years of age or older who are housebound, feeble, physically handicapped or otherwise disabled to the extent that they cannot adequately prepare all their meals, an elderly person as defined in §270.2(r) of this subchapter, who is housebound, feeble, physically handicapped or otherwise disabled to the extent that he cannot adequately prepare all of his meals and the spouse of such an elderly person may use all or part of the coupons issued to them to purchase meals prepared for and delivered to them by a nonprofit meal delivery service authorized by FNS.</p>	

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>(3) Eligible household members 60 years of age or older, or elderly persons as defined in §270.2(r) of this subchapter and the spouse of such an elderly person may use all or any part of the coupons issued to them to purchase meals prepared especially for them at communal dining facilities authorized by FNS for such purpose.</p> <p>7 USC 2014(c)</p> <p>"...a household shall not be eligible for assistance under this chapter if it includes an able-bodied adult person between the ages of 18 and 65 (except mothers or other members of the household who have the responsibility of care of dependent children or of incapacitated adults, bona fide students in any accredited school or training program, or persons employed and working at least 30 hours per week.) who either (a) fails to register for employment at a State or Federal employment office or, when impractical, at such other appropriate State or Federal employment office designated by the Secretary, or (b) has refused to accept employment or public work..."</p> <hr/> <p>7 CFR 271.3(d)</p> <p>(d) <i>Work registration requirement.</i> At the time of application and at least once every six months thereafter, each able-bodied person between the ages of 18 and 65, who is a member of a household, including a person who is not working because of a strike or lockout at his place of employment (except mothers or other members of the household who have responsibility for the care of dependent children under 18 years of age or of incapacitated adults; students enrolled at least half-time in any school or training program recognized by an Federal, State, or local governmental agency; or persons working at least 30 hours per week), shall register for employment by executing the registration form which</p>	

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FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
<p>shall be provided by the State agency, and which the State agency shall forward to the State or Federal employment office having jurisdiction over the area where the registrant resides...</p>	

Personal Characteristics: EMPLOYMENT

SSI	AFDC
<p>20 CFR 416.110(e)</p> <p>(e) <i>Incursions to work and opportunities for rehabilitation.</i> Payment amounts are not reduced dollar-for-dollar for work income but some of an applicant's income is counted toward the eligibility limit. Thus, recipients are encouraged to work if they can. Blind and disabled recipients with vocational rehabilitation potential are referred to the appropriate State vocational rehabilitation agencies that offer rehabilitation services that enable them to enter the labor market.</p> <hr/> <p>See section on "Disability"</p>	<p>42 USC 602(a)(8)(C)</p> <p>...except that, with respect to any month, the State agency shall not disregard any earned income (other than income referred to in subparagraph (B) of --</p> <p>(C) any one of the persons specified in clause (ii) of subparagraph (A) if such person --</p> <p>(i) terminated his employment or reduced his earned income without good cause within such period (of not less than 30 days) preceding such month as may be prescribed by the Secretary; or</p> <p>(ii) refused without good cause, within such period preceding such month as may be prescribed by the Secretary, to accept employment in which he is able to engage which is offered through the public employment offices of the State, or is otherwise offered by an employer if the offer of such employer is determined by the State or local agency administering the State plan, after notification by him, to be a bona fide offer of employment; ...</p> <p>42 USC 602(a)(19)(A&B)</p> <p>...provide--</p> <p>(A) that every individual, as a condition of eligibility for aid under this part, shall register for manpower services, training, and employment as provided by regulations of the Secretary of Labor, unless such individual is--</p> <p>(i) a child who is under age 16 or attending school full time;</p> <p>(ii) a period who is ill, incapacitated, or of advanced age;</p> <p>(iii) a person so remote from a work incentive project that his effective participation is precluded;</p> <p>(iv) a person whose presence in the home is required because of illness or incapacity of another member of the household;</p> <p>(v) a mother or other relative of a child under the age of six who is caring for the child; or</p> <p>(vi) the mother or other female caretaker of a child, if the father or another adult male relative is in the home and not excluded by clause (i), (ii), (iii), or (iv) of this subparagraph (unless he has failed to register as required by this subparagraph, or has been found by the Secretary of Labor under section 633(g) of this title to have refused without good cause to participate under a work incentive program or accept employment as described</p>

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SSI	AFDC
	<p>in subparagraph (F) of this paragraph); and that any individual referred to in clause (v) shall be advised of her option to register, if she so desires, pursuant to this paragraph, and shall be informed of the child care services (if any) which will be available to her in the event she should decide so to register;</p> <p>(B) that aid under the plan will not be denied by reason of such registration or the individual's certification to the Secretary of Labor under subparagraph (G) of this paragraph, or by reason of an individual's participation on a project under the program established by section 632(b)(2) or (3) of this title:</p> <p>42 USC 602(a)(19)(F)</p> <p>(F) that if and for so long as any child, relative, or individual (certified to the Secretary of Labor pursuant to subparagraph (G) has been found by the Secretary of Labor under section 633 (g) of this title to have refused without good cause to participate under a work incentive program established by part C, with respect to which the Secretary of Labor has determined his participation is consistent with the purposes of such part C, or to have refused without good cause to accept employment in which he is able to engage which is offered through the public employment offices of the State, or is otherwise offered by an employer if the offer of such employer is determined, after notification by him, to be a bona fide offer of employment--</p> <p>(i) if the relative makes such refusal, such relative's needs shall not be taken into account in making the determination under clause (7), and aid for any dependent child in the family in the form of payments of the type described in section 606(b)(2) of this title (which is such a case shall be without regard to clauses (A) through (E) thereof) of section 608 of this title will be made;</p> <p>(ii) aid with respect to a dependent child will be denied if a child who is the only child receiving aid in the family makes such refusal;</p> <p>(iii) if there is more than one child receiving aid in the family, aid for any such child will be denied (and his needs</p>

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SSI	AFDC
	<p>will not be taken into account in making the determination under clause(7)) if that child makes such refusal; and</p> <p>(iv) if such individual makes such refusal, such individual's needs shall not be taken into account in making the determination under clause (7); except that the State agency shall, for a period of sixty days, make payments of the type described in section 606(b)(2) of this title (without regard to clauses (A) through (E) thereof) on behalf of the relative specified in clause (i), or continue aid in the case of a child specified in clause (ii) or (iii), or take the individual's needs into account in the case of an individual specified in clause (iv), but only if during such period such child, relative, or individual accepts counseling or other services (which the State agency shall make available to such child, relative, or individual) aimed at persuading such relative, child, or individual, as the case may be, to participate in such program in accordance with the determination of the Secretary of Labor;</p> <p>45 CFR 244.20</p> <p>A State plan under Title IV-A of the Social Security Act must provide that:</p> <p>(a) All applicants and recipients who are required to register by section 402 (a)(19)(A) of the Act shall register for manpower services, training, and employment as a condition of eligibility for AFDC, except as otherwise provided under paragraph (b) of this section;</p> <p>(b) The Income Maintenance Unit (IMU) of the State or local welfare agency shall determine which AFDC applicants and recipients are exempt from registration and which are required to register as a condition of eligibility for AFDC benefits, based on the following criteria: Each AFDC applicant and recipient shall register unless he is:</p> <p>(1) Under age 16;</p> <p>(2) Regularly attending school and age 16 but not yet 21 years of age (or 18 years of age if the State AFDC plan limits benefits to child who are</p>

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SSI	AFDC
	<p>under age 18). There must be verification according to State welfare regulations that the person is enrolled or has been accepted for enrollment for the next school term as a full-time student as defined in 45 CFF 233.90;</p> <p>(3) Ill, when determined by the IMU on the basis of medical evidence or on another sound basis that the illness or injury is serious enough to temporarily prevent entry into employment or training;</p> <p>(4) Incapacitated, when verified by the IMU that a physical or mental impairment, determined by a physician or licensed or certified psychologist, by itself or in conjunction with age, prevents the individual from engaging in employment or training under WIN;</p> <p>(5) 65 years of age or older;</p> <p>(6) Residing outside of a WIN project area specified in the State WIN plan or residing within a WIN project area at a location which is so remote from a WIN office or service unit that effective participation in the program is precluded. The individual shall be considered remote if a round trip of more than 2 hours by reasonably available public or private transportation, exclusive of time necessary to transport children to and from a child care facility, would be required for a normal work or training day, unless normal round trip commuting time in the area is more than 2 hours, in which case the round trip commuting time shall not exceed the generally accepted community standards;</p> <p>(7) A caretaker in the home, and the IMU has verified that a physical or mental impairment, as determined by a physician or licensed or certified psychologist, of another member of the household requires the individual's presence in the home on a substantially continuous basis, and that no other appropriate member of the household is available;</p> <p>(8) A mother or other caretaker relative of a child under age 6; or</p> <p>(9) A mother or other female caretaker of a child, when the nonexempt father or other nonexempt adult male relative in the home is registered and has not refused to participate in the program or to accept employment without good cause.</p>

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SSI	AFDC
	<p>(c) The IMU shall inform each applicant and recipient in writing that:</p> <p>(1) The needs of any nonexempt applicant or recipient who fails to register as required will not be taken into account in determining the need and the amount of the AFDC assistance payment;</p> <p>(2) Exempt applicants and recipients must report to the IMU any changes which affect their exempt status;</p> <p>(3) Any applicant or recipient has a right to a hearing before the State welfare agency to contest a determination of nonexempt status or to contest a denial of AFDC benefits when such benefits have been denied because of his refusal to register for WIN;</p> <p>(4) Exempt applicants and recipients in WON project areas may choose to register voluntarily for manpower services, training, and employment, and may withdraw such registration at any time without loss of AFDC benefits, provided their status has not changed in a way which would require registration; and</p> <p>(5) Nonexempt applicants and recipients, along with exempt individuals who volunteer for WIN, shall report to a specified place of registration at the office of the WIN sponsor or its approved agent.</p> <p>(d) The IMU shall immediately refer all applicants and recipients, whom it has determined to be nonexempt, and all volunteers, to the WIN sponsor or its approved agent for registration.</p> <p>(e) The IMU shall refer individuals who have been determined to be exempt from registration on the basis of incapacity to the appropriate State vocational rehabilitation agency.</p> <p>(f) The IMU of the welfare agency shall include a redetermination of exemption as a part of the AFDC eligibility redetermination process, except where an individual has been determined to be 65 years of age or older, or permanently incapacitated.</p> <p>(g) The IMU shall notify the WIN sponsor within 3 working days of any welfare determination which may affect an individual's WIN status.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2014(c)</p> <p>Notwithstanding any other provisions of law, the Secretary shall include in the uniform national standards of eligibility to be prescribed under subsection (b) of this section a provision that each State agency shall provide that a household shall not be eligible for assistance under this chapter if it includes an able-bodied adult person between the ages of eighteen and sixty-five (except mothers or other members of the household who have the responsibility of care of dependent children or of incapacitated adults, bona fide students in any accredited school or training program, or persons employed and working at least 30 hours per week) who either (a) fails to register for employment at a State or Federal employment office or, when impractical, at such other appropriate State or Federal office designated by the Secretary, or (b) has refused to accept employment or public work at not less than (i) the applicable State minimum wage, (ii) the applicable Federal minimum wage, (iii) the applicable wage established by a valid regulation of the Federal Government authorized by existing law to establish such regulations, or (iv) \$1.30 per hour if there is no applicable wage as described in (i), (ii), or (iii) above. Refusal to work at a plant or site subject to a strike or a lockout for the duration of such strike or lockout shall not be deemed to be a refusal to accept employment. For the purposes of this section, the term "able-bodied adult person" shall not include any narcotics addict or alcoholic who regularly participates, as a resident or nonresident, in any drug addiction or alcoholic treatment and rehabilitation program.</p> <p>-----</p> <p>7 CFR 271.3(d)</p> <p>(d) <i>Work registration requirement.</i> At the time of application and at least once every six months thereafter, each able-bodied person between the ages of 18 and 65, who is a member of a household, including a person who is not working because of a strike or lockout at his place of employment (except mothers or other members of the household who have responsibility for the care of dependent children under 18 years of age or of incapacitated adults; students enrolled at least half-time in any school or training</p>	<p>Sec. 6(d,e)</p> <p>(d)(1) Unless otherwise exempted by the provisions of paragraph (d)(2) of this subsection, no household shall be eligible for assistance under this Act if it includes a physically and mentally fit person between the ages of eighteen and sixty who (i) refuses at the time of application and once every six months thereafter to register for employment in a manner determined by the Secretary; (ii) refuses to fulfill whatever reasonable reporting and inquiry about employment requirements as are prescribed by the Secretary; (iii) is head of the household and voluntarily quits any job without good cause, unless the household was certified for benefits under this Act immediately prior to such unemployment: Provided, That the period of ineligibility shall be sixty days from the time of the voluntary quit; or (iv) refuses without good cause to accept an offer of employment at a wage not less than the higher of either the applicable State or Federal minimum wage, or 80 per centum of the wage that would have governed had the minimum hourly rate under the Fair Labor Standards Act of 1938, as amended (19 U.S.C. 206(a)(1)), been applicable to the offer of employment, and at a site or plant not then subject to a strike or lockout.</p> <p>(2) A person who otherwise would be required to comply with the requirements of paragraph (1) of this subsection shall be exempt from such requirements if he or she is (A) currently subject to and complying with a work registration requirement under title IV of the Social Security Act, as amended (42 U.S.C. 602), or the Federal-State unemployment compensation system; (B) a parent or other member of a household with responsibility for the care of a dependent child under age twelve or of an incapacitated person; (C) a parent or other caretaker of a child in a household where there is another able-bodied parent who is subject to the requirements of this subsection; (D) a bona fide student enrolled at least half time in any recognized school, training program, or institution of higher education (except that any such person shall be subject to the requirements of paragraph (1) of this subsection during any period of more than thirty days when such school or program is in vacation or recess</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>program recognized by any Federal, State, or local governmental agency; or persons working at least 30 hours per week), shall register for employment by executing the registration form which shall be provided by the State agency, and which the State agency shall forward to the State or Federal employment office having jurisdiction over the area where the registrant resides: <i>Provided</i>, That any narcotics addict or alcoholic who regularly participates as a resident or nonresident in a drug or alcoholic treatment and rehabilitation program shall not be considered "able-bodied" for the purpose of this section. For the purposes of paragraph (d)(3)(iv) and (5) of this section, the term "strike" shall not include a strike which has pursuant to a court decision currently in force been determined to be unlawful.</p> <p>(1) Such member who is required to register shall also:</p> <p>(i) Report for an interview to the State or Federal employment office where he is registered upon reasonable request;</p> <p>(ii) Respond to a request from the State or Federal employment office requiring supplemental information regarding employment status or availability for work;</p> <p>(iii) Report to an employer to whom he has been referred by such office;</p> <p>(iv) Accept a bona fide offer of suitable employment to which he is referred by such office; and</p> <p>(v) Continue suitable employment to which he was referred by such office. Such household member shall continue such suitable employment until the employment is no longer considered suitable, or until the household member becomes exempt from the work requirement, as provided in this paragraph, or he is terminated from employment due to circumstances beyond his control.</p>	<p>and any such person enrolled in an institution of higher education shall be subject to the requirements of subsection (e) (3)(B) of this section as well; (E) a regular participant in a drug addiction or alcoholic treatment and rehabilitation program; or (F) employed a minimum of thirty hours per week or receiving weekly earnings which equal the minimum hourly rate under the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 206(a)(1)), multiplied by thirty hours.</p> <p>(3) To the extent that a State employment service is assigned responsibility for administering the provisions of subsection (d) of this section, it shall comply with regulations issued jointly by the Secretary and the Secretary of Labor, which regulations shall be patterned to the maximum extent practicable on the work incentive program requirements set forth in title VI of the Social Security Act (42 U.S.C. 630 et seq.) and shall take into account the diversity of the needs of the food stamp work registration population.</p> <p>(e) No individual who is a member of a household otherwise eligible to participate in the food stamp program under this section shall be eligible to participate in the food stamp program as a member of that or any other household if he or she (1) has reached his or her eighteenth birthday, (2) is enrolled at least half time in an institution of higher education, and (3)(A) is properly claimed or could properly be claimed as a dependent child for Federal income tax purposes by a taxpayer who is not a member of an eligible household, or (B) during the regular school year (i) is not employed a minimum of twenty hours per week or is not participating in a federally financed work study program, (ii) does not have weekly earnings which at least equal the minimum hourly rate under the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 206(a)(1)), multiplied by twenty hours, (iii) is not registered for work amounting to at least twenty hours per week, (iv) is not the head of a household containing one or more other persons who are dependents of that individual because he or she supplies more than half of their support, or (v) is not covered by an exemption</p>

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<p>(2) If the State agency determines that a household member has refused without good cause to comply with the requirements of this paragraph, the household of which he is a member shall be ineligible to participate in the program. Such ineligibility shall continue:</p> <p>(i) For 1 year from the date of his refusal without good cause to comply with such requirements; or</p> <p>(ii) Until such household member complies as follows, whichever is earlier:</p> <p>(a) Refusal to register--registration by the household member.</p> <p>(b) Refusal to report for an interview to the State or Federal employment office where he is registered--reporting for the required interview.</p> <p>(c) Refusal to respond to a request from the State or Federal employment office requiring supplemental information regarding employment status or availability for work--response to the employment office correspondence.</p> <p>(d) Refusal to report to an employer to whom he has been referred by such office--reporting to such employer or another employer to whom he is referred.</p> <p>(e) Refusal to accept a bona fide offer of suitable employment to which he was referred by such office--acceptance of such employment or of other employment of at least 30 hours per week.</p> <p>(f) Refusal to continue suitable employment to which he has been referred by such office--returning to such employment or acceptance of other employment of at least 30 hours per week.</p> <p>(iii) In determining whether good cause existed for failure to comply, the State agency shall consider all facts and circumstances, including information submitted by the State or Federal employment office, the employer, and the registrant or recipient himself.</p> <p>(3) No employment shall be considered suitable for the purpose of this paragraph if:</p> <p>(i) The wages offered are less than the highest of:</p> <p>(a) The applicable Federal minimum wage;</p> <p>(b) The applicable State minimum wage;</p>	<p>from the work registration requirement contained in subsection (d) of this section other than clause (D) of paragraph (2) of that subsection.</p>

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<p>(c) The applicable wage established by valid regulation of the Federal Government authorized by existing law to establish such regulations; or</p> <p>(d) \$1.30 per hour;</p> <p>(ii) The employment offered is on a piece-rate basis and the average hourly yield the employee can reasonably be expected to earn is less than the applicable hourly wages specified under paragraph (d)(3)(i) of this section;</p> <p>(iii) The registrant, as a condition of employment, is required to join, resign from, or refrain from joining any legitimate labor organization; or</p> <p>(iv) The work offered is at a site subject to a strike or a lockout at the time of the offer.</p> <p>(4) Any employment offered a particular registrant shall be considered suitable unless he can demonstrate that:</p> <p>(i) The degree of risk to his health and safety is unreasonable;</p> <p>(ii) He is physically or mentally unfit to perform the employment, as established by documentary medical evidence or reliable information from other sources;</p> <p>(iii) For a period of 30 days after registration only, the employment offered is not in his major field of experience;</p> <p>(iv) The distance of the employment from his residence is unreasonable. Determinations in this connection shall be based upon estimates of the time required for going to and from work by means of transportation that is available or expected to be used, and whether or not it would be reasonable for the registrant to expend the time and cost involved for the expected remuneration from the work. In no event shall commuting time per day represent more than 25 percent of the registrant's total work time.</p> <p>(5) No household shall be denied participation in the program solely on the grounds that a member of the household is not working because of a strike or a lockout at his place of employment.</p> <p>(6) Registration for participation in the Work Incentive Program (WIN) by members of a household who are required to register for work as stipulated above shall be regarded by FNS as having fulfilled the requirements of this section.</p>	

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<p>(7) The provisions of this paragraph shall not relieve a household of its responsibility to report acceptance of any employment or receipt of income from employment obtained through any source by any member of the household.</p> <p>(8) State agencies shall report monthly the number of households and persons whose benefits were reduced or terminated because they accepted employment, and the number who were terminated because of refusal to accept suitable employment.</p>	

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<p>42 USC 1352c(a) (3) (A-E)</p> <p>(A) An individual shall be considered to be disabled for purposes of this subchapter if he is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than twelve months (or, in the case of a child under the age of 18, if he suffers from any medically determinable physical or mental impairment of comparable severity).</p> <p>(B) For purposes of subparagraph (A), an individual shall be determined to be under a disability only if his physical or mental impairment or impairments are of such severity that he is not only unable to do his previous work but cannot, considering his age, education, and work experience, engage in any other kind of substantial gainful work which exists in the national economy, regardless of whether such work exists in the immediate area in which he lives, or whether a specific job vacancy exists for him, or whether he would be hired if he applied for work. For purposes of the preceding sentence (with respect to any individual), "work which exists in the national economy" means work which exists in significant numbers either in the region where such individual lives or in several regions of the country.</p> <p>(C) For purposes of this paragraph, a physical or mental impairment is an impairment that results from anatomical, physiological, or psychological abnormalities which are demonstrable by medically acceptable clinical and laboratory diagnostic techniques.</p> <p>(D) The Secretary shall by regulations prescribe the criteria for determining when services performed or earnings derived from services demonstrate an individual's ability to engage in substantial gainful activity. Notwithstanding the provisions of subparagraph B, an individual whose services or earnings meet such criteria, except for purposes of paragraph (4), shall be found not to be disabled.</p> <p>(E) Notwithstanding the provisions of subparagraphs (A) through (D), an individual shall also be considered to be disabled for purposes of this subchapter if he is permanently and totally disabled as defined under a State plan approved under subchapter XIV or XVI of this chapter as in effect for October 1972 and received aid under such plan (on the basis of disability) for December</p>	<p>45 CFR 233.90(a)(1,2)</p> <p>(a) <i>State plan requirements.</i> A State plan under title IV-A of the Social Security Act shall provide that:</p> <p>(1) The determination whether a child has been deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, or (if the State plan includes such cases) the unemployment of his father, will be made only in relation to the child's natural or adoptive parent, or in relation to the child's stepparent who is ceremonially married to the child's natural or adoptive parent and is legally obligated to support the child under State law of general applicability which requires step-parents to support stepchildren to the same extent that natural or adoptive parents are required to support their children. Under this requirement the inclusion in the family, or the presence in the home, or a "substitute parent" or "man-in-the-house" or any individual other than one described in this paragraph is not an acceptable basis for a finding of ineligibility or for assuming the availability of income by the State. In establishing financial eligibility and the amount of the assistance payment, only such net income as is actually available for current use on a regular basis will be considered, and the income only of the parent described in the first sentence of this paragraph will be considered available for children in the household in the absence of proof of actual contributions; and</p> <p>(2) Where it has reason to believe that the home in which a relative and child receiving aid reside is unsuitable because of the neglect, abuse, or exploitation of such child, the State or local agency will:</p> <p>(i) Bring such condition to the attention of a court, law-enforcement agency or other appropriate agency in the State, providing whatever data it has with respect to the situation;</p> <p>(ii) In reporting such conditions, use the same criteria as are used in the State for all other parents and children; and</p>

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<p>1973 and for at least one month prior to July 1973), so long as he is continuously disabled as so defined.</p> <p>42 USC 1382c(a) (2)</p> <p>(2) An individual shall be considered to be blind for purposes of this subchapter if he has central visual acuity of 20/200 or less in the better eye with the use of a correcting lens. An eye which is accompanied by a limitation in the fields of vision such that the widest diameter of the visual field subtends an angle no greater than 20 degrees shall be considered for purposes of the first sentence of this subsection as having a central visual acuity of 20/200 or less. An individual shall also be considered to be blind for purposes of this subchapter if he is blind as defined under a State plan approved under subchapter X or XVI of this chapter as in effect for October 1972 and received aid under such plan (on the basis of blindness) for December 1973, so long as he is continuously blind as so defined.</p> <p>42 USC 1382(e) (3) (A)</p> <p>(3)(A) No person who is an aged, blind, or disabled individual solely by reason of disability (as determined under section 1382c (a)(3) of this title) shall be an eligible individual or eligible spouse for purposes of this subchapter with respect to any month if such individual is medically determined to be a drug addict or an alcoholic unless such individual is undergoing any treatment that may be appropriate for his condition as a drug addict or alcoholic (as the case may be) at an institution or facility approved for purposes of this paragraph by the Secretary (so long as such treatment is available) and demonstrates that he is complying with the terms, conditions, and requirements of such treatment and with requirements imposed by the Secretary under subparagraph (B).</p>	<p>(iii) Cooperate with the court or other agency in planning and implementing action in the best interest of the child.</p> <p>45 CFR 233.70</p> <p>(a) <i>State plan requirements.</i> A State plan under title X or XVI of the Social Security Act must:</p> <p>(1) Contain a definition of blindness in terms of ophthalmic measurement. The following definition is recommended: An individual is considered blind if he has central visual acuity of 20/200 or less in the field defect in which the peripheral field has contracted to such an extent that the widest diameter of visual field subtends an angular distance of no greater than 20°.</p> <p>(2) Provide, in any instance in which a determination is to be made whether an individual is blind or continues to be blind as defined under the State plan, that there will be an initial examination or re-examination performed by either a physician skilled in the diseases of the eye or by an optometrist, whichever the individual so selects.</p> <p>(i) No examination is necessary when both eyes are missing.</p> <p>(ii) Where an initial eye examination or re-examination is necessary, the physician or optometrist conducting such examination will submit to the State agency a report thereof, on such forms and in such manner, as may be prescribed for such purpose. A determination whether the individual meets the State's definition of blindness under the State plan will be based upon a review of such eye examination report as provided for in paragraph (a)(3) of this section, and other information or additional examination reports as the State deems necessary.</p> <p>(3) Provide that such initial eye examination report and any subsequent re-examination report will be reviewed by a State reviewing physician skilled in the diseases of the eye (e.g., an ophthalmologist or an eye, ear, nose and throat specialist). Such physician is responsible for making the agency's decision that the applicant or recipient does or does not meet the State's definition of blindness, and for determining if and when reexaminations are necessary</p>

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<p>20 CFR 416.951</p> <p>Payment may be made to an individual applying for supplementary security income benefits on the basis of disability, prior to a determination of disability if such individual is found to be presumptively disabled and has been determined to meet all other eligibility requirements. Except as provided by §416.954, payment may be made for a period not exceeding three months. Any payments made on the basis of presumptive disability shall not be considered overpayments if the individual is subsequently found not to be an eligible individual or an eligible spouse solely on the basis of a determination that he is not under a disability.</p>	<p>in periodic reviews of eligibility, as required in § 206.10(a)(9)(iii) of this chapter.</p> <p>(b) <i>Federal financial participation.</i></p> <p>(1) <i>Assistance payments.</i> Federal financial participation is available in assistance provided to or in behalf of any otherwise eligible person who is blind under the State's title X or XVI plan. Blindness may be considered as continuing until a determination by the reviewing physician establishes the fact that the recipient's vision has improved beyond the State's definition of blindness set forth under its State title of X or XVI plan.</p> <p>(2) <i>Administrative expenses.</i> Federal financial participation is available in any expenditures incident to the eye examination necessary to determine whether an individual is blind. (36 FR 3867, Feb. 27, 1971, as amended at 40 FR 25819, Jun. 19, 1975)</p>
<p>20 CFR 416.901</p> <p>(a) <i>Scope of subpart.</i> This Subpart I establishes the standards for determining disability and blindness for purposes of determining eligibility to benefits under the Supplemental Security Income program under title XVI of the Social Security Act, as amended by Public Law 92-603.</p> <p>(b) <i>Disability defined.</i> An individual is disabled for purposes of this part if:</p> <p>(1) He is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 continuous months (or, in the case of a child under the age of 18, if he suffers from any medically determinable physical or mental impairment of comparable severity); or</p> <p>(2) He is permanently and totally disabled as defined under a State plan approved under title XIV or XVI of the Act as in effect for October 1972 and received aid under such plan (on the basis of disability) for December 1973, and for at least one month prior to July 1973 so long as he is continuously disabled as so defined.</p> <p>(c) <i>Physical or mental impairment defined.</i> A physical or mental impairment is an impairment which results from anatomical, physiological or psychological abnormalities which are demonstrable by medically acceptable clinical and laboratory diagnostic techniques. Statements of the applicant, including his own description of his impairment (symptoms) are, alone, insufficient to establish the presence of a physical or mental impairment.</p>	<p>45 CFR 233.80</p> <p>(a) <i>State plan requirements.</i> A State plan under title XIV or XVI of the Social Security Act must:</p> <p>(1) Contain a definition of permanently and totally disabled, showing that:</p> <p>(i) "Permanently" is related to the duration of the impairment or combination of impairments; and</p> <p>(ii) "Totally" is related to the degree of disability</p> <p>The following definition is recommended:</p> <p>"Permanently and totally disabled" means that the individual has some permanent physical or mental impairment, disease, or loss, or combination thereof, this substantially precludes him from engaging in useful occupations within his competence, such as holding a job.</p> <p>Under this definition:</p> <p>"Permanently" refers to a condition which is not likely to improve or which will continue throughout the lifetime of the individual; it may be a condition which is not likely to respond to any known therapeutic procedures, or a condition which is likely to remain static or to become worse unless certain therapeutic measures are carried out, where treatment is unavailable, inadvisable,</p>

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<p>(d) <i>Blindness defined.</i> An individual is "blind" (hereafter referred to as statutory blindness) for purposes of this part if:</p> <p>(1) He has central visual acuity of 20/200 or less in the better eye with the use of a correcting lens. An eye which is accompanied by limitation in the field of vision such that the widest diameter of the visual field subtends an angle no greater than 20 degrees shall be considered as having central visual acuity of 20/200 or less; or</p> <p>(2) He is blind as defined under a State plan approved under title X or title XVI of the Act as in effect for October 1972 and received aid under such plan (on the basis of blindness) for December 1973 so long as he is continuously blind as so defined.</p> <p>20 CFR 416.923</p> <p>An individual who has filed application for benefits under this Part 416 on the basis of disability or statutory blindness shall submit medical or other evidence showing the nature and extent of such individual's impairment or impairments during the time he alleges he was under a disability or statutorily blind. Statements of the individual, including his own description of his impairment (symptoms), are alone insufficient to establish the existence or severity of a physical or mental impairment. Upon request, the applicant shall also submit evidence as to his education and training, work experience, daily activities both prior to and after the alleged date of onset of disability, efforts to engage in gainful employment or self-employment, and any other pertinent evidence showing the effect of his impairment or impairments on his ability to engage in any substantial gainful activity during the time he alleges he was under a disability.</p> <p>20 CFR 416.932</p> <p>(a) <i>In general.</i> If an individual, who is not statutorily blind, performed work during any period in which he alleges that he was under a disability as defined in §416.901(b), the work performed may demonstrate</p>	<p>or is refused by the individual on a reasonable basis; "permanently" does not rule out the possibility of vocational rehabilitation or even possible recovery in light of future medical advances or changed prognosis; in this sense the term refers to a condition which continues indefinitely, as distinct from one which is temporary or transient.</p> <p>"Totally" involves considerations in addition to those verified through the medical findings, such as age, training skills, and work experience and the probable functioning of the individual in his particular situation in light of his impairment; an individual's disability would usually be tested in relation to ability to engage in remunerative employment; the ability to keep house or to care for others would be the appropriate test for (and only for) individuals, such as housewives, who were engaged in this occupation prior to the disability and do not have a history of gainful employment; eligibility may continue, even after a period of rehabilitation and readjustment, if the individual's work capacity is still very considerably limited (in comparison with that of a normal person) in terms of such factors as the speed with which he can work, the amount he can produce in a given period of time, and the number of hours he is able to work.</p> <p>(2) Provide for the review of each medical report and social history by technically competent persons--not less than a physician and a social worker qualified by professional training and pertinent experience--acting cooperatively, who are responsible for the agency's decision that the applicant does or does not meet the State's definition of permanent and total disability. Under this requirement.</p> <p>(i) The medical report must include a substantiated diagnosis, based either on existing medical evidence or upon current medical examination;</p>

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<p>that such individual has ability to engage in substantial gainful activity. If the work performed establishes that an individual is able to engage in substantial gainful activity, he is not under a disability. However, any services rendered during a trial work period (see §416.936) shall be deemed not to have been rendered by an individual in determining whether his disability has ceased in a month during such period. Work which does not in itself constitute substantial gainful activity, may nevertheless indicate the existence of a residual capacity of such individual to engage in substantial gainful activity. Thus, an individual who utilizes work skills or abilities on a limited basis may not be under a disability if he is capable of increased utilization of such work skills or abilities.</p> <p>(b) <i>Substantial gainful activity defined.</i> Substantial gainful activity refers to work activity that is both substantial and gainful. Substantial work activity involves the performance of significant physical or mental duties, or a combination of both, productive in nature. Gainful work activity is activity for remuneration or profit (or intended for profit, whether or not a profit is realized) to the individual performing it or to the persons, if any, for whom it is performed, or of a nature generally performed for remuneration or profit. In order for work activity to be substantial, it is not necessary that it be performed on a full-time basis; work activity performed on a part-time basis may also be substantial. It is immaterial that the work activity of an individual may be less, or less responsible, or less gainful, than that in which he was engaged before the onset of his impairment.</p> <p>(c) <i>Nature of the work.</i> The performance of duties involving skill, experience or responsibility, or contributing substantially to the operation of an enterprise, is evidence tending to show that an individual has the ability to engage in substantial gainful activity.</p> <p>(d) <i>Adequacy of performance.</i> The adequacy of an individual's performance of assigned work is also evidence as to whether or not he has ability to engage in substantial gainful activity. The satisfactory performance of assignments may demonstrate ability to engage in substantial gainful activity, while an individual's failure, because of his impairment, to perform ordinary or simple tasks</p>	<p>(ii) The social history must contain sufficient information to make it possible to relate the medical findings to the activities of the "useful occupation" and to determine whether the individual is totally disabled, and</p> <p>(iii) The review physician is responsible for setting dates for reexamination; the review team is responsible for reviewing reexamination reports in conjunction with the social data to determine whether disabled recipients whose health condition may improve continue to meet the State's definition of permanent and total disability.</p> <p>(3) Provide for cooperative arrangements with related programs, such as vocational rehabilitation services.</p> <p>(b) <i>Federal financial participation--</i></p> <p>(1) <i>Assistance payments.</i> Federal financial participation is available in payments to or in behalf of any otherwise eligible individual who is permanently and totally disabled. Permanent and total disability may be considered as continuing until the review team establishes the fact that the recipient's disability is no longer within the State's definition of permanent and total disability.</p> <p>(2) <i>Administrative expenses.</i> Federal financial participation is available in any expenditures incident to the medical examinations necessary to determine whether an individual is permanently and totally disabled. (36 F.R. 3867, Feb. 27, 1971)</p> <p>45 CFR 233.90(c)(1)(iv)</p> <p>(iv) <i>"Physical or mental incapacity".</i> "Physical or mental incapacity" of a parent shall be deemed to exist when one parent has a physical or mental defect, illness, or impairment. The incapacity shall be supported by competent medical testimony and must be of such a debilitating nature as to reduce substantially or eliminate the parent's ability to support or care for the otherwise eligible child and be expected to last for a period of at least 30 days. In making the determination of ability to support, the agency shall take into account the limited employment opportunities of handicapped individuals.</p>

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<p>satisfactorily without supervision or assistance beyond that usually given other individuals performing similar work, may constitute evidence of an inability to engage in substantial gainful activity. "Made work," that is, work involving the performance of minimal or trifling duties which make little or no demand on the individual and are of little or no utility to his employer, or to the operation of a business, if self-employed, does not demonstrate ability to engage in substantial gainful activity.</p> <p>(e) <i>Special employment conditions.</i> Work performed under special conditions of employment which take account of the employee's impairment (for example, work in a sheltered workshop or in a hospital by a patient) may, nonetheless, provide evidence of skills and abilities that demonstrate an ability to engage in a substantial gainful activity, whether or not such work in itself constitutes substantial gainful activity.</p> <p>(f) <i>Activities in carrying on a trade or business.</i> Supervisory, managerial, advisory or other significant personal services rendered by a self-employed individual demonstrate an ability to engage in substantial gainful activity.</p> <p>(g) <i>Other considerations of work activities.</i> Earnings from work activities discussed in this section, including earnings from activities performed during a period of trial work, must also be considered under the income and resource provisions of this part (Subparts K and L of this part) to determine whether or not an individual exceeds the limitations on income or resources for benefits under this part.</p> <p>20 CFR 416.933</p> <p>If the time that an individual spends in work activities is comparable to the time customarily spent by individuals without impairment in similar work activities as a regular means of livelihood, his ability to work for such periods constitutes evidence tending to show an ability to engage in substantial gainful activity. Moreover, where an individual, because of his impairment, is unable to spend as much time in work activities as is customarily spent by individuals without impairment in similar work, but such individual is able to perform significant duties on</p>	<p>A finding of eligibility for OASDI or SSI benefits, based on disability or blindness is acceptable proof of incapacity for AFDC purposes.</p>

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<p>a part-time basis, his ability to work on a part-time basis also tends to show an ability to engage in substantial gainful activity.</p> <p>20 CFR 416.934</p> <p>(a) <i>General.</i> Where an individual who claims to be disabled engages in work activities, the amount of his earnings from such activities may establish that the individual has the ability to engage in substantial gainful activity. Generally, activities which result in substantial earnings would establish ability to engage in substantial gainful activity; however, the fact that an individual's activities result in earnings which are not substantial does not establish the individual's inability to engage in substantial gainful activity. Where an individual is forced to discontinue his work activities after a short time because his impairment precludes continuing such activities, his earnings would not demonstrate ability to engage in substantial gainful activity. Also a mentally handicapped individual who performs simple tasks subject to close and continuous supervision would not have demonstrated ability to engage in substantial gainful activity solely on the basis of the rate of his remuneration for such activity. Extraordinary expenses incurred by an individual in connection with his employment and because of his impairment (e.g., the nature of the impairment requires special transportation) shall be deducted, to the extent that such expenses exceed what would be his work-related expenses if he were not impaired, in computing the value of his earnings for the purpose of determining his ability to engage in substantial gainful activity. Expenses for medication or equipment which the individual requires whether or not he works would not, of course, be work-related. Earnings received by an employee which are not attributable to his work activity are not considered in determining his ability to engage in substantial gainful activity. Thus, where an individual engages in work activity as an employee under special conditions, (see 9416.932), only earnings attributable to the individual's productivity, as distinguished from a subsidy related to other factors (e.g., his financial needs), are considered in determining his ability to perform substantial</p>	

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<p>gainful activity. The fact, however, that a sheltered workshop or comparable facility may operate at a deficit and receive some charitable contributions or governmental aid would not necessarily establish that a particular employee of the workshop is not earning the amounts paid to him. An individual's earnings, including any amounts which are excluded under this subsection for the purpose of determining his ability to engage in substantial gainful activity, may also be further considered under the income eligibility provisions of §416.215ff.</p> <p>(b) <i>Earnings at a monthly rate in excess of \$200.</i> An individual's earnings from work activities averaging in excess of \$200 a month shall be deemed to demonstrate his ability to engage in substantial gainful activity in the absence of evidence to the contrary.</p> <p>(c) <i>Earnings at a rate of \$130 to \$200 a month.</i> Where an individual's earnings from work activities average between \$130 and \$200 a month, consideration of the amount of his earnings together with the other circumstances relating to his work activities (see §416.932 and 416.933), the medical evidence relating to his impairment or impairments, and other factors (see §416.902), shall determine whether such individual is able to engage in substantial gainful activity. However, in the case of an individual working in a sheltered workshop (such as a workshop especially organized for disabled individuals) or comparable facility, whose activities are limited by his impairment so that his earnings average \$200 a month or less, such activities and such earnings ordinarily would not establish the ability to engage in substantial gainful activity.</p> <p>(d) <i>Earnings at a monthly rate of less than \$130.</i> Earnings from work activities as an employee which average less than \$130 a month do not show that the individual is able to engage in substantial gainful activity. However, an evaluation of the work performed (see §416.932) may establish that the individual is able to engage in substantial gainful activity, regardless of the amount of his average monthly earnings.</p>	

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<p>(e) <i>Factors considered where individual is self-employed.</i> The earnings or losses of a self-employed individual often reflect factors other than the individual's work activities in carrying on his trade or business. For example, a business may have a small income or may even operate at a loss even though the individual performs sufficient work to constitute substantial gainful activity. Thus, less weight is given to such small income or losses in determining a self-employed individual's ability to engage in substantial gainful activity, and greater weight is given to such factors as the extent of his activities and the supervisory, managerial, or advisory services rendered by him (see §416.932).</p>	

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 CFR 271.3(a)(2, 4)</p> <p>(2) Eligible household members 60 years of age or older who are housebound, feeble, physically handicapped or otherwise disabled to the extent that they are unable to adequately prepare all their meals, an elderly person as defined in 5270.2(r) of this subchapter, who is housebound, feeble, physically handicapped or otherwise disabled to the extent that he cannot adequately prepare all of his meals and the spouse of such an elderly person may use all or any part of the coupons issued to them to purchase meals prepared for and delivered to them by a nonprofit meal delivery service authorized by FNS.</p> <p>(4) Members of eligible households who are narcotics addicts or alcoholics and who regularly participate in a drug or alcoholic treatment and rehabilitation program on a nonresident basis may use all or any part of the coupons issued to them to purchase food prepared for or served to them during the course of such program by a private nonprofit organization or institution authorized by FNS. Narcotics addicts or alcoholics who regularly participate in a drug or alcoholic treatment program on a resident basis shall be certified for program participation through the use of an authorized representative which shall be the private nonprofit organization or institution that is administering such treatment and rehabilitation program. Such organization or institution shall notify the State agency as provided in paragraph (a)(1)(iii) of this section of changes in income and household circumstances and when such addict or alcoholic leaves the treatment and rehabilitation center. Such organization or institution shall be responsible for any misrepresentation or fraud committed in the certification of center residents and shall assume total liability for food coupons held on behalf of resident recipients.</p> <p>7 CFR 270.2(q)</p> <p>(q) "Drug addiction or alcoholic treatment and rehabilitation program" means any drug addiction or alcoholic treatment and rehabilitation program conducted by a private nonprofit organization or institution which is certified by the State agency or agencies designated by the</p>	<p>Sec. 3(g)(4,5)</p> <p>'Food' means (4) in the case of persons sixty years of age or over and persons who are physically or mentally handicapped or otherwise so disabled that they are unable adequately to prepare all of their meals, meals prepared for and delivered to them (and their spouses) at their home by a public or private nonprofit organization or by a private establishment that contracts with the appropriate State agency to perform such services at concessional prices, (5) in the case of narcotics addicts or alcoholics served by drug addiction or alcoholic treatment and rehabilitation programs, meals prepared and served under such programs.</p> <p>Sec. 3(i)</p> <p>(i) 'Household' means (1) an individual who lives alone or who, while living with others, customarily purchases food and prepares meals for home consumption separate and apart from the others, or else pays compensation to the others for such meals, or (2) a group of individuals who live together and customarily purchase food and prepare meals together for home consumption or else live with others and pay compensation to the others for such meals. In neither event shall any individual or group of individuals constitute a household if they reside in an institution or boarding house. For the purposes of this subsection, residents of federally subsidized housing for the elderly and narcotics addicts or alcoholics who live under the supervision of a private nonprofit institution for the purpose of regular participation in a drug or alcoholic treatment program shall not be considered residents of institutions.</p> <p>See: Sec. 6(d)(2)(E)</p> <p>Work registration requirement exemption:</p> <p>(E) A regular participant in a drug addiction or alcoholic treatment and rehabilitation program;</p>

Personal Characteristics: DISABILITY

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>Governor as responsible for the administration of the State's programs for alcoholics and drug addicts pursuant to Public Law 91-616, "Comprehensive Alcohol Abuse and Alcoholism Prevention Treatment and Rehabilitation Act of 1970" and Public Law 92-255. "Drug Abuse Office and Treatment Act of 1972" as providing treatment that can lead to the rehabilitation of drug addicts or alcoholics.</p> <p>See: 7 CFR 271.3(d)</p> <p>7 CFR 271.3(d)(4)(ii)</p> <p>(4) Any employment offered a particular registrant shall be considered suitable unless he can demonstrate that:</p> <p>(i) The degree of risk to his health and safety is unreasonable;</p> <p>(ii) He is physically or mentally unfit to perform the employment, as established by documentary medical evidence or reliable information from other sources;</p>	

Personal Characteristics: INSTITUTIONAL
RESIDENCE

SSI	AFDC
<p>42 USC 1382(e)(1)</p> <p>(e)(1)(A) Except as provided in subparagraph (B) and (C), no person shall be an eligible individual or eligible spouse for purposes of this subchapter with respect to any month if throughout such month he is an inmate of a public institution.</p> <p>(B) In any case where an eligible individual or his eligible spouse (if any) is, throughout any month, in a hospital, extended care facility, nursing home, or intermediate care facility receiving payments (with respect to such individual or spouse) under a State plan approved under subchapter XIX of this chapter, the benefit under this subchapter for such individual for such month shall be payable--</p> <p>(i) at a rate not in excess of \$300 per year (reduced by the amount of any income not excluded pursuant to section 1382a(b) of this title) in the case of an individual who does not have an eligible spouse;</p> <p>(ii) in the case of an individual who has an eligible spouse, if only one of them is in such a hospital, home or facility throughout such month, at a rate not in excess of the sum of--</p> <p>(I) the rate of \$300 per year (reduced by the amount of any income, not excluded pursuant to section 1382a(b) of this title, of the one who is in such hospital, home, or facility), and</p> <p>(II) the applicable rate specified in subsection (b)(1) (reduced by the amount of any income, not excluded pursuant to section 1382a(b) of this title, of the other); and</p> <p>(iii) at a rate not in excess of \$600 per year (reduced by the amount of any income not excluded pursuant to section 1382a(b) of this title) in the case of an individual who has an eligible spouse, if both of them are in such a hospital, home, or facility throughout such month.</p>	<p>42 USC 603(b)(2)</p> <p>The term aid to families with dependent children shall, notwithstanding section 606(b) of this title, include also foster care in behalf of a child described in paragraph (a) of this section (which defines dependent child)...(2) in a child-care institution, whether the payment therefor is made to such institution or to a public or nonprofit private child-placement or child-care agency, but subject to limitations prescribed by the Secretary with a view to including as "aid to families with dependent children" in the case of such foster care in such institutions only those items which are included in such term in the case of foster care in the foster family home of an individual.</p> <p>-----</p> <p>45 CFR 233.60</p> <p>(a) <i>Federal financial participation.</i></p> <p>(1) Federal financial participation under Title I, X, XIV, or XVI of the Social Security Act is not available in payments to or in behalf of any individual who is an inmate of a public institution except as a patient in a medical institution.</p> <p>(2) (i) Federal financial participation under title X or XIV of the Social Security Act is not available in payments to or in behalf of any individual who is a patient in an institution for tuberculosis or mental diseases.</p> <p>(ii) Federal financial participation under title XVI of the Social Security Act is not available in payments to or in behalf of any institution who is a patient in an institution for tuberculosis or mental diseases.</p> <p>(3) For purposes of this paragraph:</p> <p>(i) Federal financial participation is available in payments for the month in which an individual (if otherwise eligible) became an inmate of a public institution, or a patient in an institution for tuberculosis or mental diseases;</p> <p>(ii) Whether an institution is one for tuberculosis or mental diseases will be determined by whether its overall character is that of a facility established and maintained primarily for the care</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

SSI	AFDC
<p>(C) As used in subparagraph (A), the term "public institution" does not include a publicly operated community residence which serves no more than 16 residents.</p> <p>42 USC 1382(e)(3)(A)</p> <p>No person who is an aged, blind, or disabled individual solely by reason of disability (as determined under section 1382c(a)(3) of this title) shall be an eligible individual or eligible spouse for purposes of this subchapter with respect to any month if such individual is medically determined to be a drug addict or an alcoholic unless such individual is undergoing any treatment that may be appropriate for his condition as a drug addict or alcoholic (as the case may be) at an institution or facility approved for purposes of this paragraph by the Secretary (so long as such treatment is available) and demonstrates that he is complying with the terms, conditions, and requirements of such treatment and with requirements imposed by the Secretary under subparagraph (B).</p> <p>-----</p> <p>20 CFR 416.231(a,b)</p> <p>(a) <i>General.</i> (1) Except as provided in subparagraph (2) of this paragraph, no person shall be an eligible individual or eligible spouse for purposes of title XVI of the Act with respect to any month if throughout such month the person is an inmate of a public institution.</p> <p>(2) In any case where an eligible individual or his eligible spouse (if any) is throughout any month, in a hospital (see section 1861(e) of the Act), a skilled nursing facility (see section 1861(j) of the Act), or intermediate care facility (see section 1905(c) of the Act) receiving payments (with respect to such</p>	<p>and treatment of individuals with tuberculosis or mental diseases (whether or not it is licensed);</p> <p>(iii) An institution for the mentally retarded is not an institution for mental diseases;</p> <p>(iv) An individual or conditional release or convalescent leave from an institution for mental diseases is not considered to be a patient in such institution.</p> <p>(b) <i>Definitions.</i> For purposes of Federal financial participation under paragraph (a) of this section:</p> <p>(1) "Institution" means an establishment which furnishes (in single or multiple facilities) food and shelter to four or more persons unrelated to the proprietor, and in addition, provides some treatment or services which meet some need beyond the basic provision of food and shelter.</p> <p>(2) "In an institution" refers to an individual who is admitted to participate in the living arrangements and to receive treatment or services provided there which are appropriate to his requirements.</p> <p>(3) "Public institution" means an institution that is the responsibility of a governmental unit or over which a governmental unit exercises administrative control.</p> <p>(4) "Inmate of a public institution" means a person who is living in a public institution. An individual is not considered an inmate when:</p> <p>(i) He is in a public educational or vocational training institution, for purposes of securing education or vocational training, or</p> <p>(ii) He is in a public institution for a temporary emergent period pending other arrangements appropriate to his needs.</p> <p>(5) "Medical institution" means an institution which:</p> <p>(i) Is organized to provide medical care, including nursing and convalescent care;</p> <p>(ii) Has the necessary professional personnel, equipment, and facilities to manage the medical, nursing, and other health needs of patients on a continuing basis in accordance with accepted standards;</p> <p>(iii) Is authorized under State law to provide medical care.</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

SSI	AFDC
<p>individual or spouse) under a State plan approved under title XIX (Grants to States for Medical Assistance Programs) of the Act, the payment under title XVI for such individual for such month shall be payable:</p> <p>(i) At a rate of \$300 per year (reduced by the amount of any income not excluded pursuant to Subpart K of this part) in the case of an eligible individual who does not have an eligible spouse;</p> <p>(ii) At the sum of the applicable rate specified in Subpart D of this part and the rate of \$300 per year (reduced by the amount of any income not excluded pursuant to Subpart K of this part) in the case of an eligible individual who has an eligible spouse, if only one of them is in such a hospital, skilled nursing facility, or intermediate care facility throughout such month; and</p> <p>(iii) At a rate of \$600 per year (reduced by the amount of any income not excluded pursuant to Subpart K of this part) in the case of an eligible individual who has an eligible spouse, if both of them are in such a hospital, skilled nursing facility, or intermediate care facility throughout such month.</p> <p>(b) <i>Definitions.</i> For purposes of this part, the following definitions shall apply:</p> <p>(1) An "institution" is an establishment which furnishes (in single or multiple facilities) food and shelter to four or more persons unrelated to the proprietor and, in addition, provides some treatment or services which meet some need beyond the basic provision of food and shelter.</p> <p>(2) A "public institution" is an institution that is the responsibility of a governmental unit, or over which a governmental unit exercises administrative control.</p>	<p>(iv) Is staffed by professional personnel who have clear and definite responsibility to the institution in the provision of professional medical and nursing services including adequate and continual medical care and supervision by a physician; sufficient registered nurse or licensed practical nurse supervision and services and nurse aid services to meet nursing care needs; and appropriate guidance by a physician(s) on the professional aspects of operating the facility.</p> <p>(6) "Institution for tuberculosis" means an institution which is primarily engaged in providing diagnosis, treatment, or care of persons with tuberculosis, including medical attention, nursing care, and related services.</p> <p>(7) "Institution for mental diseases" means an institution which is primarily engaged in providing diagnosis, treatment or care of persons with mental diseases, including medical attention, nursing care, and related services.</p> <p>(8) "Patient" means an individual who is in need of and receiving professional services directed by a licensed practitioner of the healing arts toward maintenance, improvement, or protection of health, or alleviation of illness, disability, or pain.</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

SSI	AFDC
<p>(3) An "inmate of a public institution" is a person who is living in a public institution and receiving treatment and/or services which are appropriate to the person's requirements. A person is not considered an inmate when he is in a public educational or vocational training institution, for purposes of securing educational or vocational training.</p> <p>(4) Being in an institution "throughout a month" means a continuous stay involving 24 hours of every day, in a calendar month. Brief periods of absence while continuing in the status of an inmate of the institution and lasting not more than 14 consecutive days, would not interrupt a continuous stay in the institution.</p> <p>(5) An institution "receiving payments", with respect to an individual under a State plan approved under title XIX (see paragraph (a)(2) of this section) means that title XIX funds are being provided the hospital, skilled nursing facility, or intermediate care facility (either public, private, or voluntary nonprofit) for reimbursement for the substantial part of the cost of services rendered the individual. For purposes of this section, a "substantial part of the cost" means more than 50 percent of the cost of services provided by the institution to the individual during his stay therein.</p>	

Personal Characteristics: INSTITUTIONAL
RESIDENCE

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2012(e)</p> <p>The term "household" shall mean a group of related individuals (including legally adopted children and legally assigned foster children) or non-related individuals over age 60 who are not residents of an institution or boarding house, but are living as one economic unit sharing common cooking facilities and for whom food is customarily purchased in common. The term "household" shall also mean (1) a single individual living alone who has cooking facilities and who purchases and prepares food for home consumption, (2) an elderly person who meets the requirements of section 2019(h) of this title, or (3) any narcotics addict or alcoholic who lives under the supervision of a private non-profit organization or institution for the purpose of regular participation in a drug or alcoholic treatment and rehabilitation program...</p> <p>Residents of federally subsidized housing for the elderly, built under either section 1701q of Title 12, or section 1715z--1 of Title 12 shall not be considered residents of an institution or boarding house for purposes of eligibility for food stamps under this chapter.</p> <p>-----</p> <p>7 CFR 270.2 (j, k, t, jj, tt)</p> <p>(j) "Boarder" means an individual to whom a household furnishes meals, or lodging and meals, for compensation at a monthly rate at least equal to the value of the monthly coupon allotment for a one-person household.</p> <p>(k) "Boarding house" means a place where three or more individuals are furnished meals or lodging and meals for compensation.</p> <p>(t) "Eligible household" means a household which lives in a project area and meets the standards of eligibility set forth in this subchapter.</p> <p>(gg) "Head of the household" means the member of the household in whose name application is made for participation in the program.</p>	<p>See Sec. 3(i), definition of "household".</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>(jj) "Household" means a group of persons, excluding roomers, boarders and live-in-attendants necessary for medical, housekeeping or child care reasons, who are not residents of an institution or boarding house, and who are living as one economic unit sharing common cooking facilities and for whom food is customarily purchased in common; <i>Provided</i>, That residents of federally subsidized housing for the elderly, built under either Section 202 of the Housing Act of 1959 or Section 236 of the National Housing Act, or any narcotics addict or alcoholic meeting the criteria in paragraph (jj)(3) of this section, shall not be considered residents of an institution or boarding house. It shall also mean (1) a single individual living alone who purchases and prepares food for home consumption, (2) an elderly person as defined in this section and his spouse, or (3) a narcotics addict or alcoholic who resides at a facility or treatment center under the supervision of a private nonprofit organization or institution for the purpose of regular participation in a drug or alcoholic treatment and rehabilitation program: <i>Provided</i>, That such person must have access to individual or shared cooking facilities or the program in which he is participating has been authorized by FNS to accept food coupons. For the purpose of this definition, the term "economic unit" means a group of individuals for whom food is customarily purchased and stored in common for use by all members of the group and for whom common living costs (such as, but not limited to, shelter costs) are customarily being met from the income and/or resources available to an individual within the group. Sharing by a group of individuals of common living quarters and even common shelter costs does not necessarily cause the group to be considered an economic unit. However, such sharing along with the purchasing of food in common, are factors which strongly indicate that the common living costs are shared by the group. Therefore, if any member or members, of the group wish to be considered as separate</p>	

Personal Characteristics: INSTITUTIONAL
RESIDENCE

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>economic units, they are responsible for establishing that they do constitute separate economic units.</p> <p>(tt) "Roomer" means an individual to whom a household furnishes lodging for compensation.</p> <p>7 CFR 271.3(a)(4)</p> <p>(4) Members of eligible households who are narcotics addicts or alcoholics and who regularly participate in a drug or alcoholic treatment and rehabilitation program on a nonresident basis may use all or any part of the coupons issued to them to purchase food prepared for or served to them during the course of such program by a private nonprofit organization or institution authorized by FNS. Narcotics addicts or alcoholics who regularly participate in a drug or alcoholic treatment program on a resident basis shall be certified for program participation through the use of an authorized representative which shall be the private nonprofit organization or institution that is administering such treatment and rehabilitation program. Such organization or institution shall apply on behalf of such addict or alcoholic and receive and spend the coupon allotment for meals prepared by or served to such addict or alcoholic. Such organization or institution shall notify the State agency as provided in paragraph (a)(1)(iii) of this section of changes in income and household circumstances and when such addict or alcoholic leaves the treatment and rehabilitation center. Such organization or institution shall be responsible for any misrepresentation or fraud committed in the certification of center residents and shall assume total liability for food coupons held on behalf of resident recipients.</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

SSI	AFDC
<p>42 USC 1382(g,h)</p> <p>(g) In the case of any individual and his spouse (as the case may be) who--</p> <p>(1) received aid or assistance for December 1973 under a plan of a State approved under subchapter I, X, XIV, or XVI of this chapter, (2) has, since December 31, 1973, continuously resided in the State under the plan of which he or they received such aid or assistance for December, 1973, and (3) has, since December 31, 1973, continuously been (except for periods not in excess of six consecutive months) an eligible individual or an eligible spouse with respect to whom supplemental security income benefits are payable, the resources of such individual or such individual and his spouse (as the case may be) shall be deemed not to exceed the amount specified in subsections (a)(1)(B) and (a)(2)(B) of this section during any period that the resources of such individual or individuals and his spouse (as the case may be) does not exceed the maximum amount of resources specified in the State plan, as in effect for October 1972, under which he or they received such aid or assistance for December 1973.</p> <p>(h) In determining eligibility for, and the amount of, benefits payable under this section in the case of any individual or any individual and his spouse (as the case may be) who</p> <p>(1) received aid or assistance for December 1973 under a plan of a State approved under subchapter X or XVI of this chapter,</p> <p>(2) is blind under the definition of that term in the plan, as in effect for October 1972, under which he or they received such aid or assistance for December 1973,</p> <p>(3) has, since December 31, 1973, continuously resided in the State under the plan of which he or they received such aid or assistance for December 1973, and</p> <p>(4) has, since December 31, 1973, continuously been (except for periods not in excess of six consecutive months) an eligible individual or an eligible spouse with respect to whom supplemental</p>	<p>45 CFR 233.20(a)(1) As amended, 41 FR 54489</p> <p>(a) <i>Requirements for State Plans.</i> A State Plan for OAA, AFDC, AB, APTD or AABD must, as specified below:</p> <p>(1) <i>General.</i> (i) Provide that the determination of need and amount of assistance for all applicants and recipients will be made on an objective and equitable basis and all types of income will be taken into consideration in the same way except where otherwise specifically authorized by Federal statute and (ii) Provide that individuals receiving SSI benefits under the title XVI, for the period for which such benefits are received, shall not be included in the AFDC assistance unit for purposes of determining need and the amount of the assistance payment. Under this requirement, "individuals" receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under Section 212 of Pub. L. 93-66.</p> <p>45 CFR 233.20(a)(3)(x) As amended, 41 FR 54490</p> <p>(x) Provide that the income and resources of individuals who are receiving SSI benefits under title XVI, for the period for which such benefits are received, shall not be counted as income and resources of an assistance unit applying for or receiving assistance under title IV-A. Under this requirement, "individuals receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under section 212 of Pub. L. 93-66.</p>

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

SSI	AFDC
<p>security income benefits are payable, there shall be disregarded an amount equal to the greater of (A) the maximum amount of any earned or unearned income which could have been disregarded under the State plan, as in effect for October 1972, under which he or they received such aid or assistance for December 1973, and (B) the amount which would be required to be disregarded under section 1382a of this title without application of this subsection.</p> <p>-----</p> <p>20 CFR 416.121</p> <p>(a) <i>Recipient of aid or assistance defined.</i> As used in this Part 416, the term "individual who was a recipient of aid or assistance for December 1973" under a State plan approved under Title I, X, XIV, or XVI of the Social Security Act means an individual who correctly received aid or assistance under such plan for December 1973 even though such aid or assistance may have been received subsequent to December 1973. It also includes an individual who filed an application prior to January 1974 and was otherwise eligible for aid or assistance of such State plan but did not in fact receive such aid or assistance. It does not include an individual who received aid or assistance because of the provisions of 45 CFR § 205.10(a) (pertaining to continuation of assistance until a fair hearing decision is rendered), as in effect in December 1973, and with respect to whom it is subsequently determined that such aid or assistance would not have been received without application of the provisions of such 45 CFR § 205.10(a).</p> <p>(b) <i>Aid or assistance defined.</i> As used in this Part 416, the term "aid or assistance" means aid or assistance as defined in titles I, X, XIV, and XVI of the Social Security Act, as in effect in December 1973, and such aid or assistance is eligible for Federal financial participation in accordance with those titles and the provisions of 45 CFR Chapter II as in effect in December 1973.</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

SSI	AFDC
<p>(c) <i>Determinations of receipt of aid or assistance for December 31, 1973.</i> For the purpose of application of the provisions of this Part 416, the determination as to whether an individual was a recipient of aid or assistance for December 1973 under a State plan approved under title I, X, XIV, or XVI of the Social Security Act will be made by the Social Security Administration. In making such determination, the Social Security Administration may take into consideration a prior determination by the appropriate State agency as to whether the individual was eligible for aid or assistance for December 1973 under such State plan. Such prior determination, however, shall not be considered as conclusive in determining whether an individual was a recipient of aid or assistance for December 1973 under a State plan approved under title I, X, XIV, or XVI of the Social Security Act for purposes of application of the provisions of this Part 416.</p> <p>(d) <i>Special provisions for disabled recipients.</i> For purposes of § 416.901 (b), the criteria and definitions enumerated in paragraphs (a) through (c) of this section are applicable in determining whether an individual was a recipient of aid or assistance (on the basis of disability) under a State plan approved under title XIV or XVI of the Act for a month prior to July 1973. It is not necessary that the aid or assistance for December 1973 and for a month prior to July 1973 have been paid under the State plan of the same State. (39 FR 32024, Sept. 4, 1974; 39 FR 33207, Sept. 16, 1974)</p>	

Personal Characteristics:
RECIPIENTS OF OTHER PROGRAM BENEFITS

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2012(e)</p> <p>" . . . No individual who receives supplemental security income benefits under title XVI of the Social Security Act shall be considered to be a member of a household or an elderly person for any purpose of this chapter for any month if such person receives for such month, as part of his supplemental security income benefits or payments described in section 1382e(a) of Title 42 (if any), an amount equal to the bonus value of food stamps (according to the Food Stamp Schedule effective for July 1973) in addition to the amount of assistance such individual would be entitled to receive for such month under the provisions of the plan of the State approved under title I, X, XIV, or XVI, as appropriate, in effect for such month and such individual were aged, blind, or disabled, as the case may be, under the provisions of such State plan or under Public Law 92-603 as amended. The Secretary of Health, Education, and Welfare shall issue regulations for the implementation of the foregoing sentence after consultation with the Secretary of Agriculture. . . ."</p> <p>-----</p> <p>7 CFR 271.10(c)(1-3)</p> <p>(c) <i>Food stamp eligibility of SSI recipients--(1) Ineligible SSI recipients.</i></p> <p>(i) No SSI recipient shall be considered a household member or an elderly person for food stamp program purposes if he resides in the States of California or Massachusetts. The Secretary of Health, Education, and Welfare has determined that California and Massachusetts qualify as cash-out States pursuant to the provisions in Pub. L. 93-233, as amended.</p> <p>(ii) In California and Massachusetts, the income and resources of an SSI recipient who resides with eligible household members or elderly persons shall not be considered available to the household members or elderly persons (except as provided in paragraph (c)(3)(ii) of this section), nor shall his presence be considered in determining the household coupon allotment and purchase requirement. In such instances any payment for a deductible expense made on behalf of the household by the ineligible SSI recipient shall not</p>	<p>See Sec. 3(g)(3), definition of "Food"</p> <hr/> <p>Sec. 6(g)</p> <p>Eligibility disqualification:</p> <p>(g) No individual who receives supplemental security income benefits under title XVI of the Social Security Act, State supplementary payments described in section 1616 of such Act, or payments of the type referred to in section 212(a) of Public Law 93-66, as amended, shall be considered to be a member of a household for any month, if, for such month, such individual resides in a State which provides State supplementary payments (1) of the type described in section 1616(a) of the Social Security Act and section 212(a) of Public Law 93-66, and (2) the level of which has been found by the Secretary of Health, Education, and Welfare to have been specifically increased so as to include the bonus value of food stamps.</p> <p>Sec. 8(b)</p> <p>(b) The value of the allotment provided any eligible household shall not be considered income or resources for any purpose under any Federal, State or local laws, including, but not limited to, laws relating to taxation, welfare, and public assistance programs, and no participating State or political subdivision thereof shall decrease any assistance otherwise provided an individual or individuals because of the receipt of an allotment under this Act.</p>

Personal Characteristics:
RECIPIENTS OF OTHER PROGRAM BENEFITS

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>be counted as income to the household nor shall the household be allowed a deduction for such expense. If deductible household expenses are shared among household members and an ineligible SSI recipient, the household shall be granted a deduction based on that proportion of the expense which is actually borne by the household.</p> <p>(iii) In such States the income and resources of an SSI recipient who resides with eligible household members or elderly persons shall not be considered available to the household members or elderly persons (except as provided in paragraph (c) (3)(ii) of this section), nor shall his presence be considered in determining the household coupon allotment and purchase requirement. In such instances any payment for deductible expense made on behalf of the household by the ineligible SSI recipient shall not be counted as income to the household nor shall the household be allowed a deduction for such expense. If deductible household expenses are shared among household members and an ineligible SSI recipient, the household shall be granted a deduction based on that proportion of the expense which is actually borne by the household.</p> <p>(2) <i>Eligible SSI recipients.</i> (i) in States other than those specified in paragraph (c)(1)(i) of this section, SSI recipients shall be considered household members or elderly persons. If otherwise eligible under this subchapter, households consisting solely of SSI recipients or of an SSI recipient and an essential person shall be eligible to participate in the program without regard to the income and resources of the household. The State agency shall provide for the certification of such households according to the terms of §271.4(a)(2)</p> <p>(ii) In States where SSI recipients are considered household members or elderly persons, (a) households which consist exclusively of SSI recipients (or an SSI recipient and an essential person) and individuals who are recipients of federally aided public assistance or general assistance shall be classified as public assistance households and (b) households which consist of SSI recipients and individuals who are not exclusively recipients of federally aided public assistance or general assistance shall be classified as nonpublic assistance households and shall be subject to the regular program income and resource standards of eligibility.</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>(iii) Effective July 1, 1976, Nevada (applies to aged and blind only) and New York will no longer be considered "cash-out" States. With prior approval from FNS, State agencies in such States, may, as provided in (A) and (B) of this subdivision, elect to waive the standard nonassistance application for and the interview requirement for certain households containing SSI recipients during the three month period immediately following the approval by FNS of the State agency's request for waiver authority. With respect to Nevada, this waiver authority applies only to the aged and the blind.</p> <p>(A) The State agency may use an abbreviated application form approved by FNS for households consisting of SSI recipients, or SSI and non-SSI recipients, provided that verification of the income of SSI recipients is readily available from information supplied by the Social Security Administration. The form shall be used to determine if a group containing both SSI recipients and non-SSI recipients is a single household or qualifies as separate households. If such a group is a single household, and the non-SSI recipients are not already participating in the Food Stamp Program or receiving public or general assistance, a standard application and an interview shall be required. Households consisting solely of SSI recipients, essential persons or non-SSI recipients already receiving food stamps or public or general assistance may be certified with the abbreviated application form. The abbreviated application form shall also contain other non-financial food stamp eligibility criteria, deductions, and the attestation statement from the standard nonassistance application which the head of the household must sign. All households initially certified on the basis of an abbreviated application form must complete the standard nonassistance application or affidavit and interview process within the six month period immediately following the approval by FNS of the State agency's request for waiver authority.</p> <p>(B) The State agency may waive the interview requirement under the same conditions listed in (A) of this subdivision for waiver of the standard application form. State agencies electing to waive the interview requirement and/or the stan-</p>	

Personal Characteristics:
RECIPIENTS OF OTHER PROGRAM BENEFITS

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>dard application form may do so for all households eligible for the waiver or on an individual case basis. If the waiver is elected on an individual case basis, the following factors must be considered in determining if a household should be certified without an interview or a standard application form: (1) Accessibility of information on potential eligibles; (2) the accuracy and currentness of the information from existing case records within the local welfare office; (3) the difficulty in scheduling an interview with the household; and (4) the stability of the individual household's situation.</p> <p>(3) <i>Essential persons.</i> (i) If otherwise eligible under this subchapter, an essential person shall be considered a household member or an elderly person for purposes of participation in the program.</p> <p>(ii) The amount of the SSI payment made on behalf of such essential person shall be counted as income available to the household.</p> <p>(40 FR 1884, Jan. 9, 1975, as amended by Amdt. 90, 41 FR 34761, Aug. 17, 1976; Amdt. 91, 41 FR 50411, Nov. 16, 1976)</p> <hr/> <p>7 CFR 270.2(v, ee)</p> <p>(v) "Federally aided public assistance" means any of the following programs authorized by the Social Security Act of 1935, as amended: Old-Age Assistance, Aid to Families with Dependent Children, Aid to the Blind, and Aid to the Permanently and Totally Disabled.</p> <p>(ee) "General assistance" means the State or local public assistance programs, determined by FNS to apply a criteria of need the same as, or similar to, those applied under any of the federally aided public assistance programs.</p> <p>7 CFR 271.3(b)</p> <p>(b) <i>Income and resource eligibility standards of public assistance and general assistance households.</i> Households in which all members are included in a federally aided public assistance or general assist-</p>	

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>ance grant shall, if otherwise eligible under this subchapter, be determined to be eligible to participate in the program while receiving such grants without regard to the income and resources of the household members.</p>	

Personal Characteristics: OTHERS

SSI	AFDC
<p>42 USC 1351 (a)(1)</p> <p>... is a resident of the United States and is either (1) a citizen or (2) an alien lawfully admitted for permanent residence or otherwise permanently residing in the United States under color of law (including any alien who is lawfully present in the United States as a result of the application of the provisions of section 2153(a)(7) or section 1182(a)(5) of Title 8.)</p> <p>42 USC 1362(f)</p> <p>No individual shall be considered an eligible individual ... for any month during all of which such individual is outside the United States ... after an individual has been outside the United States for any period of 30 consecutive days, he shall be treated as remaining outside the United States until he has been in the United States for a period of 30 consecutive days.</p> <p>-----</p> <p>20 CFR 416.202</p> <p>For purposes of this part, an aged, blind, or disabled individual is an individual who:</p> <p>(a) Is 65 years of age or older (as determined under Subpart H of this part), is blind (as determined under Subpart I of this part), or is disabled (as determined under Subpart I of this part), and</p> <p>(b) Is a resident of the United States and is either:</p> <p>(1) A citizen of the United States, or</p> <p>(2) An alien lawfully admitted for permanent residence or otherwise permanently residing in the United States under color of law (including any alien who is lawfully present in the United States as a result of the application of the provisions of section 203(a)(7) or section 212(d)(5) of the Immigration and Nationality Act (8 U.S.C. 1153, 1182)).</p> <p>-----</p> <p>20 CFR 416.1003(b)</p> <p>(b) <i>Household.</i> For purposes of this subpart, the term "household" means one or more individuals living as a family unit in a single place of abode.</p>	<p>42 USC 602(b)</p> <p>Secretary...shall not approve any plan which imposes as condition of eligibility for AFDC, a residence requirement which denies aid with respect to any child residing in the state (1) who has resided in the state for one year immediately preceding the application, if the parent or other relative with whom the child is living has resided in the State for one year immediately preceding the birth.</p> <p>-----</p> <p>45 CFR 233.40</p> <p>(a) <i>Condition for plan approval.</i> A State plan under title I, IV-A, X, XIV, or XVI of the Social Security Act may not impose any residence requirement which excludes any individual who is a resident of the State, except as provided in paragraph (b) of this section. For purposes of this section:</p> <p>(1) A resident of a State is one who is living in the State voluntarily with the intention of making his home there and not for a temporary purpose. A child is a resident of the State in which he is living other than on a temporary basis. Residence may not depend upon the reason for which the individual entered the State, except insofar as it may bear upon whether he is there voluntarily or for a "temporary purpose".</p> <p>(2) Residence is retained until abandoned. Temporary absence from the State, with subsequent returns to the State, or intent to return when the purposes of the absence have been accomplished, does not interrupt continuity of residence.</p> <p>(b) <i>Exception.</i> A State plan under title I, X, XIV, or XVI need not include an individual who has been absent from the State for a period in excess of 90 consecutive days (regardless of whether he has maintained his residence in the State during this period) until he has been present in the State for a period of 30 consecutive days (or a shorter period specified by the State) in the case of such individual who has maintained his residence in the State during such period of absence or for a period of 90 consecutive days (or a shorter</p>

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SSI	AFDC
<p>20 CFR 416.1073</p> <p>A child is the head of a household where he is living alone or with others as an economic entity and he is the individual responsible for day-to-day decisions on the operation of that household provided the child has left his parental home on a permanent basis. Where a group of individuals share equally in the decision-making, for example, a group of students share off-campus housing, the collective group does not qualify as a household for the purposes of title XVI of the Act since the decision-making is not under one head or management. In this instance, each individual is responsible for the day-to-day decisions of his living arrangements and as such is a separate entity and the circumstances of his separation from his parental home will determine his status.</p>	<p>period as specified by the State) in the case of any other such individual. An individual thus excluded under any such plan may not, as a consequence of that exclusion, be excluded from assistance under the State's title XIX plan if otherwise eligible under the title XIX plan (see §248.40 of this chapter). (38 FR 26379, Sept. 20, 1973)</p>
<p>20 CFR 416.1075</p> <p>Where the child is not living in the parental home, his statement setting out the reason for the separation, his present living arrangements, and who has the authority for the day-to-day decisions will be required. The child's statement, absent information to the contrary, is acceptable without additional verification. Only where the statement raises some question as to the identity of the head of the household or other aspects of title XVI of the Act will additional information be required. For example, information that the child is living in the same household with an unrelated person of the opposite sex requires ascertainment of possible marital relationship.</p>	<p>45 CFR 233.50</p> <p>Conditions for plan approval. A State plan under title I, IV-A, X, XIV, or XVI of the Social Security Act shall include an otherwise eligible individual who is a resident of the United States but only if he is either (a) a citizen or (b) an alien lawfully admitted for permanent residence or otherwise permanently residing in the United States under color of law (including any alien who is lawfully present in the United States as a result of the application of the provisions of section 203(a)(7) or section 212(d)(5) of the Immigration and Nationality Act). (38 FR 30259, Nov. 2, 1973)</p>
<p>20 CFR 416.120(c)(14)</p> <p>(14) "Eligible spouse" means an aged, blind, or disabled individual who is the husband or wife of another aged, blind, or disabled individual and has not been living apart from such other individual for more than 6 months.</p>	<p>45 CFR 233.90(a)(1,2) As amended, 42 FR 3307</p> <p>(a) <i>State plan requirements.</i> A State plan under title IV-A of the Social Security Act shall provide that:</p> <p>(1) The determination whether a child has been deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, or (if the State plan includes such cases) the unemployment of his father, will be made only in relation to the child's natural or adoptive parent or in relation to the child's stepparent who is ceremonially married to the child's natural or adoptive parent and is legally obligated to support the child under State law of general applicability which requires stepparents to support stepchildren to the same extent that natural or adoptive parents are required to support their children. Under this requirement, the inclusion in the family, or the presence in the home, or a "substitute parent" or "man-in-the-house" or any individual</p>
<p>20 CFR 416.242</p> <p>For purposes of §416.241, a qualified individual is any individual who for the month of December 1973 was a recipient of aid or assistance under a State plan</p>	

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SSI	AFDC
<p>approved under title I, X, XIV, or XVI of the Social Security Act, and, in determining the need of such individual for aid or assistance for December 1973 under such State plan, there were taken into account the needs of a person other than the qualified individual (i.e., an "essential person") who:</p> <p>(a) Was living in the home of the qualified individual; and</p> <p>(b) Was not eligible in his or her own right for aid or assistance under such State plan for December 1973. (39 FR 33795, Sept. 20, 1974)</p> <p>20 CFR 416.243</p> <p>An essential person is any person who for the month of December 1973 was a person whose needs were taken into account in determining the need of a qualified individual (see §416.242) for aid or assistance under a State plan approved under title I, X, XIV, or XVI of the Social Security Act, as in effect for June 1973, and who:</p> <p>(a) Lives in the home of the qualified individual; and</p> <p>(b) Is not eligible in his or her own right for supplemental security income benefits; and</p> <p>(c) Is not an eligible spouse (as defined in section 1614(b) of the Act) of the qualified individual or any other individual; and</p> <p>(d) Does not have income or resources in an amount that will cause the qualified individual to lose eligibility for benefits (see §416.1190 and §416.1203) amount of the supplemental security income payment to each qualified individual, shall be limited to those persons, as determined by the Social Security Administration, who are identified in State records (in accordance with the State plan as approved for June 1973 and State practices under such approved plan) as being essential to the qualified individual for the month of December 1973. (See §416.531 for amount of payment.) (39 FR 33795, Sept. 20, 1974)</p>	<p>other than one described in this paragraph is not an acceptable basis for a finding of ineligibility or for assuming the availability of income by the State. In establishing financial eligibility and the amount of the assistance payment, only such net income as is actually available for current use on a regular basis will be considered, and the income only of the parent described in the first sentence of this paragraph will be considered available for children in the household in the absence of proof of actual contributions; and</p> <p>(2) Where it has reason to believe that the home in which a relative and child receiving aid reside is unsuitable because of the neglect, abuse, or exploitation of such child, the State or local agency will:</p> <p>(i) Bring such condition to the attention of a court, law-enforcement agency, or other appropriate agency in the State, providing whatever data it has with respect to the situation;</p> <p>(ii) In reporting such conditions, use the same criteria as are used in the State for all other parents and children; and</p> <p>(iii) Cooperative with the court or other agency in planning and implementing action in the best interest of the child.</p> <p>45 CFR 233.90(b,c)</p> <p>(b) <i>Conditions for plan approval.</i></p> <p>(1) A child may not be denied AFDC either initially or subsequently "because of the conditions of the home in which the child resides" or because the home is considered "unsuitable", unless "provision is otherwise made pursuant to a State statute for adequate care and assistance with respect to such child". (Section 404(b) of the Social Security Act.)</p> <p>(2) An otherwise eligible child who is under the age of 18 years may not be denied AFDC, regardless of whether he attends school or makes satisfactory grades.</p> <p>(3) If a State elects to include in its AFDC program children 18 and over, it must include all children 18 years of age and under 21 who are students regularly attending a school, college</p>

Personal Characteristics: OTHERS

SSI	AFDC
<p>20 CFR 416.248</p> <p>(a) <i>General.</i> If for any month after December 1973, any essential person fails to meet the criteria specified in §416.243, such person shall not thereafter be considered to be an essential person.</p> <p>(b) <i>Essential person absent from the home.</i> If the essential person is temporarily absent from the home of the qualified individual (e.g., hospitalization), the increment to the standard payment that is based on the presence of the essential person shall be allowed or continued. An absence will be considered to be temporary if the essential person intends to return to reside with the qualified individual and the facts support such intention and the probability of its occurrence; provided, however, that in no event may an absence of more than 90 days be considered temporary.</p> <p>(c) <i>Qualified individual absent from the home.</i> If the qualified individual is temporarily absent from his home (e.g., hospitalization), the increment to the standard payment amount that is based on the presence of the essential person shall be allowed or continued. An absence will be considered to be temporary if the qualified individual intends to return to reside with the essential person and the facts support such intention and the probability of its occurrence; provided, however, that in no event may an absence of more than 6 months be considered temporary.</p> <p>(d) <i>Essential person becomes eligible for benefits.</i> If the essential person becomes eligible for supplemental security income payments on the basis of age, blindness, or disability (see §416.201), his status as an essential person shall continue throughout the month prior to the month for which he is determined to be eligible for such payment in his own right and shall cease at the end of such prior month.</p> <p>(e) <i>Essential person becomes an eligible spouse.</i> If the essential person becomes eligible for supplemental security income payments as the eligible spouse of the qualified individual or any other individual, his status as an essential person shall continue throughout the month prior to the month for which he is determined to be eligible for such payments and shall cease at the end of such prior month.</p> <p>[39 FR 33795, Sept. 20, 1974]</p>	<p>or university, or a course of vocational or technical training designed to fit them for gainful employment.</p> <p>(4) (i) A child may not be denied AFDC either initially or subsequently because a parent or other caretaker relative fails to cooperate with the child support agency in performing any of the activities needed to:</p> <p>(A) Establish the paternity of a child born out of wedlock; or</p> <p>(B) Obtain support from a person having a legal duty to support the child.</p> <p>(ii) Any parent or caretaker relative who fails to so cooperate shall be treated in accordance with § 232.12 of this chapter.</p> <p>(5) (Reserved)</p> <p>(6) An otherwise eligible child may not be denied AFDC if a parent is mentally or physically incapacitated as defined in paragraph (c)(1)(iv) of this section.</p> <p>(c) <i>Federal financial participation.</i></p> <p>(1) Federal financial participation under title IV-A of the Social Security Act in payments with respect to a "dependent child", as defined in section 406(a) of the Act, is available within the following interpretations:</p> <p>(i) <i>Needy child deprived by reason of:</i> The phrase "needy child * * * deprived * * * by reason of" requires that both need and deprivation of parental support or care exist in the individual case. The phrase encompasses the situation of any child who is in need and otherwise eligible, and whose parent--father or mother--either has died, has a physical or mental incapacity, or is continually absent from the home. This interpretation is equally applicable whether the parent was the chief bread winner or devoted himself or herself primarily to the care of the child, and whether or not the parents were married to each other. The determination whether a child has been deprived of parental support or care is made in relation to the child's natural parent or, as appropriate, the adoptive parent or stepparent described in paragraph (a) of this section.</p> <p>(ii) <i>Death of a parent.</i> If either parent of a child is deceased, the child is deprived of parental support or care, and may, if he is in need and otherwise eligible, be included within the scope of the program.</p>

Personal Characteristics: OTHERS

SSI	AFDC
<p>20 CFR 416.1001</p> <p>(a) <i>Marital relationship.</i> In determining whether an aged, blind, or disabled individual may receive payments under title XVI of the Social Security Act, the income and resources tests which such individual must meet (see Subparts K and L of this Part 416) may depend in part on whether such individual has a spouse, whether such individual and such spouse are living in the same household (or have been separated for less than 6 months) and whether such spouse is also an aged, blind, or disabled individual. If a husband and wife are both aged, blind, or disabled individuals living in the same household, or if such husband and wife have been separated for less than 6 months, and such husband and wife are eligible for payments under title XVI of the Act, such payments shall be made to them as a couple. The payment made for an eligible couple will be less than the sum of the separate amounts which they could receive if each was an eligible individual. The eligibility of an individual or couple for a payment for a month will be based on their marital status on the first day of the month. Any subsequent change in marital status within a month will not affect eligibility for, or the amount of, the payment for such month. The special income and resources tests (see Subparts K and L of this part 416) which may be applicable to a blind or disabled individual who is under age 18, or who is a student age 18 but under age 22 regularly attending school, apply only if such blind or disabled individual is not married.</p> <p>(b) <i>Parent-child relationship.</i> Where a blind or disabled individual applies for payments under title XVI of the Act, and such blind or disabled individual is under age 18, or under age 22 and a student, the method of computing such blind or disabled individual's income and resources may be affected if:</p> <p>(1) Such blind or disabled individual is under age 21 and is living with a parent (or such parent's spouse) and such parent (or such parent's spouse, if any) has income or resources; or</p> <p>(2) Such blind or disabled individual is living apart from his or her parent but receiving payments from such parent for such individual's support.</p>	<p>(iii) <i>Continued absence of the parent from the home.</i> Continued absence of the parent from the home constitutes the reason for deprivation of parental support or care when the parent is out of the home, the nature of the absence is such as either to interrupt or terminate the parent's functioning as a provider of maintenance, physical care, or guidance for the child, and the known or indefinite duration of the absence precludes counting on the parent's performance of his function in planning for the present support or care of the child. If these conditions exist, the parent may be absent for any reason, and he may have left only recently or some time previously.</p> <p>(iv) <i>"Physical or mental incapacity".</i> "Physical or mental incapacity" or a parent shall be deemed to exist when one parent has a physical or mental defect, illness, or impairment. The incapacity shall be supported by competent medical testimony and must be of such a debilitating nature as to reduce substantially or eliminate the parent's ability to support or care for the otherwise eligible child and be expected to last for a period of at least 30 days. In making the determination of ability to support, the agency shall take into account the limited employment opportunities of handicapped individuals.</p> <p>A finding of eligibility for OASDI or SSI benefits, based on disability or blindness is acceptable proof of incapacity for AFDC purposes.</p> <p>(v) <i>"Living with (a specified relative) in a place of residence maintained * * * as his * * * own home."</i></p> <p>(A) A child may be considered to meet the requirement of living with one of the relatives specified in the Act if his home is with a parent or a person in one of the following groups:</p> <p>(1) Any blood relative, including those of half-blood, and including first cousins, nephews, or nieces, and persons of preceding generations as denoted by pre-fixed of grand, great, or great-great.</p> <p>(2) Stepfather, stepmother, step-brother, and stepsister.</p>

Personal Characteristics: OTHERS

SSI	AFDC
<p>(c) <i>Determining relationship.</i> The rules for determining whether an aged, blind or disabled individual applying for (or receiving) payments under title XVI of the Act is married are set forth in §416.1003-416.1041. For determining whether the person with whom a blind or disabled individual is living, or from whom such individual is receiving payments for support, is such individual's parent (or the spouse of such parent), see §416.1052 and 416.1053.</p> <p>20 CFR 416.1050</p> <p>The term "child" means an individual who is neither married nor the head of a household and who is (a) under the age of 18 or, (b), under the age of 22 and a student regularly attending school, college, or university or a course of vocational or technical training designed to prepare the individual for gainful employment.</p> <p>20 CFR 416.1052</p> <p>For purposes of the special income and resources inclusions and exclusions applicable to a child under title XVI of the Act, a parent is an individual related to the eligible individual as a natural parent, or an adoptive parent. The marital status of the biological parent is immaterial in establishing the natural parent-child relationship. A final legal adoption is required for the individual to qualify as an adoptive parent. A spouse of a child's parent is the husband or wife (as defined in §416.1005) of such parent.</p> <p>20 CFR 416.1057</p> <p>An individual who is under age 18, or age 18-21 and a student, is considered married for purposes of title XVI of the Act if at the time the application for payments is made, or at any later time:</p> <p>(a) Such individual is living in the same household with a member of the opposite sex and the parties are holding themselves out to the community in which they reside as husband and wife; or</p>	<p>(3) Persons who legally adopt a child or his parent as well as the natural and other legally adopted children of such persons, and other relatives of the adoptive parents in accordance with State law.</p> <p>(4) Spouses of any persons named in the above groups even after the marriage is terminated by death or divorce.</p> <p>(B) A home is the family setting maintained or in process of being established, as evidenced by assumption and continuation of responsibility for day to day care of the child by the relative with whom the child is living. A home exists so long as the relative is temporarily absent from the customary family setting. Within this interpretation, the child is considered to be "living with" his relative even though</p> <p>(1) He is under the jurisdiction of the court (e.g., receiving probation services or protective supervision); or</p> <p>(2) Legal custody is held by an agency that does not have physical possession of the child.</p> <p>(vi) "<i>Student regularly attending a school, college, or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment.</i>" A child may be considered a student regularly attending a school or a training course:</p> <p>(A) If he is enrolled in and physically attending a full-time (as certified by the school or institute attended) program of study or training leading to a certificate, diploma or degree; or</p> <p>(B) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program of study or training leading to a certificate, diploma or degree and is regularly employed in or available for and actively seeking part-time employment; or</p> <p>(C) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program of study or training leading to a certificate, diploma or degree and is precluded from full-time attendance or part-time employment because of a verified physical handicap.</p>

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SSI	AFDC
<p>(b) A determination has been made for purposes of title II of the Act that such individual was married and such relationship has not been terminated; or</p> <p>(c) Such individual is married under appropriate State law.</p> <p>20 CFR 416.1070</p> <p>Living in the same household.</p> <p>(a) <i>Defined.</i> A child and his or her parent (or the parent's spouse) are living in the same household if the parent and child are sharing the same residence and the parent (or the parent's spouse) is exercising parental control and authority over the child.</p> <p>(b) <i>Provision for temporary separation.</i> A child and parent will be considered to be sharing the same residence during a periodic or temporary separation if the circumstances indicate that the child and parent have shared and again expect to share the same residence when conditions permit. However, a child is not considered to be living in the same household with a parent where the parent does not have the right to exercise parental control and authority over the child; for example, when the child is committed to a correctional institution.</p> <hr/> <p>42 USC 1332e(c)</p> <p>For purposes of this subchapter, the term "child" means an individual who is neither married nor (as determined by the Secretary) the head of a household, and who is 1) under the age of 18, or 12; under the age of 22 and (as determined by the Secretary) a student regularly attending a school, college, or university, or a course of vocational or technical training designed to prepare him for gainful employment.</p> <hr/> <p>20 CFR 416.1061</p> <p>Where regular attendance at a school, college, or university, or at a course of vocational or technical training designed to prepare the student for gainful employment, is pertinent to a determination under this</p>	<p>45 CFR 233.90(c)(2)(ii)</p> <p>(2) Federal financial participation is available in:</p> <p>(ii) Payments with respect to an unborn child when the fact of pregnancy has been determined by medical diagnosis;</p> <p>45 CFR 232.10(f)</p> <p>(f) "Applicant" and "recipient" include the caretaker relative, the children, and any other individual whose needs are considered in determining the amount of assistance.</p> <hr/> <p>45 CFR 233.10(b)(2)(ii)</p> <p>(2) The following is a summary statement regarding the groups for whom federal financial participation is available. (More detailed information is given elsewhere.)</p> <p>(i) OAA-for needy individuals under the plan who are 65 years of age or older.</p> <p>(ii) AFDC-for:</p> <p>(a) Needy children under the plan who are:</p> <p>(1) Under the age of 18, or under 21 if regularly attending a school, college or university, or regularly attending a course of vocational or technical training;</p> <p>(2) Deprived of parental support or care by reason of the death, continued absence from the home, or physical or mental incapacity of a parent, or unemployment of a father; and</p> <p>(3) Living in the home of a parent or of certain relatives specified in the Act, or in foster care under certain conditions.</p> <p>(b) The parent or other caretaker relative of a dependent child and, in certain situations, the parent's spouse.</p> <p>45 CFR 233.90(c)(1)(vi)</p> <p>(vi) "Student regularly attending a school, college or university, or regularly attending a course of vocational or technical training designed to fit him for gainful employment." A child</p>

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<p>title, the student, when so requested by the Administration, shall submit evidence of enrollment as follows:</p> <p>(a) An ID card issued by the school or institution.</p> <p>(b) A tuition receipt issued by the school of institution; or</p> <p>(c) The following information:</p> <p>(1) Name and address of the school or institution furnishing the training;</p> <p>(2) The name of the person to contact for verification; and</p> <p>(3) Information on course or courses of study, dates of enrollment, hours in attendance, and other activities of the student.</p> <p>(39 FR 30483, Aug. 23, 1974)</p> <p>20 CFR 416.1060</p> <p>(a) <i>Regular attendance.</i> A student is in regular attendance when he is determined by the Secretary to be enrolled in a course or courses of study and attending to the extent required for the purpose of continued enrollment.</p> <p>(1) A college or university at least 3 semester or quarter hours weekly;</p> <p>(2) A secondary school at least 12 clock hours weekly;</p> <p>(3) A course of vocational or technical training (other than at a secondary school, college, or university) designed to prepare the student for gainful employment (i) involving shop practice, at least 15 clock hours weekly; or (ii) without shop practice, at least 12 clock hours weekly; or</p> <p>(4) Less than the appropriate requirement in subparagraph (1), (2), or (3) of this paragraph if the Secretary determines that there are extenuating circumstances beyond the control of the student and he is pursuing a course of study reasonably comparable to the requirements in this paragraph (a)(1), (2), or (3), e.g., attendance is limited due to transportation difficulties.</p> <p>(b) <i>Home study.</i> A student shall be determined by the Secretary to be in regular attendance if he is engaged in "home study" provided by a secondary school, college, university, or a governmental agency (e.g., the home industry program under the direction of a State bureau of vocational rehabilitation) and a</p>	<p>may be considered a student regularly attending a school or a training course:</p> <p>(A) If he is enrolled in and physically attending a full-time (as certified by the school or institute attended) program of study or training leading to a certificate, diploma or degree; or</p> <p>(B) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program or study or training leading to a certificate, diploma or degree and is regularly employed in or available for and actively seeking part-time employment; or</p> <p>(C) If he is enrolled in and physically attending at least half-time (as certified by the school or institute attended) a program or study or training leading to a certificate, diploma or degree and is precluded from full-time attendance or part-time employment because of a verified physical handicap.</p> <p>Under this interpretation:</p> <p>(D) Full-time and half-time attendance are defined, as set forth in Veterans Administration requirements:</p> <p>(1) In a trade or technical school, in a program involving shop practice, full-time is 30 clock hours per week and half-time is 15 clock hours; in a program without shop practice, full-time is 25 clock hours and half-time is 12 clock hours;</p> <p>(2) In a college or university, full-time is 12 semester or quarter hours and half-time is 8 semester or quarter hours;</p> <p>(3) In a secondary school, full-time is 25 clock hours per week or 4 Carnegie units per year and half-time is 12 clock hours or 2 Carnegie units;</p> <p>(4) In a secondary education program of cooperative training or in apprenticeship training, full-time attendance is as defined by State regulation or policy; and</p> <p>(E) A child shall be considered in regular attendance in months in which he is not attending because of official school or training program vacation, illness, convalescence, or family emergency, and for the month in which he completes or discontinues his school of training program.</p>

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<p>home visitor or tutor supervises the study or training. For purposes of this section, government-sponsored courses in the various self-improvement and anti-poverty programs are deemed to be for the purposes of preparing the child for gainful employment.</p> <p>(c) <i>Exception periods.</i> A student is deemed to be in regular attendance during normal vacation periods if he is in regular attendance in the month immediately preceding and the month immediately following the vacation period.</p> <p>(d) <i>Final month of school or training program.</i> A student is deemed to be in regular attendance for the month in which he completes or discontinues his school or training program. (39 FR 30483, Aug. 23, 1974)</p>	<p>42 USC 602(a)(12)</p> <p>(a) A State plan for aid and services to needy families must...</p> <p>(12) provide, effective October 1, 1950, that no aid will be furnished any individual under the plan with respect to any period with respect to which he is receiving Old Age Assistance...</p> <p>-----</p> <p>45 CFR 206.10(a)(1)(iv)(A)</p> <p>(a) <i>State plan requirements.</i> A State plan under title I, IV-A, X, XIV, XVI, or XIX of the Social Security Act shall provide that:</p> <p>(iv) (A) Individuals found eligible for financial assistance under a State plan for title I, IV-A, X, XIV, or XVI (AABD) are eligible for medical assistance without a separate application.</p>
<p>42 USC 1382(g)</p> <p>Received aid or assistance for December 1973 under a plan of a State approved under subchapter I, X, XIV, or XVI, of this chapter, has since December 31, 1973 continuously resided in the State under the plan under which he or they received such aid or assistance for December 1973, and has, since December 31, 1973, continuously been (except for periods not in excess of 6 consecutive months) an eligible individual or an eligible spouse with respect to whom supplemental security income benefits are payable.</p>	<p>45 CFR 233.90(c)(1)(iv)</p> <p>(c) <i>Federal financial participation.</i></p> <p>(1) Federal financial participation under title IV-A of the Social Security Act in payments with respect to a "dependent child", as defined in section 406(a) of the Act, is available within the following interpretations:</p> <p>(iv) <i>"Physical or mental incapacity".</i></p> <p>"Physical or mental incapacity" of a parent shall be deemed to exist when one parent has a physical or mental defect, illness, or impairment. The incapacity shall be supported by competent medical testimony and must be of such a debilitating nature as to reduce substantially or eliminate the parent's ability to support or care for the otherwise eligible child and be expected to last for a period of at least 30 days. In making the determination of ability to support, the agency shall take into account the limited employment opportunities of handicapped individuals.</p>
<p>42 USC 1382(h)</p> <p>(h) In determining eligibility for, and the amount of, benefits payable under this section in the case of any individual or any individual and his spouse (as the case may be) who --</p> <p>(1) received aid or assistance for December 1973 under a plan of a State approved under subchapter X or XVI of this chapter.</p> <p>(2) is blind under the definition of that term in the plan, as in effect for October 1972, under which he or they received such aid or assistance for December 1973.</p> <p>(3) has, since December 31, 1973, continuously resided in the State under the plan of which he or they received such aid or assistance for December 1973, and</p>	<p>A finding of eligibility for OASDI or SSI benefits, based on disability or blindness is acceptable proof of incapacity for AFDC purposes.</p>

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<p>(4) has, since December 31, 1973, continuously been (except for periods not in excess of six consecutive months) an eligible spouse with respect to whom supplemental security income benefits are payable, there shall be disregarded an amount equal to the greater of (A) the maximum amount of any earned or unearned income which could have been disregarded under the State plan, as in effect for October 1972, under which he or they received such aid or assistance for December 1973, and (B) the amount which would be required to be disregarded under section 1382a of this title without application of this subsection.</p> <p>-----</p> <p>20 CFR 416.242</p> <p>For purposes of §416.241, a qualified individual is any individual who for the month of December 1973 was a recipient of aid or assistance under a State plan approved under title I, X, XIV, or XVI of the Social Security Act, and, in determining the need of such individual for aid or assistance for December 1973 under such State plan, there were taken into account the needs of a person other than the qualified individual (i.e., an "essential person") who:</p> <p>(a) Was living in the home of the qualified individual; and</p> <p>(b) Was not eligible in his or her own right for aid or assistance under such State plan for December 1973. (39 FR 33795, Sept. 20, 1974)</p> <p>45 CFR 205.25</p> <p>(a) In respect to any individual who is receiving supplemental security income benefits under Title XVI of the Social Security Act, the State agency shall make the following determinations:</p> <p>(1) The amount of assistance such individual would have been entitled to receive for any month under the appropriate State plan in effect for December 1973,</p>	<p>45 CFR 232.11</p> <p>(a) The State plan must provide that:</p> <p>(1) As a condition of eligibility for assistance, each applicant for or recipient of AFDC shall assign to the State any rights to support from any other person as such applicant or recipient may have:</p> <p>(i) In his own behalf or in behalf of any other family member for whom the applicant or recipient is applying for or receiving assistance; and</p> <p>(ii) Which have accrued at the time such assignment is executed.</p> <p>(2) If the relative with whom a child is living fails to comply with the requirements of paragraph (a)(1), (2) or (3) of this section, such relative shall be denied eligibility without regard to other eligibility factors.</p> <p>(3) If the relative with whom a child is living is found to be ineligible for assistance because of failure to comply with the requirements of paragraph (a) (1), (2) or (3) of this section, any aid for which such child is eligible (determined without regard to the needs of the ineligible relative) will be provided in the form of protective payments as described in § 234.60 of this chapter.</p> <p>(4) For new applicants, the requirements of paragraph (a) of this section shall be effective August 1, 1975; and for current recipients, it shall be effective as determined by the State agency but not later than the time of the next redetermination of eligibility required by § 206.10(a)(0) of this chapter and in any event not later than February 1, 1976.</p> <p>(b) An assignment by operation of State law which is substantially identical to the requirements of paragraph (a)(1) may be utilized in lieu of the assignment described in that paragraph.</p> <p>(c) If there is a failure to execute an assignment pursuant to this section, the State may attempt to establish paternity and collect child support pursuant to appropriate State statutes and regulations. (40 FR 27154, June 26, 1975, as amended at 41 FR 52376, Nov. 10, 1975)</p>

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<p>under Title I, X, XIV, or XVI, and for such purpose such individual shall be deemed to be aged, blind, or permanently and totally disabled, as the case may be, under the provisions of such plan.</p> <p>(2) The bonus value of the food stamps (according to the Food Stamp Schedule effective for July 1973) such individual would have been entitled to receive for such month, assuming the individual were receiving the assistance determined under paragraph (a)(1) of this section.</p> <p>(3) The amount of benefits such individual is receiving for such month under Title XVI, plus supplementary payments as defined in section 1616(a) of the Social Security Act and payments pursuant to section 212 of Pub. L. 93-66, if any.</p> <p>(b) If the amount determined in paragraph (a)(1) of this section plus the amount determined in paragraph (a)(2) of this section exceeds the amount determined in paragraph (a)(3) of this section, such individual shall be eligible to participate in the food stamp program established by the Food Stamp Act of 1964 or surplus commodities distribution programs established by the Secretary of Agriculture pursuant to section 416 of the Agriculture Act of 1949, Section 32 of Pub. L. 74-320, or any other law, in accordance with regulations and procedures established by the Secretary of Agriculture.</p> <p>(c) For purposes of paragraph (a)(3) of this section, the State agency shall obtain the amount of the title XVI payment and the amount of any Federally administered State supplementary payment from the Social Security Administration.</p> <p>(d) The State agency shall redetermine the eligibility of individuals to participate in the food stamp or surplus commodities distribution programs hereunder at such times as the Secretary of Agriculture requires re-certification for such stamps or commodities. (Sec. 1102, 49 Stat. 647 (42 U.S.C. 1302).) (36 FR 34324, Dec. 13, 1973)</p> <p>45 CFR 206.10(a)(1)(iv)</p> <p>(a) <i>State plan requirements.</i> A State plan under title I, IV-A, X, XIV, XVI, or XIX of the Social Security Act shall provide that:</p>	<p>45 CFR 232.20</p> <p>(a) The State plan must provide that in any case in which child support payments are collected for a recipient of AFDC with respect to whom an assignment under section 232.11 is effective:</p> <p>(1) Upon notification to the IV-A agency by the IV-D agency of the amount of a child support collection, such amount will be used to redetermine eligibility for an assistance payment the first time it is feasible for the IV-A agency to consider such collections in redetermining eligibility for and the amount of the assistance payment. This use of these amounts so collected shall not be later than the second month after the month in which the collections were made by the IV-D agency. In determining whether a child support collection made by the State's IV-D agency, which represents support amounts for a month as determined pursuant to § 302.51(a) of this title, is sufficient to make the family ineligible for an assistance payment for the month to which the redetermination applies, the State will determine if such collection, when treated as if it were income, makes the family ineligible for an assistance payment. If such treatment makes the family ineligible, the child support for the month will be considered to be income and the IV-A agency will notify the family and will inform the IV-D agency to pay such collection to the family in the month for which the family was determined to be ineligible. If such treatment does not make the family ineligible for an assistance payment, such collection will not be considered to be income and will be retained by the State's IV-D agency for distribution pursuant to § 302.51 of Chapter III of this title and the assistance payment will be calculated without regard to such collection.</p> <p>(2) Any payment received pursuant to § 302.51(b)(1) shall not be considered as income or as a resource.</p> <p>(3) Any payment received pursuant to § 302.51(b)(3) or (5) shall be treated as income in the month following the month to which the redetermination in paragraph (1) applies.</p>

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<p>(iv)(A) Individuals found eligible for financial assistance under a State plan for title I, IV-A, X, XIV, or XVI (AABD) are eligible for medical assistance without a separate application.</p> <p>(B) Aged, blind, or disabled individuals found eligible for Supplementary Security Income benefits under title XVI of the Act or for State supplemental payments are eligible for medical assistance without a separate application to the extent provided for in agreements between the individual's State of residence and the Social Security Administration; and</p> <p>(C) Individuals not found eligible as specified in paragraph (a)(1)(iv) (A) or (B) of this section must file a separate application for medical assistance.</p> <hr/> <p>42 U.S.C. 1320a-10</p> <p>No person shall be an eligible spouse for purposes of this subchapter if, after notice to such person by the Secretary that it is likely such person is eligible for any payments of the type enumerated in section 1320a-10(c) of this title, such person fails within 30 days to take all appropriate steps to apply for and (if eligible) obtain any such payments.</p> <hr/> <p>20 CFR 416.1240</p> <p>(a) Where the resources of an individual (and spouse, if any) are determined to exceed the limitations prescribed in §416.1205, such individual (and spouse, if any) shall not be eligible for payment except under the conditions provided in this section. Payment will be made to an individual (and spouse, if any) if:</p> <p>(1) Total includable resources do not exceed \$3,000 in the case of an individual or \$4,500 in the case of an individual and spouse; and</p> <p>(2) Total includable liquid resources (as defined in §416.1201) do not exceed one-fourth of the applicable dollar amount specified in section 1611(b)(1) of the Act in the case of an individual and in section 1611(b)(2) in the case of an individual and spouse; and</p>	<p>(b) From any amounts of assistance payments which are reimbursed by child support collections made by the IV-D agency, the IV-A agency shall pay the Federal government its share of the collections made, after the incentive payments, if any, have been made pursuant to § 302.52 of Chapter III of this title.</p>

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<p>(3) The individual agrees in writing to:</p> <p>(i) Dispose of the nonliquid resources (as defined in §416.1201) in excess of the limitations prescribed in §416.1205 within the time period specified in §416.1242; and</p> <p>(ii) Repay any overpayments (as defined in §416.1244) with the proceeds of such disposition.</p> <p>(b) Payment made for the period during which the resources are being disposed of will be conditioned upon the disposition of resources prescribed in paragraph (a)(3) of this section. Any payments so made are (at the time of disposition) considered overpayments to the extent they would not have been paid had the disposition occurred at the beginning of the period for which such payments were made.</p> <p>20 CFR 416.1242</p> <p>(a) In order for payment conditioned on the disposition of nonliquid resources to be made, the individual must agree in writing to dispose of real property within 6 months and other property within 3 months. The time period will begin on the date the written agreement to dispose of the resources is signed by the individual. However, in case of an individual who is disabled, the time period will begin with the date the individual is determined to be disabled.</p> <p>(b) The 6 and 3 month time periods for disposition will be extended an additional 3 months where it is found that the individual had "good cause" for failing to dispose of the resources within the original time period.</p> <p>(c) An individual will be found to have "good cause" for failing to dispose of a resource if, despite reasonable and diligent effort on his part, he was prevented by circumstances beyond his control from disposing of the resource.</p> <p>20 CFR 416.1001</p> <p>(a) <i>Marital relationship.</i> In determining whether an aged, blind, or disabled individual may receive payments under title XVI of the Social Security Act, the income</p>	

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<p>and resources tests which such individual must meet (see Subparts K and L of this Part 416) may depend in part on whether such individual has a spouse, whether such individual and such spouse are living in the same household (or have been separated for less than 6 months) and whether such spouse is also an aged, blind, or disabled individual. If a husband and wife are both aged, blind, or disabled individuals living in the same household, or if such husband and wife have been separated for less than 6 months, and such husband and wife are eligible for payments under title XVI of the Act, such payments shall be made to them as a couple. The payment made for an eligible couple will be less than the sum of the separate amounts which they could receive if each was an eligible individual. The eligibility of an individual or couple for a payment for a month will be based on their marital status on the first day of the month. Any subsequent change in marital status within a month will not affect eligibility for, or the amount of, the payment for such month. The special income and resources tests (see Subparts K and L of this part 416) which may be applicable to a blind or disabled individual who is under age 18, or who is a student age 18 but under age 22 regularly attending school, apply only if such blind or disabled individual is not married.</p> <p>(b) <i>Parent-child relationship.</i> Where a blind or disabled individual applies for payments under title XVI of the Act, and such blind or disabled individual is under age 18, or under age 22 and a student, the method of computing such blind or disabled individual's income and resources may be affected if:</p> <p>(1) Such blind or disabled individual is under age 21 and is living with a parent (or such parent's spouse) and such parent (or such parent's spouse, if any) has income or resources; or</p> <p>(2) Such blind or disabled individual is living apart from his or her parent but receiving payments from such parent for such individual's support.</p> <p>(c) <i>Determining relationship.</i> The rules for determining whether an aged, blind or disabled individual applying for (or receiving) payments under title XVI of the Act is married are set forth in §416.1003-</p>	

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<p>416.1041. For determining whether the person with whom a blind or disabled individual is living, or from whom such individual is receiving payments for support, is such individual's parent (or the spouse of such parent), see §416.1052 and 416.1053.</p>	

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FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
<p>7 CFR 270.2(xx)</p> <p>(xx) "Student" means an individual who is attending at least half-time, as defined by the institution, a pre-school, kindergarten, grade school, high school, vocational school, technical school, training program, college or university.</p> <p>7 CFR 271.1(a)(4)(i)(b, c)</p> <p>(a) <i>Federally donated foods.</i> In areas where the program is in operation, there shall be no distribution of federally donated foods to households, except that such distribution may be made:</p> <p>(4) On request of the State agency, under the following conditions:</p> <p>(i) Factual information showing the need for concurrent operation of both programs in the same area is submitted by the State agency to the Secretary of Agriculture together with proposed amendments to the State agency's plan of operation which shall set forth, among other things, that:</p> <p>(b) The household eligibility requirements and certification procedures prescribed in this subchapter will be applied by the State agency in determining the eligibility of all applicant households whether for the Food Stamp Program or for federally donated foods;</p> <p>(c) The State agency will establish controls which will prevent any household from participating in the program and also simultaneously receiving household distribution of federally donated foods; and</p>	<p>Sec. 3(g)(6)</p> <p>(6) in the case of certain eligible households living in Alaska, equipment for procuring food by hunting and fishing, such as nets, hooks, rods, harpoons, and knives (but not equipment for purposes of transportation, clothing, or shelter, and not firearms, ammunition, and explosives) if the Secretary determines that such households are located in an area of the State where it is extremely difficult to reach stores selling food and that such households depend to a substantial extent upon hunting and fishing for subsistence.</p> <p>Sec. 6(a-c, f)</p> <p>(a) In addition to meeting the standards of eligibility prescribed in section 5 of this Act, households and individuals who are members of eligible households must also meet and comply with the specific requirements of this section to be eligible for participation in the food stamp program.</p> <p>(b) No individual who is a member of a household otherwise eligible to participate in the food stamp program shall be eligible to participate for (1) three months after such individual has been found by a State agency after notice and hearing at the State level, or after failure to appeal a local hearing to the State level, to have fraudulently used, presented, transferred, acquired, received, possessed, or altered coupons or authorization cards, or (2) a period of not less than six and not more than twenty-four months, as determined by the court, after such individual has been found by a court of appropriate jurisdiction, with a State or a political subdivision thereof or the United States as prosecutor or plaintiff, to have been criminally or civilly fraudulent in the use, presentation, transfer, acquisition, receipt, possession, or alteration of coupons or authorization cards, or (3) both of the periods specified in clauses (1) and (2) of this subsection. Each such period of ineligibility is to take effect immediately upon the relevant administrative or judicial finding and to remain in effect, without possibility of administrative stay, unless and until the finding of fraud is subsequently reversed</p>
<p>7 CFR 271.1(e)</p> <p>(e) <i>Residency and citizenship.</i> No durational residency requirement shall be imposed as a condition of eligibility by any State or project area. However, each State agency shall prohibit participation in the program by any person who is not either (1) a citizen or (2) an alien lawfully admitted for permanent residence or otherwise permanently residing in the United States under color of law (including any alien who is lawfully present in the United States as a result of the application of the provisions of Section 203(a)(7) or Section 212(d)(5) of the Immigration and Nationality Act).</p>	

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FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
<p>7 CFR 271.3(a)(1, 5)</p> <p>(a) <i>Household.</i> (1) Eligibility for and participation in the program shall be on a household basis.</p> <p>(i) Eligibility shall be denied or terminated if the applicant household refuses to cooperate in providing information necessary for making a determination of eligibility or ineligibility. Applicants must assure that all statements made on the application are correct and complete.</p> <p>(ii) If a participating food stamp household refuses to cooperate in providing the information necessary to complete a quality control review, the household may be subject to denial of further and/or future food stamp benefits. However, after such action and subsequent termination or denial, a household may reapply and be certified for participation in the Food Stamp Program: <i>Provided</i>, That the household cooperates fully and completely in supplying to the certifying officer full verification of all information prior to such certification.</p> <p>(iii) Households shall report or cause to be reported to the State agency any of the following changes which occur during the certification period within 10 days of the date when such changes become known to the household: (a) changes in gross monthly income in excess of \$25; (b) changes in total monthly deductible expenses in excess of \$25; and (c) changes in any other household circumstances which are required to be reported by the application form. The State agency shall take necessary action on all changes within 10 days from the date they are reported (1) to insure the issuance of a notice of adverse action, where appropriate, and that these changes will be reflected in the first issuance period after the expiration of the advance notice period; or, (2) for all other changes affecting the household's eligibility or basis of issuance, to insure the change is reflected by no later than the first issuance period after the 10th day from the date the change was reported.</p> <p>(5) Eligible households in Alaska residing in areas determined by FNS as areas where access to retail food stores is difficult and who rely substantially on hunting and fishing for subsistence may use all or any part of the coupons issued to them to purchase hunting and fishing equipment, excluding firearms, ammunition and other explosives.</p>	<p>by a court of appropriate jurisdiction, but in no event shall the period of disqualification be subject to judicial review.</p> <p>(c) No household shall be eligible to participate in the food stamp program if it refuses to cooperate in providing information to the State agency that is necessary for making a determination of its eligibility or for completing any subsequent review of its eligibility. Every household that is participating in the food stamp program shall report or cause to be reported to the State agency, on a form designed or approved by the Secretary (that shall contain a description in understandable terms in prominent and boldface lettering of the appropriate civil and criminal provisions dealing with violations of this Act, including the penalties therefor, by members of an eligible household) changes in income or household circumstances which the Secretary deems necessary in order to assure accurate eligibility and benefit determinations. The reporting requirement prescribed by this subsection shall be the sole such requirement for reporting changes in income or in household circumstances for participating households.</p> <p>(f) No individual who is a member of a household otherwise eligible to participate in the food stamp program under this section shall be eligible to participate in the food stamp program as a member of that or any other household unless he or she is (1) a resident of the United States and (2) either (A) a citizen or (B) an alien lawfully admitted for permanent residence as an immigrant as defined by sections 101(a)(20) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15) and 8 U.S.C. 1101(a)(20), excluding, among others, alien visitors, tourists, diplomats, and students who enter the United States temporarily with no intention of abandoning their residence in a foreign country; or (C) an alien who entered the United States prior to June 30, 1948, or such subsequent date as is enacted by law, has continuously maintained his or her residence in the United States since then, and is not ineligible for citizenship, but who is deemed to be lawfully admitted for permanent residence as a result of an exercise of discretion by the Attorney General pursuant to section 249 of the Immigration and Nationality Act (8 U.S.C. 1259); or</p>

FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
	<p>(D) an alien who has qualified for conditional entry pursuant to section 203(a)(7) of the Immigration and Nationality Act (8 U.S.C. 1153(a)(7)) because of persecution or fear of persecution on account of race, religion, or political opinion or because of being uprooted by catastrophic natural calamity; or (E) an alien who is lawfully present in the United States as a result of an exercise of discretion by the Attorney General for emergent reasons or reasons deemed strictly in the public interest pursuant to section 212(d)(5) of the Immigration and Nationality Act (8 U.S.C. 1182(d)(5)); or (F) an alien within the United States as to whom the Attorney General has withheld deportation pursuant to section 243 of the Immigration and Nationality Act (8 U.S.C. 1253(h)) because of the judgment of the Attorney General that the alien would otherwise be subject to persecution on account of race, religion, or political opinion. No aliens other than the ones specifically described in clauses (B) through (F) of this subsection shall be eligible to participate in the food stamp program as a member of any household.</p> <p>Sec. 11(c,e)</p> <p>(c) In the certification of applicant households for the food stamp program, there shall be no discrimination by reason of race, sex, religious creed, national origin, or political beliefs.</p> <p>(e) The State plan shall provide, among such other provisions as may be required by regulation --</p> <p>(5) the specific standards to be used in determining the eligibility of applicant households which shall be in accordance with sections 5 and 6 of this Act and shall include no additional requirements imposed by the State agency;</p>

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<p>42 USC 1382(a)(1-3)</p> <p>(1) Each aged, blind or disabled individual who does not have an eligible spouse and (A) whose income, other than income excluded pursuant to section 1382a(b) of this title, is at a rate of not more than \$1,752 (or, if greater, the amount determined under section 1382f of this title) for the calendar year 1974, or any calendar year thereafter, and</p> <p>(B) whose resources, other than resources excluded pursuant to section 1382b (a) of the title, are not more than (i) in case such individual has a spouse with whom he is living, \$2,250, or (ii) in case such individual has no spouse with whom he is living, \$1,500, shall be an eligible individual for purposes of this subchapter. (2) Each aged, blind, or disabled individual who has an eligible spouse and --</p> <p>(A) whose income (together with the income of such spouse), other than income excluded pursuant to section 1382a(b) of this title, is at a rate of not more than \$2,628 (or, if greater, the amount determined under section 1382f of this title) for the calendar year 1974, or any calendar year thereafter, and (B) whose resources (together with the resources of such spouse), other than resources excluded pursuant to section 1382b(a) of this title, are not more than \$2,250, shall be an eligible individual for purposes of this subchapter.</p> <p>-----</p> <p>20 CFR 416.120(c)(2)</p> <p>(2) "Income" means the receipt by an individual of any property or service which he can apply, either directly or by sale or conversion, to meeting his basic needs (see Subpart K of this part).</p> <p>20 CFR 416.1102</p> <p>(a) <i>Meaning of income.</i> The term "income" for purposes of title XVI means the receipt by an individual of any property or service which he can apply, either directly or by sale or conversion, to meeting his basic needs for food, clothing, and shelter.</p> <p>(b) <i>Earned income.</i> The term "earned income" is defined in section 1612(a)(1)</p>	<p>42 USC 602(a)(7)</p> <p>except as may be otherwise provided in clause (8), provide that the State agency shall, in determining need, take into consideration any other income and resources of any child or relative claiming aid to families with dependent children, or of any other individual (living in the same home as such child and relative) whose needs the State determines should be considered in determining the need of the child or relative claiming such aid, as well as any expenses reasonably attributable to the earning of any such income...</p> <p>-----</p> <p>45 CFR 232.11</p> <p>(a) The State plan must provide that:</p> <p>(1) As a condition of eligibility for assistance, each applicant for or recipient of AFDC shall assign to the State any rights to support from any other person as such applicant or recipient may have:</p> <p>(i) In his own behalf or in behalf of any other family member for whom the applicant or recipient is applying for or receiving assistance; and</p> <p>(ii) Which have accrued at the time such assignment is executed.</p> <p>(2) If the relative with whom a child is living fails to comply with the requirements of paragraph (a) (1), (2), or (3) of this section, such relative shall be denied eligibility, without regard to other eligibility factors.</p> <p>(3) If the relative with whom a child is living is found to be ineligible for assistance because of failure to comply with the requirements of paragraph (a) (1), (2), or (3) of this section, any aid for which such child is eligible (determined without regard to the needs of the ineligible relative) will be provided in the form of protective payments as described in § 234.60 of this chapter.</p> <p>(4) For new applicants, the requirements of paragraph (a) of this section shall be effective August 1, 1975; and for current recipients, it shall be effective as determined by the State agency but not later than the time of the next redetermination of eligibility required by § 206.10(a)(9) of this chapter and in any event not later than February 1, 1976.</p>

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<p>of the Act by reference to the definition of wages for purposes of the earnings test (see §404.429(c) of this chapter) and net earnings from self-employment for coverage purposes (see §404.1050 of this chapter) under title II of the Act.</p> <p>(c) "Unearned income". The term "unearned income" means all income that is not included in the definition of "earned income" (see §§416.1125-416.1138).</p> <p>20 CFR 416.1115</p> <p>(a) The amount of a beneficiary's countable income for a calendar quarter (or shorter period, when applicable) is used to determine whether he is or is not eligible for benefits under title XVI of the Act and if he is eligible, the amount payable. Countable income is the amount of an individual's income which affects payments under title XVI. It is the sum of:</p> <p>(a) His total earned income (as set forth in §416.1102(b)) less his earned income exclusions (as set forth in §§416.1145-416.1175); and</p> <p>(2) His total unearned income (as set forth in §416.1102(c)) less his unearned income exclusions (as set forth in §§416.1145-416.1175).</p> <p>(b) The countable income so determined may not have a negative value; it never can be less than zero. After countable income has been determined for a calendar quarter (or month, where applicable), the benefit payable is computed in accordance with Subpart D of this part.</p> <p>20 CFR 416.1180</p>	<p>(b) An assignment by operation of State law which is substantially identical to the requirements of paragraph (a) (1) may be utilized in lieu of the assignment described in that paragraph.</p> <p>(c) If there is a failure to execute an assignment pursuant to this section, the State may attempt to establish paternity and collect child support pursuant to appropriate State statutes and regulations.</p> <p>(40 FR 27154, June 26, 1975, as amended at 41 FR 52376, Nov. 10, 1975)</p> <p>45 CFR 232.20</p> <p>(a) The State plan must provide that in any case in which child support payments are collected for a recipient of AFDC with respect to whom an assignment under section 232.11 is effective:</p> <p>(1) Upon notification to the IV-A agency by the IV-D agency of the amount of a child support collection, such amount will be used to redetermine eligibility for an assistance payment the first time it is feasible for the IV-A agency to consider such collections in redetermining eligibility for and the amount of the assistance payment. This use of these amounts so collected shall not be later than the second month after the month in which the collections were made by the IV-D agency. In determining whether a child support collection made by the State's IV-D agency, which represents support amounts for a month as determined pursuant to § 302.51(a) of this title, is sufficient to make the family ineligible for an assistance payment for the month to which the redetermination applies, the State will determine if such collection, when treated as if it were income, makes the family ineligible for an assistance payment. If such treatment makes the family ineligible, the child support for the month will be considered to be income and the IV-A agency will notify the family and will inform the IV-D agency to pay such collection to the family in the month for which the family was determined to be ineligible. If such</p>
<p>Except as otherwise noted, income is counted in determining eligibility for and the amount of benefits payable under title XVI when it is actually received or is counted when constructively received (see §404.1026(b)(2) of this chapter), whichever first occurs. In general, this is the first point at which it is available to the individual to use. For example, interest on a regular savings account is counted when credited to the account. Generally, wages will be counted when received. Net</p>	

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<p>earnings from self-employment will be allocated and counted as received equally in each quarter of the individual's taxable year.</p> <p>20 CFR 416.1101</p> <p>(a) Under title XVI of the Act, an individual's income includes all of his own income in cash or in kind, both earned income and unearned income. It also includes all of the income of his or her eligible spouse (as defined in §416.120(c)(14)). In addition, an individual's income is deemed to include:</p> <p>(1) (i) Certain income of his or her ineligible spouse who lives in the same household, or</p> <p>(ii) If the individual is a child as defined in §416.1050 and under age 21, certain income of his parent and the spouse of such parent who live in the same household, and</p> <p>(2) The income of an essential person as provided by §416.1190.</p> <p>(b) If countable income (see §416.1115) equals or exceeds the standard payment amount as set forth in Subpart D of this part, no Federal benefit under title XVI may be paid for that quarter (or month where applicable).</p> <p>20 CFR 416.1055</p> <p>In determining income and resources, certain inclusions and exclusions apply where the eligible individual meets the definition of a child. The special income and resources provisions applicable where a child is an eligible individual are:</p> <p>(a) Exclusion of his earned income within prescribed limits (see §416.1163) where the eligible individual is a child who is also a student regularly attending school;</p> <p>(b) Exclusion of one-third of any payment received from an absent parent for the eligible individual's support (see §416.1161); and</p> <p>(c) Inclusion (see formula in §416.1185 (b)) of the income and resources of a parent (or the parent's spouse) while the child is under age 21 and living in the same household (see §416.1070) with such parent (or the parent's spouse).</p>	<p>treatment does not make the family ineligible for an assistance payment, such collection will be retained by the State's IV-D agency for distribution pursuant to §302.51 of Chapter III of this title and the assistance payment will be calculated without regard to such collection.</p> <p>(2) Any payment received pursuant to §302.51(b)(1) shall not be considered as income or as a resource.</p> <p>(3) Any payment received pursuant to §302.51(b)(3) or (5) shall be treated as income in the month following the month to which the redetermination in paragraph (1) applies.</p> <p>(b) From any amounts of assistance payments which are reimbursed by child support collections made by the IV-D agency, the IV-A agency shall pay the Federal government its share of the collections made, after the incentive payments, if any, have been made pursuant to §302.52 of Chapter III of this title.</p> <p>45 CFR 233.20(a)(1)</p> <p>As amended, 41 FR 54489</p> <p>(a) <i>Requirements for State Plans.</i> A State Plan for QAA, AFDC, AB, APTD or AABD must, as specified below:</p> <p>(1) <i>General.</i> (1) Provide that the determination of need and amount of assistance for all applicants and recipients will be made on an objective and equitable basis and all types of income will be taken into consideration in the same way except where otherwise specifically authorized by Federal statute and (ii) Provide that individuals receiving SSI benefits under title XVI for the period for which such benefits are received, shall not be included in the AFDC assistance unit for purposes of determining need and the amount of the assistance payment. Under this requirement, "individuals receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under <u>section 212</u> of Pub. L. 93-66.</p>

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<p>20 CFR 416.221</p> <p>(a) <i>First month of quarter.</i> When an effective application for payments (see Subpart C of this part) is filed in the first month of a calendar quarter, a determination of eligibility and payment amount is made based on all countable income (see Subpart K of this part) received or expected to be received in that quarter.</p> <p>(b) <i>Second or third month of quarter.</i> When an application is filed in the second or third month of a calendar quarter, the determination of eligibility for those months is made on a monthly basis. The amount of countable income received or expected to be received during the month is deducted from the standard payment amount applicable for that month. Income received in the month or months prior to application is not charged against the applicable payment rate. The period for which an initial determination of eligibility is made begins with the month in which application is effectively filed (see Subpart C) and continues until the scheduled redetermination or until a change in status occurs requiring an earlier redetermination.</p>	<p>45 CFR 233.20(a)(2)</p> <p>(2) <i>Standards of assistance.</i> (1) Specify a statewide standard, expressed in money amounts, to be used in determining (a) the need of applicants and recipients and (b) the amount of the assistance payment.</p> <p>(ii) In the AFDC plan, provide that by July 1, 1969, the State's standard of assistance for the AFDC program will have been adjusted to reflect fully changes in living costs since such standards were established, and any maximums that the State imposes on the amount of aid paid to families will have been proportionately adjusted. In such adjustment a consolidation of the standard (i.e., combining of items) may not result in a reduction in the content of the standard. In the event the State is not able to meet need in full under the adjusted standard, the State may make ratable reductions in accordance with subparagraph (3)(viii) of this paragraph. Nevertheless, if a State maintains a system of dollar maximums these maximums must be proportionately adjusted in relation to the updated standards.</p> <p>(iii) Provide that the standard will be uniformly applied throughout the State.</p> <p>(iv) Include the method used in determining needs, which must be one of the three methods described in "Guides and Recommendations" or a comparable method which meets the conditions specified in such guides and is approved by the Assistance Payments Administration.</p> <p>(v) If the State agency includes special need items in its standard, (a) describe those that will be recognized, and the circumstances under which they will be included, and (b) provide that they will be considered in the need determination for all applicants and recipients requiring them.</p>
<p>20 CFR 416.241</p> <p>In determining (for purposes of this part) the eligibility for and the amount of the supplemental security income benefit payable to any qualified individual (as defined in §416.242) with respect to any period for which such individual has in his home an essential person (as defined in §416.243), the standard payment amount as prescribed in section 1611 of the Act shall be increased if consideration of the income and resources of such essential person would not cause the qualified individual to lose eligibility for such benefits. In determining whether the qualified individual loses eligibility on the basis of the income of the essential person, the standard of payment against which such income will be</p>	<p>(vi) If the State chooses to establish the need of the individual on a basis that recognizes, as essential to his well-being the presence in the home of other needy individuals, (a) specify the persons whose needs will be included in the individual's need, and (b) provide that the decision as to whether any individual will be recognized as essential to the recipient's well-being shall rest with the recipient.</p> <p>(vii) Provide that assistance payments to any tenant or group of tenants in low-rent housing will not be reduced because of the rent reduction resulting from the application of the not more than 25 percent</p>

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<p>applied is that prescribed in section 1611 (a) of the Act plus the essential person increment(s). The resource limitation in §416.1205 (as modified by §416.1260, where applicable) will apply.</p> <p>20 CFR 416.1001</p> <p>(a) <i>Marital relationship.</i> In determining whether an aged, blind, or disabled individual may receive payments under title XVI of the Social Security Act, the income and resources tests which such individual must meet (see Subparts K and L of this Part 416) may depend in part on whether such individual has a spouse, whether such individual and such spouse are living in the same household (or have been separated for less than 6 months) and whether such spouse is also an aged, blind, or disabled individual. If a husband and wife are both aged, blind, or disabled individuals living in the same household, or if such husband and wife have been separated for less than 6 months, and such husband and wife are eligible for payments under title XVI of the Act, such payments shall be made to them as a couple. The payment made for an eligible couple will be less than the sum of the separate amounts which they could receive if each was an eligible individual. The eligibility of an individual or a couple for a payment for a month will be based on their marital status on the first day of the month. Any subsequent change in marital status within a month will not affect eligibility for, or the amount of, the payment for such month. The special income and resources tests (see Subparts K and L of this part 416) which may be applicable to a blind or disabled individual who is under age 18, or who is a student age 18 but under age 22 regularly attending school, apply only if such blind or disabled individual is not married.</p> <p>(b) <i>Parent-child relationship.</i> Where a blind or disabled individual applies for payments under title XVI of the Act, and such blind or disabled individual is under age 18, or under age 22 and a student, the method of computing such blind or disabled individual's income and resources may be affected if:</p>	<p>of income rent limitation in section 2(1) of the U.S. Housing Act of 1937 as amended, 42 U.S.C. 1402(1). Under this requirement, if a State provides for shelter on an "as paid" basis, the amount recognized for shelter for a public housing tenant is the amount that would have been recognized on December 22, 1971, for a tenant in the same assistance program with like family composition living in the public housing unit.</p> <p>45 CFR 233.20(a)(3)(i, ii)</p> <p>(3) <i>Income and resources: OAA, AFDC, AB, APTD, AABD.</i> (1) Specify the amount and types of real and personal property, including liquid assets, that may be reserved, i.e., retained to meet the current and future needs while assistance is received on a continuing basis. In addition to the home, personal effects, automobile and income producing property allowed by the agency, the amount of real and personal property, including liquid assets, that can be reserved for each individual recipient shall not be in excess of two thousand dollars. Policies may allow reasonable proportions of income from businesses or farms to be used to increase capital assets, so that income may be increased.</p> <p>(ii) Provide that, in determining need and the amount of the assistance payments, after all policies governing the reserves and allowances and disregard or setting aside of income and resources referred to in this section have been uniformly applied:</p> <p>(A) in determining need, all remaining income and resources shall be considered in relation to the State's need standard;</p> <p>(B) in determining financial eligibility and the amount of the assistance payment, all remaining income and resources may, at the State's option, be considered in relation to the State's need standard, or the State's payment standard;</p> <p>(C) If agency policies provide for allocation of the individual's income as necessary for the support of his dependents, such allocation shall not exceed the total amount of their need as determined by the State's need standard;</p>

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<p>(1) Such blind or disabled individual is under age 21 and is living with a parent (or such parent's spouse) and such parent (or such parent's spouse, if any) has income or resources; or</p> <p>(2) Such blind or disabled individual is living apart from his or her parent but receiving payments from such parent for such individual's support.</p> <p>(c) <i>Determining Relationship.</i> The rules for determining whether an aged, blind, or disabled individual applying for (or receiving) payments under title XVI of the Act is married are set forth in §§416.1003-416.1041. For determining whether the person with whom a blind or disabled individual is living, or from whom such individual is receiving payments for support, is such individual's parent (or the spouse of such parent), see §§416.1052 and 416.1053.</p> <p>DEEMING OF INCOME</p> <p>20 CFR 416.1190</p> <p>In the case of a qualified individual (as defined in §416.242) whose payment standard has been increased because of the presence of an essential person (as defined in §416.243), the income of such qualified individual shall be deemed to include all the income of such essential person. The income deemed to the qualified individual shall be treated as unearned income. If such qualified individual would not meet the income criteria for eligibility (as defined in §416.241) because of this deemed income, then the payment standard increase because of the essential person will be nullified and the provision of this section will not apply; essential person status is lost permanently. However, if such essential person is the ineligible spouse of the qualified individual, or a parent (or spouse of a parent) of the qualified individual who is a child under age 21, then the income of such person will be deemed to such qualified individual in accordance with the provisions of section 1614(f) of the Act and §416.1185 of this subpart.</p> <p>(Secs. 1102 and 1611, Social Security Act; sec. 211 Pub. Law 93-66; sec. 4, Public Law 93-233; 49 Stat. 647, as amended; 86 Stat. 1466,</p>	<p>(D) net income available for current use and currently available resources shall be considered; income and resources are considered available both when actually available and when the applicant or recipient has a legal interest in a liquidated sum and has the legal ability to make such sum available for support and maintenance;</p> <p>(E) income and resources will be reasonably evaluated.</p> <p>45 CFR 233.20(a)(3)(vi) As amended, 41 FR 54489-90</p> <p>(3) . . .</p> <p>(vi) If the State agency holds relatives responsible for the support of applicants and recipients, (a) include an income scale for use in determining whether responsible relatives have sufficient income to warrant expectation that they can contribute to the support of applicants or recipients, which income scale exceeds a minimum level of living and at least represents a minimum level of adequacy that takes account of the needs and other obligations of the relatives; and (b) provide that no request will be made for contributions from relatives whose net cash income is below the income scale. In family groups living together, income of the spouse is considered available for his spouse and income of a parent is considered available for children under 21, except that, under the AFDC plan, if a spouse or parent is receiving SSI benefits under title XVI, then, for the period for which such benefits are received, his income and resources shall not be counted as income and resources available to the AFDC unit. For purposes of this exception, "a spouse or parent receiving SSI benefits" includes a spouse or parent receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under section 212 or Pub. L. 93-66.</p> <p>45 CFR 233.20(a)(4)(i)</p> <p>All income must be included such as social security or other benefits, earnings, contributions from relatives, or other income the individual may have.</p>

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<p>as amended; 87 Stat. 154, as amended; 87 Stat. 953 (42 U.S.C. 1302, 1382, 1382nts)) (39 FR 33796, Sept. 20, 1974)</p> <p>42 USC 1382 a(a)(1)(A)(B)</p> <p>For the purposes of this subchapter, income means both earned and unearned income; and --</p> <p>(1) earned income means only --</p> <p>(A) wages as determined under section 403(c) (5)(C) of this title; and (B) net earnings from self-employment, as defined in section 411 of this title (without the application of the second and third sentences following subsection (a)(10), and the last paragraph of subsection (a), including earnings for services described in paragraphs (4), (5), and (6) of subsection (c).</p> <p>-----</p> <p>20 CFR 416.1102(b)</p> <p>(b) <i>Earned income.</i> The term "earned income" is defined in section 1612(a)(1) of the Act by reference to the definition of wages for purposes of the earnings test (see §404.429(c) of this chapter) and net earnings from self-employment for coverage purposes (see §404.1050 of this chapter) under title II of the Act.</p> <p>20 CFR 404.429</p> <p>(a) <i>General.</i> When the term "earnings" is used in this subpart other than as a part of the phrase "net earnings from self-employment" it means an individual's earnings for a taxable year after 1954. It includes the sum of his wages for services rendered in such year, and his net earnings from self-employment for the taxable year, minus any net loss from self-employment for the same taxable year.</p> <p>(b) <i>Net earnings from self-employment; net loss from self-employment.</i> An individual's net earnings from self-employment and his net loss from self-employment are determined under the provisions in Subpart K of this part except that:</p>	<p>45 CFR 233.20(a)(6)(iii-v, vii)</p> <p>(iii) The term "earned income" encompasses income in cash or in kind earned by a needy individual through the receipt of wages, salary, commissions, or profit from activities in which he is engaged as a self-employed individual or as an employee. Such earned income may be derived from his own employment, such as a business enterprise, or farming; or derived from wages or salary received as an employee. It includes earnings over a period of time for which settlement is made at one given time, as in the instance of sale of farm crops, livestock, or poultry. In considering income from farm operation, the option available for reporting under OASDI, namely, the "cash receipts and disbursements" method, i.e., a record of actual gross, of expenses, and of net, is an individual determination and is acceptable also for public assistance.</p> <p>(iv) With reference to commissions, wages, or salary, the term "earned income" means the total amount, irrespective of personal expenses, such as income-tax deductions, lunches, and transportation to and from work, and irrespective of expenses of employment which are not personal, such as the cost of tools, materials, special uniforms, or transportation to call on customers.</p> <p>(v) With respect to self-employment, the term "earned income" means the total profit from business enterprise, farming, etc., resulting from a comparison of the gross income received with the "business expenses," i.e., total cost of the production of income. Personal expenses, such as income-tax payments, lunches, and transportation to and from work, are not classified as business expenses.</p> <p>(vii) With regard to the degree of activity, earned income is income produced by a result of the performance of services by a recipient; in other words, income which the individual earns by his own efforts, including managerial responsibilities, would be properly classified as earned income, such as management of capital investment in real estate. Conversely, for example, in the instance of capital investment wherein the individual carries no specific responsibility, such as where rental properties are in the hands of rental agencies and the check is forwarded to the recipient, the income would not be classified as earned income.</p>

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<p>(1) For the purposes of this section, the provisions in Subpart K of this part shall not apply that exclude from the definition of "trade or business" the following occupations:</p> <p>(i) The performance of the functions of a public office;</p> <p>(ii) The performance of service of a duly ordained, commissioned, or licensed minister of a church in the exercise of his ministry or by a member of a religious order in the exercise of duties required by the order;</p> <p>(iii) The performance of service by an individual in the exercise of his profession as a Christian Science practitioner;</p> <p>(iv) For taxable years ending before 1965, the performance by an individual in the exercise of his profession as a doctor of medicine;</p> <p>(v) For taxable years ending before 1956, the performance of service by an individual in the exercise of his profession as a lawyer, dentist, osteopath, veterinarian, chiropractor, naturopath, or optometrist.</p> <p>(2) For the sole purpose of the retirement test under this subpart, an individual's gross earnings for taxable years beginning after December 1964 may not include royalties received in or after the year in which the individual attained age 65 if the royalties are attributable to a copyright or patent obtained before the taxable year in which he attained age 65 on property created by his own personal efforts.</p> <p>(3) In figuring an individual's net earnings or net loss from self-employment, all net income or net loss is includable even though (i) the individual did not perform personal services in carrying on the trade or business, (ii) the net profit was less than \$400, (iii) the net profit was in excess of the maximum amount creditable to his earnings record, or (iv) the net profit was not reportable for social security tax purposes.</p> <p>(4) An individual's net earnings from self-employment is the excess of income over the deductions (allowed under the Internal Revenue Code) resulting from such computation. An individual's net loss from self-employment is the excess of deductions (that are allowed under the Internal Revenue Code) over income.</p> <p>(c) <i>Wages defined.</i> Wages include the gross amount of an individual's wages rather than the net amount paid after deductions by the employer for items such as taxes and insurance. For purposes of this section, an</p>	<p>45 CFR 233.20(a)(3)(iv-ix)</p> <p>(iv) Provide that, in determining the availability of income and resources, the following will not be included as income: (a) Income equal to expenses reasonably attributable to the earning of income (including earnings from public service employment); (b) loans and grants, such as scholarships, obtained and used under conditions that preclude their use for current living costs; and (c) home produce of an applicant or recipient, utilized by him and his household for their own consumption.</p> <p>(v) Provide that agency policies will assure that in determining the eligibility of an individual for an assistance payment or the amount of such payment, child support amounts which are collected as part of the State's child support enforcement program will be treated in accordance with §232.20. Any child support amounts for which an assignment pursuant to §232.11 is effective, which are received directly by the assistance unit shall be paid to the State child support enforcement unit. Whether or not the support payments are received regularly, the agency does not delay or reduce public assistance payments on the basis of assumed support which is not actually available.</p> <p>(vi) Except for child support obligations assigned pursuant to §232.11 of this chapter, if the State agency holds relatives responsible for the support of applicants and recipients, (a) include an income scale for use in determining whether responsible relatives have sufficient income to warrant expectations that they can contribute to the support of applicants or recipients, which income scale exceeds a minimum level of living and at least represents a minimum level of adequacy that takes account of the needs and other obligations of the relatives; and (b) provide that no request will be made for contributions from relatives whose net cash income is below the income scale. In family groups living together, income of the spouse is considered available for his spouse and income of a parent is considered available for children under 21.</p> <p>(vii) If the State agency establishes policy under which assistance from other agencies and organizations will not be deducted in determining the amount of assistance to be paid provide that no duplication shall exist between such other assistance and that provided by the</p>

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<p>individual's wages are determined under the provisions of Subpart K of this part, except that, notwithstanding the provisions of Subpart K, wages also includes:</p> <p>(1) Remuneration of over \$15,300 in the calendar year 1976, or over \$14,100 in the calendar year 1975, or over \$13,200 in the calendar year 1974, or over \$10,800 in the calendar year 1973, or over \$9,000 in the calendar year 1972, or over \$7,800 in a calendar year 1968 through 1971, or over \$6,600 in calendar years 1966 and 1967, or over \$4,800 in a calendar year 1959 through 1965, or over \$4,200 in a calendar year 1955 through 1958, or over \$3,600 in a calendar year 1961 through 1954; and</p> <p>(2) Cash remuneration of less than \$50 paid in a calendar quarter to an employee for (i) domestic service in the private home of the employer, or (ii) service not in the course of the employer's trade or business; and</p> <p>(3) Cash remuneration of less than \$100 in calendar years 1955 or 1956 paid by an employer for services in agricultural labor regardless of whether the employee worked for the employer on 20 or more different days in the year; and</p> <p>(4) Remuneration, cash and noncash, for service as a homemaker even though the cash remuneration paid the employee is less than \$50 in a calendar quarter and</p> <p>(5) For taxable years ending after 1955, services performed outside the United States in the military or naval service of the United States; and</p> <p>(6) Remuneration for services excepted from employment performed within the United States by an individual as an employee that are for that reason not considered wages under Subpart K of this part, if the remuneration for such services is not includable in computing his net earnings from self-employment or net loss from self-employment, as defined in paragraph (b) of this section.</p> <p>(d) <i>Provisions concerning wages.</i> For purposes of this section, where reports received by the Administration show wages (as defined in paragraph (c) of this section) were paid to an individual during a taxable year, it is presumed that they were paid to him for services rendered in that year until such time as it is shown to the satisfaction of the Administration that the wages were paid for services rendered in another taxable year. If the reports of wages paid to an</p>	<p>public assistance agency. In such complementary program relationships, nonduplication shall be assured by provision that such aid will be considered in relation to:</p> <p>(a) The different purpose for which the other agency grants aid such as vocational rehabilitation; (b) the provision of goods and services that are not included in the statewide standard of the public assistance agency, e.g., a private agency might provide money for special training for a child or for medical care when the public assistance agency does not carry this responsibility; or housing and urban development payments might be provided to cover moving expenses that are not included in the assistance standard; or (c) the fact that public assistance funds are insufficient to meet the total amount of money determined to be needed in accordance with the statewide standard. In such instances, grants by other agencies in an amount sufficient to make it possible for the individual to have the amount of money determined to be needed, in accordance with the public assistance agency standard, will not constitute duplication.</p> <p>(viii) Provide that payment will be based on the determination of the amount of assistance needed and that if full individual payments are precluded by maximums or insufficient funds, adjustments will be made by methods applied uniformly statewide.</p> <p>(ix) Provide that the agency will establish and carry out policies with reference to applicants' and recipients' potential sources of income that can be developed to a state of availability. (See §233.1009(a)(5)(ii) of this part regarding unemployment compensation.)</p> <hr/> <p>42 USC 602(a)(8)</p> <p>In making the determination under clause (7), the State agency--</p> <p>(A) shall with respect to any month disregard--</p> <p>(i) all of the earned income of each dependent child receiving aid to families with dependent children who is (as determined by the State in accordance with standards prescribed by the Secretary) a full-time student or part-time student</p>

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<p>individual show his wages for a calendar year the individual's taxable year is presumed to be a calendar year for purposes of this section until it is shown to the satisfaction of the Administration that his taxable year is not a calendar year.</p> <hr/> <p>20 CFR 404.1050</p> <p>(a) Subject to the special rules set forth in §404.1052 to 404.1065 inclusive, and to the exclusions set forth in §404.1070, the term "net earnings from self-employment" means:</p> <p>(1) The gross income, as computed under subtitle A of the Internal Revenue Code of 1954, derived by an individual from any trade or business carried on by such individual, less the deductions allowed by such subtitle which are attributable to such trade or business, plus</p> <p>(2) His distributive share (whether or not distributed), as determined under section 704 of the Internal Revenue Code of 1954, of the income (or loss), described in section 702(a)(9) of such code and as computed under section 703 of such code, from any trade or business carried on by any partnership of which he is a member.</p> <p>(b) With respect to taxable years to which the provisions of the Internal Revenue Code of 1954 apply, gross income derived by an individual from a trade or business includes payments received by him from a partnership of which he is a member for services rendered to the partnership or for the use of capital by the partnership, to the extent the payments are determined without regard to the income of the partnership. However, such payments received from a partnership not engaged in a trade or business within the meaning of section 211(c) of the Act and §404.1070 do not constitute gross income derived by an individual from a trade or business. See section 707(c) of the Internal Revenue Code of 1954, and the regulations thereunder, relating to guaranteed payments to a member of a partnership for services or the use of capital, and section 706(a) of such code, and the regulations thereunder, relating to the taxable year of the partner in which such guaranteed payments are to be included in computing taxable income.</p>	<p>who is not a full-time employee attending a school, college, or university, or a course of vocational or technical training designed to fit him for gainful employment, and</p> <p>(ii) in the case of earned income of a dependent child not included under clause (i), a relative receiving such aid, and any other individual (living in the same home as such relative and child) whose needs are taken into account in making such determination, the first \$30 of the total of such earned income for such month plus one-third of the remainder of such income for such month (except that the provisions of this clause (ii) shall not apply to earned income derived from participation on a project maintained under the programs established by section 632(b)(2) and (3) of this title); and (B)(i) may, subject to the limitations prescribed by the Secretary, permit all or any portion of the earned or other income to be set aside for future identifiable needs of a dependent child, and</p> <p>(ii) may, before disregarding the amounts referred to in subparagraph (A) and clause (i) of this subparagraph, disregard not more than \$5 per month of any income; except that, with respect to any month, the State agency shall not disregard any earned income (other than income referred to in subparagraph (B) of --</p> <p>(C) any one of the persons specified in clause (ii) of subparagraph (A) if such person--</p> <p>(i) terminated his employment or reduced his earned income without good cause within such period (or not less than 30 days) preceding such month as may be prescribed by the Secretary; or</p> <p>(ii) refused without good cause, within such period preceding such month as may be prescribed by the Secretary, to accept employment in which he is able to engage which is offered by an employer if the offer of such employer is determined by the State or local agency administering the State plan, after notification by him, to be a bona fide offer of employment..."</p> <p>-----</p>

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<p>(c) Gross income derived by an individual from a trade or business includes gross income received (in the case of an individual reporting income on the cash receipts and disbursements method) or accrued (in the case of an individual reporting income on the accrual method) in the taxable year from a trade or business even though such income may be attributable in whole or in part to services rendered or other acts performed in a prior taxable year as to which the individual was not subject to the tax on self-employment income.</p> <p>(d) (1) Pursuant to section 211(e) of the Act the term "taxable year" means:</p> <p>(i) An individual's annual accounting period on the basis of which he regularly computes his income in keeping his books; or</p> <p>(ii) A short period resulting from death of the individual before the termination of his annual accounting period or a change of his annual accounting period.</p> <p>(2) The term "annual accounting period" means either:</p> <p>(i) A calendar year, consisting of 12 months ending on December 31; or</p> <p>(ii) A fiscal year, consisting of 12 months ending on the last day of any month other than December, or a period if elected pursuant to section 441 of the Internal Revenue Code of 1954, varying from 52 to 53 weeks and always ending on the same day of the week last occurring in a calendar month or nearest the last day of such calendar month.</p> <p>(3) An individual's taxable year for the purpose of computing self-employment income shall be the same as his taxable year for the purposes of subtitle A of the Internal Revenue Code of 1954 (relating to income taxes; and, pursuant to the provisions of section 441(g) of such subtitle, such taxable year shall be (except in the case of a short period as described in paragraph (d) (1)(ii) of this section) a calendar year if:</p> <p>(i) The individual keeps no books;</p> <p>(ii) The individual has no annual accounting period; or</p> <p>(iii) The individual has an annual accounting period which differs from the definition of fiscal year as set forth in paragraph (d) (2)(ii) of this section.</p> <p>(34 F.R. 58, Jan. 3, 1969)</p>	<p>45 CFR 233.20(a)(3)(iii)</p> <p>(iii) Provide that no inquiry will be made of the amount of earnings of a child under 14 years of age.</p> <p>45 CFR 233.20(a)(4)(i, ii)</p> <p>(4) <i>Disregard of income common to OAA, AFDC, AB, AFCD, or AABD.</i> (i) If the State chooses to disregard income from all sources before applying other provisions for disregarding or setting aside income, specify the amount that is first to be disregarded, but not more than \$7.50 (\$5 in AFDC) per month, of any income of an individual, child or relative claiming assistance. All income must be included such as social security or other benefits, earnings, contributions from relatives, or other income the individual may have.</p> <p>(ii) Provide that, in determining eligibility for public assistance and the amount of the assistance payment, the following will be disregarded as income and resources:</p> <p>(a) The value of the coupon allotment under the Food Stamp Act of 1964 in excess of the amount paid for the coupons;</p> <p>(b) The value of the U.S. Department of Agriculture donated foods (surplus commodities);</p> <p>(c) Any payment received under Title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;</p> <p>(d) Any grant or loan to any undergraduate student for educational purposes made or insured under any programs administered by the Commissioner of Education;</p> <p>(e) Any funds distributed per capita to or held in trust for members of any Indian tribe under Pub. L. 92-254 or Pub. L. 93-134;</p> <p>(f) Any benefits received under Title VII, Nutrition Program for the Elderly, of the Older Americans Act of 1966, as amended;</p> <p>(g) Payments for supporting services or reimbursement of out-of-pocket expenses made to individual volunteers serving as foster grandparents, senior health aides, or senior companions, and to persons serving in the Service Corps of Retired Executives (SCORE) and Active Corps of Executives (ACE) and any other programs under titles II and III, pursuant to section 418 of Pub. L. 93-113;</p> <p>(h) Payments to volunteers under Title I</p>

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<p>See: 20 CFR 404.1051-1070 for regulations covering net earnings from self-employment under title II (Federal Old-Age, Survivors, and Disability Insurance Benefits), Social Security Act.</p> <p>42 USC 1332a(b)</p> <p>In determining the income of an individual (and his eligible spouse) there shall be excluded --</p> <p>(1) subject to limitations (as to amount or otherwise) prescribed by the Secretary, if such individual is a child who is, as determined by the Secretary, a student regularly attending a school, college or university, or a course of vocational or technical training designed to prepare him for gainful employment, the earned income of such individual;</p> <p>(2) the first \$240 per year (or proportionately smaller amounts for shorter periods) of income (whether earned or unearned) other than income which is paid on the basis of need of the eligible individual;</p> <p>(3)(A) the total unearned income of such individual (and such spouse, if any) in a calendar quarter which, as determined in accordance with criteria prescribed by the Secretary, is received too infrequently or irregularly to be included, if such income so received does not exceed \$60 in such quarter, and (B) the total earned income of such individual (and such spouse, if any) in a calendar quarter which, as determined in accordance with such criteria, is received too infrequently or irregularly to be included, if such income so received does not exceed \$20 in such quarter;</p> <p>(4)(A) if such individual (or such spouse) is blind (and has not attained age 65, or received benefits under this subchapter (or aid under a State plan approved under section 1202 or 1382 of this title) for the month before the month in which he attained age 65), (i) the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof, (ii) an amount equal to any expenses reasonably attributable to the earning of any income, and (iii) such additional amounts of other income where such individual has a plan for achieving self-support approved by the</p>	<p>(VISTA) of Pub. L. 93-113, pursuant to section 404(g) of Pub. L. 93-113;</p> <p>(i) The value of supplemental food assistance received under the Child Nutrition Act of 1966 as amended, and the special food service program for children under the National School Lunch Act, as amended (Pub. L. 92-433 and Pub. L. 93-150)</p> <p>(j) The weekly incentive allowance made under sec. 111 of Pub. L. 93-203, the Comprehensive Employment and Training Act of 1973.</p> <p>(k) Tax-exempt portions of payments made pursuant to Pub. L. 92-203, the Alaska Native Claims Settlement Act.</p> <p>45 CFR 233.20(a)(3)(x) As amended, 41 FR 54490</p> <p style="text-align: center;">• • • • •</p> <p>(x) Provide that the income and resources of individuals who are receiving SSI benefits under title XVI, for the period for which such benefits are received, shall not be counted as income and resources of an assistance unit applying for or receiving assistance under title IV-A. Under this requirement, "individuals receiving SSI benefits under title XVI" includes individuals receiving mandatory or optional State supplementary payments under section 1616(a) of the Act or under section 212 of Pub. L. 93-66.</p> <p>45 CFR 233.20(a)(11)</p> <p>(11) <i>Disregard of income applicable only to AFDC.</i> (i) Provide for the disregard of the \$30 monthly incentive payment made by the manpower agency to any participant in institutional and work experience training under the WIN program.</p> <p>(ii) Provide for the disregard of:</p> <p>(a) All of the earned income of any child receiving AFDC if the child is a full-time student or is a part-time student who is not a full-time employee. A student is one who is attending a school, college, or university or a course of vocational or technical training designed to fit him for gainful employment and includes a participant in the Job Corps program under the Comprehensive Employment and Training Act of 1973</p>

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<p>Secretary, as may be necessary for the fulfillment of such plan,</p> <p>(B) if such individual (or such spouse) is disabled but not blind (and has not attained age 65, or received benefits under this subchapter (or aid under a State plan approved under section 1352 or 1382 of this title) for the month before the month in which he attained age 65). (i) the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof, and (ii) such additional amounts of other income, where such individual has a plan for achieving self-support approved by the Secretary, as may be necessary for the fulfillment of such plan, or</p> <p>(C) if such individual (or such spouse) has attained age 65 and is not included under subparagraph (A) or (B), the first \$780 per year (or proportionately smaller amounts for shorter periods) of earned income not excluded by the preceding paragraphs of this subsection, plus one-half of the remainder thereof;</p> <p>(5) any amount received from any public agency as a return or refund of taxes paid on real property or on food purchased by such individual (or such spouse);</p> <p>(6) assistance described in section 1382e(a) of this title which is based on need and furnished by any State or political subdivision of a State;</p> <p>(7) any portion of any grant, scholarship, or fellowship received for use in paying the cost of tuition and fees at any educational (including technical or vocational education) institution;</p> <p>(8) home produce of such individual (or spouse) utilized by the household for its own consumption;</p> <p>(9) if such individual is a child one-third of any payment for his support received from an absent parent; and</p> <p>(10) any amounts received for the foster care of a child who is not an eligible individual but who is living in the same home as such individual and was placed in such home by a public or nonprofit private child-placement or child-care agency.</p>	<p>(CETA). (See §233.90(c)(1)(vi) for definition of "student"); and</p> <p>(b) The first \$30 of the total of earned income for a month of all other individuals whose needs are included in the family grant, plus one-third of the remainder of their earned income for the month; except that (1) the State agency will not disregard earned income for a month of any one of the persons in a family as provided in (b) of this subdivision if such a person (i) terminated his employment or reduced his earned income without good cause within the period of 30 days preceding such month; or (ii) refused without good cause within the period of 30 days preceding such month to accept employment in which he is able to engage which is offered through the public employment offices of the State, or is otherwise offered by an employer if the offer of such employer is determined by the State or local agency administering the State plan, after notification by him, to be a bona fide offer of employment; and (2) the State agency will not disregard earned income for a month of the persons in a family as provided in (b) of this subdivision if the total income of such persons for such month exceeds their need as determined without application of any provisions for disregarding or setting aside of income unless for any one of the four preceding months their needs were met in whole or in part by an AFDC payment.</p> <p>(iii) If any portion of an AFDC family's income is to be conserved for the future identifiable needs of a child, specify the needs and amount and type of income to be conserved and provide that such amount will be reasonable for the purpose for which it is being conserved.</p> <p>(iv) Earned income, for purposes of disregarding the first \$30 plus one-third of the remainder of monthly earnings does not include income from public service employment under WIN.</p> <p>(v) In summary, the effect of these regulations, for treatment of income and expenses under WIN, is as follows:</p> <p>(a) For earned income from regular employment or on-the-job training, pursuant to section 432(b)(1) of the Act, the first \$30 plus one-third of the remainder are disregarded, and work related expenses are then deducted from the remaining income;</p>

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<p>20 CFR 416.1145-1175</p> <p>§416.1145 Exclusions from income; order of application.</p> <p>(a) For the purpose of determining countable income in accordance with §416.1115, there shall be excluded prior to items listed in paragraph (b) of this section, payments or benefits provided under a Federal statute, other than title XVI of the Social Security Act, where exclusion is required by such statute (§416.1146).</p> <p>(b) For the purpose of determining countable income in accordance with §416.1115, the following income shall be excluded after income described in paragraph (a) of this section:</p> <ol style="list-style-type: none"> (1) Return or refund by any public agency of taxes on real property or food (§416.1149). (2) Assistance based on need which is paid by any State or political subdivision in supplementation of benefits (§416.1151). (3) Tuition and fee portions of grants, scholarships and fellowships (§416.1153). (4) Home produce used for personal consumption (§416.1155). (5) Infrequently or irregularly received income, unearned and earned, if such income does not exceed \$60 per quarter of unearned income and \$30 per quarter of earned income (§416.1157). (6) Certain payments received for foster care (§416.1159). (7) One-third of child support payments by an absent parent (§416.1161). (8) Student child's earned income up to specified limits (§416.1163). (9) The first \$60 per quarter of income, earned or unearned, other than income based on need (§416.1165). (10) Earned income in the amount of \$195, plus one-half of the remainder of the earned income per quarter (§416.1167). (11) Work expenses of the blind (§416.1169). (12) Income of the blind and disabled needed to fulfill an approved plan for the achievement of self-support (§§416.1171 - 416.1731). <p>(c) In determining the countable income in accordance with §416.1115, the exclusions listed in paragraph (b) of this section shall apply first to unearned income, and then to earned income, where appropriate. In so applying they shall be</p>	<p>(b) For institutional and work experience training, pursuant to section 432(b)(2) of the Act, the \$30 monthly incentive payment and the reimbursement for training related expenses made by the manpower agency are totally disregarded; and</p> <p>(c) For public service employment, pursuant to section 432(b)(3) of the Act, work related expenses are deducted, but the \$30 plus one-third disregarded does not apply.</p> <p>45 CFR 233.20(a)(6)(ii, vi)</p> <p>(ii) Provision for disregarding earned income for the period during which it is earned, rather than when it is paid, in cases of lump-sum payment for services rendered over a period of more than 1 month.</p> <p>(vi) The definition shall exclude the following from "earned income": Returns from capital investment with respect to which the individual is not himself actively engaged, as in a business (for example, under most circumstances, dividends and interest would be excluded from "earned income"); benefits (not in the nature of wages, salary, or profit) accruing as compensation, or reward for service, or as compensation for lack of employment (for example, pensions and benefits, such as United Mine Workers' benefits or veterans' benefits).</p> <p>45 CFR 233.20(a)(7)</p> <p>(7) <i>Disregard of earned income: method.</i></p> <p>(i) Provide that the following method will be used for disregarding earned income: The applicable amounts of earned income to be disregarded will be deducted from the gross amount of "earned income," and all work expenses, personal and non-personal, will then be deducted. Only the net amount remaining will be applied in determining need and the amount of the assistance payment.</p> <p>(ii) In applying the disregard of income under subparagraph (11)(ii)(b) of this paragraph to an applicant for AFDC, there will be a preliminary step to determine whether there is eligibility without the</p>

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<p>considered in the numerical sequence in which they are listed in paragraph (b) of this section. (41 FR 13337, Mar. 30, 1976)</p> <p>5416.1146 Exclusions from income; provided by other statutes.</p> <p>Payments or benefits provided under a Federal statute other than title XVI of the Social Security Act where exclusion from income is required by such statute include:</p> <p>(a) Payments received under title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (84 Stat. 1902, 42 U.S.C. 4636).</p> <p>(b) Judgment payments to members of the Blackfeet and Gros Ventre Tribes of Indians under the provisions of Pub. L. 92-254 (86 Stat. 65, 25 U.S.C. 1264).</p> <p>(c) Indian per capita judgment payments, under the provisions of Pub. L. 93-134 (87 Stat. 468, 25 U.S.C. 1407), awarded from the date of that act (October 19, 1973) excluding such payments when made to any tribe or group whose trust relationship with the Federal government has been terminated and for which there already existed legislation authorizing the disposition of its judgment funds; but including all funds deriving from judgments entered prior to the date of the act for which there has been no enabling legislation.</p> <p>(d) The value of the coupon allotment in excess of the amount paid for the coupons under the Food Stamp Act of 1964 (78 Stat. 705, as amended, 7 U.S.C. 2016(c)).</p> <p>(e) The value of assistance to children under the National School Lunch Act (63 Stat. 230, 42 U.S.C. 1751 et. seq.) as amended by Pub. L. 90-302 (82 Stat. 117, 42 U.S.C. 1761(h)(3)).</p> <p>(f) The value of assistance to children under the Child Nutrition Act of 1956 (80 Stat. 889, 42 U.S.C. 1780(b)).</p> <p>(g) Any grant or loan to any undergraduate student for educational purposes made or insured under any program administered by the Commissioner of Education as provided by section 507 of the Higher Education Amendments of 1968, Pub. L. 90-575 (82 Stat. 1063).</p> <p>(h) Incentive allowances of \$30 per week received under title I of the Comprehensive Employment and Training Act of 1973 (87 Stat. 849, 29 U.S.C. 821 (a)).</p>	<p>application of any AFDC provisions for the disregard or setting aside of income. If such eligibility exists, the next step is to determine need and the amount of assistance by disregarding income and deducting work expenses in accordance with the method described in subdivision (i) of this paragraph.</p> <p>45 CFR 233.20(a)(3)(i)</p> <p>Policies may allow reasonable proportions of income from businesses or farms to be used to increase capital assets, so that income may be increased.</p> <p>45 CFR 233.20(a)(3)(iv-vi)</p> <p>(iv) Provide that, in determining the availability of income and resources, the following will not be included as income:</p> <p>(a) Income equal to expenses reasonably attributable to the earning of income (including earnings from public service employment); (b) loans and grants, such as scholarships, obtained and used under conditions that preclude their use for current living costs; and (c) home produce of an applicant or recipient, utilized by him and his household for their own consumption.</p> <p>(v) Provide that agency policies will assure that in determining the eligibility of an individual for an assistance payment or the amount of such payment, child support amounts which are collected as part of the State's child support enforcement program will be treated in accordance with §232.20. Any child support amounts for which an assignment pursuant to §232.11 is effective, which are received directly by the assistance unit shall be paid to the State child support enforcement unit. Whether or not the support payments are received regularly, the agency does not delay or reduce public assistance payments on the basis of assumed support which is not actually available.</p> <p>(vi) Except for child support obligations assigned pursuant to §232.11 of this chapter, if the State agency holds relatives responsible for the support of applicants and</p>

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<p>(i) Benefits received under title VII of the Older Americans Act of 1965 as amended by Pub. L. 92-258 (86 Stat. 95, 42 U.S.C. 3045(h)).</p> <p>(j) Payments to volunteers under the Domestic Volunteer Service Act of 1973 as provided by section 404(g) of that act. (87 Stat. 409, 42 U.S.C. 5044).</p> <p>(k) Experimental Housing Allowance Program payments under Annual Contributions Contracts entered into prior to January 1, 1975, under section 23 of the United States Housing Act of 1937. Such payments continue to be subject to the same rules as were applicable at the time that the contracts were executed (including 42 U.S.C. 1402(1)).</p> <p>(l) Payments made pursuant to the provisions of the Alaska Native Claims Settlement Act to the same extent that those payments are exempt from taxation by reason of section 21(a) of that act (85 Stat. 713, 43 U.S.C. 1620(a)).</p> <p>(m) Value of Federally donated foods distributed pursuant to section 32 of Pub. L. 94-320 or section 416 of the Agriculture Act of 1949 (7 CFR 250.6(e)(9) as authorized by 5 U.S.C. 301).</p> <p>§416.1149 Refund of taxes.</p> <p>Any amount refunded from any public agency, if paid on the purchase of food or the satisfaction of real property levies, shall not be considered in determining countable income under §416.1115.</p> <p>§416.1151 State assistance based on need.</p> <p>Assistance by a State or a political subdivision (including Indian tribes) which is based on need and which meets the definition of State supplementation in Subpart I of this part shall not be considered in determining countable income under §416.1115.</p> <p>§416.1153 Grants, scholarships, and fellowships.</p> <p>Any portion of any grant, scholarship or fellowship, for use in paying tuition, fees or other expenses necessary to the securing</p>	<p>recipients, (a) include an income scale for use in determining whether responsible relatives have sufficient income to warrant expectation that they can contribute to the support of applicants or recipients, which income scale exceeds a minimum level of living and at least represents a minimum level of adequacy that takes account of the needs and other obligations of the relatives; and (b) provide that no request will be made for contributions from relatives whose net cash income is below the income scale. In family groups living together, income of the spouse is considered available for his spouse and income of a parent is considered available for children under 21.</p> <p>45 CFR 233.20(a)(4)(iii)</p> <p>(iii) Provide that income and resources which are disregarded or set aside under this part will not be taken into consideration in determining the need of any other individual for assistance.</p>

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<p>of an education at an educational institution received by an individual or spouse shall not be considered in determining countable income under §416.1115. Portions used to defray the expenses of food, clothing or shelter shall be considered in determining countable income under §416.1115.</p> <p>§416.1155 Home produce.</p> <p>The value of agricultural products raised by an individual or spouse and not raised in connection with a trade or business and consumed by such individual or his immediate family shall not be considered in determining countable income under §416.1115.</p> <p>§416.1157 Infrequent or irregular income.</p> <p>(a) <i>Earned income.</i> Earned income as defined in §416.1102(b) if it does not exceed \$30 per quarter in total which is received less frequently than twice per quarter or which cannot be reasonably anticipated shall not be considered in determining countable income under §416.1115.</p> <p>(b) <i>Unearned income.</i> Unearned income as defined in §416.1102(c) if it does not exceed \$60 per quarter which is received less frequently than twice per quarter or which cannot be reasonably anticipated shall not be considered in determining countable income under §416.1115.</p> <p>§416.1159 Foster care payments.</p> <p>Income to an individual or spouse which represents payment for the foster care of a child who is not an eligible individual but is living in the same home as such individual and was placed there by a public or non-profit private child-placement or child-care agency, shall not be considered in determining countable income under §416.1115.</p> <p>§416.1161 Child support.</p> <p>Income received as support by an individual who meets the definition of child in §416.1050 from an absent parent of such child</p>	

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<p>shall be reduced by one-third before it is considered in determining countable income under §416.1115.</p> <p>§416.1163 Earned income of a student child.</p> <p>The first \$1,200 of quarterly earnings, not to exceed \$1,620 a calendar year, shall be excluded from the earned income of a blind or disabled child who is a student regularly attending school in accordance with the provisions of §416.1057.</p> <p>§416.1165 First \$60 of unearned or earned income.</p> <p>The first \$60 of unearned income or earned income received in a quarter, not otherwise excluded by §§416.1149 through 416.1163 shall not be considered in determining countable income under §416.1115; except income which is paid on the basis of need shall not be excluded under this section.</p> <p>§416.1167 Amount of earned income exclusion.</p> <p>Earned income not excluded from consideration by §416.1149 through §416.1165 in the amount of \$195 received per quarter plus one-half of any remaining earned income not previously excluded and received in the same quarter shall not be considered in determining countable income under §416.1115.</p> <p>§416.1169 Work expenses of the blind.</p> <p>Earned income not excluded from consideration by §416.1149 through §416.1167 of an individual who is blind as defined in Subpart 1 shall not be considered in determining countable income under § 416.1115 of this subpart to the extent that such income is used to meet any expenses reasonably attributable to the earning of any income.</p>	

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<p data-bbox="368 355 702 394">§416.1171 Income needed to fulfill a plan for self-support.</p> <p data-bbox="368 411 731 587">Earned or unearned income which has not been excluded from consideration by §416.1149 through §416.1169 shall not be considered in determining countable income under §416.115 to the extent that such earned or unearned income is needed to fulfill an approved plan for self-support pursuant to §416.1731 for an individual who is disabled or blind as provided by Subpart I of this part.</p> <p data-bbox="368 621 702 660">§416.1175 Income exclusions for certain blind individuals.</p> <p data-bbox="368 678 702 975">For an individual who is blind as defined under a State plan, which was approved under title X or XVI of the Act, as in effect in October 1972 and who for the month of December 1973 was a recipient of aid or assistance under such State plan, the maximum amount of earned or unearned income which could have been disregarded under such State plan will be disregarded in determining countable income under §416.1115 in lieu of applying the exclusions from income provided in §416.1145, provided that the application of the provisions of §416.1145 would result in a larger amount of countable income.</p> <p data-bbox="368 1009 512 1031">20 CFR 416.1105</p> <p data-bbox="368 1048 747 1134">(a) <i>Conversion of resource.</i> Any cash or other property received from the sale or exchange of a resource as defined in §416.1201 is not income, but retains the character of a resource.</p> <p data-bbox="368 1134 780 1236">(b) <i>Insurance payment on excluded resource.</i> The cash proceeds of casualty insurance to replace a resource are not income but retain the character of resources. For treatment of an excluded resource see §416.1232.</p> <p data-bbox="368 1253 512 1275">20 CFR 416.1107</p> <p data-bbox="368 1292 764 1383">The term "income" does not include the amount of a premium payment for supplementary medical insurance under title XVIII of the Act which is paid by a third-party insurer on behalf of a beneficiary.</p>	

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<p>20 CFR 416.1109</p> <p>(a) <i>Medical services.</i> The term "income" does not include the value of any third-party payment for medical care or medical services furnished to a beneficiary. This exclusion from income also applies to room and board furnished during medical confinement and paid for by such third party.</p> <p>(b) <i>Social services.</i> The term "income" does not include the value of advice, consultation (e.g., on educational plans, money management), training (e.g., on chores which the individual might be able to perform for himself), or other services of a strictly social nature furnished an individual by any governmental or private agency of the type furnished in accordance with title IV, V, VI, or XX of the Social Security Act, as amended.</p> <p>20 CFR 416.1112</p> <p>Since income taxes are included as income when determining the amount of wages (see §416.1102(b)) or unearned income (see §416.1120) of an individual, a refund of such taxes will not be included as income.</p> <p>20 CFR 416.1731</p> <p>(a) <i>General.</i> Achievement of self-support by the needy blind or disabled is a primary objective of the supplemental security income program. Section 1612(b)(4)(A) and (B) of the Social Security Act provides for the exclusion from the countable income of a blind or disabled individual, of the income necessary to fulfill a plan for achieving self-support. Section 1613(a)(4) of the Act provides for the exclusion from such an individual's countable resources of resources necessary to fulfill a plan for achieving self-support.</p> <p>(b) For purposes of the income and resources exclusions described in paragraph (a) of this section:</p> <p>(1) <i>Approval of a plan.</i> The plan must be an individual plan, in writing, and approved by the Social Security Administration.</p> <p>(2) <i>Elements of an approved plan for achieving self-support.</i> The plan must contain specific savings and/or planned disbursement goals for a designated occupational objective; there must be identification and segregation of such money and other resources as are being accumulated and conserved toward this goal.</p>	

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<p>(3) Adherence to the plan. The individual's adherence to the provisions of the plan for self-support is required for its continuing applicability.</p> <p>(c) Extension of an approved plan. An approved plan for achieving self-support is limited to an initial period of 18 months. An extension for an additional period of up to 18 months may be granted where the Social Security Administration determines that such extension is required to achieve the goals of the previously approved plan for self-support; approval of a total period of up to 48 months is possible when the plan includes an educational goal which extends beyond the initial and extension periods.</p> <p>(d) Assistance in development of plan. Upon request, an applicant for whom a plan for achieving self-support has not been approved by the Social Security Administration prior to the determination by the Social Security Administration that he is disabled or blind, shall receive all necessary assistance in expediting the referral of such individual for the development of such plan by the State vocational rehabilitation agency or the State agency for the blind, as appropriate. Such assistance shall be provided the applicant at the time of and in conjunction with his referral to such agency for rehabilitation or blind services.</p> <p>(e) State-approved plans. Despite the requirements of paragraph (b) of this section, a plan for achieving self-support approved by an agency administering a State plan under the provisions of title X, XIV, or XVI of the Social Security Act and still in effect at the time the blind or disabled individual otherwise becomes eligible for supplemental security income payments shall be considered to be an approved plan to achieve self-support and a basis for exclusion of income, resources, or income and resources, according to the terms and conditions of such plan for so long as such plan continues to be applicable to such individual, not to exceed the time periods specified in paragraph (c) of this section.</p>	

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<p>20 CFR 416.1055</p> <p>In determining income and resources, certain inclusions and exclusions apply where the eligible individual meets the definition of a child. The special income and resources provisions applicable where a child is an eligible individual are:</p> <p>(a) Exclusion of his earned income within prescribed limits (see §416.1163) where the eligible individual is a child who is also a student regularly attending school;</p> <p>(b) Exclusion of one-third of any payment received from an absent parent for the eligible individual's support (See §416.1161); and</p> <p>(c) Inclusion (see formula in §416.1185 (b)) of the income and resources of a parent (or the parent's spouse) while the child is under age 21 and living in the same household (see §416.1070) with such parent (or the parent's spouse).</p> <p>(Note under Section 416.1055(c) the regulations do not cite Section 416.1185; see Section 416.1070.)</p> <p>20 CFR 416.1171</p> <p>Earned or unearned income which has not been excluded from consideration by §416.1149 through §416.1169 shall not be considered in determining countable income under §416.1115 to the extent that such earned or unearned income is needed to fulfill an approved plan for self-support pursuant to §416.1731 for an individual who is disabled or blind as provided by Subpart I of this part.</p> <hr/> <p>42 USC 1382(b)(5,6)</p> <p>(b) In determining the income of an individual (and his eligible spouse) there shall be excluded--</p> <p>(5) ... any amount received from any public agency as a return or refund of taxes paid on real property or on food purchased by such individual (or such spouse).</p> <p>(6) assistance described in section 1382e(a) of this title which is based on need and furnished by any State or political subdivision of a State ...</p>	

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<p>42 USC 1382(a)(2)</p> <p>(2) ... unearned income means all other income, including --</p> <p>(A) support and maintenance furnished in cash or kind; except that in the case of any individual (and his eligible spouse, if any) living in another person's household and receiving support and maintenance in kind from such person, the dollar amounts otherwise applicable to such individual (and spouse) as specified in subsections (a) and (b) of section 1382 of this title shall be reduced by 33-1/3 percent in lieu of including such support and maintenance in the unearned income of such individual (and spouse as otherwise required by this subparagraph;</p> <p>(B) any payments received as an annuity, pension, retirement, or disability benefit, including veterans' compensation and pensions, workmen's compensation payments, old-age, survivors, and disability insurance benefits, railroad retirement, annuities and pensions, and unemployment insurance benefits;</p> <p>(C) prizes and awards;</p> <p>(D) the proceeds of any life insurance policy to the extent that they exceed the amount expended by the beneficiary for purposes of the insured individual's last illness and burial or \$1,500, whichever is less;</p> <p>(E) gifts (cash or otherwise), support and alimony payments, and inheritances; and</p> <p>(F) rents, dividends, interest, and royalties.</p> <p>-----</p> <p>20 CFR 416.1151</p> <p>Assistance by a State or a political subdivision (including Indian tribes) which is based on need and which meets the definition of State supplementation in Subpart T of this part shall not be considered in determining countable income under §416.1115.</p> <p>20 CFR 416.1120</p> <p>In determining the amount of unearned income the amount actually available to the individual is considered. The gross amount is reduced by any ordinary and necessary</p>	

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<p>expenses incurred in getting or receiving the unearned income. However, reduction is not permitted below a zero value. For example, where an individual receives compensation for damages incurred in an automobile accident, the amount of unearned income under title XVI is the final settlement amount less ordinary and necessary legal, medical, and other expenses. An amount earmarked to replace the damaged automobile could be excluded from income under §416.1105(b). Personal income tax withheld from unearned income before it is paid to the individual is not a deductible expense and, therefore, does not reduce the amount of the unearned income to the individual (e.g. income tax withheld from lottery winnings).</p> <p>20 CFR 416.1130-38</p> <p>§416.1130 Unearned income; annuities, pensions, and similar payments.</p> <p>The following types of periodic payments are among those included in unearned income; however, this list is not all-inclusive:</p> <p>(a) <i>Annuities.</i> Annuities are periodic payments calculated on an annual basis which are in the nature of returns on prior payments or services. They may be received from any source.</p> <p>(b) <i>Pensions or retirement payments.</i> Normally, pension or retirement payments are paid to a worker following his retirement from employment. Such payments may be paid directly by a former employer; however, they also may be paid from a fund, insurance, or any similar-purpose source.</p> <p>(c) <i>Disability benefits.</i> Disability benefits normally are paid to one who has suffered injury or impairment. Such payments are not limited to those arising from an employment relationship. Such payments may be made by an employer or from any insurance or other public or private fund.</p> <p>(d) <i>Veterans compensation and pension.</i> Veterans payments are based on service in the armed forces; but this service is not limited to the U.S. armed forces. Such payments may be made by the U.S. Veterans Administration, another country, a State or local governmental entity, or any other organization or entity.</p>	

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<p>(e) <i>Workmen's compensation payments.</i> Workmen's compensation payments are awarded to an injured employee or to his dependents. This includes payments under Federal and State workmen's compensation statutes, Longshoremen and Harbor Worker's Act, and so forth. Amounts included in such awards for medical, legal, or related expenses paid or actually incurred by an individual in connection with such claim are deducted in determining the amount that is counted as unearned income.</p> <p>(f) <i>Social security benefits.</i> Old-age, survivors, and disability insurance payments made by the Social Security Administration under provisions of the Social Security Act are unearned income. Also included are special payments at age 72 (see §404.374 of this chapter) and payments under title IV of the Federal Coal Mine Health and Safety Act of 1969 (i.e., Black Lung Benefits).</p> <p>(g) <i>Railroad retirement payments.</i> Payments, such as sick pay, annuities, pensions, and unemployment insurance benefits, which are paid by the Railroad Retirement Board to an individual who is or was a railroad worker, or to his dependents or survivors, are unearned income.</p> <p>(h) <i>Unemployment compensation.</i> Payments in the nature of insurance for which one qualifies by reason of having been employed and which are financed by contributions made to a fund during periods of employment are unearned income.</p> <p>§416.1132 Unearned income; prizes and awards.</p> <p>In general, the term "prize" means something won in a contest, lottery, or game of chance. In general, the term "award" means something of value received as the result of a decision or judgment of a court, a board of arbitration, or the like; ordinarily it does not come from a competition. In either event, the income is unearned income in determining eligibility for and the amount of benefits payable. Where a prize or award is not in cash, the current fair market value of the item is counted as unearned income.</p>	

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<p>§416.1134 Unearned Income; proceeds of life insurance policy.</p> <p>Unearned income under title XVI of the Act includes the proceeds of any life insurance policy to the extent that the proceeds exceed \$1,500, or the amount expended by the beneficiary of the policy on the insured's last illness and burial expenses, whichever is less. Last illness and burial expenses include related hospital, medical expenses, funeral, burial plot and interment expenses, and related costs.</p> <p>§416.1136 Unearned income; gifts, support and alimony, inheritances.</p> <p>(a) <i>General.</i> Unearned income under title XVI of the Act includes gifts, support and alimony payments, and inheritances. The fair market value of the item received is used where the item is not received in cash.</p> <p>(b) <i>Gifts.</i> A gift may or may not be in cash. It is anything given to an individual which is:</p> <ol style="list-style-type: none"> (1) Not compensation for services or other consideration, and (2) Without legal obligation on the donor's part. <p>(c) <i>Support and alimony.</i> For purposes of this section, support means contributions in cash or in kind to provide some or all of an individual's usual needs (e.g., food, shelter, clothing); it may be provided voluntarily or pursuant to court order. Alimony is the allowance made by a court to one spouse from funds of the other spouse either pending decision on a suit for separation or divorce or after decision in such suit. In some jurisdictions a court uses the term "maintenance" instead of alimony.</p> <p>(d) <i>Inheritance.</i> For purposes of this section, inheritance means cash, other liquid resources, noncash items, or any right in real or personal property to which one succeeds as the result of the death of another. An inheritance counts as unearned income when it meets the definition of income in §416.1102(a).</p>	

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<p>§416.1138 Unearned income; rents, dividends, interest, royalties.</p> <p>(a) <i>General.</i> Unearned income under title XVI of the Act includes rents, dividends, interest, and royalties which are not income from self-employment (as defined in section 211(a) of the Act).</p> <p>(b) <i>Rents--(1) Defined.</i> Rents represent compensation in cash or in kind for the use of real or personal property (e.g., land, an apartment, a room, machinery).</p> <p>(2) <i>Amount included.</i> In determining the amount of rent that is unearned income (i.e., not net earnings from self-employment), only ordinary and necessary "out of pocket" expenses incurred in operating and maintaining the property are deducted from the gross rent. Such expenses shall include only those expenses necessary for the production or collection of income, as defined in sections 163, 164, and 212 of the Internal Revenue Code of 1954 and the regulations thereunder; under no circumstances will depreciation or depletion of the property be considered such an expense.</p> <p>(c) <i>Dividends and interest.</i> Dividends and interest normally represent return on a capital investment, usually on stocks, bond bonds, and savings accounts.</p> <p>(d) <i>Royalties.</i> Royalties are payments to the holder of a patent or copyright, the owner of a mine, oil well, etc., for duplication of a writing, use of an invention, extraction of a product, or the like.</p>	

FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2014(a, b)</p> <p>(a) Except for the temporary participation of households that are victims of a disaster as provided in subsection (b) of this section, participation in the food stamp program shall be limited to those households whose income and other financial resources are determined to be substantial limiting factors in permitting them to purchase a nutritionally adequate diet.</p> <p>(b) "The Secretary, in connection with the Secretary of Health, Education and Welfare, shall establish uniform national standards of eligibility to meet those established by the Secretary. The standards established by the Secretary, at a minimum, shall prescribe the amounts of household income, and other financial resources, including both liquid and nonliquid assets, to be used as criteria of eligibility.</p> <p>-----</p> <p>7 CFR 271.3(c)(1)(i)</p> <p>(1) <i>Definition of income.</i> (i) Monthly income means all income which is received or anticipated to be received during the month. To compute maximum monthly income for purposes of determining eligibility, income shall mean any of the following but is not limited to:</p> <p>(a) All compensation for services performed as an employee;</p> <p>(b) Net income from self-employment, which shall be the total gross income from such enterprise (including the total gain received from the sale of any capital goods or equipment related to such enterprise), less the cost of producing that income. The following shall not be considered as the cost of producing income:</p> <p>(1) Payments on the principal of the purchase cost of income-producing real estate. Any payments of principal, interest, and taxes on the home shall be subject to paragraph (c)(1)(iii)(h) of this section;</p> <p>(2) Payments on the principal of the purchase cost of capital assets, equipment, machinery, and other goods;</p> <p>(3) Depreciation; and</p> <p>(4) A net loss sustained in any previous period;</p> <p>(c) The total amount of a roomer's payment to the household;</p> <p>(d) The total payment received from each boarder less a deduction for each boarder of the value of the monthly coupon allotment for a one-person household;</p>	<p>Sec. 5(a-f, h)</p> <p>(a) Participation in the food stamp program shall be limited to those households whose incomes and other financial resources, held singly or in joint ownership are determined to be a substantial limiting factor in permitting them to obtain a more nutritious diet. Assistance under this program shall be furnished to all eligible households who make application for such participation.</p> <p>(b) The Secretary shall establish uniform national standards of eligibility (other than the income standards for Alaska, Hawaii, Guam, Puerto Rico, and the Virgin Islands of the United States established in accordance with subsections (c) and (e) of this section) for participation by households in the food stamp program in accordance with the provisions of this section. No plan of operation submitted by a State agency shall be approved unless the standards of eligibility meet those established by the Secretary, and no State agency shall impose any other standards of eligibility as a condition for participating in the program.</p> <p>(c) The income standards of eligibility shall be the nonfarm income poverty guidelines prescribed by the Office of Management and Budget adjusted annually pursuant to section 625 of the Economic Opportunity Act of 1964, as amended (42 U.S.C. 2971d), for the forty-eight States and the District of Columbia, Alaska, Hawaii, Puerto Rico, the Virgin Islands of the United States, and Guam, respectively: Provided, That in no event shall the standards of eligibility for Puerto Rico, the Virgin Islands of the United States, or Guam exceed those in the forty-eight contiguous States: Provided further, That the income poverty guidelines for the period commencing July 1, 1978, shall be made as up to date as possible by multiplying the income poverty guidelines for 1977 by the change between the average 1977 Consumer Price Index and the Consumer Price Index for March 1978, utilizing the most current procedures which have been used by the Office of Management and Budget, and the income poverty guidelines for future periods shall be similarly adjusted.</p> <p>(d) Household income for purposes of the food stamp program shall include all income from whatever source excluding only</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>(e) Payments received as an annuity; pension; retirement or disability benefit; veterans', workmen's or unemployment compensation; and old-age, survivors, or strike benefit;</p> <p>(f) Payments received from federally aided public assistance programs, general assistance programs, or other assistance programs based on need;</p> <p>(g) Payments received from Government-sponsored programs such as Agricultural Stabilization and Conservation Service programs, the Work Incentive Program, or Manpower Training Program;</p> <p>(h) Payments, except those for medical costs, made on behalf of the household by a person other than a member of the household;</p> <p>(i) Cash gifts or awards (except as provided in paragraph (c)(1)(ii)(e) of this section) for support, maintenance, or the expenses of education.</p> <p>(j) Scholarships, educational grants (including loans on which repayment is deferred until completion of the recipient's education), fellowships, and veteran's educational benefits;</p> <p>(k) Support and alimony payments;</p> <p>(l) Rents, dividends, interest, royalties, and all other payments from any source whatever which may be construed to be a gain or benefit; and</p> <p>(m) The actual value of housing received from an employer by members of a household as income in kind, in lieu of or supplemental to household income, not to exceed \$25 per month. No value is to be assigned to housing received as payment in kind which has been condemned or declared substandard under Federal, State, or local housing codes.</p> <p>7 CFR 271.3(c)(2)(iii)</p> <p>(iii) To determine the eligibility and basis of issuance for households with income from scholarships, educational grants, fellowships, and veterans' educational benefits, the income shall be averaged over the period which it was intended to cover.</p>	<p>(1) any gain or benefit which is not in the form of money payable directly to a household, (2) any income in the certification period which is received too infrequently or irregularly to be reasonably anticipated, but no in excess of \$30 in a quarter, (3) all educational loans on which payment is deferred, grants, scholarships, fellowships, veterans' educational benefits, and the like to the extent that they are used for tuition and mandatory school fees at an institution of higher education or school for the handicapped, (4) all loans other than educational loans on which repayment is deferred, (5) reimbursements which do not exceed expenses actually incurred and which do not represent a gain or benefit to the household, (6) moneys received and used for the care and maintenance of a third-party beneficiary who is not a household member, (7) income earned by a child who is a member of the household, who is a student, and who has not attained his eighteenth birthday, (8) moneys received in the form of nonrecurring lump-sum payments, including, but not limited to, income tax refunds, rebates, or credits, retroactive lump-sum social security or railroad retirement pension payments and retroactive lump-sum insurance settlements: Provided, That such payments shall be counted as resources, unless specifically excluded by other laws, (9) the cost of producing self-employment income, and (10) any income that any other law specifically excludes from consideration as income for the purpose of determining eligibility for the food stamp program.</p> <p>(e) In computing household income, the Secretary shall allow a standard deduction of \$60 a month for each household, except that households in Alaska, Hawaii, Guam, Puerto Rico, and the Virgin Islands of the United States shall be allowed a standard deduction determined by the Secretary in accordance with the best available information on the relationship of actual or potential itemized deductions claimed under the food stamp program in those areas to such deductions in the forty-eight contiguous States and the District of Columbia. Such standard deductions, starting July 1, 1978, shall be adjusted every</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 CFR 271.3(b)</p> <p>(b) <i>Income and resource eligibility standards of public assistance and general assistance households.</i> Households in which all members are included in a federally aided public assistance or general assistance grant shall, if otherwise eligible under this subchapter, be determined to be eligible to participate in the program while receiving such grants without regard to the income and resources of the household members.</p> <p>7 CFR 271.3(c)(2)(i)</p> <p>(2) <i>Handling of income.</i> (i) To determine the eligibility and basis of issuance of households, income and deductions may be averaged over the appropriate certification period.</p> <p>7 CFR 271.3(c)(2)(iv)</p> <p>(iv) To determine the eligibility of households with members who receive compensation on other than an hourly or piecework basis under a contract which is renewable on a yearly or longer basis (such as, but not limited to, school employees), such members shall be deemed to be receiving compensation continuously for an entire year even though pre-determined nonwork (vacation) periods are involved or actual compensation payments are scheduled for payment during work periods only. For such persons, compensation received under such contracts shall be averaged over a 12-month period. This provision shall not apply in situations where the other party to the contract cannot or will not make payments specified in the contract, or where the flow of earnings specified in the contract is otherwise interrupted.</p> <p>7 CFR 271.3(c)(3)</p> <p>(3) <i>Income standards.</i> Uniform national income standards of eligibility for participation of nonassistance households in the program shall be the higher of: (i) The income poverty guidelines issued by the Secretary of Agriculture based on the statistics on poverty levels reported by the Census Bureau's Current Population Reports; or (ii) the level at which the total coupon allotment equals 30 percent of income.</p>	<p>July 1 and January 1 to the nearest \$5 to reflect changes in the Consumer Price Index of the Bureau of Labor Statistics for items other than food for the six months ending the preceding March 31 and September 30, respectively. All households with earned income shall be allowed an additional deduction of 20 per centum of all earned income (other than that excluded by subsection (d) of this section), to compensate for taxes, other mandatory deductions from salary, and work expenses. Households shall also be entitled to (1) a dependent care deduction, the maximum allowable level of which shall be the same as that for the excess shelter expense deduction contained in clause (2) of this subsection, for the actual cost of payments necessary for the care of a dependent, regardless of the dependent's age, when such care enables a household member to accept or continue employment, or training or education which is preparatory for employment, or (2) an excess shelter expense deduction to the extent that the monthly amount expended by a household for shelter exceeds an amount equal to 50 per centum of monthly household income after all other applicable deductions have been allowed; Provided, That the amount of such excess shelter expense deduction shall not exceed \$75 a month in the forty-eight contiguous States and the District of Columbia, and shall not exceed, in Alaska, Hawaii, Guam, Puerto Rico, and the Virgin Islands of the United States, amounts determined by the Secretary in accordance with the best available information on the relationship of the actual shelter costs in those areas to such costs in the forty-eight contiguous States and the District of Columbia, adjusted annually (commencing July 1, 1978) to the nearest \$5 increment to reflect changes in the shelter, fuel, and utilities components of housing costs in the Consumer Price Index published by the Bureau of Labor Statistics of the Department of Labor for the twelve-month period ending the preceding March 31, or (3) a deduction combining the dependent care and excess shelter expense deductions under clauses (1) and (2) of this subsection, the maximum allowable level of which shall not exceed the maximum allowable deduction under clause (2) of this subsection.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>These income standards for each nonassistance household size will be prescribed in General Notices published in the Federal Register.</p> <p>See: 7 CFR 271.10(c)(1, 7)</p> <hr/> <p>7 USC 2014(b)</p> <p>. . . such standards (of eligibility) shall take into account payments in kind received from an employer by members of a household, if such payments are in lieu of or supplemental to household income: Provided further, that such payments in kind shall be limited only to housing provided by such employer to such employee and shall be the actual value of such housing, but in no event shall such value be considered to be in excess of the sum of \$25.00 per month.</p> <p>-----</p> <p>7 CFR 271.3(c)(1)(i)(m)</p> <p>(1) <i>Definition of income.</i> (i) Monthly income means all income which is received or anticipated to be received during the month. To compute maximum monthly income for purposes of determining eligibility, income shall mean any of the following but is not limited to:</p> <p>(m) The actual value of housing received from an employer by members of a household as income in kind, in lieu of or supplemental to household income, not to exceed \$25 per month. No value is to be assigned to housing received as payment in kind which has been condemned or declared substandard under Federal, State, or local housing codes.</p> <p>7 CFR 271.3(c)(1)(ii)(c)</p> <p>(ii) The following shall not be considered income to the household (this list is inclusive and no other exclusions from income shall be allowed):</p> <p>(c) Any gain or benefit which is not in money (except as provided in paragraph (c)(1)(i)(m) of this section);</p> <hr/>	<p>(f) Household income shall be calculated by the State agency for the purpose of determining household eligibility. The State agency in calculating household income shall take into account the income reasonably anticipated to be received by the household in the certification period for which eligibility is being determined and the income which has been received by the household during the thirty days preceding the filing of its application for food stamps so that the State agency may reasonably ascertain the income that is and will be actually available to the household for the certification period, except that for (1) those households which by contract for other than an hourly or piecework basis or by self-employment, derive their annual income in a period of time shorter than one year, income shall be calculated by being averaged over a twelve-month period and (2) those households which receive nonexcluded income of the type specified in subsection (d)(3) of this section, income shall be calculated by being averaged over the period for which it is provided.</p> <p>(h)(1) The Secretary shall, after consultation with the official empowered to exercise the authority provided for by section 302(a) of the Disaster Relief Act of 1974, establish temporary emergency standards of eligibility for the duration of the emergency for households who are victims of a disaster which disrupts commercial channels of food distribution, if such households are in need of temporary food assistance and if commercial channels of food distribution have again become available to meet the temporary food needs of such households. Such standards as are prescribed for individual emergencies may be promulgated without regard to section 4(c) of this Act or the procedures set forth in section 553 of title 5 of the United States Code.</p> <p>(2) The Secretary shall establish a Food Stamp Disaster Task Force to assist States in implementing and operating the disaster program, which shall be available to go into a disaster area and provide direct assistance to State and local officials.</p> <p>See: Sec. 6g</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 CFR 271.3(c)(1)(ii)</p> <p>(ii) The following shall not be considered income to the household (this list is inclusive and no other exclusions from income shall be allowed):</p> <p>(a) Income received as compensation for services performed as an employee or income from self-employment by a child residing with the household who is a student and who has not attained his eighteenth birthday;</p> <p>(b) Payments received under Title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;</p> <p>(c) Any gain or benefit which is not in money (except as provided in paragraph (c)(1)(i)(m) of this section);</p> <p>(d) That income of a household in a quarter which is received too infrequently or irregularly to be reasonably anticipated: <i>Provided</i>, That such infrequent or irregular income of all household members does not exceed \$30 in the quarter;</p> <p>(e) Monies received from insurance settlements, sale of property (except for property related to self-employment provided for in subdivision (c)(1)(i)(b) of this section), cash prizes, awards, and gifts, inheritances, retroactive lumpsum Social Security or Railroad Retirement pension payments, income tax refunds and similar nonrecurring lumpsum payments;</p> <p>(f) All loans, except loans on which repayment is deferred until completion of the recipient's education;</p> <p>(g) Income received by volunteers for services performed in the National Older Americans Volunteer Program as stipulated in the 1973 amendments to the Older Americans Act of 1965, Public Law 93-29 (87 Stat. 30); and</p> <p>(h) Payments received under the WIC (Women, Infants and Children) Program.</p> <hr/> <p>7 CFR 271.3(c)(1)(iii)</p> <p>(iii) Deductions for the following household expenses shall be made (this list is inclusive and no other deductions from income shall be allowed):</p>	<p>Sec. 3(o)</p> <p>(o) 'Thrifty food plan' means the diet required to feed a family of four persons consisting of a man and a woman twenty through fifty-four, a child six through eight, and a child nine through eleven years of age, determined in accordance with the Secretary's calculations. The cost of such diet shall be the basis for uniform allotments for all households regardless of their actual composition, except that the Secretary shall (1) make household-size adjustments taking into account economies of scale, (2) make cost adjustments in the thrifty food plan for Alaska and Hawaii to reflect the cost of food in those States, (3) make cost adjustments in the separate thrifty food plans for Guam, Puerto Rico, and the Virgin Islands of the United States to reflect the cost of food in those States, but not to exceed the cost of food in the fifty States and the District of Columbia, and (4) adjust the cost of such diet every January 1 and July 1 to the nearest dollar increment to reflect changes in the cost of the thrifty food plan for the six months ending the preceding September 30 and March 31, respectively.</p> <p>Sec. 8</p> <p>(a) The value of the allotment which State agencies shall be authorized to issue to any households certified as eligible to participate in the food stamp program shall be equal to the cost to such households of the thrifty food plan reduced by an amount equal to 30 per centum of the households' income, as determined in accordance with section 5 of this Act, rounded to the nearest whole dollar: <i>Provided</i>, That for households of one and two persons the minimum allotment shall be \$10 per month. The Secretary shall, six months after the implementation of the elimination of the charge for allotments and annually thereafter, report to Congress the effect on participation and cost of this elimination.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>(a) Ten per centum of income from compensation for services performed as an employee or training allowance not to exceed \$30 per household per month. This deduction shall be made before the following deductions.</p> <p>(b) Mandatory deductions from earned income which are not elective at the option of the employee such as local, State, and Federal income taxes, Social Security taxes under FICA, and union dues;</p> <p>(c) Payments for medical expenses, exclusive of special diets, when the costs exceed \$10 per month per household;</p> <p>(d) The payments necessary for the care of a child or other persons when necessary for a household member to accept or continue employment, or training or education which is preparatory for employment;</p> <p>(e) Unusual expenses incurred due to an individual household's disaster or casualty losses which could not be reasonably anticipated by the household;</p> <p>(f) Tuition and mandatory fees assessed by educational institutions (no deductions shall be made for any other education expenses such as, but not limited to, the expense of books, school supplies, meals at school, and transportation);</p> <p>(g) Court-ordered support and alimony payments; and</p> <p>(h) Shelter costs in excess of 30 per centum of the household's income after the above deductions. The State agency may develop, subject to FNS approval, standard utility allowances for use in calculating shelter costs: <i>Provided</i>, That the State agency must use actual utility costs if the household so requests and can verify such costs; and <i>Provided further</i>, That the State agrees to make annual reviews and adjust the standard, as necessary, to reflect deviations revealed by quality control, State agency surveys of utility company rates, or any other methods developed by the State and approved by FNS.</p> <hr/> <p>.7 USC 2014(b)</p> <p>. . . Any household which includes a member who has reached his eighteenth birthday and who is claimed as a dependent child <u>for Federal income tax purposes</u> by a tax</p>	<p>(b) The value of the allotment provided any eligible household shall not be considered income or resources for any purpose under any Federal, State, or local laws, including, but not limited to, laws relating to taxation, welfare, and public assistance programs, and no participating State or political subdivision thereof shall decrease any assistance otherwise provided an individual or individuals because of the receipt of an allotment under this Act.</p>

FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>payer who is not a member of an eligible household, shall be ineligible to participate in any food stamp program established pursuant to this chapter during the tax period such dependency is claimed and for a period of one year after expiration of such tax period.</p> <p>-----</p> <p>7 CFR 271.3(e)</p> <p>(e) <i>Tax dependency.</i> (1) No student shall be considered a household member for Food Stamp Program purposes if such student: (ii) is enrolled in an institution of higher education; and (iii) is properly claimed as a dependent child for Federal income tax purposes by a taxpayer who is a member of another household which is not an eligible household.</p> <p>(2) <i>Definitions.</i> For the purpose of this paragraph, the following definitions shall apply:</p> <p>(i) <i>"Institution of higher education"</i> means an institution providing post-high school education including, but not limited to, colleges, universities, and vocational and technical schools at the post-high school level.</p> <p>(ii) <i>"Properly claimed"</i> means that the dependent child is claimed by a taxpayer who provides such child with more than half of his or her support during the calendar year in which the taxable year of the taxpayer begins.</p> <p>(iii) <i>"Eligible household"</i> means a household certified as being eligible for participation in the Food Stamp Program, the Food Distribution Program or a federally aided public assistance or general assistance program, or the Supplemental Security Income Program; or one which can demonstrate that it would be eligible to participate in the Food Stamp Program based on the income and resource standards applicable to non-assistance households.</p> <p>(3) Notwithstanding any other provisions of this subchapter, the income and resources of an individual who is not considered a household member under subparagraph (1) of this paragraph and who resides with eligible household members or elderly persons, nor shall not be considered available to the household members or elderly</p>	

FOOD STAMPS (Old Program)	FOOD STAMPS (PL 95-113, 1977)
<p>persons, nor shall his presence be considered in determining the household coupon allotment.</p> <p>(4) Notwithstanding any other provision of this subchapter, verification of whether or not the household of the taxpayer is an eligible household will be required for all applicant households containing a tax dependent meeting the criteria in subparagraphs (1)(i) and (ii) of this paragraph. In addition, verification of the tax dependency status of a member or members of an applicant household will be required whenever such status is questionable. Because the household of the taxpayer is ordinarily the best source of this information, the failure of the taxpayer to respond to the request for verification will be grounds for considering the tax dependent as not being a household member, although the remainder of the household in which the tax dependent resides may be certified, if otherwise eligible. However, the tax dependent shall, through the fair hearing procedures under §271.1(o), have an opportunity to demonstrate that he is not properly claimed and may, therefore, be eligible to participate as a household member.</p> <p>(40 FR 1884, Jan. 9, 1975, as amended at 40 FR 2205, Jan. 10, 1975)</p> <p>7 CFR 271.3(c)(2)(ii)</p> <p>(ii) To determine the basis of issuance for households whose primary source of income is from self-employment (including self-employed farmers), or regular farm employment with the same employer, income may be averaged evenly or prorated unevenly over the certification period not to exceed one year.</p> <hr/>	

RESOURCES

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<p>20 CFR 416.220</p> <p>An individual or spouse must furnish such information concerning income (as defined in Subpart K of this part) and resources (as defined in Subpart L of this part) as is necessary to establish eligibility or continuing eligibility for supplemental security income payments under the Act. Eligibility is determined for each calendar quarter for which payments are requested except that, if the initial application (see Subpart C of this part) for benefits is filed in the second or third month of a calendar quarter, such determination is made for each month in such quarter beginning with the month in which such application is filed.</p> <p>20 CFR 416.120(c)(3)</p> <p>(3) "Resources" means cash or other liquid assets or any real or personal property that an individual owns and could convert to cash to be used for support and maintenance (see § 416.1201(a)).</p> <p>20 CFR 416.1105(a)</p> <p>(a) <i>Conversion of resource.</i> Any cash or other property received from the sale or exchange of a resource as defined in § 416.1201 is not income, but retains the character of a resource.</p> <p>20 CFR 416.1201</p> <p>(a) <i>Resources; defined.</i> For purposes of this subpart L, resources mean cash or other liquid assets or any real or personal property that an individual (or spouse, if any) owns and could convert to cash to be used for his support and maintenance. If the individual has the right, authority or power to liquidate the property, or his share of the property, it is considered a resource. If a property right cannot be liquidated, the property will not be considered a resource of the individual (or spouse).</p>	<p>42 USC 602(a)(7)</p> <p>(a) A State plan for aid and services to needy families with children must-- (7) except as may be otherwise provided in clause (8), provide that the state agency shall, in determining need, take into consideration any...resources of any child or relative claiming aid to families with dependent children or of any other individual (living in the same home as child or relative) whose needs the state determines should be considered...</p> <p>-----</p> <p>45 CFR 233.20(a)(3)(i,ii)</p> <p>(3) <i>Income and resources: OAA, AFDC, AB, APFD, AAED.</i></p> <p>(i) Specify the amount and types of real and personal property, including liquid assets, that may be reserved, i.e., retained to meet the current and future needs while assistance is received on a continuing basis. In addition to the home, personal effects, automobile and income producing property allowed by the agency, the amount of real and personal property, including liquid assets, that can be reserved for each individual recipient shall not be in excess of two thousand dollars. Policies may allow reasonable proportions of income from businesses or farms to be used to increase capital assets, so that income may be increased.</p> <p>(ii) Provide that, in determining need and the amount of the assistance payment, after all policies governing the reserves and allowances and disregard or setting aside of income and resources referred to in this section have been uniformly applied.</p> <p>(A) In determining need, all remaining income and resources shall be considered in relation to the State's need standard.</p> <p>(B) In determining financial eligibility and the amount of the assistance payment, all remaining income and resources may, at the State's option, be considered in relation to the State's need standard, or the State's payment standard.</p>

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<p>(b) <i>Liquid resources; defined.</i> Liquid resources are those properties that are in cash or are financial instruments which are convertible to cash. Liquid resources include cash on hand, cash in savings accounts, stocks, bonds, mutual fund shares, promissory notes, mortgages and similar properties.</p> <p>(c) <i>Nonliquid resources; defined.</i> Nonliquid resources include all other properties. The term includes both real and personal property. Nonliquid resources are evaluated according to their current market value except as otherwise provided. For purposes of this Subpart L, the "current market value" of an item is defined as the price that item can reasonably be expected to sell for on the open market in the particular geographic area involved.</p> <p>20 CFR 416.1202</p> <p>(a) <i>Married individual.</i> In the case of an individual who is living with a person not eligible under this part and who is considered to be the husband or wife of such individual under the criteria in § 416.1005, such individual's resources shall be deemed to include any resources, not otherwise excluded under this subpart, of such spouse whether or not such resources are available to such individual.</p> <p>(b) <i>Child.</i> In the case of a child (as defined in § 416.1050) who is under age 21, such child's resources shall be deemed to include any resources, not otherwise excluded under this subpart, of a parent of such child (or the spouse of such a parent) who is living in the same household (as defined in § 416.1070) as such child, whether or not available to such child, to the extent that the resources of such parent (or such spouse of a parent) exceed \$1,500 in the case of one parent or \$2,250 in the case of two parents (or one parent and the spouse of such parent). As used in this section, the term "parent" means the natural or adoptive parent of a child and "spouse of a parent" means the spouse (as defined</p>	<p>(C) If agency policies provide for allocation of the individual's income as necessary for the support of his dependents, such allocation shall not exceed the total amount of their need as determined by the State's need standard.</p> <p>(D) Net income available for current use and currently available resources shall be considered; income and resources are considered available both when actually available and when the applicant or recipient has a legal interest in a liquidated sum and has the legal ability to make such sum available for support and maintenance.</p> <p>(E) Income and resources will be reasonably evaluated.</p> <p>For purposes of this paragraph (a) (3); Automobile means a passenger car or other motor vehicle used to provide transportation of persons or goods; Retail market value means the price an item of a particular make, model, size, material, or condition will sell for on the open market in the geographic area involved; Liquid assets are those properties in the form of cash or other financial instruments which are convertible to cash and include savings accounts, checking accounts, stocks, bonds, mutual fund shares, promissory notes, mortgages, loan value of insurance policies, and similar properties; Need standard means the money value assigned by the State to the basic and special needs it recognizes as essential for applicants and recipient.</p> <p>45 CFR 233.20 (a)(6)(viii)</p> <p>(viii) Reserves accumulated from earnings are given no different treatment than reserves accumulated from any other sources.</p>

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<p>in Subpart J of this part) of such natural or adoptive parent.</p> <p>(c) <i>Applicability.</i> When used in this Subpart L, the term "individual" refers to an eligible aged, blind, or disabled person, and also includes a person whose resources are deemed to be the resources of such individual (as provided in paragraphs (a) and (b) of this section).</p> <p>20 CFR 416.1203</p> <p>In the case of a qualified individual (as defined in § 416.242) whose payment standard has been increased because of the presence of an essential person (as defined in § 416.243), the resources of such qualified individual shall be deemed to include all the resources of such essential person. If such qualified individual would not meet the resource criteria for eligibility (as defined in § 416.1205 and 416.1260) because of the deemed resources, then the payment standard increase because of the essential person will be nullified and the provision of this section will not apply; essential person status is lost permanently. However, if such essential person is an ineligible spouse of a qualified individual or a parent (or spouse of a parent) of a qualified individual who is a child under age 21, then the resources of such person will be deemed to such qualified individual in accordance with the provision in § 416.1202.</p> <p>20 CFR 416.1052</p> <p>For purposes of the special income and resources inclusions and exclusions applicable to a child under title XVI of the Act, a parent is an individual related to the eligible individual as a natural parent, or an adoptive parent. The marital status of the biological parent is immaterial in establishing the natural parent-child relationship. A final legal adoption is required for the individual to qualify as an adoptive parent. A spouse of a child's parent is the husband or wife (as defined in § 416.1005) of such parent.</p>	<p>45 CFR 233.20(a)(4)(ii)</p> <p>(ii) Provide that, in determining eligibility for public assistance and the amount of the assistance payment, the following will be disregarded as income and resources:</p> <p>(a) The value of the coupon allotment under the Food Stamp Act of 1964 in excess of the amount paid for the coupons;</p> <p>(b) The value of the U.S. Department of Agriculture donated foods (surplus commodities);</p> <p>(c) Any payment received under Title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;</p> <p>(d) Any grant or loan to any undergraduate student for educational purposes made or insured under any programs administered by the Commissioner of Education;</p> <p>(e) Any funds distributed per capita to or held in trust for members of any Indian tribe under Pub. L. 92-254 or Pub. L. 93-134;</p> <p>(f) Any benefits received under Title VII, Nutrition Programs for the Elderly, of the Older Americans Act of 1965, as amended;</p> <p>(g) Payments for supporting services or reimbursement of out-of-pocket expenses made to individual volunteers serving as foster grandparents, senior health aides, or senior companions, and to persons serving in the Service Corps of Retired Executives (SCORE) and Active Corps of Executives (ACE) and any other programs under titles II and III, pursuant to section 418 of Pub. L. 93-113;</p> <p>(h) Payments to volunteers under Title I (VISTA) of Pub. L. 93-113, pursuant to section 404(g) of Pub. L. 93-113;</p> <p>(i) The value of supplemental food assistance received under the Child Nutrition Act of 1966 as amended, and the special food service program for children under the National School Lunch Act, as amended (Pub. L. 92-433 and Pub. L. 93-150);</p> <p>(j) The weekly incentive allowance made under sec. 111 of Pub. L. 92-203, the Comprehensive Employment and Training Act of 1973.</p>

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<p>20 CFR 416.241</p> <p>In determining (for purposes of this part) the eligibility for and the amount of the supplemental security income benefit payable to any qualified individual (as defined in § 416.242) with respect to any period for which such individual has in his home an essential person (as defined in § 416.243), the standard payment amount as prescribed in section 1611 of the Act shall be increased if consideration of the income and resources of such essential person would not cause the qualified individual to lose eligibility for such benefits. In determining whether the qualified individual loses eligibility on the basis of the income of the essential person, the standard of payment against which such income will be applied is that prescribed in section 1611(a) of the Act plus the essential person increment(s). The resource limitation in § 416.1205 (as modified by § 416.1260, where applicable) will apply.</p> <p>20 CFR 416.1055</p> <p>In determining income and resources, certain inclusions and exclusions apply where the eligible individual meets the definition of a child. The special income and resources provisions applicable where a child is an eligible individual are:</p> <p>(a) Exclusion of his earned income within prescribed limits (see § 416.1163) where the eligible individual is a child who is also a student regularly attending school;</p> <p>(b) Exclusion of one-third of any payment received from an absent parent for the eligible individual's support (see § 416.1161); and</p> <p>(c) Inclusion (see formula in § 416.1185(b)) of the income and resources of a parent (or the parent's spouse) while the child is under age 21 and living in the same household (see § 416.1070) with such parent (or the parent's spouse).</p> <p>See: 20 CFR 404.1070</p>	<p>(k) Tax-exempt portions of payments made pursuant to Pub. L. 92-203, the Alaska Native Claims Settlement Act.</p> <p>45 CFR 233.20(a)(4)(iii)</p> <p>(iii) Provide that income and resources which are disregarded or set aside under this part will not be taken into consideration in determining the need of any other individual for assistance.</p>

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<p>42 USC 1382b(a)(1)</p> <p>In determining the resources of an individual (and his spouse, if any), there shall be excluded--</p> <p>(1) the home (including the land that appertains thereto), to the extent that its value does not exceed such amount as the Secretary determines to be reasonable;</p> <p>-----</p> <p>20 CFR 416.1210(a)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(a) The home (including the land appertaining thereto) to the extent its value does not exceed the amount set forth in § 416.1212;</p> <p>20 CFR 416.1212</p> <p>(a) A home is excluded in determining the resources of an individual (and spouse, if any) to the extent its current market value does not exceed \$25,000 (\$35,000 in Alaska and Hawaii).</p> <p>(b) A home, for purposes of this part, is any shelter in which the individual (and spouse, if any) has ownership interest and which is used by the individual (and spouse, if any) as his principal place of residence. The home includes any land that appertains thereto and any related outbuildings necessary to the operation of the home.</p> <p>(c) Where a State or locality imposes a value-based property tax or levy, the current market value of a home shall be based on the most recent assessed value placed on it by such State or locality, taking into consideration the ratio between such assessed value and the market value.</p> <p>(d) The proceeds from the sale of a home which is excluded from the individual's resources will also be excluded from resources to the extent they are intended to be used and are, in fact, used to purchase another home, which is similarly excluded, within 3 months of the date of receipt of the proceeds.</p>	

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<p>42 USC 1382b(a)(2)</p> <p>In determining the resources of an individual (and his eligible spouse, if any) there shall be excluded--household goods, personal effects...to the extent that their total value does not exceed such amount as the Secretary determines to be reasonable;</p> <p>-----</p> <p>20 CFR 416.1210(b)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(b) Household goods and personal effects to the extent that their total value does not exceed the amount provided in § 416.1216;</p> <p>20 CFR 416.1216</p> <p>(a) <i>Household goods and personal effects; defined.</i> Household goods are defined as including household furniture, furnishings and equipment which are commonly found in or about a house and are used in connection with the operation, maintenance and occupancy of the home. Household goods would also include the furniture, furnishings and equipment which are used in the functions and activities of home and family life as well as those items which are for comfort and accommodation. Personal effects are defined as including clothing, jewelry, items of personal care, individual education and recreational items such as books, musical instruments, and hobbies.</p> <p>(b) <i>Limitation on household goods and personal effects.</i> In determining the resources of an individual (and spouse, if any) household goods and personal effects are excluded if their total market value is \$1,500 or less. If the market value is in excess of \$1,500, the excess will be counted against the resource limitation.</p> <p>(c) <i>Additional exclusions of household goods and personal effects.</i> In determining the resources of an individual (and spouse, if any) and in determining the value of the household goods and personal effects of such individual (and spouse), there</p>	

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<p>shall be excluded a wedding ring and an engagement ring and household goods and personal effects such as prosthetic devices, dialysis machines, hospital beds, wheel chairs and similar equipment required because of a person's physical condition. The exclusion of items required because of a person's physical condition is not applicable to items which are used extensively and primarily by members of the household in addition to the persons whose physical condition requires the item.</p> <p>42 USC 1382b(a)(2)</p> <p>In determining the resources of an individual (and his eligible spouse, if any) there shall be excluded-- ...and an automobile, to the extent that their total value does not exceed such amount as the Secretary determines to be reasonable;</p> <p>-----</p> <p>20 CFR 416.1210(c)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded: (c) An automobile to the extent that its value does not exceed the amount provided in § 416.1218;</p> <p>20 CFR 416.1218</p> <p>(a) <i>Automobile; defined.</i> As used in this section, the term "automobile" includes, in addition to passenger cars, other vehicles used to provide necessary transportation.</p> <p>(b) <i>Limitation on automobile.</i> In determining the resources of an individual (and spouse, if any) an automobile is excluded from counting as a resource if its current retail market value is \$1,200 or less. If the retail market value of the automobile exceeds \$1,200, the amount to be counted against the resource limit is that portion of the retail market value which exceeds \$1,200. However, an automobile will be totally excluded if it is used for employment or</p>	

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<p>for the medical treatment of a specific or regular medical problem of the individual or a member of his household, or if it has been modified for operation by or transportation of a handicapped person.</p> <p>(c) <i>Number of automobiles.</i> Only one automobile may be excluded in determining the resources of an individual (and spouse, if any) under this section.</p> <p>(d) <i>Retail market value.</i> The "retail market value" of an automobile is the average price of a vehicle of that particular year, make, model, and condition will sell for on the open market (to a private individual) in the particular geographic area involved.</p> <p>20 CFR 416.1224 (a,d)</p> <p>(a) In determining the resources of an individual (and spouse, if any) there shall be excluded nonliquid property (as defined in § 416.1201) which although not used in a trade or business is essential to the self-support of the individual (and spouse, if any). Nonbusiness property is considered essential for self-support if it is relied upon by the individual as a significant factor in producing income on which he can live, it is used to produce goods essential to the support of the individual, or it is used to provide services essential to the individual's support.</p> <p>(d) A motor vehicle (in addition to that otherwise excludable under this Subpart L) is considered essential for self-support if, because of climate, terrain, distance, or similar factors, additional or specially modified vehicles are required to provide necessary transportation. The limitations on value of otherwise excluded vehicles in this Subpart L are applicable to vehicles in this category.</p> <hr/> <p>42 USC 1382b(a)(3)</p> <p>In determining the resources of an individual (and his eligible spouse, if any) there shall be excluded-- ...other property which, as determined in:</p>	

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<p>accordance with and subject to limitations prescribed by the Secretary, is so essential to the means of self-support of such individual (and spouse) as to warrant its exclusions;</p> <p>-----</p> <p>20 CFR 416.1210(d,e)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(d) Property of a trade or business which is essential to the means of self-support as provided in § 416.1222;</p> <p>(e) Nonbusiness property which is essential to the means of self-support as provided in § 416.1224;</p> <p>20 CFR 416.1220</p> <p>In determining the resources of an individual (and spouse, if any) there shall be excluded, subject to the criteria and limitations in § § 416.1222 and 416.1224, property so essential to the self-support of the individual (and spouse, if any) as to warrant its exclusion. Such property shall include both the property used in a trade or business (as defined in § 406.1070 of Part 404) and property not used in a trade or business but which nevertheless produces income or is otherwise necessary to the self-support of the individual (and spouse, if any). In the case of property not used in a trade or business, however, it shall not include cash, stocks, bonds, or any other liquid resources (as defined in § 416.1201) even though such liquid resources may be producing income.</p> <p>20 CFR 416.1222</p> <p>(a) In determining the resources of an individual (and spouse, if any) there shall be excluded property used by the individual (and spouse, if any) in his trade or business (as defined in § 404.1070 of this chapter) to the extent</p>	

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<p>that such property is essential to the self-support of such individual (and spouse, if any).</p> <p>(b) Property of a trade or business is considered essential for self-support if its current market value does not exceed limits which take into account the nature of the business and the gross and net income such business may be expected to produce in the light of such property.</p> <p>(c) For purposes of this section, "property of a trade or business" means items commonly referred to as tangible business assets such as land and buildings, equipment and supplies, inventory, cash on hand, accounts receivable, etc.</p> <p>20 CFR 416.1224</p> <p>(a) In determining the resources of an individual (and spouse, if any) there shall be excluded nonliquid property (as defined in § 416.1201) which although not used in a trade or business is essential to the self-support of the individual (and spouse, if any). Nonbusiness property is considered essential for self-support if it is relied upon by the individual as a significant factor in producing income on which he can live, it is used to produce goods essential to the support of the individual, or it is used to provide services essential to the individual's support.</p> <p>(b) Property used exclusively to produce items for home consumption is considered essential for self-support if the items produced are a significant factor in the support and maintenance of the individual.</p> <p>(c) Tools, equipment, uniforms, and similar items required by the individual's employer are considered essential for self-support.</p> <p>(d) A motor vehicle (in addition to that otherwise excludable under this Subpart L) is considered essential for self-support if, because of climate, terrain, distance, or similar factors, additional or specially modified vehicles are required to provide necessary transportation. The limitations on value of otherwise excluded vehicles in this Subpart L are applicable to vehicles in this category.</p>	

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<p>42 USC 1382 (a)(4)</p> <p>In determining the resources of an individual (and his eligible spouse, if any) there shall be excluded--</p> <p>... (4) such resources of an individual who is blind or disabled and who has a plan for achieving self-support approved by the Secretary, as may be necessary for the fulfillment of such plan;</p> <p>-----</p> <p>20 CFR 416.1210(f)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(f) Resources of a blind or disabled individual which are necessary to fulfill an approved plan for achieving self-support as provided in § 416.1226;</p> <p>20 CFR 416.1226</p> <p>In determining the resources of a blind or disabled individual, there shall be excluded such resources, as an approved plan for achieving self-support pursuant to § 416.1731, specifies as being necessary for the fulfillment of such plan for so long as such plan remains in effect.</p> <p>20 CFR 416.1731</p> <p>(a) <i>General.</i> Achievement of self-support by the needy blind or disabled is a primary objective of the supplemental security income program. Section 1612(b)(4) (A) and (B) of the Social Security Act provides for the exclusion from the countable income of a blind or disabled individual, of the income necessary to fulfill a plan for achieving self-support. Section 1613(a)(4) of the Act provides for the exclusion from such an individual's countable resources of resources necessary to fulfill a plan for achieving self-support.</p> <p>(b) For purposes of the income and resources exclusions described in paragraph (a) of this section:</p> <p>(1) <i>Approval of a plan.</i> The plan must be an individual plan, in writing, and approved by the Social Security Administration.</p>	

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<p>(2) <i>Elements of an approved plan for achieving self-support.</i> The plan must contain specific savings and/or planned disbursement goals for a designated occupational objective; there must be identification and segregation of such money and other resources as are being accumulated and conserved toward this goal.</p> <p>(3) <i>Adherence to the plan.</i> The individual's adherence to the provisions of the plan for self-support is required for its continuing applicability.</p> <p>(c) <i>Duration of an approved plan.</i> An approved plan for achieving self-support is limited to an initial period of 18 months. An extension for an additional period of up to 18 months may be granted where the Social Security Administration determines that such extension is required to achieve the goals of the previously approved plan for self-support; approval of a total period of up to 48 months is possible when the plan includes an educational goal which extends beyond the initial and extension periods.</p> <p>(d) <i>Assistance in development of plan.</i> Upon request, an applicant for whom a plan for achieving self-support has not been approved by the Social Security Administration prior to the determination by the Social Security Administration that he is disabled or blind, shall receive all necessary assistance in expediting the referral of such individual for the development of such plan by the State vocational rehabilitation agency or the State agency for the blind, as appropriate. Such assistance shall be provided the applicant at the time of and in conjunction with his referral to such agency for rehabilitation or blind services.</p> <p>(e) <i>State approved plans.</i> Despite the requirements of paragraph (b) of this section, a plan for achieving self-support approved by an agency administering a State plan under the provisions of title X, XIV, or XVI of the Social Security Act and still in effect at the time the blind or disabled individual otherwise becomes eligible for supplemental security income payments shall be considered</p>	

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<p>to be an approved plan to achieve self-support and a basis for exclusion of income, resources, or income and resources, according to the terms and conditions of such plan for so long as such plan continues to be applicable to such individual, not to exceed the time periods specified in paragraph (c) of this section.</p> <hr/> <p>42 USC 1382b(a)(5)</p> <p>In determining the resources of an individual (and his eligible spouse, if any) there shall be excluded--</p> <p>...in the case of Natives of Alaska, shares of stock held in a Regional or Village Corporation, during the period of twenty years in which such stock is inalienable, as provided in section 1606(h) and 1607(c) of title 43.</p> <p>-----</p> <p>20 CFR 416.1210(g)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(g) Stock in regional or village corporations held by natives of Alaska during the twenty-year period in which the stock is inalienable pursuant to the Alaska Native Claims Settlement Act (see § 416.1228);</p> <p>20 CFR 416.1228(a,b)</p> <p>(a) In determining the resources of a native of Alaska (and spouse, if any) there will be excluded from resources, shares of stock held in a regional or village corporation during the period of 20 years in which such stock is inalienable, as provided by sections 7(h) and 8(c) of the Alaska Native Claims Settlement Act (43 U.S.C. 1606, 1607). The 20 year period of inalienability terminates on January 1, 1992.</p>	

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<p>(b) As used in this section, "native of Alaska" has the same meaning as that contained in section 3(b) of the Alaska Native Claims Settlement Act (43 U.S.C. 1602(b)).</p> <p>See: 20 CFR 416.1201(b) 20 CFR 416.1220</p> <hr/> <p>42 USC 1382b(a)</p> <p>In determining the resources of an individual (or eligible spouse) an insurance policy shall be taken into account only to the extent of its cash surrender value; except that if the total face value of all life insurance policies on any person is \$1,500 or less, no part of the value of any such policy shall be taken into account.</p> <p>-----</p> <p>20 CFR 416.1210(h)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(h) Life insurance owned by an individual (and spouse, if any) to the extent provided in § 416.1230; and</p> <p>20 CFR 416.1230</p> <p>(a) <i>General.</i> In determining the resources of an individual (and spouse, if any), life insurance owned by the individual (and spouse, if any) will be considered to the extent of its cash surrender value. If, however, the total face value of all life insurance policies on any person does not exceed \$1,500, no part of the cash surrender value of such life insurance will be taken into account in determining the resources of the individual (and spouse, if any). In determining the face value of life insurance on the individual (and spouse, if any), term insurance and burial insurance will not be taken into account.</p> <p>(b) <i>Definitions--(1) Life insurance.</i> Life insurance is a contract under which the insurer agrees to pay a specified amount upon the death of the insured.</p> <p>(2) <i>Insurer.</i> The insurer is the company or association which contracts with the owner of the insurance.</p> <p>(3) <i>Insured.</i> The insured is the person upon whose life insurance is effected.</p>	

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<p>(4) <i>Owner.</i> The owner is the person who has the right to change the policy. This is normally the person who pays the premiums.</p> <p>(5) <i>Term insurance.</i> Term insurance is a form of life insurance having no cash surrender value and generally furnishing insurance protection for only a specified or limited period of time.</p> <p>(6) <i>Face value.</i> Face value is the basic death benefit of the policy exclusive of dividend additions or additional amounts payable because of accidental death or under other special provisions.</p> <p>(7) <i>Cash surrender value.</i> Cash surrender value is the amount which the insurer will pay (usually to the owner) upon cancellation of the policy before death of the insured or before maturity of the policy.</p> <p>(8) <i>Burial insurance.</i> Burial insurance is insurance whose terms specifically provide that the proceeds can be used only to pay the burial expenses of the insured.</p> <p>20 CFR 416.1105(b)</p> <p>(b) <i>Insurance payment on excluded resource.</i> The cash proceeds of casualty insurance to replace a resource are not income but retain the character of resources. For treatment of an excluded resource see § 416.1232.</p> <p>20 CFR 416.1232</p> <p>Cash received from an insurance company for purposes of repairing or replacing an excluded resource that is lost, damaged, or stolen, etc., is excluded as a resource provided the total amount of the cash is used to repair or replace such excluded resource within 3 months if the resource is personal property and 6 months if the resource is real property. Any such cash not so used within such time periods is an includable resource to the extent retained beginning with the quarter following the quarter of receipt.</p>	

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<p>20 CFR 416.1210(d,e,i,j)</p> <p>In determining the resources of an individual (and spouse, if any) the following items shall be excluded:</p> <p>(d) Property of a trade or business which is essential to the means of self-support as provided in § 416.1222;</p> <p>(e) Nonbusiness property which is essential to the means of self-support as provided in § 416.1224;</p> <p>(i) Restricted allotted land owned by an enrolled member of an Indian tribe as provided in § 416.1234;</p> <p>(j) Payments or benefits provided under a Federal statute other than title XVI of the Social Security Act where exclusion is required by such statute.</p> <p>20 CFR 416.1234</p> <p>In determining the resources of an individual (and spouse, if any) who is of Indian descent from a federally recognized Indian tribe, there shall be excluded such restricted, allotted lands as such individual (and spouse, if any) may possess if such individual (and spouse, if any) cannot sell, transfer, or otherwise dispose of such land without permission of other individuals, his tribe or an agency of the Federal Government.</p> <p>20 CFR 416.1236(a) (1-11),(b)</p> <p>(a) Payments or benefits provided under a Federal statute other than title XVI of the Social Security Act where exclusion from resources is required by such statute include:</p> <p>(1) Payments made under title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (84 Stat. 1902, 42 U.S.C. 4636).</p> <p>(2) Judgment payments to members of the Blackfeet and Gros Ventre Tribes of Indians under the provisions of Pub. L. 92-254 (86 Stat. 65, 25 U.S.C. 1264).</p> <p>(3) Indian per capita judgment payments under the provisions of Pub. L. 93-134 (87 Stat. 468, 25 U.S.C. 1407), awarded from the date</p>	

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<p>of that act (October 19, 1973), excluding such payments when made to any tribe or group whose trust relationship with the Federal government has been terminated and for which there already existed legislation authorizing the disposition of its judgment funds; but including all funds deriving from judgments entered prior to the date of the act for which there has been no enabling legislation.</p> <p>(4) The value of the coupon allotment in excess of the amount paid for the coupons under the Food Stamp Act of 1964 (78 Stat. 705, as amended, 7 U.S.C. 2016(c)).</p> <p>(5) The value of assistance to children under the National School Lunch Act (60 Stat. 230, 42 U.S.C. 1751 et seq) as amended by Pub. L. 90-302 (82 Stat. 117, 42 U.S.C. 1761(h)(3)).</p> <p>(6) The value of assistance to children under the Child Nutrition Act of 1966 (80 Stat. 889, 42 U.S.C. 1780(b)).</p> <p>(7) Any grant or loan to any undergraduate student for educational purposes made or insured under any program administered by the Commissioner of Education as provided by section 507 of the Higher Education Amendments of 1968, Pub. L. 90-575 (82 Stat. 1063).</p> <p>(8) Incentive allowances received under title I of the Comprehensive Employment and Training Act of 1973 (87 Stat. 849, 29 U.S.C. 5044).</p> <p>(9) Payments to volunteers under the Domestic Volunteer Service Act of 1973 as provided by section 404(g) of that act (87 Stat. 409, 42 U.S.C. 5044).</p> <p>(10) Payments received under the Alaska Native Claims Settlement Act to the same extent that those payments are exempt from taxation by reason of section 21(a) of that act (85 Stat. 713, 43 U.S.C. 1620(a)).</p> <p>(11) Value of Federally donated foods distributed pursuant to section 32 of P.L. 74-320 or section 416 of the Agriculture Act of 1949 (7 C.F.R. 250.6(e) (9) as authorized by 5 U.S.C. 301).</p>	

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<p>P.L. 74-320 or section 416 of the Agriculture Act of 1949 (7 C.F.R. 250.6(e) (9) as authorized by 5 U.S.C. 301).</p> <p>(b) In order for payments and benefits listed in paragraph (a) to be excluded from resources, such funds must be segregated and not commingled with other countable resources so that the excludable funds are identifiable. (41 FR 13338, Mar. 30, 1976)</p> <hr/> <p>42 USC 1382(a)(1)(B)</p> <p>Each aged, blind or disabled individual who does not have an eligible spouse and</p> <p>(B) whose resources, other than resources excluded pursuant to section 1382b (a) of the title are not more than (i) in case such individual has a spouse with whom he is living, \$2,250, or (ii) in case such individual has no spouse with whom he is living, \$1,500 shall be an eligible individual for purposes of this subchapter.</p> <p>42 USC 1382(a)(2)(B)</p> <p>(2) Each aged, blind, or disabled individual who has an eligible spouse and--</p> <p>... (B) whose resources (together with the resources of such spouse), other than resources excluded pursuant to section 1382b(a) of this title, are not more than \$2,250, shall be an eligible individual for purposes of this subchapter.</p> <p>-----</p> <p>20 CFR 416.1205(a,b)</p> <p>(a) <i>Individual with no eligible spouse.</i> An aged, blind, or disabled individual with no spouse shall be eligible for benefits under title XVI of the Act if his non-excludable resources do not exceed \$1,500 and all other eligibility requirements are met. An individual who is living with an ineligible spouse shall be eligible for benefits under title XVI of</p>	

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<p>the Act if his nonexcludable resources, including the resources of such spouse, do not exceed \$2,250 and all other eligibility requirements are met.</p> <p>(b) <i>Individual with an eligible spouse.</i> An aged, blind, or disabled individual who has an eligible spouse shall be eligible for benefits under title XVI of the Act if their nonexcludable resources do not exceed \$2,250 and all other eligibility requirements are met.</p> <p>20 CFR 416.1240</p> <p>(a) In order for payment conditioned on the disposition of nonliquid resources to be made, the individual must agree in writing to dispose of real property within 6 months and other property within 3 months. The time period will begin on the date the written agreement to dispose of the resources is signed by the individual. However, in case of an individual who is disabled, the time period will begin with the date the individual is determined to be disabled.</p> <p>(b) The 6 and 3 month time periods for disposition will be extended an additional 3 months where it is found that the individual had "good cause" for failing to dispose of the resources within the original time period.</p> <p>(c) An individual will be found to have "good cause" for failing to dispose of a resource if, despite reasonable and diligent effort on his part, he was prevented by circumstances beyond his control from disposing of the resource.</p> <p>20 CFR 416.1242</p> <p>(a) Where the resources of an individual (and spouse, if any) are determined by exceed the limitations prescribed in § 416.1205, such individual (and spouse, if any) shall not be eligible for payment except under the conditions provided in this section. Payment will be made to an individual (and spouse, if any) if:</p> <p>(1) Total includable resources do not exceed \$3,000 in the case of an individual or \$4,500 in the case of an individual and spouse; and</p>	

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<p>(2) Total includable liquid resources (as defined in § 416.1201) do not exceed one-fourth of the applicable dollar amount specified in section 1611(b)(1) of the Act in the case of an individual and in section 1611(b)(2) in the case of an individual and spouse; and</p> <p>(3) The individual agrees in writing to:</p> <p>(i) Dispose of the nonliquid resources (as defined in § 416.1201) in excess of the limitations prescribed in § 416.1205 within the time period specified in § 416.1242; and</p> <p>(ii) Repay any overpayments (as defined in § 416.1244) with the proceeds of such disposition.</p> <p>(b) Payment made for the period during which the resources are being disposed of will be conditioned upon the disposition of resources prescribed in paragraph (a)(3) of this section. Any payments so made are (at the time of disposition) considered overpayments to the extent they would not have been paid had the disposition occurred at the beginning of the period for which such payments were made.</p> <p>20 CFR 416.1244</p> <p>(a) Upon disposition of the resources, the net proceeds to the individual from the sale are considered available to repay that portion of the payments that would not have been made had the disposition occurred at the beginning of the period for which payment was made.</p> <p>(b) The net proceeds from disposition will normally be the sales price less any encumbrance on the resource and the expenses of sale such as transfer taxes, fees, advertising costs, etc., where, however, a resource has been sold (or otherwise transferred) by an individual to a friend or relative for less than its current market value, the net proceeds will be the current market value less costs of sale and encumbrance.</p> <p>(c) After deducting any amount necessary to raise the individual's (and spouse's, if any) resources to \$1,500 or \$2,250 (as applicable), as of the beginning of the disposition period, the balance of the net proceeds will be used to recover the payments made</p>	

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<p>to the individual (and spouse, if any). Any remaining proceeds are considered liquid resources.</p> <p>(d) The overpayment to be recovered is equal to the balance of the net proceeds (as described in paragraph (c) of this section) or the total payments made to the individual (and spouse, if any) for the period of disposition, whichever is less.</p>	

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>7 USC 2014(b)</p> <p>The standards established by the Secretary, at a minimum, shall prescribe the amounts of household income and other financial resources, including both liquid and nonliquid assets, to be used as criteria of eligibility; provided, that such standards shall take into account payments in kind received from an employer by members of a household, if such payments are in lieu of or supplemental to household income; provided further, that such payments in kind shall be limited only to housing provided by such employer to such employee and shall be the actual value of such housing but in no event shall such value be considered to be in excess of the sum of \$25.00 per month.</p> <p>-----</p> <p>7 CFR 271.3(c)</p> <p>(c) <i>Income and resource eligibility standards of other households.</i> Each State agency shall apply the uniform national income and resource standards of eligibility established by the Secretary to determine the eligibility of all other applicant households, including those in which some members are recipients of federally aided public assistance or general assistance.</p> <p>7 CFR 271.3(c)(4)(i)</p> <p>(4) <i>Resource definition and standards (i) Maximum allowable resources.</i> The maximum allowable resources--including both liquid and nonliquid assets--of all members of the household shall not exceed \$1,500 for the household, except that, for households of two or more persons with a member or members age 60 or over, such resources shall not exceed \$3,000.</p> <p>7 CFR 271.3(b)</p> <p>(b) <i>Income and resource eligibility standards of public assistance and general assistance households.</i> Households in which all members are included in a</p>	<p>Sec. 5(a,g)</p> <p>(a) Participation in the food stamp program shall be limited to those households whose incomes and other financial resources, held singly or in joint ownership, are determined to be a substantial limiting factor in permitting them to obtain a more nutritious diet. Assistance under this program shall be furnished to all eligible households who make application for such participation.</p> <p>(g) The Secretary shall prescribe the types and allowable amounts of financial resources (liquid and nonliquid assets) an eligible household may own, and shall, in so doing, assure that a household otherwise eligible to participate in the food stamp program will not be eligible to participate if its resources exceed \$1,750, or, in the case of a household consisting of two or more persons, one of whom is age 60 or over, if its resources exceed \$3,000. The Secretary shall, in prescribing inclusions in, and exclusions from, financial resources, follow the regulations in force as of June 1, 1977, and shall, in addition, (1) include in financial resources any boats, snowmobiles, and airplanes used for recreational purposes, any vacation homes, any mobile homes used primarily for vacation purposes, and any licensed vehicle (other than one used to produce earned income) used for household transportation or used to obtain or continue employment or to transport disabled household members to the extent that the fair market value of any such vehicle exceeds \$4,500, and (2) study and develop means of improving the effectiveness of these resource requirements in limiting participation to households in need of food assistance, and implement and report the results of such study and the Secretary's plans to the Committee on Agriculture of the House of Representatives and the Committee on Agriculture, Nutrition, and Forestry of the Senate no later than June 1, 1978.</p>

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FOOD STAMPS (OLD PROGRAM)	FOOD STAMPS (PL 95-113, 1977)
<p>federally aided public assistance of general assistance grant shall, if otherwise eligible under this subchapter, be determined to be eligible to participate in the program while receiving such grants without regard to the income and resources of the household members.</p> <p>See: 7 CFR 271.10(c)(1,2)</p> <p>7 CFR 271.3(c)(4)(ii)(a,b)</p> <p>(ii) <i>Included in resources.</i> In determining the resources of a household, the following shall be included and identified in sufficient detail to permit verification:</p> <p>(a) Liquid resources which are readily negotiable, such as cash on hand, in a checking or savings account in a bank or other savings institution, U.S. savings bonds, stocks or bonds; and</p> <p>(b) Nonliquid resources, such as buildings, land, or other real or personal property not excluded under paragraph (c)(4)(iii)(a) or (b) of this section.</p> <hr/> <p>7 CFR 271.3(c)(4)(iii)(a-f)</p> <p>(iii) <i>Exclusions from resources.</i> In determining the resources of a household, the following shall be excluded. This list is inclusive and no other exclusions from resources shall be allowed:</p> <p>(a) The home and lot normal to the community, one licensed vehicle, household goods, cash value of life insurance policies and pension funds, and personal effects.</p> <p>(b) Income-producing property which is producing income consistent with its fair market value, or other property such as another vehicle needed for purposes of employment, the tools of a tradesman or the machinery of a farmer, deemed essential to the employment of a household member;</p> <p>(c) Indian lands held jointly with the Tribe, or land that can be sold only with the approval of the Bureau of Indian Affairs;</p>	<p>Sec. 6(h)</p> <p>Eligibility disqualification:</p> <p>(h) No household that knowingly transfers assets for the purpose of qualifying or attempting to qualify for the food stamp program shall be eligible to participate in the program for a period of up to one year from the date of discovery of the transfer.</p>

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FOOD STAMPS (OLD PROGRAM) /	FOOD STAMPS (PL 95-113, 1977)
<p>(d) Resources whose cash value is not accessible to the household, such, as but not limited to, irrevocable trust funds and property in probate;</p> <p>(e) Payments under Title II of the Uniform Relocation Assistance and Real Properties Acquisition Act of 1970; and</p> <p>(f) Payments under the WIC (Women, Infants, and Children) Program.</p> <hr/> <p>7 CFR 271.3(c)(4)(iv)</p> <p>(iv) <i>Value of resources.</i> The value of resources shall be determined at fair market value, less encumbrances.</p>	

Personal Characteristics: AGE

MEDICARE	VOCATIONAL REHABILITATION
<p><u>PART A (HOSPITAL INSURANCE)</u></p> <p>42 USC 426 (a, b)</p> <p>(a) Every individual who-- (1) has attained age 65, and (2) is entitled to monthly insurance benefits under section 402 of this title or is a qualified railroad retirement beneficiary, shall be entitled to hospital insurance benefits under part A of sub-chapter XVIII of this chapter for each month for which he meets the condition specified in subparagraph (B), beginning with the first month after June 1966 for which he meets the conditions specified in subparagraphs (A) and (B).</p> <p>(b) Every individual who-- (1) has not attained age 65, and (2) (A) is entitled to, and has for 24 consecutive calendar months been entitled to, (i) disability insurance benefits under section 423 of this title or (ii) child's insurance benefits under section 402(d) of this title by reason of a disability (as defined in section 423(d) of this title) or (iii) widow's insurance benefits under section 402(e) of this title or widower's insurance benefits under section 402(f) of this title by reason of a disability (as defined in section 423(d) of this title), or (B) is, and has been for not less than 24 consecutive months a disabled qualified railroad retirement beneficiary, within the meaning of section 231f(d) of Title 45, shall be entitled to hospital insurance benefits under part (A) of subchapter XVIII of this chapter for each month beginning with the later of (I) July 1973 or (II) the twenty-fifth consecutive month of his entitlement or status as a qualified railroad retirement beneficiary described in paragraph (2), and ending with the month following the month in which notice of termination of such entitlement to benefits or status as a qualified railroad retirement beneficiary described in paragraph (2) is mailed to him, or if earlier, with the month before the month in which he attains age 65.</p> <p><u>Summary Note:</u> Monthly social security cash benefits under section 402 can be paid to: A. A disabled insured worker under age 65.</p>	<p>45 CFR 1361.33(a)(1)</p> <p>(a) <i>General provisions.</i> (1) The State plan shall provide that eligibility requirements will be applied by the State agency without regard to sex, race, age, creed, color, or national origin of the individual applying for service. The State plan shall further provide that no group of individuals will be excluded or found ineligible solely on the basis of type of disability. With respect to age, the State plan shall specify that no upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual who otherwise meets the basic eligibility requirements specified in paragraph (b) of this section.</p> <p>PROGRAM REGULATION GUIDE FOR ELIGIBILITY, INELIGIBILITY AND CERTIFICATIONS (RSA-PRG-77-4, January 19, 1977)</p> <p>1507.07 E. Age</p> <p>"No upper or lower age limit will be established which will, in and of itself, result in a finding of ineligibility for any handicapped individual who otherwise meets the basic eligibility requirements (section 1361.33(a) of the Regulations). A person who is otherwise eligible as a client in the vocational rehabilitation program will not be excluded because of failure to fall within a specified age range. Section 7(6) of the Rehabilitation Act of 1973, as amended, and section 1361.33 (b) of the Regulations make it clear, however, that the Act is directed to the rehabilitation of handicapped individuals for employment.</p> <p>On the question of how young a handicapped individual may be and still meet the eligibility requirement, obviously the circumstances will vary from case to case. There may be handicapped youngsters entering their teens or junior high school training for whom preparation for employment would be appropriate. In all cases it is necessary to recognize that some or all of the services necessary for rehabilitation may be the responsibility of other agencies and that vocational rehabilitation services under an individualized written rehabilitation program may not be appropriate until a later age. On the other hand, programs may overlap or the disabled young per-</p>

Personal Characteristics: AGE

MEDICARE	VOCATIONAL REHABILITATION
<p>B. A retired insured worker at age 62 or over.</p> <p>C. The wife of a retired or disabled worker entitled to benefits if she:</p> <ol style="list-style-type: none"> 1. Is age 62 or over; or 2. Has in her care the worker's child who is under 18 and entitled to social security benefits. <p>D. The divorced wife (in some cases) of a retired or disabled worker entitled to benefits if she is age 62 or over and was married to the worker for at least 20 years.</p> <p>E. The dependent husband of a retired or disabled woman entitled to benefits if he is age 62 or over.</p> <p>F. The dependent, unmarried child of a retired or disabled worker entitled to benefits, or of a deceased insured worker if the child is:</p> <ol style="list-style-type: none"> 1. Under age 18; or 2. Age 18 or over but under a disability which began before age 22. 3. Age 18-22 and attending school full time. <p>G. The widow (including a surviving divorced wife in some cases) of a deceased insured worker if the widow is age 60 or over.</p> <p>H. The disabled widow (including a surviving divorced wife in some cases) of a deceased insured worker if the widow is at least age 50-59 and becomes disabled within a specified period.</p> <p>I. The widow (including a surviving divorced wife in some cases) of a deceased insured worker, regardless of her age, if she is caring for a child of the deceased under age 18 or disabled who is entitled to benefits.</p> <p>J. The dependent widower of a deceased insured worker at age 60 or over.</p> <p>K. The disabled dependent widower of a deceased insured worker if the widower is at least age 50-59 and becomes disabled within a specified period.</p> <p>L. The dependent parents of a deceased insured worker at age 62 or over.</p> <p><i>A lump-sum death payment can also be paid to a widow or widower who was living in the same household with the insured worker at the time of the worker's death. If there is no such eligible widow or</i></p>	<p>son may receive special services from one or more State agencies and at the same time require complementary services as a vocational rehabilitation client. Each individual determined eligible for vocational rehabilitation services or extended evaluation must have an individualized written rehabilitation program (section 1361.39 of the Regulations), specifying the vocational rehabilitation objectives and goals, and providing for a continuum of services to accomplish the client's vocational rehabilitation or to determine vocational rehabilitation potential."</p>

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MEDICARE	VOCATIONAL REHABILITATION
<p>widower, the lump sum may then go toward payment of the worker's burial expenses.</p> <p><i>A special monthly cash payment</i> can be made to certain persons who reached age 72 before 1972 who are not insured for regular monthly benefits.</p> <p>42 USC 426(a)(c, d)</p> <p>(c) For purposes of subsection (a) of this section--</p> <p>(1) entitlement of an individual to hospital insurance benefits for a month shall consist of entitlement to have payment made under, and subject to the limitations in, part A of subchapter XVIII of this chapter on his behalf for inpatient hospital services, post-hospital extended care services, and post-hospital home health services (as such terms are defined in part C of subchapter XVIII of this chapter) furnished him in the United States (or outside the United States in the case of inpatient hospital services furnished under the conditions described in section 1395r(f) of this title) during such month; except that (A) no such payment may be made for post-hospital extended care services furnished before January 1967, and (B) no such payment may be made for post-hospital extended care services or post-hospital home health services unless the discharge from the hospital required to qualify such services for payment under part A of subchapter XVIII of this chapter occurred (i) after June 30, 1966, or on or after the first day of the month in which he attains age 65, whichever is later, or (ii) if he was entitled to hospital insurance benefits pursuant to subsection (b) of this section, at a time when he was so entitled; and</p> <p>(2) an individual shall be deemed entitled to monthly insurance benefits under section 402 or 423 of this title, or to be a qualified railroad retirement beneficiary, for the month in which he died if he would have been entitled to such benefits, or would have been a qualified railroad retirement beneficiary for such month had he died in the next month.</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>(d) For purposes of this section, the term "qualified railroad retirement beneficiary" means an individual whose name has been certified to the Secretary by the Railroad Retirement Board under section 228s-2 or 228s-3 of Title 45. An individual shall cease to be a qualified railroad retirement beneficiary at the close of the month preceding the month which is certified by the Railroad Retirement Board as the month in which he ceased to meet the requirements of section 231f(d) of Title 45.</p> <p>-----</p> <p>20 CFR 405.102</p> <p>(a) <i>Conditions of entitlement.</i> An individual is entitled to hospital insurance benefits under the provisions described in this Subpart A if such individual has attained age 65 and:</p> <ol style="list-style-type: none"> (1) Is entitled to monthly insurance benefits under section 202 of the Social Security Act as described in Subpart D of Part 404 of this chapter; or (2) Is a "qualified railroad retirement beneficiary" as described in paragraph (b) of this section; or (3) Is deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, solely for purposes of entitlement to hospital insurance benefits, by meeting the requirements prescribed in §405.103. <p>(b) <i>Qualified railroad retirement beneficiary.</i> For purposes of this Part 405, the term "qualified railroad retirement beneficiary" means an individual whose name has been certified to the Social Security Administration by the Railroad Retirement Board under section 7(d) of the Railroad Retirement Act of 1974. An individual shall cease to be a qualified railroad retirement beneficiary at the close of the month preceding the month which is certified by the Railroad Retirement Board as the month in which he ceases to meet the requirements of section 7(d) of the Railroad Retirement Act of 1974.</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>(c) <i>Beginning of coverage.</i> An individual is entitled to hospital insurance benefits beginning with the first day of the first month after June 1966 for which he meets the conditions of paragraph (a) of this section.</p> <p>(d) <i>End of coverage--(1) General.</i> An individual's entitlement to hospital insurance benefits under paragraph (a) of this section ends with whichever occurs first:</p> <p>(i) The last day of the month in which he dies; or</p> <p>(ii) The last day of the month before the month he no longer meets the requirements:</p> <p>(2) <i>Deemed entitlement in the month of death.</i> For purposes of paragraph (d)(1) of this section, an individual will be deemed to have been entitled to a monthly insurance benefit under section 202 of the Social Security Act, or to have been a qualified railroad retirement beneficiary, for the month in which he died if he would have been entitled to a monthly insurance benefit under section 202 of the Act, or would have been a qualified railroad retirement beneficiary, for such month had he died in the next month.</p> <p>(34 FR 11202, Jul. 3, 1969; 40 FR 24357, Jun. 6, 1975)</p> <hr/> <p>42 USC 1395i-2(a-e)</p> <p>(a) Every individual who--</p> <p>(1) has attained the age of 65,</p> <p>(2) is enrolled under part B of this subchapter,</p> <p>(3) is a resident of the United States, and is either (A) a citizen or (B) an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under this section, and</p> <p>(4) is not otherwise entitled to benefits under this part,</p> <p>shall be eligible to enroll in the insurance program established by this part.</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>(b) An individual may enroll under this section only in such manner and form as may be prescribed in regulations, and only during an enrollment period prescribed in or under this section.</p> <p>(c) The provisions of section 1395p (except subsection (f) thereof), section 1395q, subsection (c) of section 1395r, and subsections (f) and (h) of section 1395s of this title shall apply to persons authorized to enroll under this section except that--</p> <p>(1) individuals who meet the conditions of subsection (a)(1), (3), and (4) of this section on or before the last day of the seventh month after the month in which this section is enacted may enroll under part B during an initial general enrollment period which shall begin on the first day of the second month which begins after the date on which this section is enacted and shall end on the last day of the tenth month after the month in which this Act is enacted;</p> <p>(2) in the case of an individual who first meets the conditions of eligibility under this section on or after the first day of the eighth month after the month in which this section is enacted, the initial enrollment period shall begin on the first day of the third month before the month in which he first becomes eligible and shall end 7 months later;</p> <p>(3) in the case of an individual who enrolls pursuant to paragraph (1) of this subsection, entitlement to benefits shall begin on--</p> <p>(A) the first day of the second month after the month in which he enrolls,</p> <p>(B) July 1, 1973, or</p> <p>(C) the first day of the first month in which he meets the requirements of subsection (a) of this section, whichever is the latest;</p> <p>(4) termination of coverage under this section by the filing of notice that the individual no longer wishes to participate in the hospital insurance program shall take effect at the close of the month following the month in which such notice is filed;</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>(5) an individual's entitlement under this section shall terminate with the month before the first month in which he becomes eligible for hospital insurance benefits under section 426 of this title or section 426a of this title; and upon such termination, such individual shall be deemed, solely for purposes of hospital insurance entitlement, to have filed in such first month the application required to establish such entitlement; and</p> <p>(6) termination of coverage for supplementary medical insurance shall result in simultaneous termination of hospital insurance benefits for uninsured individuals who are not otherwise entitled to benefits under this chapter.</p> <p>(d)(1) The monthly premium of each individual for each month in his coverage period before July 1974 shall be \$33.</p> <p>(2) The Secretary shall, during the last calendar quarter of each year, beginning in 1973, determine and promulgate the dollar amount (whether or not such dollar amount was applicable for premiums for any prior month) which shall be applicable for premiums for months occurring in the 12-month period commencing July 1 of the next year. Such amount shall be equal to \$33, multiplied by the ratio of (A) the inpatient hospital deductible for such next year, as promulgated under section 1395e(b)(2) of this title, to (B) such deductible promulgated for 1973. Any amount determined under the preceding sentence which is not a multiple of \$1 shall be rounded to the nearest multiple of \$1, or if midway between multiples of \$1 to the next higher multiple of \$1.</p> <p>(e) Payment of the monthly premiums on behalf of any individual who meets the conditions of subsection (a) of this section may be made by any public or private agency or organization under a contract or other arrangement entered into between it and the Secretary if the Secretary determines that payment of such premiums under such contract or arrangement is administratively feasible.</p> <p>-----</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>20 CFR 405.106</p> <p>(a) <i>General.</i> Hospital insurance benefits under Part A of title XVIII are available on a voluntary basis beginning July 1973 to eligible individuals age 65 or over who do not otherwise qualify for hospital insurance benefits and are willing to pay the full average cost of such insurance in a monthly premium. Eligible individuals must enroll timely for this insurance, pay a monthly premium (see paragraph (d) of this section), and enroll or already be enrolled in the supplementary medical insurance plan under Part B.</p> <p>(b) <i>Requirements for eligibility to enroll for premium hospital insurance.</i> An individual is eligible to enroll for premium hospital insurance if:</p> <ol style="list-style-type: none"> (1) He has attained age 65; and (2) He is already enrolled under the supplementary medical insurance plan under Part B of title XVIII or is eligible for such enrollment and files a timely enrollment request which entitles him to such coverage; and (3) He is a resident of the United States and is either-- <ol style="list-style-type: none"> (i) A citizen of the United States, or (ii) An alien lawfully admitted for permanent residence who resided in the United States continuously for the 5-year period immediately preceding the month in which all other requirements are met; and (4) He is not otherwise eligible for hospital insurance benefits. <p>(c) <i>Enrollment and coverage periods.</i> The regulations in Subpart B of this part governing supplementary medical insurance enrollment and coverage periods are applicable to enrollment and periods of coverage for premium hospital insurance, subject to the following provisions:</p> <ol style="list-style-type: none"> (1) <i>Initial general enrollment period--first eligibility before June 1973.</i> Individuals who first met the eligibility requirements of paragraph (b) of this section, other than subparagraph (2) thereof, before June 1973 could enroll for premium hospital insurance benefits during a 9-month initial general enrollment period that began December 1, 1972, and ended August 31, 1973. The premium hospital insurance coverage period of such an individual 	

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<p>who enrolled during such 9-month period began on whichever of the following last occurred:</p> <ul style="list-style-type: none"> (i) The first day of the second month after the month in which he enrolled; or (ii) July 1, 1973; or (iii) The first day of the first month in which he met the requirements of paragraph (b) of this section. <p>(2) <i>Termination of premium hospital insurance coverage period.</i> (i) <i>Filing of request for termination.</i> An individual may at any time file a notice with the Social Security Administration that he no longer wishes to participate in the hospital insurance program. If such notice is filed before his hospital insurance coverage period begins, he will be deemed not to have enrolled for such insurance. If such notice is filed during his coverage period, such period will terminate at the close of the month following the month in which such notice is filed;</p> <ul style="list-style-type: none"> (ii) <i>Eligibility for hospital insurance not requiring premiums.</i> An individual's premium hospital insurance coverage shall terminate with the month before the first month in which he becomes eligible for hospital insurance benefits under §405.102. Upon such termination, the individual shall be deemed, solely for purposes of hospital insurance entitlement, to have filed in such first month the application required to establish such entitlement; (iii) <i>Termination of supplementary medical insurance benefits.</i> The termination of an individual's supplementary medical insurance coverage period shall result in the simultaneous termination of his premium hospital insurance coverage period. (iv) <i>Nonpayment of premium.</i> An individual's premium hospital insurance coverage period shall be terminated because of nonpayment of premiums under the rules provided in Subpart I of this part governing termination for nonpayment of premiums of the supplementary medical insurance of an individual who is not entitled to monthly 	

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MEDICARE	VOCATIONAL REHABILITATION
<p>benefits payable under title II of the Social Security Act, the Railroad Retirement Act, or an act administered by the Civil Service Commission providing retirement or survivorship protection and who is not enrolled under a Federal-State agreement pursuant to section 1843 of the Act.</p> <p>(3) <i>No deemed enrollment.</i> The provisions of §405.210(b) and (c) relating to deemed enrollment for supplementary medical insurance of certain individuals who become entitled to hospital insurance do not apply to individuals who become entitled to hospital insurance do not apply to individuals enrolling for hospital insurance under this section.</p> <p>(d) <i>Premiums for hospital insurance.</i></p> <p>(1) <i>Premiums before July 1974; enrollment in initial enrollment period.</i> The monthly premium for each month of coverage before July 1974 shall be \$33.</p> <p>(2) <i>Premiums after June 1974; enrollment in initial enrollment period.</i> For each month of premium hospital insurance coverage after June 1974, the amount of the hospital insurance premium will be governed as follows: The Secretary will, during the last calendar quarter of 1973 and of each year thereafter, determine and announce the dollar amount of the premium for each such month of coverage in the 12-month period commencing July 1 of the next year. Such premium amount for each month of the next year shall be equal to \$33 multiplied by the ratio of (i) the amount of the inpatient hospital deductible for such next year as promulgated under §405.113, to (ii) \$76, the actuarially determined amount of such deductible for 1973. Any amount determined under the preceding sentence which is not a multiple of \$1 shall be rounded to the nearest multiple of \$1, or if midway between multiples of \$1, to the next higher multiple of \$1.</p> <p>(3) <i>Enrollment after initial enrollment period.</i> For months of hospital insurance coverage resulting from enrollment or reenrollment by an individual after the close of his initial enrollment period, the amount of the monthly premium as determined under paragraph (d)(2) of this section shall be subject to increase in</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p>accordance with the same rules, set forth in §405.902(b), as are applicable to supplementary medical insurance premiums.</p> <p>(4) <i>Collection of premiums.</i> Premiums must be paid for premium hospital insurance under the same rules set forth in Subpart I of this Part as are applicable to collection of supplementary medical insurance premiums from individuals who are not entitled to monthly benefits payable under title II of the Social Security Act, the Railroad Retirement Act, or an act administered by the Civil Service Commission providing retirement or survivorship protection and who are not enrolled pursuant to a Federal-State agreement under section 1843 of the Social Security Act.</p> <p>(40 FR 24359, Jun. 6, 1975)</p> <hr/> <p>For other provisions relating to employment and disability, which also include eligibility based on age, see following sections.</p> <hr/> <p><u>PART B (MEDICAL INSURANCE)</u></p> <p>42 USC 1395c</p> <p>Every individual who--</p> <p>(1) is entitled to hospital insurance benefits under part A, or</p> <p>(2) has attained age 65 and is a resident of the United States, and is either (A) a citizen or (B) an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under this part,</p> <p>is eligible to enroll in the insurance program established by this part.</p> <p>-----</p>	

Personal Characteristics: AGE

MEDICARE	VOCATIONAL REHABILITATION
<p>20 CFR 405.205</p> <p>An individual is eligible for enrollment in the supplementary medical insurance plan (unless excluded under §405.206) if he either:</p> <p>(a) Is entitled to hospital insurance under Part A of title XVIII of the Act (see §§405.102-405.106 of this chapter);</p> <p>(b) Has attained age 65 and is a resident of the United States and is either a citizen, or an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he applies for enrollment under the regulations in this part.¹</p> <p>(40 FR 18165, Apr. 25, 1975)</p> <p>¹Section 1836(2)(B) of the Social Security Act provides that an otherwise eligible alien may enroll for supplementary medical insurance if he has been lawfully admitted for permanent residence in the United States and has continuously resided in the United States for the 5 years immediately preceding the month he applies for enrollment. The Department of Health, Education, and Welfare has been enjoined by a three-judge court in <i>Dias v. Weinberger</i>, 361 P.</p> <p>20 CFR 405.206</p> <p>Notwithstanding the provisions specified in §405.205, an individual is not eligible for enrollment in the supplementary medical insurance plan if he has been convicted of any offense under chapter 37 (relating to espionage and censorship), chapter 115 (relating to sabotage), or chapter 115 (relating to treason, sedition, and subversive activities) of title 18 of the United States Code, or under sections 4, 112, or 113 of the Internal Security Act of 1950, as amended (relating to conspiracies to establish dictatorships and conspiracies to commit espionage or sabotage).</p>	

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="364 445 568 466">42 USC 1396(a)(b)(1,2)</p> <p data-bbox="364 485 727 611">The Secretary shall approve any plan which fulfills the conditions specified in subsection (a) of this section, except that he shall not approve any plan which imposes, as a condition of eligibility for medical assistance under the plan--</p> <p data-bbox="364 609 718 647">(1) An age requirement of more than 65 years, or</p> <p data-bbox="364 645 753 772">(2) Effective July 1, 1967, any age requirement which excludes any individual who has not attained the age of 21 and is, or would, except for the provisions of section 606(a)(2) of this title, be a dependent child under part A of subchapter IV of this chapter...</p> <hr data-bbox="364 799 762 806"/> <p data-bbox="375 884 532 905">45 CFR 248.2(a-c)</p> <p data-bbox="375 920 754 1047">(a) All groups the State elects to include in the program are based on reasonable classifications that do not result in arbitrary or inequitable treatment of individuals or groups and are not otherwise inconsistent with the broad objectives of title XIX of the Act.</p> <p data-bbox="375 1045 745 1101">(b) Except for financial eligibility, the conditions of eligibility that are imposed on elective groups</p> <p data-bbox="375 1099 756 1192">(1) In the case of families and children, are not more stringent or more numerous than those imposed on families and children receiving aid under the approved State title IV-A plan, and</p> <p data-bbox="375 1190 764 1373">(2) In the case of aged, blind, or disabled individuals, are not more stringent or more numerous than those imposed on such individuals receiving benefits under title XVI (except for individuals receiving a State supplementary payment as provided in paragraph (d) of this section, or individuals who become eligible for medical assistance as provided in § 248.1(b)(2)(iii).</p> <p data-bbox="375 1371 764 1427">(c) No age, residence, citizenship, or other requirements is imposed that is prohibited by title XIX of the Act.</p>	

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="610 437 784 457">45 CFR 248.30 (a,b)</p> <p data-bbox="610 474 973 531">(a) <i>Conditions for plan approval.</i> A State plan under title XIX of the Social Security Act may not impose:</p> <p data-bbox="610 531 973 565">(1) Any age requirement of more than 65 years;</p> <p data-bbox="610 568 1002 696">(2) Any age requirement which excludes any individual who has not attained the age of 21 and is or would, except for the provisions of section 406(a)(2) of the Act (regarding attendance at school or a training course), be a dependent child under the State's AFDC plan; or</p> <p data-bbox="610 696 1002 753">(3) Age requirements more stringent than are imposed in the State's approved plan for financial assistance under title IV-A.</p> <p data-bbox="610 753 959 773">(b) <i>Federal financial participation.</i></p> <p data-bbox="610 773 986 949">(1) Federal financial participation is available in medical assistance provided to otherwise eligible persons who were, for any portion of the month in which they received medical care or services, under 21 years of age (or under 22 years of age and receiving inpatient psychiatric services pursuant to § 249.10(b)(16) of this chapter), or 65 years of age or over.</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p><u>PART A</u></p> <p>42 USC 426a(a)</p> <p>(a) Anyone who--</p> <p>(1) has attained the age of 65,</p> <p>(2)(A) attained such age before 1968, or (B) has not less than 3 quarters of coverage (as defined in this subchapter or section 228e(1) of Title 45), whenever acquired, for each calendar year clapsing after 1966 and before the year in which he attained such age,</p> <p>(3) is not, and upon filing application for monthly insurance benefits under section 402 of this title would not be, entitled to hospital insurance benefits under section 426 of this title, and is not certifiable as a qualified railroad retirement beneficiary under section 228a-2 of Title 45,</p> <p>(4) is a resident of the United States (as defined in section 410(i) of this title), and is (A) a citizen of the United States or (B) an alien lawfully admitted for permanent residence who has resided in the United States (as so defined) continuously during the 5 years immediately preceding the month in which he files application under this section, and</p> <p>(5) has filed an application under this section in such manner and in accordance with such other requirements as may be prescribed in regulations of the Secretary,</p> <p>shall (subject to the limitations in this section) be deemed, solely for purposes of section 426 of this title, to be entitled to monthly insurance benefits under such section 402 for each month, beginning with the first month in which he meets the requirements of this subsection and ending with the month in which he dies, or, if earlier, the month before the month in which he becomes (or upon filing application for monthly insurance benefits under section 402 of this title would become) entitled to hospital insurance benefits under section 426 of this title or becomes certifiable as a qualified railroad retirement beneficiary. An individual who would have met the preceding requirements of this subsection in any month had he filed application under paragraph (5) hereof before the end of such month shall be deemed to have met such requirements in such month if he files such application before the end of</p>	<p>29 USC 701(1)(2)</p> <p>The purpose of this chapter is to provide a statutory basis for the Rehabilitation Services Administration, and to authorize programs to--</p> <p>(1) develop and implement comprehensive and continuing State plans for meeting the current and future needs for providing vocational rehabilitation services to handicapped individuals and to provide such services for the benefit of such individuals, serving first those with the most severe handicaps, so that they may prepare for and engage in gainful employment;</p> <p>(2) evaluate the rehabilitation potential of handicapped individuals;</p> <p>29 USC 706(h)(A-F)</p> <p>(h) The term "evaluation of rehabilitation potential" means, as appropriate in each case:</p> <p>(A) a preliminary diagnostic study to determine that the individual has a substantial handicap to employment, and that vocational rehabilitation services are needed;</p> <p>(B) a diagnostic study consisting of a comprehensive evaluation of pertinent medical, psychological, vocational, educational, cultural, social, and environmental factors which bear on the individual's handicap to employment and rehabilitation potential including, to the degree needed, an evaluation of the individual's personality, intelligence level, educational achievements, work experience, vocational aptitudes and interests, personal and social adjustments, employment opportunities, and other pertinent data helpful in determining the nature and scope of services needed;</p> <p>(C) an appraisal of the individual's patterns of work behavior and ability to acquire occupational skill, and to develop work attitudes, work habits, work tolerance, and social and behavior patterns suitable for successful job performance, including, the utilization of work, simulated or real, to assess and develop the individual's capacities to perform adequately in a work environment;</p> <p>(D) any other goods or services provided for the purpose of ascertaining the nature of the handicap and whether it may reasonably be expected that the individual</p>

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MEDICARE	VOCATIONAL REHABILITATION
<p>the twelfth month following such month. No application under this section which is filed by an individual more than 3 months before the first month in which he meets the requirements of paragraphs (1), (2), (3), and (4) shall be accepted as an application for purposes of this section.</p> <p>-----</p> <p>20 CFR 405.103(a)</p> <p>(a) <i>Requirements.</i> Unless excluded under the provisions of paragraph (b) of this section, an individual age 65 or over will be deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, solely for purposes of entitlement to hospital insurance benefits (see 5405.102(a)(3)), if such individual:</p> <p>(1) (i) Attained age 65 before 1968, or</p> <p>(ii) Attained age 65 after 1967 and has not less than three quarters of coverage (as defined in Subpart B of Part 404 of this chapter or in section (5)(1) of the Railroad Retirement Act of 1937, whenever acquired, for each calendar year after 1966 and before the year he attained 65;</p> <p>(2) Is not entitled to hospital insurance benefits as provided in 5405.102(a)(1) and would not be entitled to such benefits upon filing an application for monthly insurance benefits under section 202 of the Social Security Act;</p> <p>(3) Is not certifiable as a qualified railroad retiree beneficiary (see 5405.102(a)(2));</p> <p>(4) Is a resident of the United States (for definition of United States see 5404.2(c)(6) of this chapter), and</p> <p>(i) Is a citizen of the United States, or</p> <p>(ii) Is an alien lawfully admitted for permanent residence who has resided in the United States continuously during the 5 years immediately preceding the month in which he files his application required under paragraph (a)(5) of this section; and</p> <p>(5) Has filed an application for entitlement to hospital insurance benefits under 5405.102(a)(3). Such appli-</p>	<p>can benefit from vocational rehabilitation services;</p> <p>(E) referral;</p> <p>(F) the administration of these evaluation services;</p> <p>29 USC 706(6)</p> <p>(6) The term "handicapped individual" means any individual who (A) has a physical or mental disability which for such individual constitutes or results in a substantial handicap to employment and (B) can reasonably be expected to benefit in terms of employability from vocational rehabilitation services provided pursuant to titles I and III of this Act.</p> <p>-----</p> <p>45 CFR 1361.1 (f,g)</p> <p>(f) "Eligible" or "eligibility" when used in relation to an individual's qualification for vocational rehabilitation services, refers to a certification that:</p> <p>(1) The individual has a physical or mental disability which for such individual constitutes or results in a substantial handicap to employment; and</p> <p>(2) Vocational rehabilitation services may reasonably be expected to benefit the individual in terms of employability.</p> <p>(g) "Employability" refers to a determination that the provision of vocational rehabilitation services is likely to enable an individual to enter or retain employment consistent with his capacities and abilities in the competitive labor market; the practice of a profession; self-employment; homemaking; farm or family work (including work for which payment is in kind rather than in cash); sheltered employment; homebound employment; or other gainful work.</p> <p>45 CFR 1361.1(k)</p> <p>(k)(1) "Handicapped individual" means an individual:</p> <p>(i) Who has a physical or mental disability which for such individual constitutes or results in a substantial handicap to employment; and</p>

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<p>cation must be filed no earlier than 3 months before the first month in which the individual meets the requirements of paragraphs (a)(1), (2), (3), and (4) of this section. An application filed within 12 months after any month in which these requirements are met will be deemed to have been filed in such month.</p>	<p>(ii) who can reasonably be expected to benefit in terms of employability from the provision of vocational rehabilitation services, or for whom an extended evaluation or rehabilitation potential is necessary for the purpose of determining whether he might reasonably be expected to benefit in terms of employability from the provision of vocational rehabilitation services.</p> <p>45 CFR 1361.1(w)</p> <p>(w) "Severely handicapped individual" means a handicapped individual:</p> <p>(1) Who has a severe physical or mental disability which seriously limits his functional capacities (mobility, communication, self-care, self-direction, work tolerance, or work skills) in terms of employability; and</p> <p>(2) Whose vocational rehabilitation can be expected to require multiple vocational rehabilitation services over an extended period of time, and</p> <p>(3) Who has one or more physical or mental disabilities resulting from amputation, arthritis, blindness, cancer, cerebral palsy, cystic fibrosis, deafness, heart disease, hemiplegia, hemophilia, respiratory or pulmonary dysfunction, mental retardation, mental illness, multiple sclerosis, muscular dystrophy, musculo-skeletal disorders, neurological disorders (including stroke and epilepsy), paraplegia, quadriplegia, and other spinal cord conditions, sickle cell anemia, and end-stage renal disease, or another disability or combination of disabilities determined on the basis of an evaluation of rehabilitation potential to cause comparable substantial functional limitation.</p> <p>45 CFR 1361.33(b)</p> <p>(b) <i>Basic conditions.</i> The State plan shall provide that eligibility shall be based only upon:</p> <p>(1) The presence of a physical or mental disability which for the individual constitutes or results in a substantial handicap to employment; and</p> <p>(2) A reasonable expectation that vocational rehabilitation services may benefit the individual in terms of employability.</p>

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	<p>45 CFR 1361.1(s)</p> <p>(s) "Physical or mental disability" means a physical or mental condition which limits, contributes to limiting or, if not corrected, will probably result in limiting an individual's activities or functioning.</p> <p>45 CFR 1361.34</p> <p>(a) The State plan shall provide that, in order to determine whether any individual is eligible for vocational rehabilitation services, there shall be a preliminary diagnostic study which shall be sufficient to determine:</p> <p>(1) Whether the individual has a physical or mental disability which for such individual constitutes or results in a substantial handicap to employment; and</p> <p>(2) Whether vocational rehabilitation services may reasonably be expected to benefit the individual in terms of employability, or whether an extended evaluation of rehabilitation potential is necessary to make such a determination.</p> <p>(b) The State plan shall provide that the preliminary diagnostic study will include such examinations and diagnostic studies as are necessary to make the determinations specified in paragraph (a) of this section, and, in all cases, will place primary emphasis upon the determination of the individual's potential for achieving a vocational goal. The State plan shall provide that the preliminary diagnostic study will include an appraisal of the current general health status of the individual. The State plan shall further provide that in all cases of mental or emotional disorder, an examination will be provided by a physician skilled in the diagnosis and treatment of such disorders, or by a psychologist licensed or certified in accordance with State laws and regulations, in those States where such laws and regulations pertaining to the practice of psychology have been established.</p> <p>45 CFR 1361.1(bb)</p> <p>(bb) "Substantial handicap to employment" means that a physical or mental disability (in light of attendant medical,</p>

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	<p>psychological, vocational, educational, and other related factors) impedes an individual's occupational performance, by preventing his obtaining, retaining, or preparing for employment consistent with his capacities and abilities.</p> <p><u>RSA-PRG-77-4</u></p> <p>1505.03 B. <u>Substantial handicap to employment</u></p> <p>"...For vocational rehabilitation purposes, a vocational handicap would mean a limitation imposed by a disability that renders vocational success more difficult. The principal consideration for the vocational rehabilitation program is the direct relationship between disability and employability, i.e., the effect a disability has on an individual's employability. Having either a disability without a vocational handicap, or a vocational handicap without a disability would not meet the vocational rehabilitation requirements. A vocational handicap can usually be expressed in terms of limitations imposed by a disability that impedes an individual's preparation for, or obtaining or retaining employment. This means that an individual's ability to function vocationally has been limited by the disabling condition. Functional limitations resulting from disabling conditions, of course, must be assessed by taking into account a variety of related factors which bear upon successful vocational participation. Examples of such factors are: lack of marketable skills, low educational level, community and employer prejudices and attitudes concerning disability, long-term unemployment, unstable work record, and poor attitudes toward work, family, and community. A substantial employment handicap may also exist when a disabled person is employed but cannot obtain a gainful occupation consistent with his capacities and abilities. Many persons with disabilities have in the past taken jobs well below their capacities and, once employed, have been found ineligible for vocational rehabilitation services because they were employed or had previously been vocational rehabilitation</p>

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	<p>clients. Disabled individuals who are working substantially below their potential should be provided vocational rehabilitation services to help them engage in occupations more consistent with their capacities and abilities. Their potential, interests, and desires should be determined and then programs developed which will help them reach suitable employment goals. This policy does not mean that disabled people would be found eligible simply to make it possible to gain a promotion. It does, however, provide the basis for State vocational rehabilitation agencies to emphasize vocational rehabilitation services aimed at the problems of underemployment, and the marginal and insecure employment of handicapped people.</p> <p>During deliberations on and in the final text of the Rehabilitation Act of 1973, substantiality of vocational handicap based on a medically recognized disability was a major concern of Congress. Although the vocational rehabilitation program made substantial efforts during the recent past toward the rehabilitation of the more broadly defined disadvantaged, e.g., underprivileged, public offenders, etc. and the more mildly disabled, with the strong redirection of the program to focus on the severely handicapped, Congress has pressed its intent that such individuals be served only when they have medically recognized physical or mental disability(ies) and therefore, meet vocational rehabilitation eligibility requirements. This sense of Congress also related directly to the types of cases where conditions can be so readily ameliorated and often are not significant factors in relation to employability.</p> <p>1505.3 C. <u>Reasonable expectation that VR services may benefit the individual in terms of employability</u></p> <p>"A reasonable expectation that VR services may benefit the individual in terms of employability is part of the eligibility determination for each individual. It must be determined by the State agency</p>

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	<p>that there is a likelihood that through vocational rehabilitation services the individual will be able to achieve vocational goals consistent with his capacities and abilities. This requires the State agency to evaluate and ascertain potential capacity of the individual for employment, taking into consideration the effect the agency's services may have on reducing or correcting the disability or on lessening his employment handicap and providing greater opportunity for employment.</p> <p>The State agency shall establish procedures which will assure individual appraisals to meet the varying needs of applicants. The extent of the preliminary evaluation may vary greatly from one individual to another, depending on the nature and severity of the problem. For example, agency judgment based on interview and referral data and medical examinations may be sufficient to determine the rehabilitation potential in some cases. In others more comprehensive diagnostic services at rehabilitation facilities or workshops will be necessary.</p> <p>In view of the priority to serve the severely handicapped established by the Rehabilitation Act of 1973, particular care will be necessary in predicting rehabilitation potential for individuals with impairments for which current medical science may not be able to provide precise prognoses as to future functional capacities and limitations. Such impairments include, but are not limited to, multiple sclerosis, rheumatoid arthritis, some forms of mental illness, and certain types of cancer, e.g., Hodgkins Disease. In reaching a judgment on whether applicants disabled by conditions of this kind can reasonably be expected to benefit in terms of employability from vocational rehabilitation services, it is necessary that appropriate consideration be given to the probability of the applicant's undergoing periods of remission, exacerbation, and relative stability in the course of the disease. Medical advisory committees and specialized consultants to State vocational rehabilitation agencies can assist counselors in making sound decisions in</p>

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	<p>cases where the "state of the art" does not permit precise prognoses by developing guidelines based on the best available individual and group experience with such impairments. The medically-oriented Research and Training Centers may, in certain instances, be a useful resource in the program area."</p> <p>"<u>Reasonable expectation</u> is a determination made by the State agency based upon adequate, sound, and appropriate information about each individual, including an extended evaluation of rehabilitation potential where appropriate. (Note: See below, 29 U.S.C. 706(4)(G).)</p> <p>"<u>Vocational rehabilitation services may benefit an individual's employability</u> refers to a determination that the provision of vocational rehabilitation services is likely to enable an individual to enter or retain employment consistent with his capacities and abilities.</p> <p>"<u>Employability</u> means readiness for employment in the competitive labor market, practice of a profession; self-employment; homemaking; farm, or family work (including work for which payment is in kind rather than in cash); sheltered employment; homebound employment; or other gainful work (section 1361.1(g) of the Federal Regulations)."</p> <p>29 USC 706(4)(G)</p> <p>(4) The term "evaluation of rehabilitation potential" means, as appropriate in each case:</p> <p>(G)(i) the provision of vocational rehabilitation services to any individual for a total period not in excess of eighteen months for the purpose of determining whether such individual is a handicapped individual, a handicapped individual for whom a vocational goal is not possible or feasible (as determined in accordance with section 102(c), or neither such individual; and (ii) an assessment, at least once in every ninety-day period during which such services are provided, of the results of the provision</p>

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	<p>of such services to an individual to ascertain whether any of the determinations described in subclause (1) may be made.</p> <p>-----</p> <p>45 CFR 1361.36</p> <p>(a) <i>Basic conditions.</i> The State plan shall provide that the furnishing of vocational rehabilitation services under an extended evaluation to determine rehabilitation potential shall be based only upon:</p> <p>(1) The presence of a physical or mental disability which for the individual constitutes or results in a substantial handicap to employment; and</p> <p>(2) An inability to make a determination that vocational rehabilitation services might benefit the individual in terms of employability unless there is an extended evaluation to determine rehabilitation potential.</p> <p>(b) <i>Duration and scope of services.</i> Vocational rehabilitation services necessary for the determination of rehabilitation potential, including those provided within a thorough diagnostic study, may be provided to a handicapped individual for a total period not in excess of 18 months.</p> <p>(c) <i>Other conditions.</i> (1) The extended evaluation period shall begin with the date of the certification for extended evaluation to determine rehabilitation potential required in Sec. 1361.37(b). Only one period not in excess of 18 months shall be permitted during the period that the case is open. If a case has been closed as a result of a determination that the handicapped individual's needs have changed, such case may be re-opened and a subsequent evaluation of rehabilitation potential may be carried out provided that the conditions in paragraph (a) of this section are met.</p> <p>(2) Vocational rehabilitation services, authorized after the expiration of the extended evaluation period will be provided only if the certification of eligibility required in Sec. 1361.37(a) has been executed by an appropriate State agency staff member.</p> <p>(d) <i>Review.</i> The State plan shall provide for a thorough assessment of the in-</p>

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	<p>¶</p> <p>dividual's progress as frequently as necessary but at least once in every 90-day period during the period in which services are being provided under an extended evaluation of rehabilitation potential, including periodic reports from the institution, facility, or person providing the services, to determine the results of the provision of such services and to determine whether such individual may be determined to be eligible or ineligible.</p> <p>(e) <i>Termination.</i> The State plan shall provide that at any time prior to the expiration of an 18-month extended evaluation period, the extended evaluation for the determination of rehabilitation potential shall be terminated when:</p> <p>(1) The individual is found eligible for vocational rehabilitation services since there is a reasonable assurance that he can be expected to benefit in terms of employability from vocational rehabilitation services; or</p> <p>(2) The individual is found ineligible for any additional vocational rehabilitation services since it has been determined beyond any reasonable doubt that he cannot be expected to benefit in terms of employability from vocational rehabilitation services. In each such case, the procedures described in sec. 1361.39(e) shall be followed.</p> <p>45 CFR 1361.37</p> <p>(a) <i>Certification of eligibility.</i> The State plan shall provide that, prior to, or simultaneously with acceptance of a handicapped individual for vocational rehabilitation services, there will be a certification that the individual has met the basic eligibility requirements specified in sec. 1361.33(b). The State plan shall further provide that the certified statement of eligibility will be dated and signed by an appropriate State agency staff member.</p> <p>(b) <i>Certification for extended evaluation to determine rehabilitation potential.</i> The State plan shall provide that, prior to, and as a basis for providing an extended evaluation to determine rehabilitation potential, there will be a certification that the individual has met the requirements specified in sec. 1361.36(a). The State plan shall further provide that the certified statement will be dated and signed by an appropriate State agency staff member.</p>

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	<p>(c) <i>Certification of ineligibility.</i> The State plan shall provide that whenever it has been determined beyond any reasonable doubt that an individual is ineligible for vocational rehabilitation services, either because he does not have a physical or mental disability which constitutes a substantial handicap to employment, or because it has been determined beyond any reasonable doubt that he cannot be expected to benefit in terms of employability from vocational rehabilitation services, there shall be a certification, dated and signed by an appropriate State agency staff member. The State plan shall further provide that such certification of ineligibility will include the specifications of reasons for the determination of ineligibility and will be made only after full consultation with the individual or, as appropriate his parent, guardian, or other representative, or after affording a clear opportunity for such consultation. In such cases, the State agency shall notify the individual in writing of the action taken and shall inform the individual of his rights and the means by which he may express and seek remedy for any dissatisfactions, including the State agency's procedures for administrative review and fair hearings under sec. 1361.46. When appropriate, the individual shall be provided a detailed explanation of the availability of the resources within a client assistance project established under Part 1362 of this chapter and referral shall be made to other agencies and facilities. The State plan shall further provide that when an applicant for vocational rehabilitation services has been determined on the basis of the preliminary diagnostic study to be ineligible for such services because of a finding that he cannot be expected beyond any reasonable doubt to achieve a vocational goal, such determination of ineligibility will be reviewed not later than 12 months after such determination has been made. Such review need not be conducted in situations where the individual is no longer present in the State, his whereabouts are unknown, or his medical condition is rapidly progressive or terminal.</p>

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<p data-bbox="277 401 412 421">42 USC 1396a(e)</p> <p data-bbox="277 439 684 822">(e) Notwithstanding any other provision of this title, effective January 1, 1974 each State Plan approved under this title must provide that each family which was receiving aid pursuant to a plan of the State approved under Part A of Title IV in at least 3 of the 6 months immediately preceding the month in which such family became ineligible for such aid because of increased hours of, or increased income from, employment, shall, while a member of such family is employed, remain eligible for assistance under the plan approved under this title (as though the family was receiving aid under the plan approved under Part A of Title IV) for 4 calendar months beginning with the month in which such family became ineligible for aid under the plan approved under Part A of Title IV because of income and resources or hours of work limitations contained in such plan.</p> <p data-bbox="277 874 485 895">-----</p> <p data-bbox="277 874 485 895">45 CFR 248.1(b)(1)(iii)</p> <p data-bbox="277 916 646 1263">(iii) All families which were receiving assistance under the State's plan under title IV-A in at least 3 of the 6 months immediately preceding the month in which such family became ineligible for such assistance because of increased hours of, or increased income from, employment. As long as a member of the family is employed, such families will continue to be eligible for medical assistance, for a period of 4 calendar months beginning with the month in which such family became ineligible for assistance under title IV-A because of increased earnings, to the same extent and under the same conditions as it is furnished to the categorically needy under the title XIX plan in effect during such months.</p>	

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<p>PART A 42 USC 426(e), (g)</p> <p>Medicare eligibility on account of disability.</p> <p>(e) Notwithstanding the foregoing provisions of this section, every individual who--</p> <p>(1) has not attained the age of 65;</p> <p>(2)(A) is fully or currently insured (as such terms are defined in section 414 of this title) or would be fully or currently insured if his service as an employee (as defined in the Railroad Retirement Act of 1974) after December 31, 1936, were included in the term "employment" as defined in this chapter, or (B) is entitled to monthly insurance benefits under this subchapter or an annuity under the Railroad Retirement Act of 1974, or (C) is the spouse or dependent child (as defined in regulations) of an individual who is fully or currently insured or would be fully or currently insured if his service as an employer (as defined in the Railroad Retirement Act of 1974) after December 31, 1936, were included in the term "employment" as defined in this chapter, or (D) is the spouse or dependent child (as defined in regulations) of an individual entitled to monthly insurance benefits under this subchapter or an annuity under the Railroad Retirement Act of 1974; and</p> <p>(3) is medically determined to have chronic renal disease and who requires hemodialysis or renal transplantation for such disease; shall be deemed to be disabled for purposes of coverage under parts A and B of Medicare subject to the deductible, premium, and copayment provisions of subchapter XVIII of this chapter.</p> <p>Medicare eligibility on account of kidney failure.</p> <p>(f) Medicare eligibility on the basis of chronic kidney failure shall begin with the third month after the month in which a course of renal dialysis is initiated and would end with the twelfth month after the month in which the person has a renal transplant or such course of dialysis is terminated.</p> <p>Reimbursement for kidney transplant and kidney treatment.</p> <p>(g) The Secretary is authorized to limit reimbursement under Medicare for kidney transplant and dialysis to kidney disease</p>	<p>Sec. 1505.03 of the Program Regulation Guide (RSA-PRG-774) states:</p> <p>For vocational rehabilitation purposes, a vocational handicap would mean a limitation imposed by a disability that renders vocational success more difficult. The principal consideration for the vocational rehabilitation program is the direct relationship between disability and employability, i.e., the effect a disability has on an individual's employability. Having either a disability without a vocational handicap, or a vocational handicap without a disability would not meet the vocational rehabilitation requirements.</p> <p>See section on Employment, above</p>

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<p>treatment centers which meet such requirements as he may by regulation prescribe: <i>Provided</i>, That such requirements must include at least requirements for a minimal utilization rate for covered procedures and for a medical review board to screen the appropriateness of patients for the proposed treatment procedures.</p> <p>-----</p> <p>20 CFR 405.104</p> <p>(a) <i>Conditions of entitlement.</i> An individual is eligible for hospital insurance benefits based on end-stage renal disease if he:</p> <p>(1) Has not attained age 65; and</p> <p>(2) Is either--</p> <p>(i) Fully or currently insured (as such terms are defined in section 214 of the Act and Subpart B of Part 404 of this chapter) or would be fully or currently insured if his service as an employee (as defined in the Railroad Retirement Act of 1974) after December 31, 1936, were included in the term "employment" as defined in the Social Security Act, or</p> <p>(ii) Entitled to monthly insurance benefits under title II of the Social Security Act or to an annuity under the Railroad Retirement Act of 1974, or</p> <p>(iii) The spouse or dependent child of a person who meets the requirements in paragraphs (a)(2)(i) or (ii) of this section, and</p> <p>(3) Is medically determined to have end-stage renal disease as defined in paragraph (d)(1) of this section.</p> <p>(b) <i>Beginning of coverage.</i> An eligible individual as defined in paragraph (a) of this section is entitled to hospital insurance benefits beginning with whichever of the following is the latest:</p> <p>(1) July 1, 1973; or</p> <p>(2) The first day of whichever first occurs--</p> <p>(i) The month in which he receives a renal transplant, or</p> <p>(ii) The month prior to the month in which he receives a renal transplant if, in such prior month, he is hospitalized in preparation for and in anticipation of such surgery, or</p>	

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<p>(iii) The third calendar month after the month in which he begins a course of dialysis; or</p> <p>(3) The first month in which he meets the requirements of paragraph (a) of this section.</p> <p>(c) <i>End of coverage.</i> An individual's entitlement to hospital insurance benefits based on end-stage renal disease ends with the last day of whichever of the following first occurs:</p> <p>(1) The 12th month after the month in which he receives a kidney transplant or his course of dialysis otherwise terminates, unless on or before the last day of such 12th month the individual again requires a course of dialysis or another kidney transplant; or</p> <p>(2) The month in which he dies.</p> <p>(d) <i>Definitions--(1) End-stage renal disease.</i> An individual who is medically determined to have chronic renal disease and who requires hemodialysis or renal transplantation for such disease had "end-stage renal disease." End-stage renal disease is that stage of kidney impairment which is irreversible, cannot be controlled by conservative management alone, and requires dialysis or kidney transplantation to maintain life.</p> <p>(2) <i>"Child" and "spouse" defined.</i> An individual is the child or spouse of a person, for purposes of paragraph (a)(2)(iii) of this section, if the individual is so related to that person that he meets the relationship requirements set forth in Subpart L of Part 404 of this chapter for entitlement, respectively, to child's insurance benefits or to wife's, husband's, widow's, widower's, or mother's insurance benefits under title II on that person's earnings record, whether or not the relationship has continued long enough for such individual to qualify for such benefits. Notwithstanding the foregoing, an individual who qualifies as a spouse by virtue of §404.1105 of this chapter must meet the duration of relationship requirements prescribed therein.</p> <p>(3) <i>Dependency of child.</i> For purposes of paragraph (a)(2)(iii) of this section, the child of a person is that person's "dependent child" if on the first day he has end-stage renal disease he is</p>	

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<p>unmarried and meets the dependency requirements set forth in §404.323-404.327a of this chapter for entitlement to child's insurance benefits on that person's earnings record and either:</p> <p>(i) He has not attained age 22, or is under a disability (as defined in section 223(d) of the Act) which began before he attained age 22; or</p> <p>(ii)(A) He has attained age 22, but not age 26, and</p> <p>(B) He is receiving at least one-half support from that person, and</p> <p>(C) He has continuously received since the day before he attained age 22, at least one-half support from that person.</p> <p>For purposes of this subparagraph, the requirement in §404.323(a)(1) that a child be dependent upon an individual "at the time the application for child's insurance benefits is filed," shall be deemed to read "on the first day he has end-stage renal disease," and the term "insured individual" referred to in §§404.323-404.324, and the term "individual" referred to in §404.327a shall be deemed to read "a person who meets the requirements of paragraph (a)(2)(i) or (a)(2)(ii) of §405.104."</p> <p>(4) <i>What constitutes "at least one-half support."</i> A child is receiving at least one-half of his support from the person if such person makes regular contributions, in cash or kind, to such child's support and the amount of such contributions equals or exceeds one-half of such child's support.</p> <p>(38 FR 17211, June 29, 1973; 40 FR 24358, June 6, 1975)</p> <hr/> <p>42 USC 426(h)</p> <p>(h)(1) For purposes of determining entitlement to hospital insurance benefits under subsection (b) of this section in the case of widows and widowers described in paragraph (2)(A)(iii) thereof --</p> <p>(A) the term "age 60" in sections 402(e)(1)(B)(ii), 402(e)(5), 402(f)(B)(ii) and 402(f)(6) of this title shall be deemed to read "age 65"; and</p> <p>(B) the phrase "before she attained age 60" in the matter following subparagraph (F)</p>	

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<p>of section 402(e)(1) of this title and the phrase "before he attained age 60" in the matter following subparagraph (G) of section 404(r)(1) of this title shall each be deemed to read "based on a disability."</p> <p>(2) For purposes of determining entitlement to hospital insurance benefits under subsection (b) of this section in the case of an individual under age 65 who is entitled to benefits under section 402 of this title, and who was entitled to widow's insurance benefits or widower's insurance benefits based on disability for the month before the first month in which such individual was so entitled to old-age insurance benefits (but ceased to be entitled to such widow's or widower's insurance benefits upon becoming entitled to such old-age insurance benefits), such individual shall be deemed to have continued to be entitled to such widow's insurance benefits or widower's insurance benefits for and after such first month.</p> <p>(3) For purposes of determining entitlement to hospital insurance benefits under subsection (b) of this section any disabled widow age 50 or older who is entitled to mother's insurance benefits (and who would have been entitled to widow's insurance benefits by reason of disability if she had filed for such widow's insurance benefits) shall, upon application, for such hospital insurance benefits be deemed to have filed for such widow's benefits and shall, upon furnishing proof of such disability prior to July 1, 1974, under such procedures as the Secretary may prescribe, be deemed to have been entitled to such widow's benefits as of the time she would have been entitled to such widow's benefits if she had filed a timely application therefor.</p> <p>-----</p> <p>20 CFR 405.105</p> <p>(a) <i>Conditions of entitlement</i> -- (1) <i>General.</i> An individual is entitled to hospital insurance benefits described in this Subpart A if such individual:</p> <p>(i) Has not attained age 65 and is entitled, and has for the 24 preceding consecutive calendar months been entitled, to --</p>	

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<p>(A) Disability insurance benefits under section 223 of the Social Security Act (see §404.306 of this chapter), or</p> <p>(B) Child's insurance benefits under section 202(d) of the Social Security Act by reason of disability (see §404.320(a)(4)(iii) of this chapter), or</p> <p>(C) Widow's insurance benefits under section 202(e) of the Social Security Act by reason of disability (see §404.328(a)(3)(ii) of this chapter and paragraphs (a)(2), (4), and (5) of this section), or</p> <p>(D) Widower's insurance benefits under section 202(f) of the Social Security Act by reason of disability (see §404.331(a)(3)(ii) of this chapter and paragraphs (a)(3) and (4) of this section); or</p> <p>(i) Has not attained age 55 and is, and has for the immediately preceding 24 consecutive calendar months been, a disabled qualified railroad retirement beneficiary under section 7(d) of the Railroad Retirement Act of 1974.</p> <p>(2) <i>Modification of age requirement for widow's insurance benefits.</i> For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)(C) of this section, §404.328(a)(3)(ii) and §404.328(e) of this chapter are modified by substituting "age 65" where "age 60" appears therein.</p> <p>(3) <i>Modification of age requirement for widower's insurance benefits.</i> For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)(D) of this section, §404.331(a)(3)(ii) and §404.331(c) of this chapter are modified by substituting "age 65" where "age 62" appears therein.</p> <p>(4) <i>Deemed entitlement to widow's or widower's insurance benefits of certain individuals entitled to old-age insurance benefits.</i> For purposes of determining entitlement to hospital insurance benefits under paragraphs (a)(1)(i)(C) and (D) of this section, an individual who is entitled to old-age insurance benefits, and who was entitled to widow's or widower's insurance benefits based on disability for the month before the first month in which the individual was so entitled to old-age insurance benefits, shall be deemed to have continued to be entitled to such widow's insurance or widower's insurance benefits for and after such first month.</p>	

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<p>(5) <i>Deemed entitlement to widow's insurance benefits of certain individuals entitled to mother's insurance benefits.</i> For purposes of determining entitlement to hospital insurance benefits under paragraph (a)(1)(i)(C) of this section, an individual who is entitled to mother's insurance benefits under §404.335 of this chapter (and would have been entitled to widow's insurance benefits by reason of disability if she had filed for such widow's insurance benefits) shall, upon application for hospital insurance benefits, be deemed to have filed for such widow's insurance benefits. Such individual shall, upon application and furnishing proof of such disability before July 1, 1974, be deemed to have been entitled to such widow's insurance benefits as of the first month she would have been entitled to such benefits if she had filed timely application therefor.</p> <p>(b) <i>Beginning of coverage.</i> An individual is entitled to hospital insurance benefits under paragraph (a) of this section beginning with the first day of the first month after June 1973 in which he meets the conditions set forth in that paragraph.</p> <p>(c) <i>End of coverage.</i> The entitlement of an individual entitled under paragraph (a) of this section ends with the last day of whichever occurs first:</p> <p>(1) The later of--</p> <p>(i) The last month for which he is entitled, or deemed to be entitled, to any of the benefits specified in paragraph (a)(1)(i) of this section, or the last month for which he has the status of a disabled qualified railroad retirement beneficiary (see paragraph (a)(1)(ii) of this section), or</p> <p>(ii) The month following the month in which notice of termination of entitlement to such benefits or of termination of such status is mailed to him; or</p> <p>(2) The month before the month in which he attains age 64; or</p> <p>(3) The month in which he dies.</p> <p>(40 FR 24359, June 6, 1975)</p> <p>20 CFR 404.306</p> <p>(a) <i>General.</i> An individual is entitled to disability insurance benefits if such individual:</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>(1) Is insured for disability insurance benefits (see §404.116) at the time specified in §404.115(b); and</p> <p>(2) Has not attained age 65; and</p> <p>(3) Has filed an application (see secs. 202(j) and 223(b) of the Act) for disability insurance benefits; and</p> <p>(4) Is under disability, as defined in section 223(c) of the Act. Under the law in effect prior to the Social Security Amendments of 1965 (Public Law 39-97), the individual must have been under a disability at the time his application for disability insurance benefits was filed. However, in the case of applications filed after June 1965 and other applications described in §404.312a, the disability does not have to exist at the time the application is filed, except that no benefits may be paid under this provision for months before September 1965 nor for any month more than 12 months before the month application is filed; and</p> <p>(5) Has been under such a disability throughout the waiting period where such period is required (see §404.308)</p> <p>(b) <i>Effect of prior entitlement to other benefits.</i> With respect to benefits for months before September 1965 (or for months after August 1965 on the basis of application filed before July 1965) and individual is not entitled to disability insurance benefits if he was entitled to old-age, wife's, or husband's insurance benefits reduced under section 202(q) of the Act, or to widow's, widower's, or parent's insurance benefits, for any month before the first month for which such individual would otherwise be entitled to disability insurance benefits. With respect to disability insurance benefits for months after August 1965 on the basis of applications filed after June 1965, prior entitlement to old-age, wife's, or husband's insurance benefits reduced under section 202(q) of the Act, or to widow's, widower's, or parent's insurance benefits, will not preclude entitlement to disability insurance benefits. For a special rule applicable to benefits for July 1962 through November 1964 see §404.353(b)(2)(ii). (33 F.R. 13, Jan. 3, 1968)</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>20 CFR 404.320(a)(4)(iii)</p> <p>(a) <i>Entitlement.</i> A child is entitled to a child's insurance benefit if he:</p> <p>(4) At the time such application is filed:</p> <p>(iii) Is under a disability which began before attainment of age 22;</p> <p>20 CFR 404.328(a)(3)(ii)</p> <p>(a) <i>Conditions of entitlement after August 1975.</i> The widow (as defined in §404.1104) and every surviving divorced wife (as defined in §404.1105(b) of an individual who died fully insured is entitled to widow's insurance benefits if she:</p> <p>(3)(ii) For benefits for months after January 1968, but only on the basis of an application filed in or after January 1968, has attained age 50 but is under age 60 and (A) is under a disability as defined in section 223(d) of the Act which began during the period specified in paragraph (e)(1) of this section, and (B) has been under such disability through the waiting period as defined in paragraph (e)(2) of this section where such period is required.</p> <p>20 CFR 404.328(e)</p> <p>(e) <i>Widow's entitlement based on disability -- (1) When disability must begin.</i> For the purposes of paragraph (a)(3)(ii) of this section a widow or surviving divorced wife must be under age 60 and be under a disability as defined in section 223(d) of the Act which began before the month she attained age 60 or, if earlier, before the close of the 84th month following the latest of</p> <p>(i) The month in which the wage earner died, or</p> <p>(ii) The last month for which she was entitled to a mother's insurance benefit on the basis of the earnings of such individual, or</p> <p>(iii) The month in which a previous entitlement to widow's insurance benefits on the basis of the earnings record of such individual terminated because her disability had ceased.</p> <p>(2) <i>"Waiting period" defined -- (i) Benefits payable for months prior to January 1973.</i> The "waiting period," for purposes of entitlement of a widow or</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>surviving divorced wife by virtue of a disability to benefits payable for months prior to January 1973, is the earliest period of 6 full consecutive calendar months throughout which she was under a disability and which began no earlier than the later of:</p> <p>(a) The first day of the 18th month before the month in which she filed application for widow's insurance benefits, or</p> <p>(b) The first day of the sixth month before the month in which the period described in paragraph (e)(1) of this section began.</p> <p>(i) <i>Benefits payable for months after December 1972.</i> (a) The "waiting period," for purposes of entitlement of a widow or surviving divorced wife by virtue of a disability to benefits payable for months after December 1972, is the earliest period of 5 full consecutive calendar months throughout which she was under a disability, provided the application is filed:</p> <p>(1) After September 1972; or</p> <p>(2) Before October 1972, and</p> <p>(i) Notice of the final decision of the Secretary was not given to the applicant before October 1972; or</p> <p>(ii) Notice of the final decision of the Secretary was given to the applicant before October 1972 but a civil action with respect to such final decision is commenced under section 205(g) of the Act (whether before, in, or after October 1972) and the decision in such civil action did not become final before October 1972.</p> <p>(b) However, the waiting period can begin no earlier than the later of:</p> <p>(1) The first day of the 17th month before the month in which she files application for widow's insurance benefits, or</p> <p>(2) The first day of the fifth month before the month in which the period described in paragraph (e)(1) of this section began. Months in which a widow was disabled before the month of the wage earner's death, and such months before the months of termination of entitlement to mother's insurance benefits may be counted as months in the waiting period if they otherwise meet the requirements of paragraph (e)(1)(i)(a), (b) or (e)(1)(ii)(b), of this paragraph (e)(2) as applicable. If the widow</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>was previously entitled to a widow's insurance benefit based on disability, entitlement to which benefit terminated prior to the month in which she again becomes disabled, a waiting period if not required if she again becomes disabled and meets all other requirements for entitlement. For purposes of this paragraph (e)(2) where the widow's disability begins on the first day of the month and continues through the last day of the month, such month is considered as a full calendar month.</p> <p>20 CFR 404.331(a)(3)(ii)</p> <p>(a) <i>Conditions of entitlement.</i> A man is entitled to widower's insurance benefits if:</p> <p>(3)(ii) For benefits for months after January 1968, but only on the basis of an application filed in or after January 1968, has attained age 50 but is under age 60 (age 62 for months prior to January 1973) and (A) is under a disability as defined in section 223(d) of the Act which began during the period specified in paragraph (c)(1) of this section, and (B) has been under such disability throughout the waiting period as defined in paragraph (c)(2) of this section where such period is required;</p> <p>20 CFR 404.331(c)</p> <p>(c) <i>Widower's entitlement based on disability.</i> (i) <i>When disability must begin.</i> For the purposes of paragraph (a) (3)(ii) of this section a widower must be under age 60 (age 62 for months prior to January 1973) and under a disability as defined in section 223(d) of the Act which began before the month he attained age 60 (age 62 for months prior to January 1973) or if earlier before the close of the 84th month following the latest of:</p> <p>(i) The month in which the wage earner died, or</p> <p>(ii) The month in which a previous entitlement to widower's insurance benefits on the basis of the earnings record of such individual terminated because his disability had ceased.</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>(2) "Waiting period" defined--(i) Benefits payable for months prior to January 1973. The "waiting period," for the purposes of entitlement to a widow by virtue of a disability to benefits payable for months prior to January 1973, is the earliest period of 6 full consecutive calendar months throughout which he was under a disability and which began no earlier than the later of:</p> <p>(a) The first day of the 18th month before the month in which he files application for widower's insurance benefits, or</p> <p>(b) The first day of the sixth month before the month in which the period described in paragraph (c)(1) of this section began.</p> <p>(ii) Benefits payable for months after December 1972. (a) The "waiting period," for purposes of entitlement of a widower by virtue of a disability to benefits payable for months after December 1972, is the earliest period of 5 full consecutive calendar months throughout which he was under a disability, provided the application is filed</p> <p>(1) After September 1972; or</p> <p>(2) Before October 1972; and</p> <p>(i) Notice of the final decision of the Secretary was not given to the applicant before October 1972; or</p> <p>(ii) Notice of the final decision of the Secretary was given to the applicant before October 1972 but a civil action with respect to such final decision is commenced under section 205(g) of the Act (whether before, in, or after October 1972) and the decision in such civil action did not become final before October 1972 but a civil action with respect to such final decision is commenced under section 205(g) of the Act (whether before, in, or after October 1972) and the decision in such civil action did not become final before October 1972.</p> <p>(b) However, the waiting period can begin no earlier than the later of:</p> <p>(1) The first day of the 17th month before the month in which he files application for widower's insurance benefits, or</p> <p>(2) The first day of the fifth month before the month in which the period described in paragraph (c)(1) of this section began. Months in which a widower was disabled before the month of the wage earner's</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>death may be counted as months in the waiting period if they otherwise meet the requirements of paragraphs (c)(2)(i)(a), (b) or (c)(2)(ii)(a) of this section as applicable. If the widower was previously entitled to a widower's insurance benefit based on disability, entitlement to which benefit terminated prior to the month in which he again becomes disabled, a waiting period is not required if he again becomes disabled and meets all other requirements for entitlement. For purposes of this subparagraph, where the widower's disability begins on the first day of the month and continues through the last day of the month, such month is considered a full calendar month.</p> <p>20 CFR 404.335</p> <p>(a) <i>Conditions of entitlement after August 1965.</i> The widow and every surviving divorced mother (as defined in §404.1105(c) of an individual who died fully or currently insured is entitled to mother's insurance benefits if she:</p> <p>(1) Has filed application for mother's insurance benefits, or was entitled to wife's insurance benefits on the basis of the earnings record of such individual for the month preceding the month in which he died; and</p> <p>(2) At the time of filing such application had in her care a child of such individual entitled to child's insurance benefits (see paragraph (b) of this section); and</p> <p>(3) Is not married; and</p> <p>(4) Is not entitled to a widow's insurance benefit; and</p> <p>(5) Is not entitled to old-age insurance benefits, or is entitled to an old-age insurance benefit which is less than three-fourths of the primary insurance amount of such individual; and</p> <p>(6) In the case of a surviving divorced mother--</p> <p>(i) The child referred to in subparagraph (2) of this paragraph is her son, daughter, or legally adopted child, and</p> <p>(ii) The benefits referred to in such subparagraph are payable on the basis of such individual's earnings record, and</p> <p>(iii) With respect to entitlement for months prior to January 1973, she was re-</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>ceiving at least one-half of her support from such individual (see §404.350), or was receiving substantial contributions from such individual pursuant to a written agreement (see §404.351(a)), or there was in effect a court order for substantial contributions to her support from such individual (see §404.351(c)) at the time of his death, or if he had a period of disability which did not end before the month in which he died, at the time such period began.</p> <p>(b) <i>Child over 18 not disabled.</i> For the purposes of paragraph (a)(2) of this section a child age 18 or over who is entitled to child's insurance benefits for any month but is not under a disability (as defined in section 223(d) of the Act, and which, for months before January 1973, began before he attained the age 18) shall be deemed not entitled to child's insurance benefits, unless he was under such a disability in the third month before such month.</p> <p>(c) <i>Conditions of entitlement before September 1965.</i> A widow or former wife divorced of an individual who died fully or currently insured is entitled to mother's insurance benefits if she:</p> <p>(1) Has filed application (see Subpart G of this part) for mother's insurance benefits or, in the month before the month in which such individual died, she was entitled to a wife's insurance benefit on the basis of the earnings record of such individual; and</p> <p>(2) Has not remarried (except as provided in paragraph (d) of this section); and</p> <p>(3) Is the widow or former wife divorced as defined in section 216(d) of the Act as in effect prior to the Social Security Amendments of 1965 (Public Law 80-87), of such individual; and</p> <p>(4) Is not entitled to a widow's insurance benefit; and</p> <p>(5) Is not entitled to an old-age insurance benefit which is equal to or exceeds 75 percent of the primary insurance amount of such individual; and</p> <p>(6) In the case of a widow, she has in her care at the time of filing application for mother's insurance benefits, a child of such individual entitled to child's insurance benefits; and</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>(7) In the case of the former wife divorced--</p> <p>(i) She has in her care at the time of filing, a child of such individual entitled to child's insurance benefits on the basis of such individual's earnings record and such child is her son, daughter, or legally adopted child; and</p> <p>(ii) She was receiving at least one-half of her support pursuant to agreement or court order (see §404.351 (b) and (d) from such individual at the time of his death or if he had a period of disability established which did not end before the month in which he died, at the time such period began.</p> <p>(d) <i>Effect of remarriage on entitlement or reentitlement.</i> (1) For the purposes of paragraph (c)(2) of this section, a remarriage is deemed not to have occurred if the subsequent marriage is terminated by the husband's death and the widow or former wife divorced is not or upon filing an application would not be entitled to a benefit for the month in which he dies on the basis of his earnings record.</p> <p>(2) A widow or former wife divorced may become reentitled to mother's insurance benefits after such entitlement was terminated because of her remarriage (see §404.336(a)(2)) if such remarriage terminates under the conditions described in subparagraph (1) of this paragraph and subsequent to such termination she again meets the conditions described in paragraph (c) of this section for entitlement to mother's insurance benefits on the deceased individual's earnings record, including the filing of an application for such benefits.</p> <p>(3) Benefits are payable under the provisions of subparagraph (1) or (2) of this paragraph beginning with the month of termination of the subsequent marriage but not prior to 12 months before filing or refiling for mother's insurance benefits.</p> <p>(e) <i>Applicability of the provisions of this section.</i> The provisions of paragraph (a) of this section apply in determining entitlement to mother's insurance benefits for months after August 1965, but, in the case of an individual who was not entitled to a mother's insurance benefit for August 1965 and who did not meet the requirements of paragraph (c) of this sec-</p>	

Personal Characteristics: DISABILITY

MEDICARE	VOCATIONAL REHABILITATION
<p>tion, only on the basis of an application filed after June 1965. The provisions of paragraph (b) of this section, relating to a child over 18 and not disabled, apply only on the basis of an application filed after June 1965. The provisions of paragraph (c) and (d) of this section apply in determining entitlement to mother's insurance benefits for months prior to September 1965 and, in the case of an individual who was not entitled to a mother's insurance benefit for August 1965, for months thereafter except where entitlement to such benefits is based on an application filed after June 1965.</p>	

PERSONAL CHARACTERISTICS: DISABILITY

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>See: 42 USC 1396a(a)(12) ----- 45 CFR 248.70</p> <p>(a) <i>State plan requirements.</i> A State plan under title XIX of the Social Security Act must:</p> <p>(1) Contain a definition of blindness in terms of ophthalmic measurement. This may be the same definition as is used in the Supplemental Security Income program under title XVI of the Act, or a more restrictive definition; however, it may not be more restrictive than the definition used by the State in its approved title XIX plan as in effect on January 1, 1972. The definition may be broader than the title XVI definition only for blind individuals who, for the month of December 1973; were eligible for medical assistance by reason of their having been previously determined to meet the criteria for blindness established by a State plan under title X or XVI of the Act, (see § 248.1(b)(2)(vi) and 248.1(d)(2); and in Guam, Puerto Rico and the Virgin Islands where the definition contained in the State plan approved under titles X or XVI will apply.</p> <p>(2) Provide, in any instance in which a determination is to be made whether an individual is blind or continues to be blind as defined under the State plan, that there will be an initial examination or re-examination performed by either a physician skilled in the diseases of the eye or by an optometrist, whichever the individual so selects.</p> <p>(i) No examination is necessary when both eyes are missing.</p> <p>(ii) Where an initial eye examination or re-examination is necessary, the physician or optometrist conducting such examination will submit to the State agency a report thereof, on such forms and in such manner, as may be prescribed for such purpose. A determination whether the individual meets the State's definition of blindness under the State plan will be based upon a review of such eye examination report as provided for in paragraph (a)(3) of this section, and other information or additional examination reports as the State deems necessary.</p> <p>(3) Provide that each initial eye examination report and any subsequent re-examination report will be reviewed by a State reviewing physician skilled in the diseases of the eye (e.g., an</p>	

PERSONAL CHARACTERISTICS: DISABILITY

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>ophthalmologist or an eye, ear, nose and throat specialist). Such physician is responsible for making the agency's decision that the applicant or recipient does or does not meet the State's definition of blindness, and for determining if and when re-examinations are necessary in periodic reviews of eligibility as required in § 206.10(a)(9)(iii) of this chapter.</p> <p>(b) <i>Exception.</i> The requirements of paragraph (a)(2) and (3) of this section are waived for individuals who are determined to be eligible for payments under title XVI on the basis of blindness unless the State's title XIX plan includes a different definition of blindness as described in paragraph (a)(1) of this section.</p> <p>(c) <i>Federal financial participation-</i></p> <p>(1) <i>Assistance payments.</i> Federal financial participation is available in medical assistance provided to any otherwise eligible person who is blind under the State's title XIX plan. Blindness may be considered as continuing until a determination by the reviewing physician establishes the fact that the recipient's vision has improved beyond the State's definition of blindness set forth under its State title XIX plan. (See § 248.4 (b)(7) for Federal financial participation with respect to medical assistance for persons determined by the Social Security Administration as no longer eligible for title XVI benefits on the basis of blindness.)</p> <p>(2) <i>Administrative expenses.</i> Federal financial participation is available in any expenditures incident to the eye examination necessary to determine whether an individual is blind.</p> <p>45 CFR 248.80</p> <p>(a) <i>State plan requirements.</i> A State plan under title XIX of the Social Security Act must:</p> <p>(1) Contain a definition of disability. This may be the same definition as is used in the Supplemental Security Income program under title XVI of the Act, or a more restrictive definition; however, it may not</p>	

PERSONAL CHARACTERISTICS: DISABILITY

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>be more restrictive than the definition used by the State in its approved title XIX plan as in effect on January 1, 1972. The definition may be broader than the title XVI definition only for disabled individuals who, for the month of December 1973, were eligible for medical assistance by reason of their having been previously determined to meet the criteria for disability established by a State plan under title XIV or XVI of the Act (see § 248.1 (b) (2)(vi) and 248.1(d)(2); and in Guam, Puerto Rico and the Virgin Islands where the definition contained in the State plan approved under titles XIV or XVI will apply.</p> <p>(2) Provide for the review of each medical report and social history by technically competent persons--not less than a physician and a social worker qualified by professional training and pertinent experience--acting cooperatively, who are responsible for the agency's decision that the applicant does or does not meet the State's definition of disability. Under this requirement:</p> <p>(i) The medical report must include a substantiated diagnosis, based either on existing medical evidence or upon current medical examination;</p> <p>(ii) The social history must contain sufficient information to make it possible to relate the medical findings to the activities of the "useful occupation" and to determine whether the individual is totally disabled; and</p> <p>(iii) The review physician is responsible for setting dates for re-examination; the review team is responsible for reviewing the reexamination reports in conjunction with the social data, to determine whether disabled recipients whose health condition may improve continue to meet the State's definition of disability.</p> <p>(b) <i>Exception.</i> The requirements of paragraph (a)(2) of this section are waived for individuals who are determined to be eligible for payments under title XVI on the basis of disability unless the State's title XIX plan includes a different</p>	

PERSONAL CHARACTERISTICS: DISABILITY

MEDICAID Categorically Needy	MEDICAID Medically Needy
	<p>definition of disability as described in paragraph (a)(1) of this section.</p> <p>(c) <i>Federal financial participation-</i></p> <p>(1) <i>Assistance payments.</i> Federal financial participation is available in medical assistance provided to any otherwise eligible individual who is disabled. Disability may be considered as continuing until the review team establishes the fact that the recipient's disability is no longer within the State's definition of permanent total disability, except that a determination by the Social Security Administration that a title XVI recipient's disability is no longer within the Federal definition of disability may be utilized by the State in lieu of a State review team's determination for individuals who are determined to be eligible for payments under title XVI on the basis of disability unless the State's title XIX plan includes a different definition of disability as described in paragraph (a)(1) of this section.</p> <p>(2) <i>Administrative expenses.</i> Federal financial participation is available in any expenditures incident to the medical examination necessary to determine whether an individual is disabled.</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

MEDICARE	VOCATIONAL REHABILITATION
	<p><u>RSA-PRG-77-4</u></p> <p>1505 B. <u>Certification of ineligibility</u></p> <p>A case closed in status 08 from referral or applicant status (00-02) for the following reasons <u>does not constitute a decision of ineligibility</u> as covered in section 1361.37(c) of the Regulations and a certification of ineligibility is neither appropriate nor required:</p> <p>(3) been institutionalized under circumstances rendering the individual unavailable and precluding evaluation, diagnosis, or other essential services for an indefinite or considerable period of time and continuance of an open case would not benefit the individual;</p>

PERSONAL CHARACTERISTICS: INSTITUTIONAL RESIDENCE

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>45 CFR 249.10(d)(3) As amended, 42 FR 4125</p> <p><i>(d) General provisions.</i> <i>(3) Continuation of Federal financial participation under specified conditions.</i> (i) FFP may be continued for the following services provided for eligible individuals:</p> <p>(A) Inpatient hospital services, other than services in an institution for tuberculosis or mental diseases (paragraph (b) (1) of this section);</p> <p>(B) Inpatient hospital services, skilled nursing facility services, and intermediate care facility services for individuals 65 years of age or over in institutions for tuberculosis or mental diseases (paragraph (b)(14)(1) of this section; and</p> <p>(C) Inpatient psychiatric facility services for individuals under the age of 21 (paragraph (b)(16) of this section); in institutions or facilities which, on or after April 25, 1977, met the applicable definition, but later no longer meets it.</p> <p>(ii) FFP may be continued for a period not to exceed 30 days from:</p> <p>(A) The effective date of termination by the Social Security Administration of the facility's provider agreement under title XVIII of the Act.</p> <p>(B) The date of termination by the single State agency of the provider agreement in those institutions and facilities which participate under title XIX of the Act only;</p> <p>(C) With respect to patients under 21 in a psychiatric facility, the earlier of either the effective date of loss of accreditation by the Joint Commission on Accreditation of Hospitals (JCAH), or the termination by the State title XIX agency of the provider agreement with respect to these services.</p> <p>(iii) The continuation of FFP is applicable only:</p> <p>(A) For payments in behalf of individuals admitted to the institution or facility before loss of qualification as determined under paragraph (d)(3) (i) of this section; and</p> <p>(B) If the State makes a reasonable effort to facilitate the orderly transfer of such individuals to alternate care.</p>	

PERSONAL CHARACTERISTICS: INSTITUTIONAL RESIDENCE

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>(iv) When an institution's or facility's loss of qualification occurred on or prior to April 25, 1977, FFP is available after the date of such loss only:</p> <p>(A) When the State continued to claim FFP in payments to such institution or facility; and</p> <p>(B) When the SRS Regional Commissioner has, by written notification to the single State agency, authorized such continuation, and for such period as the SRS Regional Commissioner has specified. In no event may the period of continuation extend beyond 45 days from the date of such notification or 30 days after April 25, 1977, whichever is earlier. The requirements in paragraph (d)(3) (iii) are not applicable.</p> <p>45 CFR 248.3(b)(8) As amended, 42 FR 2687</p> <p>(8) Provide, for individuals in long-term care facilities specified in paragraph (b)(7) of this section, for the application of income first to personal needs, and for the medically needy only, to any title XIX enrollment fee, premium or similar charge imposed under section 1902(a)(14)(B) of the Act, and provide for the application of the remainder to the cost of medical or remedial care.</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

MEDICARE	VOCATIONAL REHABILITATION
<p><u>Part A</u> 42 USC 426(b)(3)</p> <p>Transitional provisions for certain uninsured individuals: (b) The provisions of subsection (a) of this section shall not apply to any individual who--</p> <p>(3)(A) at the beginning of such first month is covered by an enrollment in a health benefits plan under chapter 89 of Title 5. (B) was so covered on February 16, 1965, or (C) could have been so covered for such first month if he or some other person had availed himself of opportunities to enroll in a health benefits plan under such chapter and to continue such enrollment (but this subparagraph shall not apply unless he or such other person was a Federal employee at any time after February 15, 1965).</p> <p>Paragraph (3) shall not apply in the case of any individual for the month (or any month thereafter) in which coverage under such a health benefits plan ceases (or would have ceased if he had had such coverage) by reason of his or some other person's separation from Federal service, if he or such other person was not (or would not have been) eligible to continue such coverage after such separation.</p> <p>-----</p> <p>20 CFR 405.103(b)(1)</p> <p>(b) <i>Individuals not deemed entitled.</i> An individual may not be deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, for purposes of §405.102(a)(3), if either of the following exists: (1)(i) He was covered by an enrollment in a health benefits plan under the Federal Employees Health Benefits Act of 1959 as of February 16, 1965, or as of the first day of the first month in which he meets the requirements set forth in paragraph (a) of this section, or (ii) he could have been so covered for such first month if he or some other person had availed himself of an opportunity to enroll in a health benefits plan under the Federal Employees Health Benefits Act of 1959 and to continue such enrollment.</p>	<p>45 CFR 1361.45(b)</p> <p>(b) <i>Consideration of similar benefits.</i> (1) The State plan shall provide that, in all cases, the State agency will give full consideration to any similar benefits available to a handicapped individual under any other program to meet, in whole or in part, the cost of any vocational rehabilitation services provided to such a handicapped individual, except the following: (i) Evaluation, of rehabilitation potential except as provided under paragraph (b)(4) of this section; (ii) Counseling, guidance and referral; (iii) Vocational and other training services, including personal and vocational adjustment training, books, tools, and other training materials, except for training services in institutions of higher education under §1361.71(a)(3); (iv) Services to members of a handicapped individual's family; (v) Placement; and (vi) Post-employment services necessary to assist handicapped individuals to maintain suitable employment; (2) The State plan shall provide that the State agency will give full consideration to any similar benefit available under any other program to a handicapped individual to meet, in whole or in part, the cost of physical and mental restoration services and maintenance provided to such a handicapped individual except where such consideration would significantly delay the provision of such services to an individual; (3) The State plan shall provide that when, and to the extent that, an individual is eligible for such similar benefits, such benefits will be utilized insofar as they are adequate, and do not interfere with achieving the rehabilitation objective of the individual. (4) The State plan shall further provide that the State agency will give full consideration to any similar benefits available to a handicapped individual being provided an extended evaluation of rehabilitation potential under §1361.36 in a manner consistent with paragraphs (b)(1) through (b)(3) of this section.</p> <p><u>RSA-PRG-77-4</u> 1505.07 H. <u>Consideration of Similar Benefits</u> *Any benefit available to the handi-</p>

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

MEDICARE	VOCATIONAL REHABILITATION
<p>provided that he or such other person was a Federal employee at any time after February 15, 1965. This exclusion shall not apply in the case of any individual for the month (or any month thereafter) in which--</p> <p>(i) His coverage under such health benefits plan ceases (or would have ceased if he had had such coverage),</p> <p>(ii) Such cessation of coverage was by reason of his or some other person's separation from Federal service, and</p> <p>(iii) He, or such other person, was not (or would not have been) eligible to continue such coverage after such separation.</p>	<p>capped individual under any other program to meet, in whole or in part, the cost of any vocational rehabilitation services is not a factor in determining eligibility for vocational rehabilitation services. Such a benefit will be considered only to the extent that it is available to meet the cost of the particular vocational rehabilitation service, or for referral to another agency under cooperative agreements, (e.g., Veterans' Administration)."</p> <p>45 CFR 1361.110-114</p> <p>1361.110 (a) Section 222 of the Social Security Act provides for the payment from the trust funds of costs of vocational rehabilitation services furnished to disability beneficiaries. Within the limits authorized under section 222, trust funds will be available for payment by the Commissioner to the States to provide for vocational rehabilitation services (and related costs of administration) for disability beneficiaries under State plans approved under the Act.</p> <p>(b) To receive trust funds for vocational rehabilitation, each State agency is required to submit an amendment to its State plan which sets forth its policy and procedures for providing vocational rehabilitation services to disability beneficiaries in keeping with the purpose as stated below and which meets the requirements and conditions prescribed herein.</p> <p>1361.111 With the purpose of making it possible for more disability beneficiaries to receive vocational rehabilitation services, money is made available from the trust funds to finance the vocational rehabilitation of selected beneficiaries. This money will be used in such a way that the saving from the amount of benefits that would otherwise have to be paid and the increased contributions to the trust funds paid by virtue of the earnings of beneficiaries who return to work will exceed, or at least equal, the money paid from the trust funds for rehabilitation costs.</p> <p>1361.112 The provisions governing vocational rehabilitation services to disability beneficiaries, the cost of which are paid from trust funds, must conform to all re-</p>

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	<p>quirements elsewhere in this part governing the State vocational rehabilitation programs which are not inconsistent with the requirements prescribed in this subpart.</p> <p>1361.113 (a) "Disability beneficiary" means a disabled individual who is entitled to benefits under section 223 of the Social Act (including disabled individuals serving a waiting period prior to such entitlement), a disabled individual age 18 or over who is entitled to child's insurance benefits under section 202(d) of the Social Security Act, or a disabled widow, widower, or surviving divorced wife under sections 202(e) and (f) of the Social Security Act.</p> <p>(b) "Productive activity" means full-time employment, part-time employment, or self-employment wherein the nature of the work activity performed, the earnings received, or both, or the capacity to engage in such employment or self-employment, can reasonably be expected to result in the termination of entitlement to disability insurance benefits or in the nonpayment of benefits where entitlement is based on statutory blindness.</p> <p>(c) "Trust Funds" means funds derived from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund for purposes of vocational rehabilitation pursuant to section 222(d) of the Social Security Act.</p> <p>1361.114 For a State to receive trust funds the State plan must contain the following provisions regarding vocational rehabilitation services to disability beneficiaries.</p> <p>(a) <i>Conformance to allocation criteria.</i> The State plan shall provide that, to the extent funds provided from the trust funds are adequate for that purpose, vocational rehabilitation services will be furnished to disability beneficiaries in the State who the State determines on the basis of medical, vocational, social, personal, or other factors are eligible for services and who meet the following requirements:</p> <p>(1) The disabling impairment is not so rapidly progressive as to outrun the effect of vocational rehabilitation services to the extent that restoration of the beneficiary to productive activity is precluded;</p> <p>(2) The disabling effect of the impairment, without the services planned, is ex-</p>

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	<p>pected to remain at a level of severity which would result in the continuing payment of disability benefits;</p> <p>(3) There is a reasonable expectation that the provision of services will enable the individual to engage in productive work activity; and</p> <p>(4) The reasonably predictable period of productive work activity is of sufficient duration that the benefits to be saved and the contributions which would be paid to the trust funds on future earnings would offset the cost of the services planned.</p> <p>(b) <i>Order of selection.</i> To the extent that funds provided for this purpose are adequate, the State plan shall provide that the order of selection for services shall be in accordance with the beneficiary's readiness and potential for rehabilitation to productive activity and without regard to any other order of selection set forth in the State plan.</p> <p>(c) <i>Citizenship, residence, and economic need.</i> The State plan shall provide that any disability beneficiary who meets the other requirements for selection for vocational rehabilitation services shall be provided with authorized services without regard to</p> <ol style="list-style-type: none"> (1) citizenship, or (2) place of residence, or (3) need for financial assistance. <p>(d) <i>Priority of services.</i> The State plan shall provide that services will be furnished with reasonable promptness to disability beneficiaries selected under paragraphs (a), (b), and (c) of this section.</p> <p>(e) <i>Services available.</i> The State plan shall provide that vocational rehabilitation services available to disability beneficiaries selected for such services shall include the full range of services authorized in the Act, to the extent that such services are consistent with this subpart, subject to the conditions and limitations with respect to the use of trust funds prescribed in §1361.115.</p> <p>(f) <i>Staff, supervision and training.</i> The State plan shall provide for staff, supervision, and training of personnel to carry out the functions of this subpart in an effective manner.</p>

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	<p>45 CFR 1361.120-124</p> <p>1361.120 (a) Section 1615 of the Social Security Act provides for the referral of blind or disabled supplemental security income recipients who are under age 65 to the appropriate State agency administering the State plan for vocational rehabilitation services approved under the Rehabilitation Act of 1973 and for a periodic review of their need for and utilization of available vocational rehabilitation services. Individuals so referred must accept such vocational rehabilitation services as are made available, unless there is good cause to refuse. Authorization is provided to pay the State agency the costs incurred in the provision of such services to individuals so referred.</p> <p>(b) Funds appropriated under this authority will be made available for payment by the Commissioner for vocational rehabilitation services (and related costs of administration) provided under the State plan approved under the Rehabilitation Act of 1973.</p> <p>(c) To receive Federal funds for services under this subpart, each State agency is required to submit an amendment to its State plan which sets forth the policies and procedures for providing services to blind and disabled recipients in keeping with the purpose as stated below and which meets the requirements and conditions prescribed herein.</p> <p>1361.121 The purpose of the provision of vocational rehabilitation services as authorized in this subpart is to enable a maximum number of recipients to increase their employment capacity to the extent that they can engage in productive activity.</p> <p>1361.122 The provisions governing vocational rehabilitation services to supplemental security income recipients, the costs of which are paid from supplemental security income program funds, must conform to all requirements elsewhere in this part governing the State vocational rehabilitation programs which are not inconsistent with the requirements prescribed in this subpart.</p> <p>1361.123 (a) "Supplemental security income recipient", or "recipient", as used in this subpart, means an individual who is receiving cash payments (or with respect to whom payments are made) under the supple-</p>

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	<p>mental security income program based on blindness or disability.</p> <p>(b) "Productive activity" means full-time employment, part-time employment, or self-employment wherein the nature of the work activity performed, the earnings received, or both, or the capacity to engage in such employment or self-employment, can reasonably be expected to result in termination of eligibility for supplemental security income payments, or at least a substantial reduction of such payments in accord with income exclusions applying to the blind as specified in 20 CFR Part 416, Subpart K. 1361.124 For a State to receive Federal funds appropriated for this purpose, the State plan must contain the following provisions regarding vocational rehabilitation services to supplemental security income recipients.</p> <p>(a) Conformance to selection criteria. The State plan shall provide that, to the extent funds appropriated are adequate for the purpose, vocational rehabilitation services will be furnished to recipients in the State who the State determines on the basis of medical, vocational, social, personal, or other factors are eligible for services and who meet the following requirements:</p> <p>(1) The disabling impairment is not so rapidly progressive as to outrun the effect of vocational rehabilitation services to the extent that restoration of the recipient to productive activity is precluded;</p> <p>(2) The disabling effect of the impairment, without the services planned, is expected to remain at a level of severity which would result in the continuing eligibility of the recipient;</p> <p>(3) There is a reasonable expectation that the provision of services will enable the individual to engage in productive activity; and</p> <p>(4) The reasonably predictable period of productive work activity is of sufficient duration that the expenditures made for services are expected to be offset by the non-payment or substantial reduction of supplementary security income payments which otherwise would be made to the individual.</p> <p>(b) Order of selection. To the extent that the funds appropriated for this purpose are adequate, the State plan shall provide that the order of selection for services</p>

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	<p>shall be in accordance with the recipient's readiness and potential for rehabilitation to productive activity and without regard to any other order of selection set forth in the State plan.</p> <p>(c) <i>Economic need test.</i> The State plan shall provide that any recipient who meets the other requirements for vocational rehabilitation services through the use of supplemental security income program funds shall be provided authorized services without regard to any economic need test set forth in the State plan.</p> <p>(d) <i>Provision of services.</i> The State plan shall provide that services will be furnished with reasonable promptness to recipients selected under paragraphs (a), (b), and (c) of this section.</p> <p>(e) <i>Services available.</i> The State plan shall provide that vocational rehabilitation services available to recipients selected for such services shall include the full range of services authorized in the Act, to the extent that such services are consistent with the purpose of this subpart, and subject to the limitations with respect to the use of supplemental security income program funds prescribed in §1361.125.</p> <p>(f) <i>Staff, supervision and training.</i> The State plan shall provide for staff, supervision, and training of personnel to carry out the functions of this subpart in an effective manner.</p> <p>PROGRAM REGULATION GUIDE FOR SSI-VR OPERATING GUIDELINES (RSA-PRG-75-1, January 24, 1975)</p> <p>3711 <u>Background on Referrals to VR</u> "Section 1615 of Title XVI provides for the referral of all disabled or blind recipients under age 65. Any recipient who refuses services which are offered, without good cause, will no longer be eligible for SSI cash payments. Provision is made for the Federal payment of the costs of providing services to such recipients, including the costs of administration.</p> <p>"In implementing the referral provisions, it has been decided to use the social security disability insurance-vocational rehabilitation program (SSDI-VR) as a model. Thus, consideration of an individual's need for and ability to benefit from VR services will be accomplished by a procedure which</p>

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	<p>begins with the application of VR screening and referral criteria by the State Disability Determination Services (DDS). Under the combined efforts of RSA, SSA, and the Committee on Social Security Relationships of the Council of State Administrators of Vocational Rehabilitation, the screening and referral criteria utilized at present has been reviewed and revised where necessary so that both SSDI and SSI applicants can be considered for VR referral under one set of guidelines. The major change in the screening procedure has been in the application of the screening table (Section 3713.02C). Individuals with three or more factors in the "good" or "excellent" columns may be referred. In the SSDI-VR program, the screening and referral process applies without regard to the determination of the allowed or denied status of the applicant. Moreover, VR information is provided to applicants at the SSA district office in order to encourage self-referrals without regard to eligibility for SSI payments.</p> <p>3713.02 <u>National Guidelines for Screening and Referral</u> "The screening and referral criteria guidelines are divided into three categories:</p> <ol style="list-style-type: none"> (1) Automatic Screen-In: Designed to identify certain cases which clearly reflect good potential for VR services. (2) Automatic Screen-Out: Designed to identify certain cases which clearly are not suitable for VR services. (3) Grey-Area Screening Table: Designed to provide basic factors which may be applied in deciding whether to refer a particular case which falls in the grey area between the first two categories. <ol style="list-style-type: none"> A. Automatic Screen-In Criteria The Disabled/Blind applicant age 15 or over (see Section 3713.03) who meets any of the following automatic screen-in criteria should be referred to the VR agencies. <ol style="list-style-type: none"> 1. All applicants, except those with an illness in a terminal state, whose primary impairment is a limited central visual acuity of 20/70 or less

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	<p>in the better eye with best correction. or an equivalent loss of either field of vision or visual efficiency, or visual problem so severe as to limit ability to engage in vocational or other daily activity; and all applicants whose impairment meets, or may lead to meeting, the definition of statutory blindness as defined in Section 216(i)(1)(B) or 1614(a)(2) of the Social Security Act.</p> <p>2. Applicants between the ages of 15 and 45, unless contraindicated by evidence such as items shown under the automatic screen-out criteria.</p> <p>3. File reflects applicant's desire to work, or that he has made unsuccessful work efforts and facts indicate good potential for successful work with appropriate rehabilitation services.</p> <p>4. Primary diagnosis of pulmonary tuberculosis or psychiatric impairment and medical re-examination date established.</p> <p>5. Primary condition has a favorable prognosis or can be corrected or substantially reduced with the aid of physical restoration or other medical treatment.</p> <p>6. File contains definitive evidence that applicant can benefit from vocational rehabilitation services (e.g., statement from physician or consultant).</p> <p>7. Working despite impairment and obviously under-employed.</p> <p>8. Applicant scheduled for vocational assessment outside the DDS.</p> <p>9. Subsequent determination cases where individual shows rehabilitation potential because of improvement in medical condition.</p> <p>10. Record indicates an SSI applicant has a plan for achieving self-support (an entry to this effect should be made in the "remarks" section of SSA-1407 by the DDS).</p> <p>B. Automatic Screen-Out Criteria Any disability/blindness applicant, other than one with a visual impairment, who meets any of the following criteria should not be referred.</p> <p>1. Illness in terminal stage--irreversible or irremediable;</p>

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	<p style="text-align: center;">2. Screening Table</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;"><u>Excellent</u></th> <th style="text-align: center;"><u>Good</u></th> <th style="text-align: center;"><u>Guarded</u></th> </tr> </thead> <tbody> <tr> <td><u>Age</u></td> <td style="text-align: center;">Under 36</td> <td style="text-align: center;">36-50</td> <td style="text-align: center;">Over 50</td> </tr> <tr> <td><u>Academic Level or Equivalent</u></td> <td style="text-align: center;">12 and Up</td> <td style="text-align: center;">8-11</td> <td style="text-align: center;">Below 8</td> </tr> <tr> <td><u>Attitude Toward Work Motivation</u></td> <td style="text-align: center;">Positive</td> <td style="text-align: center;">Passive</td> <td style="text-align: center;">Negative</td> </tr> <tr> <td><u>Mental Aptitude</u></td> <td style="text-align: center;">High</td> <td style="text-align: center;">Average</td> <td style="text-align: center;">Limited</td> </tr> <tr> <td><u>Activity Level, Physical Limitations</u></td> <td style="text-align: center;">Minor</td> <td style="text-align: center;">Moderate</td> <td style="text-align: center;">Severe</td> </tr> <tr> <td><u>Medical Prognosis</u></td> <td style="text-align: center;">Favorable</td> <td style="text-align: center;">Stable</td> <td style="text-align: center;">Guarded</td> </tr> <tr> <td><u>Work History</u></td> <td style="text-align: center;">Stable</td> <td style="text-align: center;">Fair</td> <td style="text-align: center;">Poor</td> </tr> <tr> <td><u>Work Performed</u></td> <td style="text-align: center;">Light</td> <td style="text-align: center;">Moderate</td> <td style="text-align: center;">Arduous</td> </tr> </tbody> </table> <p><u>Explanation of Factors:</u> <u>Age</u> - Chronological age; <u>Academic Level or Equivalent</u> - Actual grade level achieved or equivalent; <u>Attitude Toward Work (Motivation)</u> - General impressions of applicant's interest in working from file evidence, including work record, if any; applicant's statements about his desire to work; comments or observations by interviewers, physicians, or former employers about his attitude toward work; <u>Mental Aptitude</u> - Consider any psychological reports, level of education or work attained and applicant's ability to comprehend and express himself as indicated by the report of the DO or DDS interview, or comments of others; <u>Activity Level, Physical Limitations</u> - Evident from medical reports, vocational assessments, and observations; <u>Medical Prognosis</u> - "Favorable" means a minor impairment or a moderate impairment which is expected to improve. "Stable" means moderate or severe impairment expected to remain at present level of severity. "Guarded" means moderate to severe impairment which is expected to be rapidly progressive; <u>Work History</u> - Consider regularity and level of earnings from earnings record or other work record, if any; <u>Work Performed</u> - Classifications as described by the Dictionary of Occupational Titles."</p>		<u>Excellent</u>	<u>Good</u>	<u>Guarded</u>	<u>Age</u>	Under 36	36-50	Over 50	<u>Academic Level or Equivalent</u>	12 and Up	8-11	Below 8	<u>Attitude Toward Work Motivation</u>	Positive	Passive	Negative	<u>Mental Aptitude</u>	High	Average	Limited	<u>Activity Level, Physical Limitations</u>	Minor	Moderate	Severe	<u>Medical Prognosis</u>	Favorable	Stable	Guarded	<u>Work History</u>	Stable	Fair	Poor	<u>Work Performed</u>	Light	Moderate	Arduous
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<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>42 USC 1396(a)(10)(A)</p> <p>A State plan for medical assistance must (10) provide (A) for making medical assistance available to all individuals receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, or with respect to whom supplemental security income benefits are being paid under subchapter XVI of this chapter.</p>	<p>42 USC 1396a(f)</p> <p>(f) Notwithstanding any other provision of this subchapter, except as provided in subsection (e) of this section, no State not eligible to participate in the State plan program established under subchapter XVI of this chapter shall be required to provide medical assistance to any aged, blind, or disabled individual (within the meaning of subchapter XVI of this chapter) for any month unless such State would be (or would have been) required to provide medical assistance to such individual for such month had its plan for medical assistance approved under this subchapter and in effect on January 1, 1972, been in effect in such month, except for this purpose any such individual shall be deemed eligible for medical assistance under such State plan if (in addition to meeting such other requirements as are or may be imposed under the State plan) the income of any such individual as determined in accordance with section 1396b(f) of this title (after deducting any supplemental security income payment and State supplementary payment made with respect to such individual, and incurred expenses for medical care as recognized under State law) is not in excess of the standard for medical assistance established under the State plan as in effect on January 1, 1972. In States which provide medical assistance to individuals pursuant to clause (10)(C) of subsection (a) of this section, an individual who is eligible for medical assistance by reason of the requirements of this section concerning the</p>

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<p>deduction of incurred medical expenses from income shall be considered an individual eligible for medical assistance under clause (10)(A) of that subsection if that individual is, or is eligible to be (1) an individual with respect to whom there is payable a State supplementary payment on the basis of which similarly situated individuals are eligible to receive medical assistance equal in amount, duration, and scope to that provided to individuals eligible under clause (10)(A), or (2) an eligible individual or eligible spouse, as defined in subchapter XVI of this chapter, with respect to whom supplemental security income benefits are payable; otherwise that individual shall be considered to be an individual eligible for medical assistance under clause (10)(C) of that subsection. In States which do not provide medical assistance to individuals pursuant to clause (10)(C) of that subsection, an individual who is eligible for medical assistance by reason of the requirements of this section concerning the deduction of incurred medical expenses from income shall be considered an individual eligible for medical assistance under clause (10)(A) of that subsection.</p>	
<p>45 CFR 248.1(a)(1)(i)</p> <p><i>(a) General provisions governing eligibility for medical assistance--(1) Categorically needy--(i) General.</i> In order to be considered as categorically needy for purposes of title XIX, an individual must in general be receiving financial assistance or sufficiently in need to be financially eligible for financial assistance under title IV-A or XVI of the Social Security Act, or under a State supplement to title XVI assistance.</p>	<p>-----</p> <p>45 CFR 248.1(a)(1)(ii)</p> <p><i>(ii) States limiting coverage by returning to earlier Medicaid standard.</i> (A) In a State which covers both the categorically needy and medically needy under its title XIX plan, and in addition has exercised its option under</p>

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<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>45 CFR 248.1(a)(1)(ii)</p> <p>(B) In a State which covers only the categorically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, all individuals establishing eligibility for medical assistance by deducting their title XVI payments (if any) and incurred medical expenses from income will be considered categorically needy regardless of whether their income would allow them to qualify for cash assistance.</p> <p>45 CFR 248.1(b)</p> <p><i>(b) Required coverage of the categorically needy.</i> A State plan under title XIX of the Social Security Act must specify what groups of individuals are covered as categorically needy for Medicaid. These groups must, as a minimum--(1) In the case of families and children, include: (i) All individuals receiving aid under the State's approved plan under title IV-A:</p> <p>(ii) All individuals under 21 who are (or would be, except for age or school attendance requirements) dependent children under the State's approved AFDC plan; and</p> <p>(iii) All families which were receiving assistance under the State's plan under title IV-A in at least 3 of the 6 months immediately preceding the month in which such family became ineligible for such assistance because of increased hours of, or increased income from, employment. As long as a member of the family is employed, such families will continue to be eligible for medical</p>	<p>section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, an individual who meets the more restrictive eligibility criteria through having his title XVI payment (if any) and incurred medical expenses deducted from income is considered as categorically needy if he is eligible for a cash payment under title XVI of the Social Security Act or a State supplementary payment which meets the conditions specified in §240.2(d)</p>

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>assistance, for a period of 4 calendar months beginning with the month in which such family became ineligible for assistance under title IV-A because of increased earnings, to the same extent and under the same conditions as it is furnished to the categorically needy under the title XIX plan in effect during such months.</p> <p>(2) In the case of the aged, blind and disabled, include one of the groups listed in paragraph (b)(2)(i), (ii), or (iii) of this section, and in addition, those listed in paragraph (b)(2)(iv), (v) and (vi) of this section:</p> <p>(i) Individuals receiving a benefit under title XVI (for purposes of the regulations in this part, the phrase "individuals receiving a benefit under title XVI" includes the eligible spouses of such individuals), or</p> <p>(ii) Individuals receiving a benefit under title XVI or a State supplementary payment which meets the conditions specified in §248.2(d), or</p> <p>(iii) Individuals who meet the eligibility criteria used for medical assistance on January 1, 1972 (or any other criteria which are less restrictive than the January 1, 1972 criteria but no less restrictive than the comparable criteria under title XVI or for a State supplementary payment which meets standards described in §248.2(d)), after the amount of the title XVI payment and State supplementary payment (if any) and incurred medical expenses are deducted from income;</p> <p>(iv) All individuals who receive a State supplementary payment mandated pursuant to section 212 of Pub. L. 93-66;</p> <p>(v) All individuals who in December 1973 were eligible as essential spouses under the State title XIX plan which for such month provided for medical assistance to individuals described in section 1905(a)(vi) of the Social Security Act, provided that:</p> <p>(A) The individual with whom such an essential spouse is living continues to meet the December 1973 criteria for aid or assistance under one of the State plans under titles I, X, XIV, or XVI as in effect in such month.</p>	

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<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>(B) The essential spouse continues to be the spouse of and to live with such individual, and under the State plan approved under title I, X, XIV or XVI as in effect in December 1973, would still be considered to be essential to the well being of such individual, and such spouse's needs would be taken into consideration in determining the amount of aid or assistance furnished to such individual under such State plan.</p> <p>(vi) All individuals who, for all (or any part of) the month of December 1973:</p> <p>(A) Were eligible under the State title XIX plan as inpatients or residents in institutions qualified for reimbursement under title XIX of the Act; and</p> <p>(B) (1) Would (except for being an inpatient or resident in such institution) have been eligible to receive aid or assistance under a State plan approved under title I, X, XIV, or XVI of such Act: or</p> <p>(2) Were, on the basis of need for care in such institution, considered to be eligible for aid or assistance under a State plan under title I, X, XIV or XVI for purposes of determining their eligibility for medical assistance under a State plan approved under title XIX of the Act (whether or not such individuals actually received aid or assistance under a State plan under title I, X, XIV or XVI) provided that:</p> <p>(i) Such individuals continue to be (for all of any month after December 1973) inpatients or residents in such an institution and would (except for being inpatients or residents in such institution) continue to meet the conditions of eligibility to receive aid or assistance under such plan (as such plan was in effect for December 1973), and</p> <p>(ii) Such individuals are determined (under the utilization review and other professional audit procedures applicable to State plans approved under title XIX of the Act) to be in need of care in such an institution..</p>	

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<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>(3) With respect to both families with children and aged, blind, or disabled individuals include:</p> <p>(i) Any individual who would be eligible for aid under title IV-A of the Act or benefits or supplementary payments under title XVI (as may be applicable) except for any eligibility condition or other requirement that is specifically prohibited in a program of medical assistance under title XIX.</p> <p>(ii) Any individual:</p> <p>(A) Who, for the month of August 1972, was receiving or eligible for financial assistance under the State's plan approved under title I, IV-A, X, XIV, or XVI of the act and who was also entitled to monthly insurance benefits under title II of the act for the month of August 1972, and</p> <p>(B) who, except for the increase in monthly insurance benefits under title II resulting from enactment of Public Law 92-336, would have been eligible for financial assistance for the current month. Under this requirement:</p> <p>(1) An individual qualifies as receiving or eligible for financial assistance for August 1972 if, with respect to such month:</p> <p>(i) He was receiving financial assistance; or</p> <p>(ii) He met all conditions of eligibility for financial assistance under title I, IV-A, X, XIV, or XVI as in effect in August 1972 but had not applied, provided the State title XIX plan included such individuals as categorically needy in August 1972; or</p>	

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 RECIPIENTS OF OTHER PROGRAM BENEFITS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>(iii) He was in a medical facility or intermediate care facility, and had he left, would have been eligible for financial assistance, provided the State title XIX plan included such individuals as categorically needy in August 1972.</p> <p>(2) An individual is considered as though he were eligible for financial assistance for the current month if with respect to such month, except for the increase in monthly insurance benefits under title II resulting from enactment of Pub. L. 92-336:</p> <p>(i) He would meet all conditions of eligibility for financial assistance (however, he need not file an application). In such case he is eligible under the current title XIX plan to the same extent as individuals who are receiving financial assistance; or</p> <p>(ii) He is in a medical or intermediate care facility and, if he left, would be eligible for financial assistance, provided the State title XIX plan includes such individuals as categorically needy. In such case he is considered as though he were categorically needy and is eligible under the title XIX plan to the same extent as other categorically needy individuals in such a facility.</p> <p>45 CFR 248.3(b)(2)(i,ii) As amended, 42 FR 2686</p> <p>(2) <i>Spouses in States using SSI criteria for the aged, blind and disabled.</i></p> <p>Provide that: (i) Where both spouses apply as aged, blind or disabled or where both spouses are SSI eligible, and cease to live together, income and resources are considered mutually available without proof of contribution for the first six months after the month they cease to live together in a common household. After that, only actually contributed income and resources may be considered in determining the eligibility or amount of assistance of either individual spouse.</p> <p>(ii) Where only one spouse applies as aged, blind or disabled or is SSI</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>45 CFR 248.3(b)(9) As amended, 42 FR 2687</p> <p>(9) Provide that, with respect to an aged, blind, or disabled individual receiving a benefit under title XVI or a State supplemental payment, who is not eligible for medical assistance unless he can meet additional eligibility criteria from the January 1972 standard, the amount of such individual's title XVI benefit and State supplemental payment will be disregarded in determining eligibility for medical assistance.</p> <p><u>Program Guidelines</u></p> <p>The following persons are eligible for benefits:</p> <p>(1) all persons who receive cash assistance available under the AFDC program are entitled to Medicaid as categorically needy. (SRS-AT-76-65, April 15, 1976), (2) all State Medicaid programs must cover either: (a) all persons receiving cash benefits available to the aged, blind and disabled under the SSI program, or (b) all aged, blind and disabled who can meet State established Medicaid eligibility conditions which are more restrictive than required for receipt of SSI benefits, (3) all States must also cover certain persons "grandfathered-in" to continued Medicaid coverage who would not otherwise have been eligible after January 1, 1974. The following are "grandfathered-in" groups for whom coverage as categorically needy was specifically protected under the Act.</p>	<p>eligible, and they cease to live together in a common household only actually contributed income and resources may be considered available in determining the eligibility or amount of assistance of the applicant or recipient after the month during which they cease to live together.</p>

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

<p>MEDICAID Categorically Needy</p>	<p>MEDICAID Medically Needy</p>
<p>(1) Aged, blind and disabled persons receiving State supplemental payments mandated under Section 212 of P.L. 93-66;</p> <p>(2) Essential spouses of persons who received cash assistance as aged, blind or disabled in December 1973; and</p> <p>(3) Aged, blind and disabled persons residing in medical institutions or intermediate care facilities who were eligible for Medicaid in December 1973 under provisions then in effect for coverage of institutionalized persons as categorically needy.</p> <p>(4) Certain persons who would be financially eligible for cash assistance except for increased income from the 20% Social Security increase they received in 1972. (SRS-MSA-76-105, 1/1/74) (SRS-AT-76-109, 7/8/76)</p>	

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

MEDICARE	VOCATIONAL REHABILITATION
	<p>2. Physical or mental impairment so severe that work adjustment training or employment would be precluded;</p> <p>3. Chronic brain syndrome with marked loss of memory and understanding;</p> <p>4. Long-standing neurological or psychiatric impairment not responding to treatment, sustained by poor social history;</p> <p>5. Advanced age with such severe organ and system impairment that potential to adjust to or sustain work is doubtful;</p> <p>6. An older worker with a significant impairment and either a sparse work record or a record of performing arduous unskilled labor for at least 35 years, having a marginal education with no transferable skill;</p> <p>7. Applicant under age 15 unless the individual circumstances indicate a special readiness for beginning VR services (see Section 3713.03).</p> <p>C. Grey-Area Screening Criteria (Screening Table)</p> <p>1. General</p> <p>"The Screening Table is to be used only for those cases that do not meet the screen-in or screen-out criteria. The Screening Table lists factors for consideration with graduated vocational rehabilitation potential levels of "Excellent", "Good", and "Guarded" for each factor. When the Screening Table evaluation reveals three or more factors listed under the "Excellent" or "Good" columns, <u>referral should be made unless there are specific contradictions to such referral</u>. If the factors do not fall into the referral pattern but it is strongly felt that the individual has vocational rehabilitation potential, a referral should be made.</p>

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="362 392 533 413">42 USC 1396a(a)(16);</p> <p data-bbox="362 430 710 465">(a) A State plan for medical assistance must--</p> <p data-bbox="362 468 710 609">(16) provide for inclusion, to the extent required by regulations prescribed by the Secretary, of provisions (conforming to such regulations) with respect to furnishing of medical assistance under the plan to individuals who are residents of the State but are absent therefrom;</p> <p data-bbox="362 644 524 664">42 USC 1396a(b)(3)</p> <p data-bbox="362 682 710 808">The Secretary shall approve any plan which fulfills the conditions specified in subsection (a) of this section, except that he shall not approve any plan which imposes, as a condition of eligibility for medical assistance under the plan--</p> <p data-bbox="362 826 710 878">... (3) any residence requirement which excludes any individual who resides in the State; or</p> <p data-bbox="362 881 735 916">(4) any citizenship requirement which excludes any citizen of the United States.</p> <p data-bbox="362 968 508 989">-----</p> <p data-bbox="362 968 508 989">45 CFR 248.40(b)</p> <p data-bbox="362 1006 721 1058">(a) <i>State plan requirements.</i> A State plan under title XIX of the Social Security Act must provide that:</p> <p data-bbox="362 1062 710 1312">(1) Medical assistance will be furnished to eligible individuals who are residents of the State but are absent therefrom to the same extent that such assistance is furnished under the plan to meet the cost of medical care and services rendered to eligible individuals in such State, at least to the extent that medical care and services are needed in any other State (as defined in section 1101(a)(1) of the Social Security Act, as amended 42 U.S.C. 1301(a)(1), under any of the following circumstances:</p> <p data-bbox="362 1315 710 1350">(i) Where an emergency arises from accident or illness;</p> <p data-bbox="362 1354 710 1437">(ii) Where the health of the individual would be endangered if the care and services are postponed until he returns to the State in which he resides; or</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>(iii) Where his health would be endangered if he undertook travel to return to such State.</p> <p>(2) Medical care and services will be provided outside the State to eligible residents of the State, at least in the following situations:</p> <p>(i) When it is general practice for residents of a particular locality to use medical resources outside the State; or</p> <p>(ii) When the medical care and services available, or the availability of needed supplementary resources, make it desirable for the individual to use medical facilities outside the State for short or long periods, in accordance with plans developed jointly by the agency and the individual, consistent with medical advice.</p> <p>(3) The State agency will facilitate the meeting of medical needs within the State for residents from other States.</p> <p>(b) <i>Condition for plan approval.</i> A State plan under title XIX of the Act may not impose any resident requirement which excludes any individual who is a resident of the State. For purposes of this section:</p> <p>(1) A resident of a State is one who is living in the State voluntarily with the intention of making his home there and not for a temporary purpose. A child is a resident of the State in which he is living other than on a temporary basis. Residence may not depend upon the reason for which the individual entered the State, except insofar as it may bear upon whether he is there voluntarily or for a "temporary purpose".</p> <p>(2) Residence is retained until abandoned. Temporary absence from the State, with subsequent returns to the State, or intent to return when the purposes of the absence have been accomplished, does not interrupt continuity of residence.</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="327 439 487 458">42 USC 1396a(b)(4)</p> <p data-bbox="327 477 675 604">The Secretary shall approve any plan which fulfills the conditions specified in subsection (a) of this section, except that he shall not approve any plan which imposes, as a condition of eligibility for medical assistance under the plan--</p> <p data-bbox="327 604 700 640">(c) any citizenship requirement which excludes any citizen of the United States.</p> <p data-bbox="331 722 453 741">-----</p> <p data-bbox="331 722 453 741">45 CFR 248.50</p> <p data-bbox="331 760 708 1012"><i>Conditions for plan approval.</i> A State plan under title XIX of the Social Security Act shall include an otherwise eligible individual who is a resident of the United States but only if he is either (a) a citizen or (b) an alien lawfully admitted for permanent residence or otherwise permanently residing in the United States under color of law (including any alien who is lawfully present in the United States as a result of the application of the provisions of section 203 (a)(7) or section 212(d)(5) of the Immigration and Nationality Act).</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>42 USC 1396a(a)(10)(A)</p> <p>(10) provide-- (A) for making medical assistance available to all individuals receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, or with respect to whom supplemental security income benefits are being paid under subchapter XVI of this chapter.</p> <p>42 USC 1396a(a)(14)(A)</p> <p>(14) effective January 1, 1973, provide that-- (A) in the case of individuals receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, or with respect to whom supplemental security income benefits are paid under subchapter XVI of this chapter, or who meet the income and resources requirements of the appropriate State plan, or the supplemental security income program under subchapter XVI of this chapter, as the case may be, and individuals with respect to whom there is being paid, or who are eligible, or would be eligible if they were not in a medical institution, to have paid with respect to them, a State supplementary payment and are eligible for medical assistance equal in amount, duration, and scope to the medical assistance made available to individuals described in paragraph (10)(A)-- (i) no enrollment fee, premium, or similar charge, and no deduction, cost sharing, or similar charge with respect to the care and services listed in clauses (1) through (5) and (7) of section 1396d(a) of this title, will be imposed under this plan, and (ii) any deduction, cost sharing, or similar charge imposed under the plan with respect to other care and services will be nominal in amount (as determined in accordance with standards approved by the Secretary and included in the plan),--</p>	<p>42 USC 1396a(a)(10)(C)</p> <p>(C) if medical assistance is included for any group of individuals who are not described in clause (A) and who do not meet the income and resources requirements of the appropriate State plan, or the supplemental security income program under subchapter XVI of this chapter, as the case may be, as determined in accordance with standards prescribed by the Secretary-- (i) for making medical assistance available to all individuals who would, except for income and resources be eligible for aid or assistance under any such State plan or to have paid with respect to them supplemental security income benefits under subchapter XVI of this chapter, and who have insufficient (as determined in accordance with comparable standards) income and resources to meet the costs of necessary medical and remedial care and services, and (ii) that the medical assistance made available to all individuals not described in clause (A) shall be equal in amount, duration and scope except that (1) the making available of the services described in paragraph (4), (14), or (16) of section 1396d(a) of this title to individuals meeting the age requirements prescribed therein shall not, by reason of this paragraph (10), require the making available of any such services, or the making available of such services of the same amount, duration, and scope, to individuals of any other ages, (II) the making available of supplementary medical insurance benefits under part B of subchapter XVIII of this chapter to individuals eligible therefor (either pursuant to an agreement entered into under section 1395v of this title or by reason of the payment of premiums under such subchapter by the State agency on behalf of such individuals), or provision for meeting part or all of the cost of deductibles, cost sharing, or similar charges under part B of subchapter XVIII of this chapter for individuals eligible for benefits under such part, shall not, by reason of this paragraph (10), require the making available of any such benefits, or the making available of services</p>

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>42 USC 1396a(e)</p> <p>(e) Notwithstanding any other provision of this subchapter, effective January 1, 1974, each State plan approved under this subchapter must provide that each family which was receiving aid pursuant to a plan of the State approved under part A of subchapter IV of this chapter in at least 3 of the 6 months immediately preceding the month in which such family became ineligible for such aid because of increased hours of, or increased income from, employment, shall, while a member of such family is employed, remain eligible for assistance under the plan approved under this subchapter (as though the family was receiving aid under the plan approved under part A of subchapter IV of this chapter) for 4 calendar months beginning with the month in which such family became ineligible for aid under the plan approved under part A of subchapter IV of this chapter because of income and resources or hours of work limitations contained in such plan.</p> <p>-----</p> <p>45 CFR 206.10(a)(1)(iv)(A,B)</p> <p>(a) <i>State plan requirements.</i> A State plan under title I, IV-A, X, XIV, XVI, or XIX of the Social Security Act shall provide that:</p> <p>(iv)(A) Individuals found eligible for financial assistance under a State plan for title I, IV-A, X, XIV, or XVI (AABD) are eligible for medical assistance without a separate application.</p> <p>(B) Aged, blind, or disabled individuals found eligible for Supplementary Security Income benefits under title XVI of the Act or for State supplemental payments are eligible for medical assistance without a separate application to the extent provided for in agreements between the individual's State of residence and the Social Security Administration;</p>	<p>of the same amount, duration, and scope, to any other individuals, and (iii) the making available of medical assistance equal in amount, duration, and scope to the medical assistance made available to individuals described in clause (A) to any classification of individuals approved by the Secretary, with respect to whom there is being paid, or who are eligible, or would be eligible if they were not in a medical institution, to have paid with respect to them, a State supplementary payment shall not, by reason of this paragraph (10), require the making available of any such assistance, or the making available of such assistance in the same amount, duration, and scope, to any other individuals not described in clause (A):</p> <p>42 USC 1396a(a)(14)(B),(15)</p> <p>(B) With respect to individuals (other than individuals with respect to whom there is being paid, or who are eligible or would be eligible if they were not in a medical institution, to have paid with respect to them, a State supplementary payment and are eligible for medical assistance equal in amount, duration, and scope to the medical assistance made available to individuals described in paragraph(10)(A) who are not receiving aid or assistance under any such State plan and with respect to whom supplemental security income benefits are not being paid under subchapter XVI of this chapter and who do not meet the income and resources requirements of the appropriate State plan, or the supplemental security income program under subchapter XVI of this chapter, as the case may be--</p> <p>(i) there may be imposed an enrollment fee, premium, or similar charge which (as determined in accordance with standards prescribed by the Secretary) is related to the individual's income, and</p> <p>(ii) any deductible, cost-sharing, or similar charge imposed under the plan will be nominal;</p>

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>42 USC 1396a(a)(17)</p> <p>(a) A State plan for medical assistance must--</p> <p>(17) include reasonable standards (which shall be comparable for all groups and may, in accordance with standards prescribed by the Secretary, differ with respect to income levels, but only in the case of applicants or recipients of assistance under the plan who are not receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVII, or part A of subchapter IV of this chapter, and with respect to whom supplemental security income benefits are not being paid under subchapter XVI of this chapter based on the variations between shelter costs in urban areas and in rural areas) for determining eligibility for and the extent of medical assistance under the plan which (A) are consistent with the objectives of this subchapter,</p> <p>-----</p>	<p>(15) in the case of eligible individuals 65 years of age or older who are covered by either or both of the insurance programs established by subchapter XVIII of this chapter, provide where, under the plan, all of any deductible, cost sharing, or similar charge imposed with respect to such individual under the insurance program established by such subchapter is not met, the portion thereof which is met shall be determined on a basis reasonably related (as determined in accordance with standards approved by the Secretary and included in the plan) to such individual's income or his income and resources;</p>

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>45 CFR 248.1(a,b)</p> <p>(a) <i>General provisions governing eligibility for medical assistance--(1) Categorically needy--(i) General.</i> In order to be considered as categorically needy for purposes of title XIX, an individual must in general be receiving financial assistance or sufficiently in need to be financially eligible for financial assistance under title IV-A or XVI of the Social Security Act, or under a State supplement to title XVI assistance.</p> <p>(ii) <i>States limiting coverage by returning to earlier Medicaid standard.</i> (A) In a State which covers both the categorically needy and medically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, an individual who meets the more restrictive eligibility criteria through having his title XVI payment (if any) and incurred medical expenses deducted from income is considered as categorically needy if he is eligible for a cash payment under title XVI of the Social Security Act or a State supplementary payment which meets the conditions specified in § 248.2(d).</p> <p>(B) In a State which covers only the categorically needy under its title XIX plan, and in addition has exercised its option under section 209(b) of Pub. L. 92-603 to limit Medicaid coverage of aged, blind, and disabled individuals, all individuals establishing eligibility for medical assistance by deducting their title XVI payments (if any) and incurred medical expenses from income will be considered categorically needy regardless of whether their income would allow them to qualify for cash assistance.</p> <p>(b) <i>Required coverage of the categorically needy.</i> A State plan under title XIX of the Social Security Act must specify what groups of individuals are covered as categorically</p>	<p>45 CFR 248.1(a)(2)</p> <p>(2) <i>Medically needy.</i> (i) An individual is considered to be medically needy if he has income and resources which exceed the amount of income and resources allowed to the categorically needy but which are insufficient to meet the costs of necessary medical and remedial care and services.</p> <p>(ii) In determining whether an individual's income is above the medically needy level, medical expenses are not deducted from income. However, in determining eligibility for medical assistance, incurred medical expenses must be deducted from income for a medically needy individual.</p>

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>needy for Medicaid. These groups must, as a minimum--</p> <p>(1) In the case of families and children, include (i) All individuals receiving aid under the State's approved plan under title IV-A;</p> <p>(ii) All individuals under 21 who are (or would be, except for age or school attendance requirements) dependent children under the State's approved AFDC plan; and</p> <p>(iii) All families which were receiving assistance under the State's plan under title IV-A in at least 3 of the 6 months immediately preceding the month in which such family became ineligible for such assistance because of increased hours of, or increased income from, employment. As long as a member of the family is employed, such families will continue to be eligible for medical assistance, for a period of 4 calendar months beginning with the month in which such family became ineligible for assistance under title IV-A because of increased hours of employment, or increased earnings, to the same extent and under the same conditions as it is furnished to the categorically needy under the title XIX plan in effect during such months.</p> <p>(2) In the case of the aged, blind and disabled, include one of the groups listed in paragraph (b)(2)(i), (ii) or (iii) of this section, and in addition, those listed in paragraph (b)(2) iv), (v) and (vi) of this section.</p> <p>(i) Individuals receiving a benefit under title XVI (for purposes of the regulations in this part, the phrase "individuals receiving a benefit under title XVI" includes the eligible spouses of such individuals), or</p> <p>(ii) Individuals receiving a benefit under title XVI or a State supplementary payment which meets the conditions specified in § 248.2(d), or</p> <p>(iii) Individuals who meet the eligibility criteria used for medical assistance on January 1, 1972 (or any other criteria which are less restrictive than the January 1, 1972 criteria but no less restrictive than the comparable criteria under title XVI or for a State supplementary payment which meets standards described in § 248.2(d),</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>after the amount of the title XVI payment and State supplementary payment (if any) and incurred medical expenses are deducted from income;</p> <p>(iv) All individuals who receive a State supplementary payment mandated pursuant to section 212 of Pub. L. 93-66;</p> <p>(v) All individuals who in December 1973 were eligible as essential spouses under the State title XIX plan which for such month provided for medical assistance to individuals described in section 1905(a)(vi) of the Social Security Act, provided that:</p> <p>(A) The individual with whom such an essential spouse is living continues to meet the December 1973 criteria for aid or assistance under one of the State plans under titles I, X, XIV or XVI as in effect in such month.</p> <p>(B) The essential spouse continues to be the spouse of and to live with such individual, and under the State plan approved under title I, X, XIV or XVI as in effect in December 1973, would still be considered to be essential to the well being of such individual, and such spouse's needs would be taken into consideration in determining the amount of aid or assistance furnished to such individual under such State plan.</p> <p>(vi) All individuals who, for all (or any part of) the month of December 1973:</p> <p>(A) Were eligible under the State title XIX plan as inpatients or residents in institutions qualified for reimbursement under title XIX of the Act; and</p> <p>(B) (1) Would (except for being an inpatient or resident in such institution) have been eligible to receive aid or assistance under a State plan approved under title I, X, XIV, or XVI of such Act; or</p> <p>(2) Were, on the basis of need for care in such institution, considered to be eligible for aid or assistance under a State plan under title I, X, XIV or XVI for purposes of determining their eligibility for medical assistance under a State plan approved under title XIX of the Act (whether or not such individuals actually received aid or assistance under a State plan under title I, X, XIV or XVI) provided that:</p> <p>(1) Such individuals continue to be (for all or any month after December 1973)</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>inpatients or residents in such an institution and would (except for being inpatients or residents in such institution) continue to meet the conditions of eligibility to receive aid or assistance under such plan (as such plan was in effect for December 1973), and</p> <p>(ii) Such individuals are determined (under the utilization review and other professional audit procedures applicable to State plans approved under title XIX of the Act) to be in need of care in such an institution.</p> <p>(3) With respect to both families with children and aged, blind, or disabled individuals include:</p> <p>(i) Any individual who would be eligible for aid under title IV-A of the Act or benefits or supplementary payments under title XVI (as may be applicable) except for any eligibility condition or other requirement that is specifically prohibited in a program of medical assistance under title XIX.</p> <p>(ii) Any individual:</p> <p>(A) Who, for the month of August 1974, was receiving or eligible for financial assistance under the State's plan approved under title I, IV-A, X, XIV, or XVI of the act and who was also entitled to monthly insurance benefits under title II of the act for the month of August 1972, and</p> <p>(B) who, except for the increase in monthly insurance benefits under title II resulting from enactment of Public Law 92-336, would have been eligible for financial assistance for the current month. Under this requirement:</p> <p>(1) An individual qualifies as receiving or eligible for financial assistance for August 1972 if, with respect to such month:</p> <p>(i) He was receiving financial assistance; or</p> <p>(ii) He met all conditions of eligibility for financial assistance under title I, IV-A, X, XIV, or XVI as in effect in August 1972 but had not applied, provided the State title XIX plan included such individuals as categorically needy in August 1972.</p> <p>(iii) He was in a medical facility or intermediate care facility, and had he left, would have been eligible for financial assistance, provided the</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>State title XIX plan included such individuals as categorically needy in August 1972.</p> <p>(2) An individual is considered as though he were eligible for financial assistance for the current month if with respect to such month, except for the increase in monthly insurance benefits under title II resulting from enactment of Pub. L. 92-336:</p> <p>(i) He would meet all conditions of eligibility for financial assistance (however, he need not file an application). In such case he is eligible under the current title XIX plan to the same extent as individuals who are receiving financial assistance; or</p> <p>(ii) He is in a medical or intermediate care facility and, if he left, would be eligible for financial assistance, provided the State title XIX plan includes such individuals as categorically needy. In such case he is considered as though he were categorically needy and is eligible under the title XIX plan to the same extent as other categorically needy individuals in such a facility.</p> <p>45 CFR 248.1(c)</p> <p>(c) <i>Options for coverage of categorically needy.</i> A State may at its option also cover additional groups of individuals as categorically needy provided they are so specified in the plan. These groups may include any of the following:</p> <p>(1) Individuals who meet all the conditions of eligibility, including financial eligibility, for aid under title IV-A, benefits under title XVI or State supplementary payments (provided such supplementary payments meet standards specified in §248.2 (d), and the State plan approved under title XIX specifies that recipients of such payments are treated as categorically needy) but have not applied for such assistance. If such group is included in the plan, it must also include all individuals who meet the financial criteria and who:</p> <p>(A) Although they were not actually receiving cash assistance in December 1973, met all the conditions of eligibility, including financial eligibility, for aid under a State plan</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>approved under title X, XIV, or XVI of the Act (by reason of their having been previously determined to meet the criteria for blindness or disability established by such a State plan) and</p> <p>(B) They were eligible under the State title XIX plan in effect in that month if, for each consecutive month after December 1973 such individuals continue to meet the criteria for blindness or disability, and the financial criteria, established by the State plan, approved under title X, XIV or XVI as it was in effect for December 1973.</p> <p>(2) Individuals in a facility eligible for reimbursement for services rendered under title XIX who, if they left such facility would be eligible for aid under title IV-A, benefits under title XVI or State supplementary payments (provided such payments meet standards specified in §248.2(d), and the State plan approved under title XIX specifies that recipients of such payments are treated as categorically needy). This includes individuals who have enough income to meet their personal needs while in the facility, but not enough to meet their needs outside the facility according to the applicable program.</p> <p>(3) Individuals who would be eligible for financial assistance under the State public assistance plan approved under title IV-A except that the State plan imposes eligibility conditions more stringent than, or in addition to, those required under the Social Security Act. For example, individuals who would be eligible for AFDC if the State's program covered families with children deprived of parental support or care to the full extent permitted under title IV-A of the Act, including AFDC for families with unemployed fathers.</p> <p>(4) All individuals under 21 who qualify on the basis of financial eligibility, but do not qualify as dependent children under a State's AFDC plan: or groups of such individuals if based on reasonable classifications. Children in foster homes or private institutions, or in subsidized adoptions, for whom public agencies are assuming financial responsibility, in whole or in</p>	

PERSONAL CHARACTERISTICS: OTHERS

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>approved under title X, XIV, or XVI of the Act (by reason of their having been previously determined to meet the criteria for blindness or disability established by such a State plan) and</p> <p>(B) They were eligible under the State title XIX plan in effect in that month if, for each consecutive month after December 1973 such individuals continue to meet the criteria for blindness or disability, and the financial criteria, established by the State plan, approved under title X, XIV or XVI as it was in effect for December 1973.</p> <p>(2) Individuals in a facility eligible for reimbursement for services rendered under title XIX who, if they left such facility would be eligible for aid under title IV-A, benefits under title XVI or State supplementary payments (provided such payments meet standards specified in §248.2(d), and the State plan approved under title XIX specifies that recipients of such payments are treated as categorically needy). This includes individuals who have enough income to meet their personal needs while in the facility, but not enough to meet their needs outside the facility according to the applicable program.</p> <p>(3) Individuals who would be eligible for financial assistance under the State public assistance plan approved under title IV-A except that the State plan imposes eligibility conditions more stringent than, or in addition to, those required under the Social Security Act. For example, individuals who would be eligible for AFDC if the State's program covered families with children deprived of parental support or care to the full extent permitted under title IV-A of the Act, including AFDC for families with unemployed fathers.</p> <p>(4) All individuals under 21 who qualify on the basis of financial eligibility, but do not qualify as dependent children under a State's AFDC plan: or groups of such individuals if based on reasonable classifications. Children in foster homes or private institutions, or in subsidized adoptions, for whom public agencies are assuming financial responsibility, in whole or in</p>	

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<p>part, constitute a reasonable classification. The additional inclusion of children placed in foster homes or private institutions by private, nonprofit agencies would also be considered reasonable. Individuals under age 21 who are in intermediate care facilities or in psychiatric facilities, also constitute a reasonable classification.</p> <p>(5) Caretaker relatives enumerated in section 406(a)(1) of the Act who have in their care one or more children under 21 who, except for age or school attendance requirements, would be dependent children under the State's AFDC plan.</p> <p>(6) Individuals who would be eligible for financial assistance if their work-related child care costs were paid out of earnings rather than as a service expenditure by the agency, provided the State plan for financial assistance otherwise recognizes child care costs in determining the amount of the payment.</p> <p>45 CFR 248.1(a)(3)</p> <p>(3) <i>Special provision applicable to "grandfathered" groups.</i> In any case in which a determination must be made under this section as to whether an individual continues to meet financial eligibility conditions in December 1973, such determination must include provision for the pass-on of increased OASDI benefits in accordance with section 306 of Pub. L. 92-603 and 1007 of Pub. L. 91-172 (as modified by section 304 of Pub. L. 92-603) as such pass-alongs were in effect in December 1973.</p>	

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<p style="text-align: center;"><u>Program Guidelines</u></p> <p>In addition to the required coverage, States may (a) include certain other groups who meet the income and resource limits applied to the required groups; (b) under certain conditions, extend coverage for eligible persons beyond the required coverage period; and (c) through medically needy programs, provide coverage to persons who qualify under one of the groups included in the State's Plan but who do not meet the income and resource limits for coverage as medically needy (SRS-MSA-76-104, June 29, 1976).</p> <p>Optional coverage groups are:</p> <ul style="list-style-type: none"> -- recipients of emergency cash assistance -- recipients of optional state supplementary payments -- persons who would be eligible for cash assistance if they applied -- persons who would be eligible for cash assistance if they were not residents in medical facilities -- persons who would be eligible for AFDC if the State AFDC program were as broad as permitted by Title IV of the Act -- persons who would be eligible for AFDC except for WIN registration requirements -- families who would be eligible for AFDC-UF if the father were not receiving unemployment compensation -- caretaker relatives of children who do not meet AFDC age or school attendance requirements -- persons who would be eligible for AFDC if child care costs were paid from earnings -- financially eligible individuals under age 21 -- disabled addicts and alcoholics who refuse required treatment -- blind and disabled persons who refuse vocational rehabilitation services (SRS-MSA-76-104, June 29, 1976) 	

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<p>The guidelines include the following provisions for coverage of eligible individuals under 21 years: Although the intent of the Medicaid program is to give every eligible individual under age 21 the opportunity for periodic assessment of his health needs it is recognized that not all young people will need to be screened. Therefore, for purposes of the screening program recipients will fall into three categories:</p> <ul style="list-style-type: none"> (a) (some) individuals never seen by a physician. (b) individuals who have had medical care for acute episodes or crisis oriented problems with little follow-up or continuing health supervision. (c) individuals under regular health supervision or have had extensive medical care. <p>Those in categories (a) and (b) should be encouraged to participate in the screening program and helped to utilize the screening facilities. Those in category (c) may not need to be screened if the physician responsible for their health supervision is providing at least the equivalent of a screening examination.</p> <p>For this last group, the State Agency should develop procedures to assure that at least the equivalent of a screening evaluation is available to such individuals. (MSA-PRG-27, December 6, 1972.)</p> <hr/> <p>Coverage of drug addicts: Drug addicts may be eligible to receive medical assistance under the program of aid to the permanently and totally disabled if they have met all other conditions of eligibility and if the State's definition of disability is sufficiently broad. The test of disability is the ability to work. Therefore individuals who would be able to work regularly on a full-time basis, despite the seriousness of their conditions would not be considered permanently and totally disabled. (MSA-PRG-22, September-8, 1972.)</p>	

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MEDICARE	VOCATIONAL REHABILITATION
<p><u>PART A</u></p> <p>42 USC 426(b)(1, 2)</p> <p>Transitional provisions for certain uninsured individuals:</p> <p>(b) The provisions of subsection (a) of this section shall not apply to any individual who--</p> <p>(1) is, at the beginning of the first month in which he meets the requirements of subsection (a), a member of any organization referred to in section 410(a)(17) of this title,</p> <p>(2) has, prior to the beginning of such first month, been convicted of any offense listed in section 402(u) of this title, or</p> <p>-----</p> <p>20 CFR 405.103(b)(2)</p> <p>(b) <i>Individuals not deemed entitled.</i> An individual may not be deemed entitled to monthly insurance benefits under section 202 of the Social Security Act, for purposes of s405.102(a)(3), if either of the following exists:</p> <p>(2) Before the first month in which he meets the requirements of paragraph (a) of this section, he has been convicted of any offense under chapter 37 (relating to espionage and censorship), or chapter 115 (relating to treason, sedition, and subversive activities), or chapter 105 (relating to sabotage) of title 18 of the United States Code; or sections 4) relating to conspiracy to establish dictatorship), 112 (relating to espionage or sabotage), or 113 (relating to individuals assisting others wanted in connection with espionage or sabotage) of the Internal Security Act of 1950, as amended.</p>	<p>45 CFR 1361.33(a)(2)</p> <p>(2) The State plan shall provide that no residence requirement, durational or other, will be imposed which excludes from services under the plan any individual who is present in the State.</p> <p><u>RSA-PRG-77-4</u></p> <p>1507.07 <u>F. Residence</u></p> <p>"Under that portion of the State program providing vocational rehabilitation services to beneficiaries under the Social Security Disability Insurance and Supplemental Security Income programs, such services shall be provided without regard to the beneficiary's place of residence in accordance with section 222(d) and section 1615 of the Social Security Act."</p> <hr/> <p><u>RSA-PRG-77-4</u></p> <p>1505.12 <u>Determination of Eligibility of Individuals in Alien Status</u></p> <p>As the primary purpose of the vocational rehabilitation program is to assist handicapped individuals to prepare for and engage in gainful employment, careful consideration must be given in determining eligibility of aliens for vocational rehabilitation services, to the requirement that there is a reasonable expectation of achieving gainful employment.</p> <p>The relevance of this requirement is the clear intent that handicapped aliens will under normal circumstances, be available to complete an Individualized Written Rehabilitation Program, which, of course, includes suitable employment. When completion of the IWRP cannot be expected (such as in those cases where aliens are here under visas prohibiting work) then such individuals would not be eligible.</p>

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	<p>42 USC 1396a(a)(17)</p> <p>(a) A State plan for medical assistance must--</p> <p>(17) include reasonable standards (which shall be comparable for all groups and may, in accordance with standards prescribed by the Secretary, differ with respect to income levels, but only in the case of applicants or recipients of assistance under the plan who are not receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, and with respect to whom supplemental security income benefits are not being paid under subchapter XVI of this chapter based on the variations between shelter costs in urban areas and rural areas) for determining eligibility for and the extent of medical assistance under the plan which (A) are consistent with the objectives of this subchapter, (B) provide for taking into account only such income and resources as are (as determined in accordance with standards prescribed by the Secretary) available to the applicant or recipient and (in the case of any applicant or recipient who would, except for income and resources, be eligible for aid or assistance in the form of money payments under any plan of the State approved under subchapter I, X, XIV, or XVI or part A of subchapter IV, or to have paid with respect to him supplemental security income benefits under subchapter XVI of this chapter) as would not be disregarded (or set aside for future needs) in determining his eligibility for such aid, assistance, or benefits, (C) provide for reasonable evaluation of any such income or resources and (D) do not take into account the financial responsibility of any individual for any applicant or recipient of assistance under the plan unless such applicant or recipient is such individual's spouse or such individual's child who is under age 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally disabled, or is blind or disabled as defined in section 1382c of this title (with respect to States which are not eligible to participate in such program); and provide for flexibility in the application of such standards with respect to income by taking into account, except to the extent prescribed by the Secretary, the costs (whether in the form of insurance premiums or otherwise) incurred for medical care or for any other</p>

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	<p data-bbox="552 447 945 482">type of remedial care recognized under State law . . .</p> <hr data-bbox="552 510 945 517"/> <p data-bbox="339 578 536 595">42 USC 1396a(a)(17)(B)</p> <p data-bbox="339 614 716 956">(a) A State plan for medical assistance must— . . .(B) provide for taking into account only such income and resources as are, as determined in accordance with standards prescribed by the Secretary, available to the applicant or recipient and (in the case of any applicant or recipient who would, except for income and resources, be eligible for aid or assistance in the form of money payments under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV, or to have paid with respect to him supplemental security income benefits under subchapter XVI of this chapter) as would not be disregarded (or set aside for future needs) in determining his eligibility for such aid, assistance, or benefits,</p> <p data-bbox="339 975 572 992">42 USC 1396a(a)(17)(C)&(D)</p> <p data-bbox="339 1012 743 1459">(a) A State plan for medical assistance must— . . .(C) provide for reasonable evaluation of any such income or resources, and (D) do not take into account the financial responsibility of any individual for any applicant or recipient of assistance under the plan unless such applicant or recipient is such individual's spouse or such individual's child who is under age 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally disabled, or is blind or disabled as defined in section 1382c of this title (with respect to States which are not eligible to participate in such program); and provide for flexibility in the application of such standards with respect to income by taking into account, except to the extent prescribed by the Secretary, the costs (whether in the form of insurance premiums or otherwise) incurred for medical care or for any other type of remedial care recognized under State law;</p>

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<p data-bbox="369 786 565 826">45 CFR 248.3(b) as amended, FR 2686</p> <p data-bbox="369 841 760 913">(b) With respect to both the categorically needy and, if they are included in the plan, the medically needy, a State plan must:</p> <p data-bbox="369 914 770 1041">(1) <i>General</i>. Provide that only such income and resources as are considered available under the provisions of this section may be considered as an applicant's or recipient's income in determining eligibility or the amount of assistance and that income and resources will be reasonably evaluated.</p> <p data-bbox="369 1043 770 1399">(i) Income and resources considered available under this section must be counted in determining eligibility and the amount of assistance. Income and resources of spouses living together in the same household are to be considered available one to the other without proof of actual contribution. Likewise, income and resources of parents (and spouses of parents equally liable with natural parents for the support of children under a State law of general applicability or under the Supplementary Security Income Program, title XVI of the Act) are to be considered available to children under age 21 living together with them in the same household. However, parental income is not to be considered available to children age 18 or over who are not in attendance at an educational or vocational institution and whose eligibility is to be determined as</p>	<p data-bbox="572 369 732 392">45 CFR 248.1(a)(2)</p> <p data-bbox="572 406 965 532">(2) <i>Medically needy</i>. (i) An individual is considered to be medically needy if he has income and resources which exceed the amount of income and resources allowed to the categorically needy but which are insufficient to meet the costs of necessary medical and remedial care and services.</p> <p data-bbox="572 534 972 661">(ii) In determining whether an individual's income is above the medically needy level, medical expenses are not deducted from income. However, in determining eligibility for medical assistance, incurred medical expenses must be deducted from income for a medically needy individual.</p>

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<p>blind or disabled in States basing title XIX eligibility for these groups upon title XVI (SSI) criteria.</p> <p>(ii) All income and resources actually contributed to any applicant or recipient are considered in determining eligibility and the amount of assistance.</p> <p>(iii) The financial responsibility (including later collection for assistance paid) of any individual for any applicant or recipient of medical assistance will be limited to the responsibility of spouse for spouse and of parents for children under age 21 (or blind or disabled).</p> <p>(2) <i>Spouses in States using SSI criteria for the aged, blind and disabled.</i> Provide that: (i) Where both spouses apply as aged, blind or disabled or where both spouses are SSI eligible, and cease to live together, income and resources are considered mutually available without proof of contribution for the first six months after the month they cease to live together in a common household. After that, only actually contributed income and resources may be considered in determining the eligibility or amount of assistance of either individual spouse.</p> <p>(ii) Where only one spouse applies as aged, blind or disabled or is SSI eligible, and they cease to live together in a common household, only actually contributed income and resources may be considered available in determining the eligibility or amount of assistance of the applicant or recipient after the month during which they cease to live together.</p> <p>(iii) For determinations of eligibility and amount of assistance of categorically needy aged, blind and disabled individuals, States shall apply the budgeting methodology and applicable dollar amounts set forth in title XVI (SSI) of the Act and 20 CFR Part 416 (with applicable variations to take account of any State Supplementary Payment Program which is federally administered or approved pursuant to 45 CFR 248.2(d) or (e)).</p>	<p>(iv) Such budgeting methodology shall also apply to medically needy eligibility determinations. The income and resource levels against which countable income and resources are compared for the medically needy shall be the general statewide medically needy levels rather than the dollar amounts of the SSI (or State supplement)</p>

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<p>(iv) For categorically needy determinations of blind or disabled persons under age 18 (or age 21 as provided in paragraph (b)(3)(i) of this section), States shall apply the budgeting methodology and applicable dollar amounts set forth in title XVI (SSI) of the Act and 20 CFR 415 (with applicable variations to take account of any State Supplementary Payment Program which is federally administered or approved under 45 CFR 248.2(d) or (e).</p>	<p>program. The general statewide medically needy levels shall be based on the total family size of eligible and ineligible relatives whose income or resources are or can be considered available to the assistance unit, after deducting all such relatives' incurred medical expenses.</p> <p>(3) <i>Parents of blind or disabled children in States using SSI criteria for the blind and disabled.</i> Provided that:</p> <p>(i) Income and resources of parents and spouses of parents actually living together in the same household with a blind or disabled child under age 18 (or 21 if regularly attending a school, college, or university or a course of vocational or technical training designed to prepare him for gainful employment) are considered available to such child applicant or recipient without proof of actual contribution.</p> <p>(ii) Income and resources of parents and spouses of parents are not considered available to a blind or disabled child not regularly sharing the common household even if the child returns to the common household for periodic visits.</p> <p>(iii) Only actually contributed income and resources of parents and spouses of parents of blind or disabled applicants or recipients may be considered after the month in which the applicant or recipient ceases to live together with them in the same household.</p> <p>(v) Such budgeting methodology shall also apply to medically needy determinations except that the income and resource levels against which countable income and resources are compared shall be the general statewide medically needy levels rather than the dollar amounts of the SSI (or State supplement) program. The general statewide medi-</p>

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<p>(4) States which have chosen to limit medicaid coverage for the aged, blind and disabled pursuant to section 1902(f) of the Act. Provide that the available income and resources of spouses of aged, blind or disabled applicants or recipients, and parents and spouses of parents of blind or disabled applicants or recipients must be considered as available in a manner identical to or more extensive than the manner in which such relatives' income and resources must be considered under paragraphs (b)(2) and (3) of this section. However, such consideration of income and resources may not be more restrictive than that included in the State's January 1972 title XIX plan.</p> <p>Nothing in this paragraph shall be construed to mandate usage of maintenance levels lesser than or equal to those of the Supplemental Security Income (SSI) program (as set forth in title XVI of the Act and 20 CFR Part 416) where the eligibility determination is to be made under higher categorically needy or medically needy income or resource levels.</p> <p>(5) Families and children (except for children eligible as blind or disabled) in all States. Provide that: (i) For individuals applying for or receiving medical assistance as AFDC-related or as needy individuals under age 21 pursuant to Section 1905(a)(i) of the Act, the income and resources of their spouses and parents (and stepparents equally liable with natural parents for the support of children under State law of general applicability) with whom they live in the same household, are considered available to such applicants or recipients without proof of actual contribution.</p> <p>(ii) Even if State law of general applicability confers adult status at an age below 21, parental income is considered available to children to age 21 in family groups living together.</p> <p>(iii) Except as specified in paragraphs (b)(i) and (ii) of this section, the income and resources of an AFDC-related</p>	<p>cally needy level shall be based on the total family size of eligible and ineligible relatives whose income or resources are or can be considered available to the assistance unit after deducting all such relatives' incurred medical expenses.</p>

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<p>individual or a needy individual under age 21 eligible pursuant to Section 1905(a)(i) of the Act shall include only such income and resources (whether from spouse, parent, or other source) as are actually contributed to him.</p> <p>(6) <i>Individuals establishing eligibility for Medicaid in more than one category covered in the State Plan (Title IV-A-related individuals, blind, disabled or over age 65).</i> Provide that an individual, or his representative, shall have the right to select the category for which application is made and the income and resources of such an individual's spouse or parents (in the case of children under age 21, or blind or disabled) shall then be considered as available under the appropriate provisions of paragraphs (b)(2), (3), (4), or (5) of this section.</p> <p>(7) Provide that a lower income level for maintenance shall be used for individuals not living in their own homes but receiving care in hospitals, skilled nursing facilities, intermediate care facilities, and institutions for tuberculosis or mental diseases which are covered under title XIX. This lower income level must be reasonable in amount for clothing and personal needs for such individuals, and</p> <p>(i) For aged, blind, and disabled individuals, such income level must be at a minimum of \$25.00 per month.</p> <p>(ii) For others, States may establish reasonable standards different from that specified in subdivision (i), provided they are based on a reasonable differential in personal needs.</p>	<p>(iv) In medically needy determinations, the income and resource levels against which countable income and resources are compared shall be the general statewide medically needy levels rather than the dollar amounts of the AFDC program. Such medically needy levels shall be based on the total family size of eligible and ineligible relatives whose income or resources are (or can be) considered available to the assistance unit after deducting all such relatives' incurred medical expenses.</p>

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<p>45 CFR 248.3(a)</p> <p>(a) With respect to the categorically needy, a State plan under title XIX of the Social Security Act must:</p> <p>(1) Specify the financial eligibility conditions that apply to the covered categorically needy groups.</p> <p>(i) In the case of families and children, the financial eligibility conditions of the State plan approved under title IV-A shall be applied.</p> <p>(ii) In the case of aged, blind and disabled individuals, either:</p> <p>(A) If the State plan provides for categorically needy coverage only for individuals receiving or eligible for a benefit under title XVI, the financial eligibility conditions of title XVI shall be applied;</p>	<p>When such an individual's home is maintained for a spouse or other dependents, the appropriate income level for such dependents, plus the individual's income level for maintenance in a long-term care facility shall be applied. A higher level of maintenance may also be applied for a temporary period, not to exceed six months, to allow an individual to apply his income and resources to maintenance of a home if a physician has certified that such individual is likely to return to the home within such temporary period.</p> <p>(8) Provide, for individuals in long-term care facilities specified in paragraph (b)(7) of this section, for the application of income first to personal needs, and for the medically needy only, to any title XIX enrollment fee, premium or similar charge imposed under section 1902(a)(14)(B) of the Act, and provide for the application of the remainder to the cost of medical or remedial care.</p> <p>(9) Provide that, with respect to an aged, blind, or disabled individual receiving a benefit under title XVI or a State supplemental payment, who is not eligible for medical assistance unless he can meet additional eligibility criteria from the January 1972 standard, the amount of such individual's title XVI benefit and State supplemental payment will be disregarded in determining eligibility for medical assistance.</p> <p>45 CFR 248.3(c)</p> <p>(c) With respect to the medically needy, the State plan must:</p> <p>(1) Provide levels of income and resources for maintenance, in total dollar amounts, as a basis for establishing financial eligibility for medical assistance. Under this requirement:</p> <p>(i) Such income levels must be comparable as among individuals and families of varying sizes;</p> <p>(ii) Except as specified in paragraph (c)(1)(iii) of this section, the income levels for maintenance must be, as a minimum, at the higher of the levels of the payment standards generally used as a measure of financial eligibility in the money payment programs, that is:</p>

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<p>(B) If the State plan provides for categorically needy coverage for all individuals receiving or eligible for a benefit under title XVI and in addition provides for coverage of defined classifications of persons receiving a State supplementary payment, (1) the financial eligibility conditions of title XVI shall be applied for individuals who are receiving or eligible for only a title XVI benefit and are not eligible for a State supplementary payment, and (2) the financial eligibility conditions of the State supplementary payment program shall be applied to individuals receiving such payments, provided that the financial standard for the supplementary payment program does not allow income, before application of any disregards applied under title XVI, which exceeds 300 percent of the supplemental security income benefit rate established by section 1611(b)(1) of the Social Security Act (except that these conditions will not apply to individuals in institutions required to be covered pursuant to §248.1(b)(2)(vi) or individuals receiving a mandatory State supplement under sec. 212 of Pub. L. 98-66); or</p> <p>(C) If the State plan limits coverage by applying any eligibility requirement as restrictive as or less restrictive than those in its January 1, 1972 medical assistance plan but more restrictive than the eligibility criteria for title XVI or supplementary payment recipients, financial eligibility criteria must be specified. These criteria may be: (1) As low as those of the January 1, 1972 medical assistance standard, or (2) up to or as high as the standards which would be allowed for title XVI beneficiaries, or for recipients of State supplementary payments as specified in paragraph (a)(1)(ii)(B) of this section.</p> <p>(2) Provide for the application of income first to maintenance costs, except that this does not preclude imposition of copayments or deductibles pursuant to §249.40 of this chapter.</p>	<p>(A) In the case of families of three or more, at the level of the payment standard of the State plan approved under title IV-A generally applied;</p> <p>(B) In the case of individuals, or families (including families with children) of two persons, at the higher of:</p> <p>(1) The payment standard of the State plan approved under title IV-A generally applied, or</p> <p>(2) The highest level of payment which is generally available to individuals in any of the three groups (aged, blind and disabled) who are (or would be, except for income) eligible for benefits under title XIX; except that this subparagraph (B) shall not be construed to require the provision of medical assistance to any aged, blind or disabled individual who would not be eligible under the medical assistance standard in effect in such State for January 1972...</p> <p>(iii) The income levels for maintenance may be less than those specified in paragraph (c)(1)(ii) of this section if the level for which Federal financial participation available pursuant to §248.4(b)(4) is less, but if so, not lower than the Federal financial participation level.</p> <p>(iv) Resources which may be held must, as a minimum, be at the higher of the levels allowed under the State plan approved under title IV-A or allowed in the supplemental security income program established under title XVI of the Social Security Act, and the amount of liquid assets which may be held must increase with an increase in the number of individuals in a family (except that a State may allow to aged, blind or disabled individuals only the level of resources allowed in the January 1972 medical assistance standard, if this is not less than the State allows the categorically needy). There must be separate levels established for resources.</p> <p>(2) Provide that there will be a flexible measurement of available income which will be applied in the following order of priority:</p> <p>(1) First, for maintenance, so that any income in an amount at or below the established level will be protected for maintenance, except that this does not</p>

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	<p>preclude imposition of any enrollment fee, premium or similar charge, or of copayments or deductibles pursuant to §249.40 of this chapter;</p> <p>(ii) Next, income will be applied to costs incurred for medical insurance premiums (including any enrollment fee, premium or similar charge imposed under section 1902(a)(14)(B) of the Act), for any copayments or deductibles imposed under such section, and for necessary medical or remedial care recognized under State law and not encompassed within the State plan for medical assistance. States may set reasonable limits on such medical services for which excess income may be applied. Any medical resource of an individual in the form of insurance or other entitlement will also be applied to such costs. (See also, §250.31 of this chapter regarding third-party liability);</p> <p>(iii) All of the remaining excess income and medical resources in the form of insurance or other entitlement will be applied to costs of medical assistance included in the State plan. Once such income and resources are exhausted, the full amount, duration and scope of care and services provided by the plan are available.</p> <p>(3) Provide that all income and resources will be considered in establishing eligibility, and for the flexible application of income to medical costs not in the plan, and for payment toward the medical assistance costs. In considering all income and resources when establishing eligibility, the State plan must provide for:</p> <p>(i) In the case of families and children, consideration of all disregards applicable to income and resources which are utilized when determining eligibility, or setting aside for future needs under the State's approved title IV-A plan;</p> <p>(ii) In the case of the aged, blind, or disabled, the highest of:</p> <p>(A) The disregards applied in title XVI, or</p> <p>(B) The disregards applied in the State supplementary payment program which are available to all individuals who are or would be (except for their income level) eligible for a title XVI benefit, except that in a State which has limited coverage of the categorically needy by applying eligibility requirements which are the same as or at a level between those in its January 1, 1972 plan and those under</p>

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MEDICAID Categorically Needy	MEDICAID Medically Needy
	<p>title XVI, disregards which similarly fall within January 1, 1972 and title XVI levels, provided that they are at least the same as those allowed to the aged, blind, and disabled categorically needy.</p> <p>(4) Provide that only such income and resources will be considered as will be "in hand" within a period, not in excess of six months ahead, including the month in which medical services which are covered under the plan were rendered. (39 FR 9516, Mar. 11, 1974, as amended at 39 FR 36590, Oct. 11, 1974)</p> <p>45 CFR 248.4(b)(4)</p> <p>(4) Payments in behalf of medically needy individuals are subject to Federal financial participation only to the extent that they are made for a member of a family which has annual income within the following levels:</p> <p>(i) 133-1/3 percent of the amounts specified in paragraph (b)(4)(ii) of this section. Any total yearly income levels established by applying the above percentage which are not multiples of \$100 shall be rounded to the next higher multiple of \$100. Federal financial participation is available for an individual whose annual income exceeds this level to the extent that medical expenses exceed the income excess (see paragraph (b)(4) subdivision (ii)(C) of this section).</p> <p>(ii) The amounts to be applied in calculating the income levels referred to in paragraph (b)(4)(i) of this section are the highest money payments which would ordinarily be made to a family of the same size without any income or resources, under the State's approved AFDC plan, subject to the following modifications:</p> <p>(A) In the case of a single individual the amount of the income level shall be reasonably related to the amounts payable under such plan to families consisting of two or more individuals who are without income or resources.</p> <p>(B) If the amounts established under such plan are subject to a maximum family limit, the income level for families which exceed such limit will be determined by adding an amount for each member of the family to such limit. The amounts to be added shall be reasonably related to those established under the plan for families which are within the maximum family limit.</p>

INCOME

MEDICAID Categorically Needy	MEDICAID Medically Needy
	<p>(C) In computing a family's or individual's income for purposes of paragraph (b)(4)(i) and (ii) of this section, there shall be excluded any costs (whether in the form of insurance premiums or otherwise) incurred by such family or individual for medical care or for any other type of remedial care recognized under State law, provided that such costs are not subject to reimbursement by a third party.</p> <p>45 CFR 248.21(a)(2) As amended, 42 FR 2687-8</p> <p>(a) <i>State plan requirements.</i> A State plan under title XIX of the Social Security Act must:</p> <p>(2) With respect to both the categorically needy and, if they are included in the plan, the medically needy;</p> <p>(i) Provide that only such income and resources as are considered available under the provisions of this section may be considered as an applicant's or recipient's income in determining eligibility or the amount of assistance and that income and resources will be reasonably evaluated.</p> <p>(A) Income and resources considered available under this section must be counted in determining eligibility and the amount of assistance. Income and resources of spouses living together in the same household are to be considered available one to the other without proof of actual contribution. Likewise, income and resources of parents (and spouses of parents equally liable with natural parents for the support of children under a State law of general applicability) are to be considered available to children under age 21 living together with them in the same household.</p> <p>(B) Otherwise, only such income and resources as are actually available will be considered.</p> <p>(C) Any income or resources actually contributed to any applicant or recipient must be considered in determining eligibility and amount of assistance.</p> <p>(ii) Provide that financial responsibility (including later collection for assistance paid) of any individual for any applicant or recipient of medical assistance will be limited to the responsibility of</p>

INCOME

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>spouse for spouse and of parents for children under age 21, or blind, or permanently and totally disabled.</p> <p>(iii) Provide that in no event will eligibility be determined by taking into account the income or resources of individuals other than the applicant or recipient unless such individuals are the spouses or parents (with respect to children under age 21, or blind or disabled) of the applicant for or recipient of medical assistance, even though the income or resources of additional relatives are considered for purposes of financial assistance to the aged, blind and disabled under the provisions of the State plans pursuant to titles I, X, XIV, and XVI (Aid to the Aged, Blind and Permanently and Totally Disabled (AABD) of the Act.</p> <p>(iv) Provide that the available income and resources of spouses and parents of aged, blind and disabled applicants or recipients shall be determined on the basis of the rules which would govern if they were applicants for or recipients of aid under titles I, X, XIV and XVI (AABD) of the Act.</p> <p>(v) Provide that the available income and resources of spouses and parents of individuals under age 21 or individuals who apply for medical assistance on the basis of their status as AFDC-related individuals shall be determined on the basis of the rules which would govern if they were applicants for aid under title IV-A of the Act. Even if State law of general applicability confers adult status at an age below 21, parental income is considered available to children under age 21 in family groups living together.</p> <p>(vi) Provide that, where an individual can establish eligibility for medical assistance in more than one category covered in the State plan (title IV-A related persons, individuals under age 21, blind, disabled or over age 65), such individual, or his representative, shall have the right to select the category for which application is made, and the income and resources of such an individual's spouse or parents (in the case of children under age 21 or blind or disabled) shall then be considered as available under the appropriate provisions of paragraphs (a)(2)(iii)(iv) of this section.</p>	

INCOME

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="339 407 502 427"><u>PROGRAM GUIDELINES</u></p> <p data-bbox="339 437 718 512">States which use SSI as eligibility criteria for medicaid must use the eligibility criteria for SSI which includes the following:</p> <ul data-bbox="386 510 736 708" style="list-style-type: none"> <li data-bbox="386 510 601 529">-- aged (age 65 or over) <li data-bbox="386 529 692 565">-- blind (central visual acuity of 20/200 or less) <li data-bbox="386 565 736 656">-- disabled (physical or mental impairment which prevents any substantial work or which is expected to last at least 12 months or result in death) <li data-bbox="386 656 721 708">-- presumptively disabled (because of time limitations where prima facie evidence of disability is evident) <p data-bbox="339 708 750 1145">Financial eligibility criteria include income, income "in-kind" and resources. States applying for medicaid under 42 USC 1396a(f) must fall within the aged, blind, or disabled categories and are entitled to benefits after "spending down." The SSI benefit, any optional State supplementary payment, and incurred medical expenses are deducted from income in determining financial eligibility under the "spend-down" requirement. More restrictive eligibility conditions may apply to all aged, blind or disabled or only to the aged, or to the blind, or to the disabled. However, eligibility criteria must not be more restrictive than conditions applied in the State's plan for medical assistance in effect in January 1972 or more liberal than conditions applied under the SSI program or under a State program for optional supplementary payments. A State may use a more restrictive definition than SSI, of blindness and disability, however, it cannot restrict or liberalize the requirement of 65. (SRS-MSA-76-105, June 29, 1976).</p> <p data-bbox="547 1166 954 1454">Regarding Federal criteria for the medically needy, each State which chooses to cover medically needy defines income and resource levels which are adjusted by family size and which apply to all persons who establish eligibility as medically needy. In determining financial eligibility, gross income is reduced by disregards appropriate to the applicant's eligibility category (i.e., families and children or aged, blind or disabled individuals). Eligible applicants who meet the resource limits qualify for medicaid coverage when they have incurred medical expenses equal to the amount of net income they have above the appropriate (family size) income level.</p>	

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>In States where medicaid eligibility is the same as SSI eligibility, medicaid eligibility is determined by SSA (under an agreement pursuant to Section 1634 of the Act) and the SSI application constitutes an application for medicaid. (SRS-HSA-76-115, June 29, 1976)</p>	<p>Regarding income, although States have great flexibility, the guidelines go on to detail the Federal limitations regarding setting of medically needy income levels, determining available income, determining applicable disregards, and application of excess income: the "spend-down." Elaboration of Federal minimum and maximum levels for medically needy is provided also. (SRS-AT-76-109, July 9, 1976)</p>

RESOURCES

MEDICARE	VOCATIONAL REHABILITATION
None	<p>45 CFR 1361.45(a)</p> <p>(a) <i>Financial need.</i> (1) There is no Federal requirement that the financial need of a handicapped individual be considered in the provision of any vocational rehabilitation service.</p> <p>(2) If the State elects to consider the financial need of handicapped individuals for purposes of determining the extent of their respective participation in the costs of vocational rehabilitation services, the State agency shall establish in writing and maintain policies with respect to the determination of financial need, and the State plan shall specify the types of vocational rehabilitation services for which the agency has established an economic needs test. The policies so established shall be reasonable and shall be applied uniformly so that equitable treatment is accorded all handicapped individuals in similar circumstances.</p> <p>(3) The State plan shall provide that no economic needs test will be applied as a condition for furnishing the following vocational rehabilitation services:</p> <p>(i) Evaluation of rehabilitation potential, except for those vocational rehabilitation services other than of a diagnostic nature which are provided under an extended evaluation of rehabilitation potential under §1361.36;</p> <p>(ii) Counseling, guidance, and referral services; and</p> <p>(iii) Placement.</p> <p>See: 45 CFR 1361.114(c)(3) 45 CFR 1361.124(c)</p>

RESOURCES

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="398 406 576 430">/42 USC 1396a(a)(17)</p> <p data-bbox="405 444 756 479">(a) A State plan for medical assistance must--</p> <p data-bbox="405 480 776 1451">(17) include reasonable standards (which shall be comparable for all groups and may, in accordance with standards prescribed by the Secretary, differ with respect to income levels, but only in the case of applicants or recipients of assistance under the plan who are not receiving aid or assistance under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV of this chapter, and with respect to whom supplemental security income benefits are not being paid under subchapter XVI of this chapter based on the variations between shelter costs in urban areas and in rural areas) for determining eligibility for and the extent of medical assistance under the plan which (A) are consistent with the objectives of this subchapter, (B) provide for taking into account only such income and resources as are, as determined in accordance with standards prescribed by the Secretary, available to the applicant or recipient and (in the case of any applicant or recipient who would, except for income and resources, be eligible for aid or assistance in the form of money payments under any plan of the State approved under subchapter I, X, XIV, or XVI, or part A of subchapter IV, or to have paid with respect to him supplemental security income benefits under subchapter XVI of this chapter) as would not be disregarded (or set aside for future needs) in determining his eligibility for such aid, assistance, or benefits, (C) provide for reasonable evaluation of any such income or resources, and (D) do not take into account the financial responsibility of any individual for any applicant or recipient of assistance under the plan unless such applicant or recipient is such individual's spouse or such individual's child who is under age 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally disabled, or is blind or disabled as defined in section 1382c of this title (with respect to States which</p>	

RESOURCES

MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>are not eligible to participate in such program); and provide for flexibility in the application of such standards with respect to income by taking into account, except to the extent prescribed by the Secretary, the costs (whether in the form of insurance premiums or otherwise) incurred for medical care or for any other type of remedial care recognized under State law;</p> <hr style="border-top: 1px dashed black;"/> <p>45 CFR 248.3(b)(1) As amended, 42 FR 2686</p> <p>(b) With respect to both the categorically needy and, if they are included in the plan, the medically needy, a State plan must:</p> <p>(1) Provide that only such income and resources as are considered available under the provisions of this section may be considered as an applicant's or recipient's income in determining eligibility or the amount of assistance and that income and resources will be reasonably evaluated.</p>	<p>45 CFR 248.1(a)(2)</p> <p>(2) <i>Medically needy.</i> (i) An individual is considered to be medically needy if he has income and resources which exceed the amount of income and resources allowed to the categorically needy but which are insufficient to meet the costs of necessary medical and remedial care and services.</p> <p>See: 45 CFR 248.3(c)(3,4)</p> <hr style="width: 30%; margin-left: 0;"/>

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p data-bbox="390 395 559 418">42 USC 1396a(17)(E)</p> <p data-bbox="390 435 765 795">(a) A State plan for medical assistance must-- ... (2) provide for taking into account only such income and resources as are, as determined in accordance with standards prescribed by the Secretary, available to the applicant or recipient and (in the case of any applicant or recipient who would, except for income and resources, be eligible for aid or assistance in the form of money payments under any plan of the State approved under subchapter I, X, XIV, or XVI, or Part A of subchapter IV, or to have paid with respect to him supplemental security income benefits under subchapter XVI of this chapter) as would not be disregarded (or set aside for future needs) in determining his eligibility for such aid, assistance, or benefits.</p> <p data-bbox="390 852 598 887">----- 45 CFR 248.3(b)(1) As amended, 42 FR 2686</p> <p data-bbox="390 904 765 1442">(b) With respect to both the categorically needy and, if they are included in the plan, the medically needy, a State plan must: (1) <i>General.</i> Provide that only such income and resources as are considered available under the provisions of this section may be considered as an applicant's or recipient's income in determining eligibility or the amount of assistance and that income and resources will be reasonably evaluated. (i) Income and resources considered available under this section must be counted in determining eligibility and the amount of assistance. Income and resources of spouses living together in the same household are to be considered available one to the other without proof of actual contribution. Likewise, income and resources of parents (and spouses of parents equally liable with natural parents for the support of children under a State law of general applicability or under the Supplementary Security Income Program, title XVI of the Act) are to be considered available to children under age 21 living together with them in the same household. However, parental</p>	

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MEDICAID Categorically Needy	MEDICAID Medically Needy
<p>income is not to be considered available to children age 18 or over who are not in attendance at an educational or vocational institution and whose eligibility is to be determined as blind or disabled in States basing title XIX eligibility for these groups upon title XVI (SSI) criteria;</p> <p>(ii) All income and resources actually contributed to any applicant or recipient are considered in determining eligibility and the amount of assistance.</p>	<p>45 CFR 248.3(c)(4)</p> <p>(c) With respect to the medically needy, the State plan must:</p> <p>(4) Provide that only such income and resources will be considered as will be "in hand" within a period, not in excess of six months ahead, including the month in which medical services which are covered under the plan were rendered.</p> <p>45 CFR 248.3(c)(1)(iv) As amended, 42 FR 2687</p> <p>(c) With respect to the medically needy, the State plan must:</p> <p>(1) Provide levels of income and resources for maintenance, in total dollar amounts, as a basis for establishing financial eligibility for medical assistance. Under this requirement:</p> <p>(iv) Resources which may be held must, as a minimum, be at the higher of the levels allowed under the State plan approved under title IV-A or allowed in the supplemental security income program established under title XVI of the Social Security Act, and the amount of liquid assets which may be held must increase with an increase in the number of individuals in a family (except that a State may allow to aged, blind or disabled individuals only the level of resources allowed in the January 1972 medical assistance standard, if this is not less than the State allows the categorically needy). There must be separate levels established for resources.</p>

RESOURCES

MEDICAID Categorically Needy	MEDICAID Medically Needy
	<p data-bbox="594 388 798 427">45 CFR 248.3(b)(5)(iv) As amended, 42 FR 2687</p> <p data-bbox="594 444 951 499"><i>(5) Families and children (except for children eligible as blind or disabled) in all States. Provide that:</i></p> <p data-bbox="594 499 969 730"><i>(iv) In medically needy determinations, the income and resource levels against which countable income and resources are compared shall be the general statewide medically needy levels rather than the dollar amounts of the AFDC program. Such medically needy levels shall be based on the total family size of eligible and ineligible relatives whose income or resources are (or can be) considered available to the assistance unit after deducting all such relatives' incurred medical expenses.</i></p> <hr/> <p data-bbox="594 782 809 821">See: 45 CFR.4(b)(4) 45 CFR 248.3(c)(2)</p> <hr/> <p data-bbox="386 907 558 927">42 USC 1396a(a)(18)</p> <p data-bbox="386 944 762 1411">(18) provide that no lien may be imposed against the property of any individual prior to his death on account of medical assistance paid or to be paid on his behalf under the plan (except pursuant to the judgment of a court on account of benefits incorrectly paid on behalf of such individual), and that there shall be no adjustment or recovery (except, in the case of an individual who was 65 years of age or older when he received such assistance, from his estate, and then only after the death of his surviving spouse, if any, and only at a time when he has no surviving child who is under age 21 or (with respect to States eligible to participate in the State program established under subchapter XVI of this chapter), is blind or permanently and totally disabled, or is blind or disabled as defined in section 1382c of this title (with respect to States which are not eligible to participate in such program)) of any medical assistance correctly paid on behalf of such individual under the plan;</p>

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MEDICAID Categorically Needy	MEDICAID Medically Needy
	<p data-bbox="353 397 515 418">45 CFR 249.70(a,b)</p> <p data-bbox="353 435 721 491">State plan requirements: A State plan under title XIX of the Social Security Act must provide that:</p> <p data-bbox="353 491 740 668">(a) No lien or encumbrance of any kind will be required from or be imposed against the property of any individual prior to his death because of medical assistance paid or to be paid on his behalf or at any time if he was under 65 years of age when he received such assistance (except pursuant to the judgment of a court on account of benefits incorrectly paid on behalf of such individual).</p> <p data-bbox="353 668 721 845">(b) There will be no adjustment or recovery of medical assistance correctly paid, except from the estate of an individual who was 65 years of age or older when he received such assistance, and then only after the death of his surviving spouse, if any, and only at a time when he has no surviving child who is under age 21 or is blind or permanently and totally disabled.</p> <p data-bbox="353 855 705 1015">Under this regulation, the term "property" includes not only the homestead but all other personal and real property in which the recipient has a legal interest; and a money payment under another program may not be reduced as a method of recovery for vendor payments incorrectly paid under title XIX of the Act.</p>

Personal Characteristics: AGE

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
None	<p>42 USC 3021 (Purpose):</p> <p>It is the purpose of this title to encourage and assist State and local agencies to concentrate resources in order to develop greater capacity and foster the development of comprehensive and coordinated service systems to serve older persons by entering into new cooperative arrangements with each other and with providers of social services for planning for the provision of, and providing, social services and, where necessary, to reorganize or reassign functions, in order to--</p> <p>(1) secure and maintain maximum independence and dignity in a home environment for older persons capable of self-care with appropriate supportive services; and</p> <p>(2) remove individual and social barriers to economic and personal independence for older persons.</p> <p><u>NOTE:</u> While there is no specific age reference, statutory provisions on allotment of funds and establishment of planning and service areas cite aged sixty or over.</p> <p>42 USC 3023(b)(1)(2)</p> <p>(b)(1) From the sums appropriated for the fiscal year ending June 30, 1973, under subsection (a) of this section, (A) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted an amount equal to one-fourth of 1 per centum of such sum, (B) each other State shall be allotted an amount equal to one-half of 1 per centum of such sum, and (C) from the remainder of the sum so appropriated, each State shall be allotted an additional amount which bears the same ratio to such remainder as the population aged sixty or over in such State bears to the population aged sixty or over in all States.</p> <p>(2) Subject to the provisions of paragraph (3), from the sums appropriated for the fiscal year ending June 30, 1974, for the fiscal year ending June 30, 1975, for the fiscal year ending June 30, 1976, the period beginning July 1, 1976, and ending September 30, 1976, and for the fiscal years ending September 30, 1977, and 1978, each State shall be allotted an amount which bears the same ratio to</p>

Personal Characteristics: AGE

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
	<p>such sums as the population aged sixty or over in such State bears to the population aged sixty or over in all States, except that (A) no State shall be allotted less than one-half of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; (B) Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands shall each be allotted no less than one-fourth of 1 per centum of the sum appropriated for the fiscal year for which the determination is made; and (C) no State shall be allotted an amount less than that State received for the fiscal year ending June 30, 1973. For the purpose of the exception contained in clause (A) of this paragraph only, the term "State" does not include Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands.</p> <p>See also: 42 USC 3026(b)(1)</p> <p>42 USC 3024(a)</p> <p>(a) In order for a State to be eligible to participate in the programs of grants to States from allotments under section 3023 and section 3026--</p> <p>(1) the State shall, in accordance with regulations of the Commissioner, designate a State agency as the sole State agency (hereinafter in this title referred to as "the State agency") to: (A) develop the State plan to be submitted to the Commissioner for approval under section 3025, (B) administer the State plan within such State, (C) be primarily responsible for the coordination of all State activities related to the purposes of this Act, (D) review and comment on, at the request of any Federal department or agency, any application from any agency or organization within such State to such Federal department or agency for assistance related to meeting the needs of older persons; and (E) divide the entire State into distinct areas (hereinafter in this title referred to as "planning and service areas"), in accordance with regulations of the Commissioner, after</p>

Personal Characteristics: AGE

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
	<p>considering the geographical distribution of individuals aged sixty and older in the State, the incidence of the need for social services (including the numbers of older persons with low incomes residing in such areas), the distribution of resources available to provide such services, the boundaries of existing areas within the State which were drawn for the planning or administration of social services programs, the location of units of general purpose local government within the State, and any other relevant factors: <i>Provided</i>, That any unit of general purpose local government which has a population aged sixty or over of fifty thousand or more or which contain 15 per centum or more of the State's population aged sixty or over shall be designated as a planning and service area; except that the State may designate as a planning and service area, any region within the State recognized for purposes of areawide planning which includes one or more such units of general purpose local government when the State determines that the designation of such a regional planning and service area is necessary for, and will enhance, the effective administration of the programs authorized by this title, the State may include in any planning and service area designated pursuant to this provision such additional areas adjacent to the unit of general purpose local government or region so designated as the State determines to be necessary for, and will enhance, the effective administration of the programs authorized by this title;</p>

Personal Characteristics: AGE

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>42 USC 3045(a)</p> <p>(a) The Congress finds that the research and development nutrition projects for the elderly conducted under title IV of the Older Americans Act have demonstrated the effectiveness of, and the need for, permanent nationwide projects to assist in meeting the nutritional and social needs of millions of persons aged sixty or older. Many elderly persons do not eat adequately because (1) they cannot afford to do so; (2) the lack the skills to select and prepare nourishing and well-balanced meals; (3) they have limited mobility which may impair their capacity to shop and cook for themselves; and (4) they have feelings of rejection and loneliness which obliterate the incentive necessary to prepare and eat a meal alone. These and other physiological, psychological, social, and economic changes that occur with aging result in a pattern of living, which causes malnutrition and further physical and mental deterioration.</p> <p>42 USC 3045e(a)(2)</p> <p>Funds allotted to any State shall be dispersed by the state agency to recipients of grants or contracts who agree--</p> <p>(2) to provide such nutrition project for individuals aged sixty or over who meet the specifications set forth in clauses (1), (2), (3), or (4) or section 3045(a), and their spouses (referred to herein as "eligible individuals"); 3045(a).</p> <p>-----</p> <p>45 CFR 909.3(a)</p> <p>For the purposes of this part, in addition to the definitions in § 901.2, the following definitions apply:</p> <p>(a) "Eligible individuals" are those persons who are aged 60 or over and who:</p> <ol style="list-style-type: none"> (1) Cannot afford to eat adequately; (2) Lack the skills and/or knowledge to select and prepare nourishing and well-balanced meals; (3) Have limited mobility which may impair their capacity to shop and cook for themselves; or 	<p>42 USC 3056(a)</p> <p>(a) In order to foster and promote useful part-time opportunities in community service activities for unemployed low-income persons who are fifty-five years old and who have poor employment prospects, the Secretary of Labor (hereinafter in this title referred to as the "Secretary") is authorized to establish an older American community service employment program.</p> <p>42 USC 3056e(2)</p> <p>(2) The term "eligible individual" means an individual who is fifty-five years old or over, who has a low income, and who has or would have difficulty in securing employment, except that, pursuant to regulations prescribed by the Secretary, any such individual who is sixty years old or over shall have priority for the work opportunities provided for under this title;</p> <p>-----</p> <p>29 CFR 89.19(a)(b)(1)</p> <p>(a) <i>General.</i> The eligibility criteria set forth in this section shall be followed by project sponsors in determining the eligibility of persons for enrollment in the project. These criteria apply to:</p> <ol style="list-style-type: none"> (1) Individuals who apply for enrollment in a project and who have not previously been enrolled in the project; (2) Individuals who apply for re-enrollment in a project and whose last previous enrollment was terminated for reasons other than extended illness or placement into unsubsidized employment; (3) Individuals who apply for re-enrollment in a project and whose last previous enrollment was terminated for reasons of extended illness or placement into unsubsidized employment; and (4) Individuals actually enrolled in a project. <p>(b) <i>Criteria.</i> The eligibility criteria relate to age, physical and mental capacity, and economic status. The criteria pertaining to age and physical and mental capacity apply to all</p>

Personal Characteristics: AGE

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>(4) Have feelings of rejection and loneliness which obiterate the incentive necessary to prepare and eat a meal alone.</p> <p>The spouses of each individuals are also considered eligible individuals.</p>	<p>determinations of eligibility. There are, however, two different criteria which pertain to economic status; and the appropriate criterion must be applied to each situation.</p> <p>(1) <i>Age.</i> To be eligible for enrollment in a project, an individual must be 55 years old or older. No person may be determined ineligible solely for reasons of advanced age, and no upper-age limitation may be used.</p> <p>29 CFR 89.20(a)(3)</p> <p>(a) In selecting eligible individuals for entry into the project, the priorities described below shall be observed. The priorities are listed in order of precedence.</p> <p>(3) <i>Third.</i> Priority shall be given to individuals who are 60 years old or older.</p>

Personal Characteristics: EMPLOYMENT

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>42 USC 3045e(a)(10)</p> <p>Funds allotted to any state shall be disbursed by the state agency to recipients of grants or contracts who agree--</p> <p>(10) to give preference to persons aged sixty or over for any staff positions, full- or part-time, for which such persons qualify and to encourage the voluntary participation of other groups, such as college and high school students in the operation of the project;</p> <p>-----</p> <p>45 CFR 909.35(a)(b)</p> <p>(a)...Preference must be given to persons aged 60 or over in the hiring for all staff positions. Project staff at all levels must be, to the extent feasible, representative of the minority individuals participating in the project.</p> <p>(b) Each recipient of a grant or contract will encourage the voluntary participation of other groups in the conduct of the project, such as college and high school students.</p> <p>45 CFR 909.3(b)</p> <p>(b) "Minority individuals" are those persons who identify themselves as American Indian, Negro, Oriental, or Spanish language and members of any additional limited English-speaking groups designated as minority within the State by the State agency.</p>	<p>29 CFR 89.19(b)(4)</p> <p>(4) <i>Employment status--no requirement.</i> An individual's employment status does not bear on his or her enrollment eligibility. If the individual is employed at the time the eligibility determination is made, but such employment does not provide the family with an economic status above the applicable level set forth in this section, the employment is deemed insufficient for the family's economic needs.</p>

Personal Characteristics: DISABILITY

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
None	<p>45 CFR 903.1(d)(1)(4)</p> <p>(d) In order to achieve this purpose, the resources made available under title III shall be used to:</p> <p>(1) Provide for the development and implementation by designated State and area agencies, in conjunction with other planners and service providers, and older consumers of service, of State and area plans which set forth specific program objectives and priorities for meeting the needs of the elderly with special attention being given to the needs of low income and minority older persons, and the older physically and mentally disabled;</p> <p>(4) Make existing social services more accessible to older persons in need through the development and support of services such as transportation, outreach, information and referral and escort which can increase the ability of older persons, including the older physically and mentally disabled, to obtain other social services;</p>

Personal Characteristics: DISABILITY

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>45 CFR 909.1</p> <p>(a) Many elderly persons do not eat adequately because:</p> <p>(1) They cannot afford to do so;</p> <p>(2) They lack the knowledge and/or skills to select and prepare nourishing and well-balanced meals;</p> <p>(3) They have limited mobility which may impair their capacity to shop and cook for themselves; and</p> <p>(4) They have feelings of rejection and loneliness which obliterate the incentive necessary to prepare and eat a meal alone.</p> <p>These and other physiological, psychological, social, and economic changes that can occur with aging result in a pattern of living which may cause malnutrition and further physical and mental deterioration.</p> <p>(b) The purpose of this program is to provide older Americans, particularly those with low incomes, with low cost, nutritionally sound meals served in strategically located centers such as schools, churches, community centers, senior citizen centers, and other public or private facilities where they can obtain other social and rehabilitative services. Besides promoting better health among the older segment of the population through improved nutrition, such a program is aimed at reducing the isolation of old age, offering older Americans an opportunity to live their remaining years in dignity.</p>	<p>29 CFR 89.19(b)(2)</p> <p>(2) <i>Physical and mental capacity.</i> To be eligible, an individual must be physically and mentally capable of performing part-time employment duties. Project sponsors are cautioned, however, that unfavorable determinations in this area are to be made with utmost care, since project sponsors may structure employment positions under a project to fit the physical and mental capabilities of the individual enrollees. Unfavorable determinations in this area must be documented to the fullest extent possible with objective material.</p> <p>29 CFR 89.20(a)(1)</p> <p>(a) Priorities for selecting eligible individuals:</p> <p>(1) <i>First.</i> Priority shall be given to individuals who are applying for re-enrollment in the project and whose last previous enrollment was terminated for reasons of extended illness or placement into unsubsidized employment.</p> <p>See: 29 CFR 89.19(b)(3)</p>

Personal Characteristics: INSTITUTIONAL
RESIDENCE

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>42 USC 1397b(d)(1)(F)</p> <p>(d)(1) Each state which participates in the program established by this subchapter shall have a plan applicable to its program for the provision of services described in section 1397a (a)(1) of this title which--</p> <p>(F) provides, if the State program for the provision of the services described in section 1397(a)(a)(1) of this title includes services to individuals living in institutions or foster homes, for the establishment or designation of a State authority or authorities which shall be responsible for establishing and maintaining standards for such institutions or homes which are reasonably in accord with recommended standards of national organizations concerned with standards of such institutions or homes, including standards related to admissions policies, safety, sanitation, and protection of civil rights.</p>	<p>45 CFR 903.1(c)</p> <p>(c) The goals of the comprehensive and coordinated service systems are to:</p> <p>(1) Secure and maintain maximum independence and dignity in a home environment for older persons capable of self-care with appropriate supportive services; and</p> <p>(2) Remove individual and social barriers to economic and personal independence for older persons, including the provision of opportunities for employment and volunteer activities in the communities where older persons live.</p>

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>42 USC 1397a(a)(4-5)</p> <p>(4) So much of the aggregate expenditures with respect to which payment is made under this section to any State for that fiscal year as equals 50 per centum of the payment made under this section to the State for that fiscal year must be expended for the provision of services to individuals--</p> <p>(A) who are receiving aid under the plan of the State approved under part A of subchapter IV of this chapter or who are eligible to receive such aid, or</p> <p>(B) whose needs are taken into account in determining the needs of an individual who is receiving aid under the plan of the State under part A of subchapter IV of this chapter or who are eligible to have their needs taken into account in determining the needs of an individual who is receiving or is eligible to receive such aid, or</p> <p>(C) with respect to whom supplemental security income benefits under subchapter XVI of this chapter or State supplementary payments, as defined in section 1387f(1) of this chapter or State supplementary payments, as defined in section 1387f(1) of this title, are being paid, or who are eligible to have such benefits or payments paid with respect to them or</p> <p>(D) whose income and resources are taken into account in determining the amount of supplemental security income benefits or State supplementary payments, as defined in section 1397f(1) of this title, being paid with respect to an individual, or whose income and resources would be taken into account in determining the amount of such benefits or payments to be paid with respect to an individual who is eligible to have such benefits or payments paid with respect to him, or</p> <p>(E) who are eligible for medical assistance under the plan of the State approved under subchapter XIX of this chapter</p> <p>(5) No payment may be made under this section to any State with respect to any expenditure for this provision of any service to any individual--</p> <p>(A) who is receiving, or whose needs are taken into account in determining the needs of an individual who is receiving, aid under the plan of the State approved under part A of</p>	<p>45 CFR 903.1(f, g)</p> <p>(f) Funds made available under this part may be used to provide social services only when it has been clearly shown that:</p> <p>(1) The social services are needed and are not already available; and</p> <p>(2) No other public or private agency can or will provide the social services.</p> <p>(g) Agencies providing services to older persons under this part must seek reimbursement for the costs of providing the services when a third party (including a government agency) is authorized or is under legal obligation to pay such costs.</p>

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>42 USC 3045e(a)(6)</p> <p>Funds allotted to any state shall be dispersed by the state agency to recipients of grants or contracts who agree--</p> <p>(6) to provide a setting conducive to expanding the nutrition project and to include, as a part of such project, recreational activities, informational, health and welfare counseling and referral services, where such services are not otherwise available;</p> <p>-----</p> <p>45 CFR 909.42</p> <p>The State plan shall provide that:</p> <p>(a) Each recipient of a grant or contract must provide for the provision of comprehensive and ongoing outreach activities from each congregate meal site to assure that the maximum number of the hard-to-reach target group eligible individuals participate in the nutrition project. The following supporting social services must also be provided to the extent that such services are needed and are not already available and accessible to the individuals participating in the nutrition project:</p> <p>(1) Transportation of individuals and personal escort services to and from the congregate meal sites;</p> <p>(2) Information and referral services;</p> <p>(3) Health and welfare counseling services;</p> <p>(4) Nutrition education;</p> <p>(5) Shopping assistance; and</p> <p>(6) Recreation activities incidental to the project.</p> <p>(b) Not more than 20 per centum of a State's allotment for a given fiscal year, excluding that necessary for administering the State plan, shall be used for the provision of the supporting social services prescribed in this section.</p> <p>(c) All such supporting social services shall be in keeping with program standards and guidelines issued by the Administration on Aging of the Social and Rehabilitation Service.</p>	<p>29 CFR 89.19(b)(3)(ii)(A)</p> <p>(3) <i>Economic status.</i> There are two criteria which pertain to the economic status of the individual. The less rigorous criterion (as described in paragraph (b) (3) (ii) of this section) applies to individuals who are actually enrolled in a project and to individuals who apply for re-enrollment under certain extenuating circumstances. The reason for applying a less rigorous criterion to individuals actually enrolled is to prevent a situation in which an individual would be fired merely because his or her non-project income has risen slightly. Also individuals who seek re-enrollment after being forced to quit because of extended illness must be treated on a basis equal to individuals actually enrolled. Similarly, equal treatment must be accorded to former enrollees who were placed into unsubsidized employment from a project and were subsequently terminated from that employment, since individuals are encouraged by projects to accept unsubsidized employment.</p> <p>(ii) <i>Special economic status criterion.</i> Applicants for re-enrollment in a project whose last previous enrollment was terminated for reasons of extended illness or placement into unsubsidized employment and individuals actually enrolled in a project must be either:</p> <p>(A) Members of a family which receives regular cash welfare payments;</p> <p>29 CFR 89.20(a)(2)</p> <p>(a) Priorities for selecting eligible individuals.</p> <p>(2) <i>Second.</i> Priority shall be given to individuals who are the most economically disadvantaged. In determining the severity of an individual's economic situation, project officials responsible for selecting enrollees may consider: The extent to which the individual's annual family income is below the poverty level; the urgency of the family's immediate economic needs including, but not limited to, needed income for subsistence, housing, and medical expenses; and the extent to which financial assistance is being provided to the family from other programs and welfare sources.</p>

Personal Characteristics:
 RECIPIENTS OF OTHER PROGRAM BENEFITS

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>subchapter IV of this chapter, or with respect to whom supplemental security income benefits under subchapter XVI of this chapter or State supplementary payments, as defined in section 1397f(1) of this title, are being paid, or</p> <p>(B) who is a member of a family the monthly gross income of which is less than the lower of--</p> <p>(i) 80 per centum of the median income of a family of four in the State, or</p> <p>(ii) the median income of a family of four in the fifty States and the District of Columbia, adjusted in accordance with regulations prescribed by the Secretary, to take into account the size of the family, if any fee or other charge (other than a voluntary contribution) imposed on the individual for the provision of that service is not consistent with such requirements (including requirements prohibiting the imposition of any such fee or charge) as the Secretary shall prescribe.</p>	

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>45 CFR 909.43</p> <p>In order to assure the development and provision of needed social services, including those set forth in § 909.42, and to maximize access to all services in the community which are needed by individuals participating in the project, the State plan shall provide that each project will undertake those activities necessary to assure maximum utilization of all other public and private resources and services in the conduct of this program. Such activities shall include joint planning, the sharing of information, and the negotiation of agreements for joint funding and operation of programs for the elderly with agencies such as health, mental health, public assistance, medicaid, social services, rehabilitation, education, economic opportunity, and food and agricultural agencies.</p> <p>45 CFR 909.44(e)</p> <p>The State plan shall provide that:</p> <p>(e) If the food stamp program is operating in the project area, recipients of grants or contracts under this part which deliver meals to the homes of individuals shall take such actions as are necessary to be authorized by the United States Department of Agriculture to accept food coupons issued under the food stamp program in exchange for meals delivered to the homes of persons eligible to utilize their allotment of food coupons for the purchase of such meals.</p> <hr/> <p>See: 42 USC 3045h 45 CFR 909.63</p>	

Personal Characteristics: OTHERS

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>42 USC 1397b(d)(1)(E)</p> <p>(d)(1) Each State which participates in the program established by this subchapter shall have a plan applicable to its program for the provision of the services described in Section 1397(a)(a)(1) of this title which-- (E) provides that no durational residency or citizenship requirement will be imposed as a condition to participation in the program of the State for the provision of the services described in section 1397a(a)(1) of this title.</p> <p>-----</p> <p>45 CFR 228.11</p> <p>The State plan shall provide that no requirements as to duration of residence or citizenship will be imposed as a condition of participation in the State's program for the provision of services.</p> <hr/> <p>45 CFR 228.1</p> <p><i>Family</i> means one or more adults and children, if any, related by blood, or law, and residing in the same household. Where adults, other than spouses, reside together, each may be considered a separate family by the State. Emancipated minors and children living under the care of individuals not legally responsible for that care may be considered one-person families by the State.</p> <p><i>Fiscal year</i> means the Federal fiscal year unless otherwise specified.</p> <hr/> <p>45 CFR 228.60(b)</p> <p>(b) <i>Categories of individuals who may receive services.</i></p> <p>(1) <i>Income maintenance status.</i> The following individuals are eligible on the basis of income maintenance status:</p> <p>(i) Recipients of AFDC; and</p> <p>(ii) Those persons whose needs were taken into account in determining the needs of AFDC recipients; and</p> <p>(iii) Recipients of SSI benefits or State supplementary payments.</p>	<p>45 CFR 903.2(e)</p> <p>(e) The term "minority" means those persons who identify themselves as American Indian, Negro, Oriental, or Spanish language, and members of any additional limited English-speaking groups designated as minority within the State by the State agency.</p>

Personal Characteristics: OTHERS

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<p>(2) <i>Income status.</i> Individuals other than those described in paragraph (b)(1) of this section, are eligible if the family's monthly gross income is less than 115 percent (or, at State option, a lower percentage) of the median income of a family of four in the State adjusted for size of family, subject to the limitations set forth in §228.62. Income status individuals include those whose eligibility is determined on a group basis.</p> <p>(3) <i>Without regard to income.</i> Individuals may be provided family planning services under §228.63, information or referral services under §228.64, or services to prevent or remedy neglect, abuse, or exploitation of children or adults under §228.65, without regard to income at State option if the State so provides in its services plan.</p>	

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None	<p>29 CFR 89.19(b)(5)</p> <p>(b) Eligibility criteria relate to age, physical and mental capacity, and economic status. No requirement on employment status.</p> <p>(5) <i>No additional criteria.</i> Project sponsors and their agents may not impose any additional condition or requirement for enrollment eligibility.</p> <hr/> <p>29 CFR 89.19(c)</p> <p>(c) <i>Special responsibility of the project sponsor.</i></p> <p>(1) Project sponsors will obtain the personal information necessary for a proper determination of eligibility for each individual.</p> <p>(i) The information, which shall be obtained primarily through personal interview, shall be recorded on an adequate client intake or application form.</p> <p>(ii) The information shall be authenticated by the dated signature of the individual on the client intake or application form. Although project sponsors are responsible for assuring that the required personal information reported by individuals appears reasonably reliable and consistent, project sponsors should not conduct investigations of any individual being considered to ascertain the veracity of the information.</p> <p>(2) With respect to individuals enrolled in a project, the Department may, from time to time, require the project sponsor to update personal records to indicate the individual's current family income, exclusive of wages earned under the project to determine the eligibility of individuals for continuing enrollment. In such cases, the project sponsor shall require each enrollee to sign and date a written statement which indicates its current annual family income and whether or not the family is receiving regular cash welfare payments. Enrollees who are consequently determined to be ineligible for continued enrollment shall be given one month's notice by the project sponsor that their enrollment is to be terminated.</p> <p>(3) Project sponsors shall record each determination (preferably on the same form used for client intake or application</p>

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	<p>purposes in cases of prospective enrollees and on an adequate termination form in cases of actual enrollees) by indicating the date, the name and title of the project official who made the determination (with the official's written initials), and the grounds for any unfavorable determination.</p> <p>(4) If at any time the project sponsor discovers that an individual was incorrectly determined to be eligible as a direct result of false information from that individual, the individual's enrollment shall be terminated immediately.</p> <p>(5) In cases where an individual was incorrectly determined to be eligible through no fault of his own, the project sponsor shall, when such error is discovered, provide the individual with one month's notice that his enrollment is to be terminated. Similarly, if the project sponsor discovers at any time that an enrollee has received an increment in outside income which is sufficient to make the individual ineligible for continuing enrollment, the project shall provide the individual with one month's notice that his enrollment is to be terminated.</p> <p>(6) When unfavorable determinations of eligibility are made, the project sponsor shall explain to the individual the reason or reasons for the determination. The project sponsor shall inform each individual affected by an unfavorable determination that he or she may appeal the determination pursuant to § 89.31.</p> <p>(7) When an unfavorable determination of eligibility is made, the project sponsor shall ensure that the individual receives such assistance, short of enrollment in the project, as the project is able to provide. This may include, but is not limited to, referral to a potential unsubsidized employment position, referral to a more appropriate manpower program, referral to the local office of the State employment security agency, and referral to any other local agency which may be capable of assisting the individual.</p>

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	<p>29 CFR 89.20(a)(4), (b)</p> <p>(a) Priorities for selecting eligible individuals given in 1-3.</p> <p>(4) <i>Additional priorities.</i> Program sponsors may establish additional or supplementary priorities only with the prior written approval of the Department of Labor Contract/Grant Officer. Any additional or supplementary priorities may not, however, take precedence over the priorities listed above.</p> <p>(b) <i>Conversion to permanent enrollment status.</i> The priorities established in paragraph (a) of this section do not apply to the conversion of temporary enrollees to permanent enrollment status. Temporary enrollees must be given first consideration for enrollment on a "permanent" basis whenever a "permanent" position becomes available. Selection for conversion to permanent status must be based solely on the earliest selection for temporary enrollment, i.e., temporary enrollees with the most seniority shall be selected for conversion first. However, selection among eligible individuals for enrollment on a temporary basis shall be made in accordance with the priorities described in paragraph (a) of this section.</p>

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TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>42 USC 1397a(a)(5,6)</p> <p>(5) No payment may be made under this section to any State with respect to any expenditure for the provision of any service to any individual--</p> <p>(A) who is receiving, or whose needs are taken into account in determining the needs of an individual who is receiving, aid under the plan of the State approved under part A of subchapter IV of this chapter, or with respect to whom supplemental security income benefits under subchapter XVI of this chapter or State supplementary payments, as defined in section 1397f (1) of this title, are being paid, or</p> <p>(B) who is a member of a family the monthly gross income of which is less than the lower of--</p> <p>(i) 80 per centum of the median income of a family of four in the State, or</p> <p>(ii) the median income of a family of four in the fifty States and the District of Columbia, adjusted, in accordance with regulations prescribed by the Secretary, to take into account the size of the family, if any fee or other charge (other than a voluntary contribution) imposed on the individual for the provision of that service is not consistent with such requirements (including requirements prohibiting the imposition of any such fee or charge) as the Secretary shall prescribe.</p> <p>(6) No payment may be made under this section to any State with respect to any expenditure for the provision of any service, other than an information or referral service or a service directed at the goal of preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, to any individual who is not an individual described in paragraph (5), and--</p> <p>(A) who is a member of a family the monthly gross income of which exceeds 115 per centum of the median income of a family of four in the State, adjusted, in accordance with regulations prescribed by the Secretary, to take into account the size of the family, or</p>	<p>45 CFR 903.1(d)(1)</p> <p>(d) In order to achieve this purpose, the resources made available under title III shall be used to:</p> <p>(1) Provide for the development and implementation by designated State and area agencies, in conjunction with other planners and service providers, and older consumers of service, of State and area plans which set forth specific program objectives and priorities for meeting the needs of the elderly with special attention being given to the needs of low income and minority older persons, and the older physically and mentally disabled;</p> <p>45 CFR 903(d)</p> <p>(d) The term "low income" is described in § 903.48(c).</p> <p>45 CFR 903.48(c)</p> <p>(c) The State plan shall provide that in the conduct of the title III program in the State, the term "low income" refers to those incomes which are below the current Department of Commerce, Bureau of Census poverty threshold. The State plan may, however, provide for a modification of this definition when the State agency can demonstrate with relevant data that special factors affecting the economic status of older persons in the State require such modification. The modified definition shall be set forth in the State plan. Approval of the modified definition will be in the form of approval of the State plan each fiscal year.</p>

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<p>(3) Who is a member of a family the monthly gross income of which--</p> <p>(i) exceeds the lower of--</p> <p>(1) 80 per centum of the median income of a family of four in the State, or</p> <p>(11) the median income of a family of four in the fifty States and the District of Columbia, adjusted, in accordance with regulations prescribed by the Secretary, to take into account the size of the family,</p> <p>unless a fee or other charge reasonably related to income is imposed on the individual for the provision of the service.</p> <p>The Secretary shall promulgate the median income of a family of four in each State and the fifty States and the District of Columbia applicable to payments with respect to expenditures in each fiscal year prior to the first day of the third month of the preceding fiscal year.</p> <p>-----</p> <p>45 CFR 228.1</p> <p><i>Monthly gross income</i> means the monthly sum of income received from sources identified by the U.S. Census Bureau in computing median income. (See 228.66)</p> <p>45 CFR 228.60(b-e)</p> <p>(b) <i>Categories of individuals who may receive services.</i></p> <p>(1) <i>Income maintenance status.</i> The following individuals are eligible on the basis of income maintenance status:</p> <p>(i) Recipients of AFDC; and</p> <p>(ii) Those persons whose needs were taken into account in determining the needs of AFDC recipients; and</p> <p>(iii) Recipients of SSI benefits or State supplementary payments.</p> <p>(2) <i>Income status.</i> Individuals other than those described in paragraph (b)(1) of this section are eligible if the family's monthly gross income is less than 115 percent (or, at State option, a lower percentage) of the median income of a family of four in the State adjusted for size of family, subject to the limitations set forth in §228.62. Income status individuals include those whose eligibility is determined on a group basis.</p>	

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<p>(3) <i>Without regard to income.</i> Individuals may be provided family planning services under §228.63, information or referral services under §228.64, or services to prevent or remedy neglect, abuse, or exploitation of children or adults under §228.65, without regard to income at State option if the State so provides in its services plan.</p> <p>(c) <i>Median income.</i> On or before December 1 of each year, beginning with calendar year 1975, the Secretary will promulgate the median income for a family of four for each State and for the 50 States and the District of Columbia. This promulgation shall be used for purposes of determining eligibility and establishing fees in the following Federal or State fiscal year.</p> <p>(d) <i>Income Levels as baselines for fee imposition.</i></p> <p>(1) Except for individuals whose eligibility is determined on a group basis, individuals whose eligibility is based on income status shall be subject to imposition of a fee for service (in accordance with § 228.62) if their family's monthly gross income exceeds 80 percent of the median income of a family of four in the State or the median income of a family of four in all States, whichever is less, and does not exceed 115 percent of the median income of a family of four in the State, adjusted for family size.</p> <p>(2) The median incomes (at 80 percent and 115 percent) as calculated in paragraph (d)(1) of this section for a family of four, shall be adjusted for family size according to the following percentages:</p> <ul style="list-style-type: none"> (i) One person--52 percent (ii) Two person family--68 percent (iii) Three person family--84 percent (iv) Four person family--100 percent (v) Five person family--116 percent (vi) Six person family--132 percent (vii) For each additional family member above six persons, the State shall add 3 percentage points to the percentage for a family of six. <p>(3) For discretionary fees applicable to persons who are at or below the lower level median income described in paragraph (d)(1) of this section, or are eligible on the basis of income maintenance status, or who may receive services without regard to income, see §228.-62(b).</p>	

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<p>(e) <i>Income levels for services.</i> So long as the State observes the baselines for income levels for imposition of fees established pursuant to paragraph (d)(1) of this section, it may establish income levels for services:</p> <ol style="list-style-type: none"> (1) At any level lower than 115 percent of the median income of the State; (2) At different levels for different services under the service plan; (3) At different levels for different categories of individuals; (4) At different levels in different geographic areas; or (5) At different levels for different sizes of families within the limits for eligibility and fees set forth in paragraph (d)(2) of this section. <p>45 CFR 228.60(f)(2)(iv)A,B</p> <p>(iv) When eligibility is determined on a group basis in accordance with § 228.-61, requests for services shall be handled in one of two different ways:</p> <p>(A) If the State has established specific conditions (other than income) or characteristics as a condition precedent to the receipt of a service on the basis of group eligibility, the State shall, in the intake process, elicit information necessary to determine whether an individual meets the specified conditions or has the characteristics for membership in the group (e.g.,--if eligibility for homemaker services to teenage parents maintaining their own homes in public housing is determined on a group basis, the intake process shall elicit a statement regarding age, parenthood, address, and maintenance of one's own home).</p> <p>(B) If the State has concluded solely on the basis of the nature of a service and/or the location where it will be provided (e.g.,--recreation services in specified senior centers or day care services for migrant children), that substantially all of the individuals who would apply for the service are members of families with gross monthly incomes of 90 percent or less of the State's</p>	

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<p>median income, adjusted for family size, no information need be elicited at intake or documentation made except as to the numbers served. The presence of the individual at the service site or participation in the service is deemed a request for services.</p> <p>45 CFR 228.61(a)(1)</p> <p>(a) <i>Methods of determining (or re-determining) eligibility.</i> (1) Standards and methods for determination of eligibility will be consistent with the objectives of the program, and will respect the rights of individuals under the United States Constitution, the Social Security Act, title VI of the Civil Rights Act of 1964, and all other relevant provisions of Federal and State laws.</p> <p>45 CFR 228.61(d)(1)</p> <p>(d) <i>Validation of "substantially all" basis for establishing a group:</i></p> <p>(1) Each group of persons receiving a service on the basis of group determination of eligibility shall be subject to a validation check of whether at least 75 percent of those receiving the service are members of families with gross monthly income of no more than 90 percent of the State's median income, adjusted for family size.</p> <p>45 CFR 228.66(a)</p> <p>(a) Monthly gross income means the monthly sum of income received by an individual from the following sources that are identified by the U.S. Census Bureau in computing the median income:</p> <p>(1) <i>Money, wages or salary</i>--i.e., total money earnings received for work performed as an employee, including wages, salary, Armed Forces pay, commissions, tips, piece-rate payments, and cash bonuses earned before deductions are made for taxes, bonds, pensions, union dues, and similar purposes.</p>	

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<p>(2) <i>Net income from nonfarm self-employment</i>--i.e., gross receipts minus expenses from one's own business, professional enterprise, or partnership. Gross receipts include the value of all goods sold and services rendered. Expenses include costs of goods purchased, rent, heat, light, power, depreciation charges, wages and salaries paid, business taxes (not personal income taxes), and similar costs. The value of salable merchandise consumed by the proprietors of retail stores is not included as part of net income.</p> <p>(3) <i>Net income from farm self-employment</i>--i.e., gross receipts minus operating expenses from the operation of a farm by a person on his own account, as an owner, renter, or sharecropper. Gross receipts include the value of all products sold, government crop loans, money received from the rental of farm equipment to others, and incidental receipts from the sale of wood, sand, gravel, and similar items. Operating expenses include cost of feed, fertilizer, seed, and other farming supplies, cash wages paid to farmhands, depreciation charges, cash rent, interest on farm mortgages, farm building repairs, farm taxes (not State and Federal income taxes), and similar expenses. The value of fuel, food, or other farm products used for family living is not included as part of net income.</p> <p>(4) <i>Social Security</i> includes Social Security pensions and survivors' benefits, and permanent disability insurance payments made by the Social Security Administration prior to deductions for medical insurance and railroad retirement insurance checks from the U.S. Government.</p> <p>(5) <i>Dividends, interest (on savings or bonds), income from estates or trusts, net rental income or royalties</i> include dividends from stockholdings or membership in associations, interest on savings or bonds, periodic receipts from estates or trust funds, net income from rental of a house, store, or other property to others, receipts from boarders or lodgers, and net royalties.</p> <p>(6) <i>Public assistance or welfare payments</i> include public assistance payments such as AFDC, SSI, State Supplemental Payments, and general assistance.</p>	

INCOME

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>(7) <i>Pensions and annuities</i> include pensions or retirement benefits paid to a retired person or his survivors by a former employer or by a union, either directly or through an insurance company; periodic receipts from annuities or insurance.</p> <p>(8) <i>Unemployment compensation</i> means compensation received from government unemployment insurance agencies or private companies during periods of unemployment and any strike benefits received from union funds.</p> <p>(9) <i>Worker's compensation</i> means compensation received periodically from private or public insurance companies for injuries incurred at work. The cost of this insurance must have been paid by the employer and not by the person.</p> <p>(10) Alimony.</p> <p>(11) Child support.</p> <p>(12) <i>Veterans' pensions</i> means money paid periodically by the Veterans' Administration to disabled members of the Armed Forces or to survivors of deceased veterans, subsistence allowances paid to veterans for education and on-the-job training, as well as so-called "refunds" paid to ex-servicemen as GI insurance premiums.</p> <p>45 CFR 228.66(b)</p> <p>(b) <i>Exclusions from monthly gross income.</i> Excluded from computation of monthly gross income are the following:</p> <p>(1) Per capita payments to or funds held in trust for any individual in satisfaction of a judgment of the Indian Claims Commission or the Court of Claims;</p> <p>(2) Payments made pursuant to the Alaska Native Claims Settlement Act to the extent such payments are exempt from taxation under section 21(a) of the Act;</p> <p>(3) Money received from sale of property, such as stocks, bonds, a house, or a car (unless the person was engaged in the business of selling such property in which case the net proceeds would be counted as income from self-employment);</p> <p>(4) Withdrawals of bank deposits;</p> <p>(5) Money borrowed;</p> <p>(6) Tax refunds;</p> <p>(7) Gifts;</p>	

INCOME

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>(8) Lump sum inheritances or insurance payments;</p> <p>(9) Capital gains;</p> <p>(10) The value of the coupon allotment under the Food Stamp Act of 1964, as amended, in excess of the amount paid for the coupons;</p> <p>(11) The value of USDA donated foods;</p> <p>(12) The value of supplemental food assistance under the Child Nutrition Act of 1966 and the special food service program for children under the National School Lunch Act, as amended;</p> <p>(13) Any payment received under the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;</p> <p>(14) Earnings of a child under 14 years of age (no inquiry shall be made);</p> <p>(15) Loans and grants, such as scholarships, obtained and used under conditions that preclude their use for current living costs;</p> <p>(16) Any grant or loan to any undergraduate student for educational purposes made or insured under any program administered by the Commissioner of Education under the Higher Education Act; and</p> <p>(17) Home produce utilized for household consumption.</p>	

INCOME

OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>42 USC 3045a(a)(4)</p> <p>Under the State plan, recipients of a grant or contract will:</p> <p>(4) provide that preference shall be given in awarding grants to carry out the purposes of this title to projects serving primarily low-income individuals and provide assurances that, to the extent feasible, grants will be awarded to projects operated by and serving the needs of minority, Indian, and limited English-speaking eligible individuals in proportion to their numbers in the State.</p> <p>-----</p> <p>45 CFR 909.22</p> <p>(a) The State plan shall provide that the State agency will identify target groups of eligible individuals in the State having greatest need for nutrition services. The criteria to be used by the State in selecting such target groups shall include those factors set forth in § 909.1</p> <p>(b) The State plan shall contain assurances that the projects approved under this part will be designed to serve primarily those target group individuals determined to be in greatest need of such services.</p> <p>45 CFR 909.23</p> <p>(a) The State plan shall provide that:</p> <p>(1) Each urban area selected to receive an award will include major concentrations of target group older persons identified pursuant to § 909.22 whose income is below the current Department of Commerce, Bureau of the Census poverty threshold;</p> <p>(2) Each rural area selected to receive an award will contain a high proportion of target group older persons identified pursuant to § 909.22 whose income is below the current Department of Commerce, Bureau of the Census poverty threshold.</p> <p>(b) In selecting areas for project awards, the State agency should consider the number of minority group eligible individuals in such areas, in order to assure that of the total number of elderly served each fiscal year, minority indi-</p>	<p>42 USC 3056e(2)</p> <p>(2) the term "eligible individual" means an individual who is fifty-five years old or over, who has a low income, and who has or would have difficulty in securing employment...</p> <p>29 CFR 89.19(b)(3)</p> <p>(b) <i>Criteria.</i> The eligibility criteria relate to age, physical and mental capacity, and economic status. The criteria pertaining to age and physical and mental capacity apply to all determinations of eligibility. There are, however, two different criteria which pertain to economic status; and the appropriate criterion must be applied to each situation.</p> <p>(3) <i>Economic status.</i> There are two criteria which pertain to the economic status of the individual. The less rigorous criterion (as described in paragraph (b)(3)(ii) of this section) applies to individuals who are actually enrolled in a project and to individuals who apply for re-enrollment under certain extenuating circumstances. The reason for applying a less rigorous criterion to individuals actually enrolled is to prevent a situation in which an individual would be fired merely because his or her non-project income has risen slightly. Also, individuals who seek re-enrollment after being forced to quit because of extended illness must be treated on a basis equal to individually actually enrolled. Similarly, equal treatment must be accorded to former enrollees who were placed into unsubsidized employment from a project and were subsequently terminated from that employment, since individuals are encouraged by projects to accept unsubsidized employment.</p> <p>(i) <i>Regular economic status criterion.</i> Applicants for enrollment in a project who have not previously been enrolled in the project and applicants for re-enrollment in a project whose last previous enrollment was terminated for reasons other than extended illness or placement into unsubsidized employment must be economically disadvantaged as defined in § 89.3.</p>

INCOME

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>viduals will be served, at least in proportion to their numbers of the eligible individuals in the State. See 45 CFR 909.1 (above)</p> <p>42 USC 3045a(a)(2)(F)(11)</p> <p>Under the State plan: (ii)...Recipients of grants or contracts may charge participating individuals for meals, furnished pursuant to guidelines established by the Commissioner, taking into consideration the income ranges of eligible individuals in local communities and other sources of income of the recipients of a grant or contract.</p> <p>-----</p> <p>45 CFR 909.44 (a-d)</p> <p>The State plan shall provide that: (a) Recipients of grants or contracts under this part will provide opportunity for the participants in nutrition projects to pay all or part of the cost of the meals served under this program. (b) Recipients of grants or contracts will establish schedules of suggested fees, which could include a suggested flat fee, for contributions by participants toward the cost of the meal. Such schedules must take into consideration the income ranges of eligible individuals. However, each individual participant shall determine for himself what he is able to contribute toward the cost of a meal. Each participant shall be informed of his responsibility to decide for himself what he should pay, including the right to obtain meals free of charge if the participant decides he is unable to pay for such meals. No individual shall be denied participation in the nutrition program because of the inability to pay all or part of the cost of the meals served. (c) Suggested contribution schedules shall in no case be used as means tests to determine the eligibility of individuals to participate in the nutrition project. (d) Methods of receiving contributions from individuals shall be handled in such a manner so as not to differentiate among individuals' contributions publicly.</p>	<p>(ii) <i>Special economic status criterion.</i> Applicants for re-enrollment in a project whose last previous enrollment was terminated for reasons of extended illness or placement into unsubsidized employment and individuals actually enrolled in a project must be either: (A) Members of a family which receives regular cash welfare payments, or (B) Members of a family whose annual income does not exceed by more than \$500 the poverty level determined in accordance with criteria established and updated by the U.S. Office of Management and Budget.</p> <p>29 CFR 89.3</p> <p>"Economically disadvantaged person" means a person who is a member of a family which receives regular cash welfare payments or whose annual income in relation to family size does not exceed the poverty level determined in accordance with criteria established and updated by the U.S. Office of Management and Budget.</p> <p>29 CFR 89.20(a)(2)</p> <p>(a) Priorities for selecting eligible individuals: (2) <i>Second.</i> Priority shall be given to individuals who are the most economically disadvantaged. In determining the severity of an individual's economic situation, project officials responsible for selecting enrollees may consider: The extent to which the individual's annual family income is below the poverty level; the urgency of the family's immediate economic needs including, but not limited to, needed income for subsistence, housing, and medical expenses; and the extent to which financial assistance is being provided to the family from other programs and welfare sources.</p>

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OLDER AMERICANS ACT TITLE VII	OLDER AMERICANS ACT TITLE IX
<p>42 USC 3045h</p> <p>No part of the cost of any project under this title may be treated as income or benefits to any eligible individual for the purpose of any other program or provision of State or Federal law.</p> <p>-----</p> <p>45 CFR 909.63</p> <p>Benefits received under this program shall not be treated as income or benefits for the purpose of any other program or provision of State or Federal law.</p>	

RESOURCES

TITLE XX SOCIAL SERVICES	OLDER AMERICANS ACT TITLE III
<p>45 CFR 228.66(b) (1-4, 8, 10-13, 16)</p> <p>(b) <i>Exclusions from monthly gross income.</i> Excluded from computation of monthly gross income are the following:</p> <p>(1) Per capita payments to or funds held in trust for any individual in satisfaction of a judgment of the Indian Claims Commission or the Court of Claims;</p> <p>(2) Payments made pursuant to the Alaska Native Claims Settlement Act to the extent such payments are exempt from taxation under section 21(a) of the Act;</p> <p>(3) Money received from sale of property such as stocks, bonds, a house, or a car (unless the person was engaged in the business of selling such property in which case the net proceeds would be counted as income from self employment);</p> <p>(4) Withdrawals of bank deposits;</p> <p>(8) Lump sum inheritances or insurance payments;</p> <p>(10) The value of the coupon allotment under the Food Stamp Act of 1964, as amended, in excess of the amount paid for the coupons;</p> <p>(11) The value of USDA donated foods;</p> <p>(12) The value of supplemental food assistance under the Child Nutrition Act of 1966 and the special food service program for children under the National School Lunch Act, as amended;</p> <p>(13) Any payment received under the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;</p> <p>(16) Any grant or loan to any undergraduate student for educational purposes made or insured under any program administered by the Commissioner of Education under the Higher Education Act.</p>	<p>None</p>

Exhibit No. 22

This exhibit was not received in time for
publication

Assembly Bill No. 803**CHAPTER 972**

An act to add Article 9.5 (commencing with Section 11135) to Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code, relating to discrimination.

[Approved by Governor September 21, 1977. Filed with Secretary of State September 21, 1977.]

LEGISLATIVE COUNSEL'S DIGEST

AB 803, Brown. State funds: discrimination.

Existing law contains various provisions prohibiting discrimination on the basis of specified criteria.

This bill would expressly provide that no person shall, on the basis of ethnic group identification, religion, age, sex, color, a physical or mental disability, be unlawfully denied the benefits of, or be unlawfully subject to discrimination under, any program or activity that is funded directly by the state or receiving any financial assistance from the state.

In addition, the bill would, in accordance with specified procedures, require state agencies that administer programs and activities that are funded directly by the state or receiving any financial assistance from the state to take action to curtail state funding to a contractor, grantee, or local agency that violates such provisions.

This bill would also require such state agencies that enter into contracts for the performance of services to be provided to the public in an aggregate amount in excess of \$100,000 per year to adopt, pursuant to specified provisions, regulations to implement such provisions.

This bill would declare that such prohibitions and sanctions are in addition to any other prohibitions and sanctions imposed by law and would direct the Secretary of the Health and Welfare Agency, with the advice and concurrence of the Fair Employment Practices Commission, to establish guidelines and standards to implement the provisions of the bill.

The people of the State of California do enact as follows:

SECTION 1. Article 9.5 (commencing with Section 11135) is added to Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code, to read:

Article 9.5. Discrimination

11135. No person in the State of California shall, on the basis of ethnic group identification, religion, age, sex, color, or physical or

mental disability, be unlawfully denied the benefits of, or be unlawfully subjected to discrimination under, any program or activity that is funded directly by the state or receives any financial assistance from the state.

11136. Whenever a state agency that administers a program or activity that is funded directly by the state or receives any financial assistance from the state, has reasonable cause to believe that a contractor, grantee, or local agency has violated the provisions of Section 11135, or any regulation adopted to implement such section, the head of the state agency shall notify the contractor, grantee, or local agency of such violation and shall, after considering all relevant evidence, determine whether there is probable cause to believe that a violation of the provisions of Section 11135, or any regulation adopted to implement such section, has occurred. In the event that it is determined that there is probable cause to believe that the provisions of Section 11135, or any regulation adopted to implement such section, have been violated, the head of the state agency shall cause to be instituted a hearing conducted pursuant to the provisions of Chapter 5 (commencing with Section 11500) of this part to determine whether a violation has occurred.

11137. If it is determined that a contractor, grantee, or local agency has violated the provisions of this article, the state agency that administers the program or activity involved shall take action to curtail state funding in whole or in part to such contractor, grantee, or local agency.

11138. Each state agency that administers a program or activity that is funded directly by the state or receives any financial assistance from the state and that enters into contracts for the performance of services to be provided to the public in an aggregate amount in excess of one hundred thousand dollars (\$100,000) per year shall, in accordance with the provisions of Chapter 4.5 (commencing with Section 11371) of this part, adopt such rules and regulations as are necessary to carry out the purpose and provisions of this article.

11139. The prohibitions and sanctions imposed by this article shall be in addition to any other prohibitions and sanctions imposed by law.

This article shall not be interpreted in such manner so as to frustrate its purpose.

This article shall not be interpreted in such a manner so as to adversely affect lawful programs which benefit the disabled, the aged, minorities and women.

11139.5. The Secretary of the Health and Welfare Agency, with the advice and concurrence of the Fair Employment Practices Commission, shall establish standards for determining which persons are protected by this article and guidelines for determining what practices are discriminatory. The secretary, with the cooperation of the Fair Employment Practices Commission, shall assist state agencies in coordinating their programs and activities and shall

consult with such agencies, as necessary, so that consistent policies, practices, and procedures are adopted with respect to the enforcement of the provisions of the article.

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ANALYSIS OF ASSEMBLY BILL NO. 803 (Brown)
As Amended in Senate August 11, 1977
1977-78 Session

Fiscal Effect:

Cost: Indeterminate but probably
minor additional state costs.

Revenue: None.

Analysis:

This bill:

1. Prohibits discrimination against any person on the basis of ethnic group identification, religion, age, sex, color or "physical or mental disability" in any program which is funded directly by the state or receives any financial assistance from the state. Existing law prohibits discrimination generally in the areas of employment and housing.
2. Requires any state agency which administers a program or activity receiving state financial assistance to hold a hearing to ascertain whether discrimination has occurred when it has reasonable cause to believe that a contractor, grantee or local agency has violated the bill's provisions.
3. Requires the state administrative agency to curtail state funding when the hearing reveals that discrimination took place.
4. Requires specified state agencies to adopt rules and regulations for the administration of the bill.
5. Requires the Secretary of the Health and Welfare agency with the advice and concurrence of the Fair Employment Practice Commission to establish standards for determining which persons are protected by the bill and guidelines for determining which practices are discriminatory and to assist state agencies in the administration of the bill.

AB 803 (Continued)

The Department of General Services, which would probably hold hearings to determine violations of the bill, reports that the bill's fiscal impact is indeterminate but probably minor, depending on the number of complaints which would result and the number of hearings which would be held to determine violations. Costs to the Department of General Services are \$44.50 per hour for a hearing officer and \$21.50 for a hearing reporter. Costs to the Attorney General are \$33.10 per hour for each deputy involved in a hearing.

The Secretary of Health and Welfare reports that the bill would result in unknown but probably minor additional costs for establishing the discrimination standards and guidelines.

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Exhibit No. 24

REPLY TO: DISTRICT OFFICE
 15 GOUTHGATE AVE., RM. 238
 DALY CITY, CA 94015
 (415) 788-1786

SACRAMENTO ADDRESS
 STATE CAPITOL
 SACRAMENTO, CA 95814
 (916) 445-0803

COMMITTEES:
 TRANSPORTATION, VICE CHAIRMAN
 GOVERNMENTAL ORGANIZATION
 INSURANCE AND FINANCIAL INSTITUTIONS
 PUBLIC UTILITIES, TRANSIT AND ENERGY

Senate
 California Legislature



STATE SENATOR
 JOHN FRANCIS FORAN
 5TH DISTRICT
 SAN FRANCISCO - SAN MATEO COUNTIES

STATEMENT BY SENATOR JOHN FRANCIS FORAN

to

THE UNITED STATES COMMISSION ON CIVIL RIGHTS

June 28, 1977

As the number of Senior Citizens increases every year, I think it is the responsibility of a concerned government to guarantee that a certain level of services are delivered to our older Americans. The California Commission on Aging study of last year documented, from Department of Labor statistics, that less than three per cent of those hired under CETA were over the age of 55; less than one per cent went onto other employment after their CETA employment expired. The explanations offered by various CETA officials for this low amount of service to the older worker: Not enough funds, or other programs were available for the older American, such as Title IX employment programs of the Older American's Act. When the Commission attempted to determine the adequacy of these "other" employment programs, it found that the data was inconsistent and fragmentary, so there was no way of determining how adequately people were being served.

It is also difficult to compare from year to year, or from agency to agency, the level of services received by the "Older American." There appears to be no uniform definition for Older American. To cite just a few examples of this problem, the Department of Labor draws the line at 55, Social Security at 62, and the Older American Act at 60 years old. This problem is also compounded by the changes in definition through legislation from one year to the next. The Commission on Civil Rights should consider the need to develop data so that we could correlate program delivery and services to the specific age group recipient.

A second area that needs to be seriously looked into is the delivery of vocational rehabilitation services. Allegations have been made by consumer groups that the older worker is underserved in the services provided by the Department of Rehabilitation. Data seems to substantiate the allegations, but there are some problems with the data. Of the 88,267 new referrals in Fiscal Year 1975/1976, 16 per cent of the applicants were between the ages of 50 to 64 years old. Of the 25,414 accepted for services, only nine per cent were in this age group. No reasons were available from the Department's statistics for the relative underserving of the older person. Data on reasons for rejection of referrals was not broken down by age. According to consumer groups, the older worker is automatically classified as "too severely handicapped, because of their age, to benefit from rehabilitation services,"

not on the basis of degree of physical or mental disability. If this were to be investigated and documented, clearly age discrimination would be identified.

Again, the data is not readily available to document the degree of service received by each age group, and to determine why some age groups received less service than others. I was told, a special computer run could be ordered, but this type of data should be done as part of the routine, not as special reports. Also, the new referral data does not correspond exactly to the cases accepted, since some of the cases accepted may be a result of a referral from the previous year. Does this mean that program administrators are making the decision to deliver services to younger people on the assumption that they are a better investment for the future? This could be particularly true when a program has to justify its long range cost effectiveness.

One of the greatest problems facing service delivery, both at the Federal and State level, is incomplete and conflicting data. Program priorities and allocations cannot be accumulated, examined, and analyzed to provide a basis for sound responsive programing. Instead of each agency having catch-all categories, we must establish accurate and up-to-date baseline data which will enable us to monitor the extent to which programs are meeting legislative, regulatory, or guideline objectives for delivering services to the elderly. So as a priority then, the United States Commission on Civil Rights should

first undertake to see that data is collected which will provide for a base for planning and action, and secondly utilize the data to bring about improvements to the agencies and institutions which serve the elderly.

These are just a few of the problems to which I hope the Commission will address itself.

To U.S. Commission on Civil Rights
June 28, 1977
JFF:ect

*Exhibit No. 25*Questions for the State Legislators

1. What role do you believe that age advocate groups can play in influencing the allocation of social and health services funds? Can you cite instances where any have been particularly effected from your vantage point?
2. We have found that in other States, their legislatures are having a substantial and increasing impact on the allocation of federally financed benefits and services among various age groups. This influence is exerted through the appropriations process (i.e., not providing matching funds for a certain service or specifying some categorical use of Federal or State funds appropriated) through enactment of substantive State laws (i.e., child abuse or parental consent requirements). In your experience does the California legislature exert a similar influence?
3. We understand that a bill has recently been introduced in the legislature prohibiting age discrimination in the provision of services? What kinds of issues are being examined or questions being used in the consideration of this bill?
4. What age groups do you believe are not receiving their "fair share," of resources and services benefits? Why?
5. What effect would you see the Federal Age Discrimination Act as having on any existing State laws?
6. What kinds of regulations, including enforcement procedures, do you believe will be required to effectively enforce the prohibition against unreasonable discrimination on the basis of age?

Willie L. Brown, Jr.
RESPONSE TO QUESTIONNAIRE

1. Age advocates groups can and do play a significant role in the allocation of social services funds. The Legislature recognized this in expanding and strengthening the former State Office of Aging into into a Department of Aging. Further, advocates for children's groups were instrumental in assisting the passage of legislation I sponsored (AB 2068-1975) to create a child health disability screening program and securing \$10 million in state funding for the program.

2. Yes, but to a limited degree. The California Legislature can prevent federal funding for programs, but this is a relatively unusual authority and our legislative fiscal committees do not closely scrutinize federal funding sources. The Assembly is attempting to remedy this situation and will hold hearings this interim to consider methods of strengthening oversight of federally funded programs.

3. The bill you refer to is AB 803 which I authored and which has now been signed by the Governor.

The measure passed both houses with little controversy, since the bill essentially incorporated into state law existing provisions of the U.S. Civil Rights Act of 1964. Only the League of California Cities publicly opposed the bill, largely due to harassment by state agencies and added paperwork. At one time, there was some concern that the bill would harm special programs for the aged, but amendments removed this issue. I am enclosing legislative committee analysis of AB 803.

4. I am especially concerned that children are not securing their fair share of resources and services, especially in the provision of health and nutrition services and child care. The federal and state governments provide funding for educating our children, school lunches, and some health screening, but in the area of preventive health services, funds are limited.

One reason for this situation at the state level, is that advocacy services for children are diffuse, and erratic. Many of the groups that supported my AB 2068 two years ago, have gone out of existence.

5. The effect of a Federal Age Discrimination Act would, of course, depend on the specific contents of the bill. Care must be taken to avoid wiping out necessary child protection and child labor laws.

Existing state laws that might be affected also include pension benefits (limiting to persons who have reached a designated age); tax benefits i.e. property tax assistance for senior citizen renters, homeowners, and income tax credit for the elderly. Such a federal law could help us pass legislation to prohibit discrimination against youths, children in the leasing and sale of housing. Parents with families now have a very difficult time in securing apartments.

6. The enforcement procedure in AB 803 will allow withholding of state funds from programs discriminating in the provision of services. To cut off all funds is a potentially too harsh penalty, and thus the bill allows for partial withholding of funds so that the penalty can be tailored to meet the seriousness of the offense.

Enforcement tools must also include administrative remedies and a complaint procedure for individuals and groups who allege age discrimination. Further, the enforcement agency should have the authority to petition for injunctions and obtain cure penalties, restitution and recovery of investigative costs. (Modelled after consumer protection laws.)

June 1977

SAN FRANCISCO
NEIGHBORHOOD LEGAL ASSISTANCE FOUNDATION
CLIENTS SERVED IN 1976 AGE BREAKDOWN

	Under 22	22-30	31-44	45-64	65 and over	TOTAL
Jan, Feb, Mar '76	9% 297	35% 1111	27% 859	20% 639	8% 247	3153
pr, May, Jun '76	8% 263	37% 1122	26% 796	21% 622	7% 230	3033
Jul, Aug, Sept '76	9% 253	36% 986	28% 757	20% 560	7% 183	2739
Oct, Nov, Dec '76	8% 206	37% 979	26% 682	21% 550	7% 189	2606
Jan, Feb, Mar '77	7% 296	37% 1482	30% 1187	19% 748	7% 308	4021
TOTAL	8% 1315	37% 5680	27% 4281	20% 3119	7% 1157	15552

Exhibit No. 26

*Exhibit No. 27*MEANS TEST/INCOME ELIGIBILITY FOR LEGAL SERVICES SUPPORTED
UNDER TITLE III OF THE OLDER AMERICANS ACTIssue:

May programs or activities supported under Title III of the Older Americans Act of 1965, as amended use a means test to determine eligibility for receipt of legal services that are financed with Title III funds?

Conclusion:

A means test to determine eligibility for legal services is prohibited.

Background:

On November 28, 1975, the Older Americans Act of 1965 was amended (P.L. 94-135). In pertinent part, section 302(1) of the Act was amended to include the following services within the definition of social services:

(F) services designed to provide legal and other counseling services and assistance, including tax counseling and assistance and financial counseling to older persons. (§103 of P.L. 94-135)

In addition, section 305(a) of the Act was amended to require that State plans provide for the use of not less than a certain percent of the States' Title III Area Planning and Social Services allotment for four national priority services. One of these is the "legal and other counseling services and assistance" defined above (§106 of P.L. 94-135). Similarly, area agencies on aging are required to give priority to the four services in providing for social services in their designated geographic areas (§106 of P.L. 94-135, amending §304(c) (2) of the Act).

The legislative history of the amendments indicates that Congress recognized "a need to expand the provision of legal services specifically designed to meet the legal needs of older Americans." (U.S., Congress, Senate, Committee on Labor and Public Welfare, Older Americans Amendments of 1975: Report to Accompany S. 1425, 94th Cong., 1st sess., 1975, S. Rept. 94-255, p. 24). This report noted further -

Superimposed upon the lives of the elderly is a vast array of complex statutory, regulatory, and decisional law. Their shelter may be provided or secured under Federal or state public or subsidized housing laws, relocation laws, and zoning

laws. Their health is often dependent upon Medicare, Medicaid, laws regulating nursing homes, and laws relating to prescription drugs. Their nutrition is often secured by the Title VII Nutrition program, the Food Stamp program, or other Federal established nutrition programs. The source of their incomes may be Social Security, Supplemental Security Income under Title XVI of the Social Security Act, other Federal retirement benefits programs, or private pensions. Finally, the dignity of their personal freedom and control of their personal and real property is subject to the complex laws of guardianship, conservatorship, and involuntary commitment. They must have some place to turn for adequate and effective legal assistance in dealing with a vast complex of crucial legal issues if they are to take full advantage of the Governmental programs designed to benefit the elderly. (pp. 24 and 25)

No indication exists in either the legislative history of P.L. 94-135 or in the amended language that Congress intended or authorized the use of a means test to determine eligibility for legal services. The legislative history shows instead that means tests are not to be used in connection with receipt of any services provided under the Act.

In discussing the differences between Title XX of the Social Security Act and Title III of the Older Americans Act, the Senate Report noted: "Unlike Title XX, Title III has never contained income standards for eligibility for services". (p. 40) In discussing consideration of the particular needs of low income older persons under the Title III program, the Report stated:

Title III of the Act has never been a poverty program in the sense that its benefits were provided solely to poor persons or that a means test was employed. There is clearly a psychological barrier for older persons in participating in programs that are stigmatized as poverty programs or which embody a means test. Even those in the greatest need will very often avoid such programs out of a reluctance to be considered a charity case. Hence, a major reason for the success of the Title III program in the past has been its avoidance of the poverty label. Indeed, in many cases, poverty is not the most reliable index of need for services which may not be available to older persons at any cost.

Nevertheless, there is no question that, on the whole, older persons with low incomes have a more difficult time than those with adequate means.

Thus, the Committee has deemed it appropriate to require the states to take into account the particular needs of low income persons in developing and implementing the state plan. This is not to say that anything resembling a means test is authorized for Title III programs. (p. 18; emphasis supplied)

Moreover, with specific reference to legal services, the Report noted the plight of the "non-destitute poor:"

In addition, the situation of a non-destitute elderly person with respect to legal representation may be even more acute than that of an elderly poor person. The former has too much income or resources to qualify for free legal services and yet often cannot afford to hire a private attorney, such attorneys may be unavailable since the intricacies of the programs governing an elderly person's life are unfamiliar to many in the private bar. (p. 25)

This position is consistent with prior Congressional statements. For example, when Congress passed the Older Americans Comprehensive Services Amendments of 1973 (P.L. 93-29), it noted -

...the Older Americans Act was never intended to operate as a welfare program in the sense that it does not contain a means test and its services are not restricted to those with incomes below the poverty line. Indeed, those active in the field of aging find that older persons are, in many cases, resistant to utilizing needed services when those services are viewed as part of a welfare program. (U.S., Congress, House, Committee on Education and Labor, Comprehensive Older Americans Services Amendments of 1973: Report to Accompany H.R. 71, 93d Cong., 1st sess., H. Rept. 93-43, p. 8)

Use of a means test by State or area agencies or their grantees or contractors to determine eligibility for legal services supported with Title III funds is not permitted under the Act.

* * * * *

Prepared by staff of the U. S. Commission on Civil Rights

Reviewed and approved as accurate by the Administration on Aging of the U. S. Department of Health, Education, and Welfare.



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SENIOR
ADULTS
LEGAL
ASSISTANCE

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July 23, 1977

Ms. Eileen Bradley, Director
United States Commission On Civil Rights
Age Discrimination Study
1730 "K" Street, N.W., Suite 214
Washington, D.C. 20425

Dear Eileen:

As I promised in the hearings and as you requested, I am enclosing a copy of the model guidelines developed by the Model Project grantees of the Administration On Aging. As I indicated, those were developed over one year ago and some of us have raised questions about how practical they actually are.

I am one of the dissenters. Rather than adapt a rather complicated set of criteria, I feel that it is much more straightforward and suitable to use the "categorical guidelines" that we use. Accordingly, I am enclosing a copy of our guidelines. If you would like any additional information about why we use them or their rationale, I would be happy to tell you. In simple summary, we use them because we never have to ask about a person's income, but will avoid doing the kinds of work higher income people typically demand.

It was good seeing you again. If I can be of assistance in the future, please let me know.

Sincerely,


MIKE GILFIX

MG: jaj

Enclosures

AUG 2 1977

SALA

Guidelines For The Provision Of Legal Services

- I. SALA employs no means test as a prerequisite to the provision of legal services.
- II. SALA does employ categorical guidelines. That is, there are certain types of cases that SALA will not do. These include the following:
 - A. Fee generating cases
 - B. Business law cases
 - C. Estate planning
 - D. Criminal law
 - E. Other cases beyond the scope of this legal services program.
- III. In every case, SALA will attempt to refer the client to the appropriate agency, entity or individual for the provision of necessary services and attention.

Louisiana Center for the Public Interest

November 21, 1975

Client Eligibility Guidelines for the Elderly



The following criteria are of an advisory nature only, representing the consensus of eleven model project grantees receiving funds from the Administration on Aging to promote the delivery of legal services to the elderly. The eligibility standards suggested below are not intended to stifle experimentation by Title III legal services providers.

- 1) These guidelines shall apply to persons age 60 and above.
- 2) Clients to whom private counsel is actually available should be referred to the private bar; where private representation is not actually available, because of physical or mental disability or because the case does not offer the promise of a reasonable fee or because representation is not available at a reasonable cost to the client, legal services should be extended to the client.
- 3) Priority in the delivery of legal services should be given to those older persons whose income does not exceed the Intermediate Budget for Retired Couples (and, as adjusted, for Individuals) of the Bureau of Labor Statistics.
- 4) Certain assets which fall within the "rainy day/nest egg" category--e.g., personal residence, minor savings, stocks and bonds--and which older persons should not be forced to invade in order to secure legal representation ought to be excluded from assessments of ability to pay for private counsel.
- 5) Where not otherwise eligible, representation may be afforded based on the nature of the case according to the following considerations: Is the problem presented typical of problems affecting large numbers of the elderly? Does the matter have test case significance? Is the problem one that affects no one person so directly as to motivate personally financing the litigation but that in the aggregate has a significant impact on the elderly?

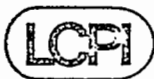
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Louisiana Center for the Public Interest

November 21, 1975

Client Eligibility Guidelines for the Elderly: Explanatory Statement



The elderly occupy a uniquely disadvantaged position economically. They must exist on fixed incomes that are extremely vulnerable to inflationary increases. They are generally excluded from the workforce, which deprives them of opportunities to supplement income. As a group, the elderly are beset by exceptional medical expenses, which further deplete their limited income. Their economic difficulties are compounded frequently by physical and mental handicaps. These factors combine to limit their mobility and access to needed services. A survey of legal services offices has reflected that the elderly poor are not afforded legal services in the same proportion as are the poor generally. In the light of such realities, some special eligibility criteria responsive to the genuine needs of an elderly clientele should be considered by both Title III legal services providers as well as by offices of the Legal Services Corporation.

It should be emphasized that the accompanying client eligibility guidelines for the elderly are only advisory in nature and that they are not intended to discourage experimentation with other ways of meeting client needs.

The first eligibility criterion restricts application of the special eligibility guidelines to persons age 60 and above. Use of age 60 is consistent with the Older Americans Act and the general practices of the Administration on Aging.

The second eligibility criterion prohibits legal services representation in fee-generating cases where private bar representation is actually available to the client at a reasonable fee. This is consistent with the traditional approach to eligibility for legal services and, moreover, seems to be a good policy in delivering services with scarce resources; publicly funded lawyers should not be expending their time on cases where private representation is available. The reverse side of the coin is demanded by equity; however--that where private representation is not available, the publicly funded bar does have a duty to afford representation. Note that the use of the term "actually available" implies more than a theoretical determination of availability; the client must be able to secure representation in fact. The refusal of the private bar might be predicated on any of a number of different bases: inability of the older person to appear personally at the lawyer's office because of physical handicap; unlikelihood of the case generating a reasonable fee. Procedurally, the same method of determining unavailability of private counsel might be employed as that used in the past by CEO legal services offices: two written rejections of the case by members of the private bar would authorize the legal services project director to exercise discretion in making representation available to the client. Finally, private rep-

representation must be available at a reasonable cost to the client; the recent Goldfarb decision would seem to compel a responsibility on the part of the bar to examine fees in the light of reasonableness, rather than determining them according to a fixed standard.



The third eligibility criterion establishes a priority in the delivery of legal services to the elderly by favoring those persons whose incomes fall below the Bureau of Labor Statistics' Intermediate Budget for Retired Couples. Title III of the Older Americans Act does not favor a rigid means test in determining eligibility for services under the Act; accordingly, rigid adherence to income criteria has been avoided as a basis for eligibility to receive Title III legal services. The Older Americans Act does, however, encourage service delivery to poor and minorities, and the recognition of a priority to deliver services to the elderly poor--where needs are most acute--complies with the Act's objectives.

Offices of the Legal Services Corporation should recognize a duty to review their income eligibility criteria in light of their applicability to the elderly. Where, for example, determinations of eligibility for legal services allow for childcare deductions, it should be recognized that such deductions are not relevant to the needs of the elderly, and some appropriate substitute (such as for medical expenses) should be made. This is a minimum requirement to assure equality for the elderly in the delivery of legal services.

The fourth eligibility criterion recognizes that no resources test should exclude the elderly from services when the application of a test would require invasion of assets required for a "rainy day" or accumulated over the course of a lifetime as a "nest egg." The fourth criterion expresses a policy of not depleting lifetime savings in order to secure legal representation. The use of the term "minor" contemplates that some knowledge of the client's asset situation may be obtained in deciding whether to provide representation, but that no substantial invasion of such assets should be required of the client. It should be noted that income-producing assets will be calculated under the third criterion as part of the eligibility determination.

This criterion presents another area of need in which offices of the Legal Services Corporation should review their eligibility criteria for their impact on the elderly. The same principle stated as a guideline for Title III legal services providers should be adopted by offices of the Corporation. Application of the same resources test as that applied to the poor generally would fail to recognize the uniquely disadvantaged position of older persons in terms of their ability to generate new assets. Once depleted, assets of the "rainy day/nest egg" variety cannot be regenerated by most elderly, since they are excluded from the workforce and penalized by Social Security for excessive earnings.

The fifth criterion provides an independent, "nature of the case" determination on which legal services may be provided. Representation should, for example, be extended to a client 55 years of age where that client's case raises questions of relevance to persons age 60 and above. The fifth criterion provides a basis on which such representation may be justified.

Exhibit No. 28

STATE OF CALIFORNIA—HEALTH AND WELFARE AGENCY

EDMUND G. BROWN JR., Governor

DEPARTMENT OF AGING918 J STREET
SACRAMENTO, CALIFORNIA 95814

March, 1977

Friends of Aging:

Chapter 1080, Statutes of 1973, of the California Welfare and Institutions Code requires that the California Department of Aging render a written annual report of its activities and recommendations regarding aging programs in California.

In order to accommodate the recent change in the federal Fiscal Year, as well as to offer a better perspective on our progress, this report covers the period from July 1, 1975 through September 30, 1976 in detail, and conveys highlights from October 1, 1976 to early March, 1977. Our next report will cover the federal Fiscal Year extending from October 1, 1976 to September 30, 1977.

California has, during the period covered by this report, surpassed New York in numbers of elderly. In combination, California, New York and Pennsylvania have a senior citizen population that comprises 25% of the national total.

California has about 10% of the nation's 60-and-over population, or about 3 million. Of the 3 million, only about 5% live in institutions such as nursing homes, intermediate care facilities and residential care homes, while about 26% live alone or with non-relatives.

As a department, our concern is all of our 3 million elderly, each of whom has the right to live out life with dignity and the greatest possible degree of individual independence. However, where we must assign priorities - as often we are forced to do under existing resource limitations - we must direct our service emphasis to those most vulnerable to the loss of dignity and independence.

Overall, the problems and concerns of the elderly are not significantly different from those of the population at large. Transportation, housing, nutrition, health care and income, for example, are essential to each of us. But for the elderly who live on moderate and low fixed incomes, there is stark fear that life cannot ever improve.

It is our dual mission to insure that the situation does not deteriorate further while we work to improve the quality of life for this segment of the population.

- 2 -

In the text of this report, we have attempted to chronicle what has been accomplished and what foreseeably will be done. Finally, we have set forth our views of some of the more immediately pressing issues with which we must still deal.

As Director of the California Department of Aging, I intend to continue efforts that meet the test of the "Three Ps of Aging": Prevention, Production, Protection.

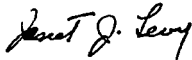
First, for those under 60, we must stress prevention of the negative aspects of aging through mechanisms such as effective pre-retirement planning and sound nutrition education and physical fitness training.

Second, those entering and in "retirement" age are, for the most part, well and active, and should be permitted the right to remain a part of the productive world. Necessary to this right is the elimination or modification of mandatory retirement laws, unless we are prepared to waste a valuable resource.

Finally, for the relatively small element in the older population who can no longer be productive, we must insure adequate and appropriate protective services which will ensure maximum safety and personal dignity for those no longer able to provide fully for themselves. Health care, patients' rights and personal safety are all critical issues.

Our success in the field of aging will be measured by the degree to which we have modified the public attitude toward aging. We must change the image of aging from that of a penalty for having lived too long to that of a reward for contributions to living.

The challenge has been made; we accept it.



Janet J. Levy
Director

ANNUAL REPORT
CALIFORNIA DEPARTMENT OF AGING

FOREWORD

This report covers the activities and accomplishments of the California Department of Aging during the 15-month period from July 1, 1975 to September 30, 1976. The unusual time-frame for an "annual" report reflects our desire to cover that period encompassed by the recent change in the federal fiscal year. Subsequent annual reports prepared by this Department will reflect activities during the federal October/September fiscal years.

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HISTORICAL OVERVIEW OF THE STATE AGING PROGRAM IN CALIFORNIA

In the late 50s, the Citizen's Advisory Committee on Aging (CACA) was legislatively established in recognition of the problems and concerns facing California's older citizens. The CACA, originating out of the first Governor's Conference on Aging in 1951 sought to formalize and disseminate information about and on behalf of the approximately 1.5 million individuals in the state who were then 65 years of age or over.

In 1962, encouraged by the CACA and other public and voluntary organizations the "Community Services for Older Persons Act of 1962" (SB 437) was enacted. State funding of \$150,000, administered by the CACA, provided demonstration and model project services by and for older Californians.

As a result of the interest of groups like the CACA, national activities such as the 1961 White House Conference on Aging, and different pieces of state legislation such as the "Community Services for Older Persons Act of 1962", Congress passed the Older Americans Act of 1965.

In July, 1966, the California Legislature enacted AB 166 to create the California Commission on Aging (CCOA). In October, 1966, AB 166 became law, and California became the second state in the nation to qualify for Older Americans Act funds. The CCOA, as policymaking and funding body, administered all aging monies in California, although in truth, "all" was very little, and was limited to demonstration/model programs in service delivery to the elderly.

Over the ensuing years, until 1972, few substantive changes were made in the Act. In 1972, however, the Title VII-Nutrition Program for the Elderly was added. The enabling appropriation, however, was impounded by President Nixon, and was not released for state implementation until August, 1973.

In 1973, Title III was completely revised from "Grants for Community Planning, Services and Training" to "Grants for State and Community Programs on Aging." The 1973 amendments completely changed the direction and emphasis of Title III from one of provision of direct services to one of development of comprehensive and coordinated systems of service delivery.

At the state level, also in 1973, the Burton Act for the Aging (AB 2263) was enacted to permit greater accommodation of the increased interest in and accountability for aging programs. This legislation provided that policymaking and administrative responsibility for the Older Americans Act in California would be the responsibility of a new Office on Aging. The Office, independent with departmental status, was established on January 1, 1974, as one symbol of the awakening recognition of California to a constituency that now numbered almost 2.7 million. A new California Commission on Aging was created to serve as an advisory body to the Office on Aging, the Governor and the Legislature on the problems, needs and concerns of the elderly.

In May, 1975, under provisions of AB 2285, the Office on Aging was renamed the California Department of Aging (CDA). Though representing yet further acknowledgement of the importance of California's elderly (now numbering almost 3 million), the change of name was essentially a formality, since the former Office had operated with departmental status from its inception.

GOALS AND OBJECTIVESOlder Americans Act

Title I of the Older Americans Act sets forth the meaning and intent of the dominant federal legislation on aging, and constitutes the base from which programs in aging operate now throughout the country:

DECLARATION OF OBJECTIVES FOR OLDER AMERICANS

Sec. 101. The Congress hereby finds and declares that, in keeping with the traditional American concept of the inherent dignity of the individual in our democratic society, the older people of our Nation are entitled to, and it is the joint and several duty and responsibility of the governments of the United States and of the several states and their political subdivisions to assist our older people to secure equal opportunity to the full and free enjoyment of the following objectives:

- (1) An adequate income in retirement in accordance with the American standard of living.
- (2) The best possible physical and mental health which science can make available and without regard to economic status.
- (3) Suitable housing, independently selected, designated and located with reference to special needs and available at costs which older citizens can afford.
- (4) Full restorative services for those who require institutional care.
- (5) Opportunity for employment with no discriminatory personnel practices because of age.
- (6) Retirement in health, honor, dignity - after years of contribution to the economy.
- (7) Pursuit of meaningful activity within the widest range of civic, cultural, and recreational opportunities.
- (8) Efficient community services, including access to low-cost transportation, which provide social assistance in a coordinated manner and which are readily available when needed.

- (9) Immediate benefit from proven research knowledge which can sustain and improve health and happiness.
- (10) Freedom, independence, and the free exercise of individual initiative in planning and managing their own lives.

California Department of Aging

The goals and objectives of the CDA listed below reflect our obligations under both federal and state law and policy:

- I. Develop and disseminate planning documents which reflect directions and activities leading to accomplishment of a comprehensive and coordinated system of service delivery for the elderly of California.
 - In conjunction with state and selected non-state resource entities, develop and document a long-range policy and plan for aging in California as specified in the Budget Act of 1976.
 - According to federal and state policies and guidelines, (and within the framework of the long-range plan) develop and implement an annual state operating plan for aging.
- II. Provide effective public awareness of programs for, and problems of, the elderly.
 - Periodically publish and disseminate information regarding new or ongoing activities and developments in the field of aging at federal, state and local levels, as required by state law.
- III. Develop and offer effective training programs for the benefit of the elderly.
 - Provide, or arrange for, training for departmental staff in subjects pertaining to the field of aging and in program and project administration, as specified in federal and state law and regulation.
 - Provide, or arrange for, training for project staff and members of the public, leading to effective and efficient delivery of services to the elderly, as specified in federal and state law and regulation.

- IV. Provide full and qualified technical assistance to providers and recipients of services to the elderly, in order to ensure maximum effectiveness and efficiency of federal, state and local resources available to the elderly.
- Provide qualified technical assistance in grants and project management and administration to projects and grantees operating under state and federal grants administered by the CDA, as required by federal and state law and regulation.
 - Provide qualified technical assistance in grantsmanship, program development and alternative programming to local public and private individuals and groups, including potential or prospective grantees as required by state law.
 - Provide qualified technical assistance to other departments and members of the Legislature in appropriate programming and protection for the elderly, as required by state law.
- V. Develop, implement and maintain aging program effectiveness, efficiency and conformity with prevailing federal and state policies, regulations and guidelines.
- Administer grants awarded under Title III of the Older Americans Act (OAA), to AAAs and DSA projects engaged in providing a wide range of planning, coordination, linkage of supportive and gap-filling services, as required under federal law.
 - Administer or review and comment on grants awarded to colleges, universities and related institutions under Titles IV-A, IV-B and IV-C of the OAA, for the purpose of providing technical and academic training in the field of aging and aging programs.
 - Review and comment on grants to be awarded under Title V of the OAA to local public and private, non-profit organizations for the purpose of providing multi-purpose senior centers.
 - Administer grants under Title VII of the OAA to local public and private non-profit groups engaged in providing nutrition and related supportive services to the elderly.

- Administer grants awarded under provisions of AB 2285 to public and private non-profit groups in providing innovative or unusually effective nutrition services to the elderly.
 - Administer and participate in a shared-cost grant designed to provide a trained cadre of paralegal personnel who serve as an alternative resource to the elderly statewide.
 - Administer and participate in a grant designed to support the development of a statewide nursing home ombudsman program.
- VI. Serve as the statewide clearinghouse of information on all aspects of aging, including federal and state programming, status of needs and resources, and elements of available or anticipated federal and state funding.
- Plan, coordinate and guide the California Interdepartmental Committee on Aging in its dual role of (1) increasing efficiency and effectiveness of state-level services to the elderly and (2) enlarging and improving the opportunities of the elderly in supportive participation for themselves and others, as required by Executive Order.
 - Develop and maintain a comprehensive library of publications dealing with aging for use by department and project staff and members of the public, as required by state law.
 - Develop and maintain an ongoing legislative reference service encompassing federal and state legislation affecting the elderly, for use by staff and members of the public including Legislators, as required by state law and administrative procedures.
 - Review and comment, through the A-95 procedure, on all proposals submitted for federal funding consideration, as required by state and federal law.
 - Compile, update and furnish data on analysis of unmet needs of the elderly, as required by federal and state law.

- VII. Establish and maintain effective management and administrative control to ensure compliance with federal and state policies and regulations.
- Implement and maintain a system of evaluation which will establish the level and degree of project and program achievement of all specified goals and objectives required by federal law and regulation.
 - Implement and maintain a grants management system that will ensure timely processing of grants monies with full fiscal integrity, as required by federal and state fiscal control entities.
 - Develop, implement and maintain a management information system that will ensure timely and accurate reporting of programs and project results.
 - Develop, implement and maintain a thorough and efficient decision-making system that will ensure full support of management decisions, coupled with rational and completed follow-through, including, but not limited to, operating procedures and manuals and decision-histories.
 - Perform internal management and administrative functions and duties associated with a single state unit on aging under prevailing federal and state law.

ADMINISTRATION OF THE CALIFORNIA DEPARTMENT OF AGINGOrganization

The CDA is one of seven independent state departments (Aging, Benefit Payments, Corrections, Employment, Health, Rehabilitation, and Youth Authority) within the Health and Welfare Agency, which is one of four agencies responsible to the Governor. Also a part of the Health and Welfare Agency are two Offices (Alcoholism and Narcotics and Drug Abuse) which enjoy near-departmental status.

The Director is appointed by the Governor to serve at his pleasure, subject to the consent of the State Senate. The appointment of the Director, by state law (AB 2263), must be "wholly on the basis of training, demonstrated ability, experience and leadership in the field of aging." Both the Director and the Deputy Director are exempt from State Civil Service requirements; all other employees are employed under civil service provisions.

The Department is structured in a conventional pyramidal hierarchy. There are staff positions responsible to the Directorate, but most functions and staff are encompassed within three major operating divisions: Field Operations, Program Support and Administration. A current Organization Chart is included as Exhibit I.

While the California Commission on Aging (CCOA) is shown in the Governor's Budget as one of the elements administered by the CDA, it is semi-independent of the CDA, relying on the CDA primarily for administrative (accounting and personnel) support.

The Commission is mandated by state law to act in an advisory capacity to the CDA and various other departmental entities and to serve as the principal advocate body in the state on behalf of older persons. (During the period of this report, the CCOA was comprised of 15 members, at least 9 of whom were required to be 55 years of age or older. An additional statewide advisory body, appointed by the CCOA, was created to convey to the CCOA the interests and concerns of seniors at the community level. Legislation (AB 4009) signed into law in September, 1976, and taking effect on January 1, 1977, enlarges the CCOA to 25 members, eliminates the statewide advisory body, and reconstitutes the membership to conform with federal regulations.)

Funding

Administrative funding for the CDA is provided jointly by the federal Administration on Aging and the state General Fund. Although federal monies may represent no more than 75% of total administrative monies, with a minimum "match" of no less than 25% from non-federal sources,

California's state General Fund contribution to aging is more than 50%. Other states make contributions of more than the minimum 25%, but to date only California has reached, let alone exceeded, the 50% mark. Exhibit II encompasses a comparison of CDA budget status at both the beginning and the end of the major 15-month period encompassed by this report.

Staffing

The CDA has a personnel budget of 85 positions, plus 2 positions funded through a one-time General Fund appropriation for long-range planning. The full staffing pattern as of February, 1977 is displayed in Exhibit III, and includes analysis by age, sex, ethnicity and employment classification.

Affirmative Action

The CDA's commitment to the principles of affirmative action and equal employment opportunity is demonstrated in two significant areas. First, a review of personnel patterns establishes that the percentages of minorities and women in the CDA workforce are in most areas substantially higher than in the workforce at large. Second, the CDA is the second state department or office to have provided Equal Employment Opportunity/Affirmative Action training to each member of its staff, regardless of work classification. (The State Personnel Board, responsible for the training program, was first.)

Geographic Location of Offices

In July, 1975 state aging program consulting services were provided out of three centers: Sacramento (headquarters), San Francisco and Los Angeles. In an effort to provide more efficient and effective technical support for local programs in aging, the San Francisco office was relocated to less expensive and more accessible quarters in Oakland and the regional office based in Sacramento was moved to Fresno (with one consultant left in Sacramento as out-stationed personnel). As a further step toward accessibility and economy, the headquarters of the CDA was moved from a commercial high-rise location to a "storefront" site closer to the downtown center.

Planning and Service Areas

Under federal mandate, each single state unit on aging is obliged to divide its state into geographic units called Planning and Service Areas (PSAs). In general, a PSA is any region within the state recognized for purposes of areawide planning which includes one or more units of general-purpose local government, plus additional areas adjacent to the unit of general-purpose local government, the inclusion of which the state determines to be necessary for and enhancing of the effective administration of aging programs.

In California, the state is divided into twenty-three PSAs, of which one is a city, eleven are single counties and eleven are multi-county areas. In fifteen of the twenty-three PSAs, Area Agencies on Aging have been named. In general, an Area Agency on Aging (AAA) is charged with developing and administering for its PSA a comprehensive and coordinated system of delivery of services to the elderly.

Exhibit IV shows (1) the state divided by PSAs, (2) the PSAs served by AAAs, and (3) the geographic boundaries served by each Regional Office.

CHARACTERISTICS OF THE ELDERLY IN CALIFORNIA

By the end of 1976, California's elderly population (60 years of age and older) numbered 3 million, of whom more than 15% were racial/ethnic minorities and of whom from 4.1%*to 20% (depending on variables considered) lived with incomes at or below the poverty level established by the Bureau of the Census.

Unfortunately, the absence of reliable data on the elderly does not permit us to speak much more specifically about the older-population as a whole. Most data is fragmentary; much is chronically suspect; some is acknowledged as totally inaccurate. Examples of problems are (1) the 1970 census which counts Spanish language/Spanish surname individuals within racial groups and again as a major ethnic group; (2) extensive data which is available on those elderly who have applied for Senior Citizen Property Tax Assistance, but on which there is no reliable index to those who might be eligible but have not applied; and (3) data compiled in a single major metropolitan area which established both the number of licensed older drivers and the number of vehicles licensed to older individuals, but which could not establish any definitive information on actual driving patterns (whether regular, occasional or intermittent) of older persons.

For purposes of general planning, data based on the 1970 Census, Fourth Count, is used because it is the only data base uniform statewide. Exhibit V offers this display.

* Poverty Status of Families Under Alternative Definitions of Income, Congress of the United States, Congressional Budget Office, Washington, D. C., January 13, 1977.

SUMMARY OF PROGRESS AND ACCOMPLISHMENTS

During the 15-month period covered by this report, the CDA divided its efforts between federally-mandated or -supported programs and state-mandated, - supported or - initiated programs. As of July 31, 1975, the CDA was administering more than \$19 million in federal grant monies, including Title III, IV-A, VII and Title III Section 308 funds. By September 30, 1976, federal grant monies being administered by CDA were almost \$27 million, and Title V had been added to the CDA's administrative responsibilities.

FEDERALLY-MANDATED OR -SUPPORTED ACTIVITIES

Title III - Grants for State and Community Programs on Aging (adopted October 11, 1973, has grown from \$6.8 million annually on July 1, 1975, to \$10.6 million annually as of September 30, 1976. In addition to 15 AAAs, 32 additional projects were operating in Direct Service Areas (DSAs) as of August 31, 1975, providing a variety of supportive services to the elderly. By September 30, 1976, while the number of AAAs remained constant at 15, the number of DSA projects had increased to 37.

Existing federal regulations and policy stipulate that the primary responsibility of any AAA is the development of efficient and effective comprehensive systems of service delivery to the elderly. Concomitantly, regulations and policy stipulate that provision of direct service (i.e. services to individuals) through AAA grants is permissible only when no other source can or should provide such service.

While not yet as effective as they must become, the AAAs funded under Title III have developed appreciably in spite of the controversial environment in which they were launched. At the core of the controversy is the concept of "administrative" costs.

If, by definition (and it is a popular definition) anything not visibly a service to individuals must be "administration," there emerges a strong case against excessive administrative costs of AAAs. However, planning, coordinating of existing resources and pooling of untapped resources are critical to developing an efficient and economical service delivery system. The success of the latter efforts cannot be measured on a short-term basis and cannot be measured in terms of conventional service units.

If public pressure has been felt on the subject of "administrative costs" of AAAs in general, it is easy to understand the impact on that sentiment of further regulations which stipulate that no less than 80% of Title III monies must go to AAAs. However it is well to note that 89% of California's 60-and-over population resides within the geographic areas served by AAAs.

Title IV - Training and Research (Part A-Training) grants are designed to improve the quality of service to the elderly and to help meet critical shortages of adequately trained personnel. During the period of this report, a total of 3,106 individuals received training under Title IV-A grants.

In July, 1975, California's share of Title IV-A grants amounted to \$295,751. By September 30, 1976, Title IV-A funding had increased to a 15-month allocation of \$527,861.

Title V - Multipurpose Senior Centers was added to the Older Americans Act in 1973, but no appropriation was made to support this title until 1976. Actual award of grants has been reserved to the federal Administration on Aging, following review and comment by states, but monitoring and technical assistance are the responsibility of each state. Based on a transitional quarter (July 1, 1976 to September 30, 1976) allocation of \$435,000, California projects a Title V funding level of \$1.8 million for Fiscal Year 1977. Title V authorizes acquisition, alteration or renovation of facilities in which a variety of services can be offered to the elderly.

Title VII - The Nutrition Program for the Elderly (adopted August 19, 1972; not "funded" to states until August, 1973) is intended to provide older Americans, particularly those with low incomes, with low-cost, nutritionally sound meals served in strategically-located congregate centers where other supportive and social services can also be offered. Aside from the obvious issue of nutrition for the elderly, the program is intended as a means of reducing the isolation that so often characterizes "old age."

On July 1, 1975, Title VII monies to California equaled \$8.4 million per year; on October 1, 1976, funding was increased to an effective level of \$19.4 million. As of June 30, 1975, 53 Title VII projects were serving over 13,000 meals a day, five days per week. By September 30, 1976, 65 Title VII projects were serving 25,450 meals per day. Approximately 39% of those individuals served were members of minority groups and roughly 65% of those served were low-income elderly.

Exhibit VI is a directory of Title III and Title VII projects indexed by county, current as of January, 1977.

In addition to administration of programs ongoing under the four major titles, the CDA is actively involved in two other critical programs wholly or in part funded from federal resources.

The Nursing Home Ombudsman Program, initiated in October, 1975, is designed to provide guidance and technical assistance to local groups desirous of establishing local nursing home ombudsman programs. Contrary to popular belief, state staff supporting this program do not and were not intended to serve in any direct ombudsman capacity.

The first local ombudsman project initiated under this program was established in January, 1976. (One local project began earlier, developing independent of the CDA program.) By July, 1976, a total of eight local programs were operating and an additional nine communities had indicated strong interest in having their own programs. At present, there are 12 projects in operation, with a total of one hundred local ombudsmen. An additional five projects are nearly ready to begin work.

The Paralegal Training Program for California's Elderly, a model project jointly funded by the CDA, the Administration on Aging, the State Office of Economic Opportunity and the CCOA, and begun on October 1, 1975, trained 60 paralegals to provide essential paralegal services to the elderly throughout California. At least 60% of the trainees were 55 years of age or over. Although trained to provide a wide range of services, the predominant service requested and given has been assistance in gaining Public Entitlement benefits such as Social Security, Medi-Cal and Welfare.

The second program year reflects a significant modification in the training target population, based on recognition that a large number of those being trained were not paralegals in the traditional sense. That is, they did not have access to attorney supervision nor to other direct legal resources such as legal secretarial help or a law library.

Rather, these individuals represented a wide variety of elderly social services. However it became apparent that these types of workers were certainly providing law-related services and were in great need of training in substantive law as well as in law-related skills.

As a result, the concept of the Community Service Advisor (CSA) evolved. The CSA represents the essential link between the social services arena and the legal arena. By providing this "bridge", the two arenas are emerging as a new social-legal services delivery system. CSAs will focus primarily on the provision of preventive law-related services rather than services of a "crisis intervention" nature which paralegals and attorneys generally provide.

The success of, and interest generated by this project has led to several national-level attempts at increasing quantity, quality and accessibility of legal services to the elderly. As one measure of the excitement created by the advent of this program, over 1300 individuals contacted the CDA regarding enrollment in the 60 training openings for the program, and a significant backlog of applications exists against new training openings as they develop.

The State Plan on Aging constitutes the basis of California's "contractual" obligation to the Administration on Aging for appropriate administration of programs authorized under and relating to the purposes of the Older Americans Act. Included in the State Plan, a document which is revised and updated at least once prior to the start of each federal fiscal year, are the state objectives with respect to the Older Americans Act (notwithstanding any state-originated activities), action plans for achievement of those objectives, resource allocation plans for all monies tendered to the CDA under the Older Americans Act, general provisions (including administrative structure exhibits, characteristics of the elderly, etc.) and supplemental exhibits as necessary for compliance.

The State Plan has evolved into an increasingly complex tool of some physical as well as administrative dimension. The CDA takes pride in the fact that its most recent state plan was singled out by representatives of the Administration on Aging as a model for other states to follow. As an example of our plan's depth and scope, the most recent Summary Listing of State Objectives is attached as Exhibit VII.

STATE-MANDATED, -SUPPORTED OR -INITIATED PROGRAMS

In addition to its obligation to administer or oversee federally-funded programs, the CDA is engaged in a wide range of state level activities and programs.

The California Interdepartmental Committee on Aging (CICA) was created by Governor Brown on June 7, 1976 under Executive Order B 15-76 (Exhibit VIII). The thirty active members and five ex-officio members of CICA represent state agencies, departments and offices which sponsor, administer or participate in programs of current or future benefit to the elderly. (The current membership list is attached as Exhibit IX).

The two roles which the CICA is charged with filling are (1) to identify and eliminate overlaps as well as gaps in services and programs for the elderly, and (2) to emphasize the constructive roles which senior citizens can take to improve quality of life for themselves and for the citizenry as a whole.

An unexpected by-product of the formation of CICA has been the development of informal communication links between and among many member agencies, leading to innovations not always limited to seniors. The emerging success of CICA has caused it to become a model for similar groups at the local level as well. Activities of the CICA are not separately funded; member departments absorb costs.

The concept of CICA was selected as a Special Interest Topic for the Annual Meeting of the Western Gerontological Society.

The California Long-range Plan for Aging (LRP) is a 15-month effort initiated under a legislative appropriation of \$123,216. The LRP has attracted considerable local and national attention as a potential model for state-level comprehensive planning for the elderly. Scheduled for presentation to the Legislature in October, 1977, the plan will include the views and concerns of the elderly themselves, technical experts in the field of aging and providers of service to the elderly. Though the concepts of the LRP and the CICA were arrived at independently of each other, a close interrelationship between the two has developed and is expected to significantly enhance the outcomes of both. Exhibit X reflects the text of the Legislative Analyst's recommendations regarding long-range planning. Exhibit XI is the Summary of Proposed Policies and Goals for the California Long-range Plan on Aging.

The Nutrition Reserve Fund was established in 1973 by the McCarthy-Kennick Nutrition Program for the Elderly (AB 638), as one response to President Nixon's impoundment of funds for the federal Nutrition Program for the Elderly. Subsequent release of the federal funds, coupled with local support of the program, led to an accumulation of monies in the Nutrition Reserve Fund. By early 1976, the Fund had grown to \$450,000, and little activity had occurred or could be anticipated against it in terms of its use for "local match," as originally intended.

Both the Administration and the Legislature recognized that while not an immense sum, the Nutrition Reserve Fund could be used toward amelioration of some of the pressing nutritional needs of California's elderly. AB 2285, signed into law on May 10, 1976, permitted greater flexibility in use of the Fund, and by June 30, 1976, the monies were awarded to 14 separate grantees throughout California.

Exhibit XII is the text of the arguments favoring the changes authorized by AB 2285, and contains the criteria suggested and subsequently adopted for use in allocating the Nutrition Reserve Fund monies.

The Senior Citizens Volunteer Tax Assistance Program is administered by the California Franchise Tax Board. Staff of the Board were convinced that large numbers of taxpayers were potentially eligible for the Senior Citizen Property Tax Assistance Program (SCPTA), but were not availing themselves of the program, perhaps because there were few convenient and reliable sources to whom seniors could go for information. At the same time, it was obvious that many elderly and disadvantaged persons needed assistance in filing personal income tax returns. Resource limitations of the Franchise Tax Board precluded assigning more "counselors" to the program. However, joint efforts of the Franchise Tax Board, the CDA and AAAs produced a program under which the Franchise Tax Board (under inter-agency contract with the CDA) trained volunteer seniors (recruited by the AAAs) to provide assistance with federal and state tax returns.

The Crime Prevention Program, in which the CDA participated with the Department of Justice to develop training programs and materials, is aimed at the need of all citizens (and especially the elderly) for techniques and procedures of crime prevention. Although the CDA has no formal contractual relationships with the Department of Justice, a productive relationship exists.

Transportation continues to be generally recognized as one of the compelling barriers to the elderly in maintaining personal independence. The CDA, in a joint interagency agreement with CALTRANS and the Department of Rehabilitation, worked diligently in implementation of Urban Mass Transit Act (UMTA) Section 16b(2) funding. A by-product of this effort was the formulation of the Interagency Social Services Transportation Committee, of which the CDA is an active member.

Employment, another critical problem for senior citizens, is an area in which the CDA had tangential involvement as a facilitator between the National Council on Aging and California's Employment Development Department. The resulting project, known as CAL-ESTEEM, trained 50 disadvantaged individuals 55 years of age or older to serve other older workers in job development and placement throughout the state. Of the 50 enrollees, 7 were placed in non-subsidized employment. An additional involvement in the field of employment has been the CDA's work with the State Resources Coordinating Committee of the Comprehensive Employment and Training Act (CETA) Council.

In pursuit of adequate Housing for the elderly, the CDA, working through the Secretary of the Business and Transportation Agency, initiated contacts with the Department of Housing and Community Development, the Housing and Finance Agency, and the Housing and Finance Commission.

As one tangible outcome, the CDA co-sponsored, with the California Department of Housing and Community Development, a workshop geared to potential applicants for Section 202 Housing and State Housing and Finance Agency programs.

As a result of applications submitted by the participants and others throughout the state, more than 1300 new housing units have been approved for the elderly.

Adequate Health Services, a continuing issue of importance to the elderly, was the focus of much CDA staff effort. Formal agreements were drafted with the Department of Health on Title XX, Medi-Cal, and utilization of selected staff. In addition, CDA staff maintained active advisory and consultative relationships with the Department of Health regarding adult day care, homemaker-chore, long-term care, preventive health and home-health services.

As part of its obligation to share pertinent information on matters of interest and importance in the field of aging, the CDA publishes a monthly newsletter. "Era" was chosen in July, 1975, as the new banner (replacing the former APEX), reflecting the desire of the CDA to emphasize new ways of looking at aging. With a circulation of about 25,000, Era's articles (on policy, new and existing programs in aging, coming events, commentaries from leaders in the field and changes in laws and benefits) go to each state, the Trust Territories of the Pacific, Canada, Brazil, Australia, Uruguay, Mexico, Japan and England. Era is provided without charge to anyone requesting it. Exhibit XIII is a copy of a representative first page.

PROPOSED ACTIVITIES AND ACCOMPLISHMENTS

Between the end of the period covered by this report and the present time, the CDA entered into three efforts of diverse but potentially far reaching impact.

- As a result of inquiries from the Carter-Mondale Transition Team, the CDA helped to arrange (and actively participated in) a working conference on Issues in Aging, held in San Diego. A joint venture of the CDA and the Los Angeles Office of the Mayor, the conference brought together representatives of a variety of disciplines affecting the status of the elderly. The product of the conference is expected to be a series of issue papers which may lead to important changes in federal, state and local regulations, and new directions in program emphasis.
- The CDA, reflecting its continuing concern for adequate and affordable legal services to the elderly, joined as an amicus curiae ("friend of the court") in the U. S. Supreme Court case of Bates and O'Steen vs the State Bar of Arizona, in support of allowing advertising for legal services, as a benefit to those (including the elderly) whose incomes are fixed at moderate and low levels.
- In December, 1976, the CDA joined with Citizens Savings and Loan to co-sponsor two conferences on aging. The conferences were designed to offer to a cross-section of people interested and working on the problems of the elderly the opportunity to express views and suggest ways by which private enterprise and government might work together for better programs.
- Continuing work on program grant administration, the CICA, the Long-range Plan and strengthening of local AAA capacity to plan and coordinate are expected to occupy a significant portion of the CDA's energy and resources. In addition, various new efforts are being planned for implementation during the remainder of the fiscal year.
- Title IX - Community Service Employment for Older Americans is administered at the federal level by the Department of Labor, through five National Contractors. For the first time, states will be administering Title IX programs (parallel to the National Contractors), and the CDA has applied for designation as the state agency to administer California's \$1.08 million share of Title IX monies. Considerable preliminary planning has already been completed, including tentative allocation of funds. However actual start-up will not begin until funds are released about July 1, 1977.

- Late in 1976, initial discussions were held by CDA and Department of Real Estate staff on the possibility of a pilot program in which senior citizens would proctor licensing examinations given by the Department of Real Estate. While not yet refined, this pilot project holds considerable promise as an opportunity for a new field of part-time employment for seniors.
- Preliminary negotiations are underway between the CDA and the Department of Rehabilitation to develop and implement a project utilizing Senior Companions for the elderly blind. There is a possibility that start-up of such a project could lead to commitment by ACTION to establish a separate project on a "matching project" basis.
- There is increasing public awareness of the dual issues of mandatory retirement and pre-retirement planning. The CDA expects to work closely with the Legislature and the Public Employees Retirement System on elimination or modification of existing laws relating to mandatory retirement. In addition, work has already begun with the California State Employees Association, the Public Employees Retirement System and other state agencies and departments to develop and implement a viable, ongoing program of pre-retirement planning for state employees.
- The CDA and the State Office of Economic Opportunity have begun discussion of a proposed Statewide Aging Conference which would bring planners and directors of senior programs together with representatives of major federal and state funding agencies. Two major objectives of the conference would be (1) to teach organizations how to identify and approach appropriate funding sources and (2) how to identify and overcome barriers to funding.
- Plans are also underway for the CDA to join with the American Foundation for the Blind in presenting two regional conferences on the elderly blind.
- Staff of local Social Security Administration offices will be receiving training through the CDA in how to more effectively meet the needs of the older client.
- Final planning and implementation of more effective coordination of Title III and Title VII services will be a major priority. The CDA has adopted a policy of encouraging the assumption of Title VII grants by AAAs in those areas where the AAA has demonstrated readiness and ability to assume this important responsibility.
- The older driver represents a growing problem in California. The CDA and the Department of Motor Vehicles are pursuing several methods of (1) helping the older driver to retain driving privileges by improving driving skills and (2) creating and publicizing alternatives for older persons who no longer drive safely and must be denied driving privileges.
- Under a recent change in federal regulations, California will be permitted to apply directly for its share, estimated at \$1.8 million, of Title V - Multipurpose Senior Center funds. Projected date of obligation of funds to states is August, 1977.

RECOMMENDATIONS FOR THE FUTURE

Even allowing for the depth and breadth of ongoing activities on behalf of California's elderly, there is still much which needs to be accomplished. Listed below are a number of suggested changes and improvements which we believe will have significant impact on the issues being faced by today's older population.

Health Care

- Preventive health care, which includes diagnostic screening, is a vital measure. It permits detection and early treatment of conditions such as glaucoma and high blood pressure before the condition progresses to a point where the individual becomes disabled or where proper treatment becomes long and prohibitively expensive.

Transportation

- Transportation discounts offered for Senior Citizens by local transit operators should be made reciprocal, so that eligibility established in one locality would be honored automatically wherever a discount is offered, regardless of the individual's place of residence.
- A variety of transportation modes should be made available to the elderly, permitting the older citizen the greatest range of flexibility, mobility and accessibility to service.

Employment

- Public agencies, in example to the private sector, should review existing work assignments to determine which could be better served by part-time utilization of retirement-age persons seeking supplemental income.
- Health care providers should be urged to train and employ just-retired older persons as homemaker-chore and homehelp workers. Many in this pool of talent have time, energy, the need for limited employment to supplement often inadequate retirement income, the need to remain useful, and the desire to be of service.

Volunteerism

- Stipends to cover out-of-pocket expenses should be provided for older volunteers. Many capable and willing individuals are precluded from volunteer work because of inability to absorb attendant out-of-pocket expense. This is particularly true for older individuals who must live on moderate and low fixed incomes.

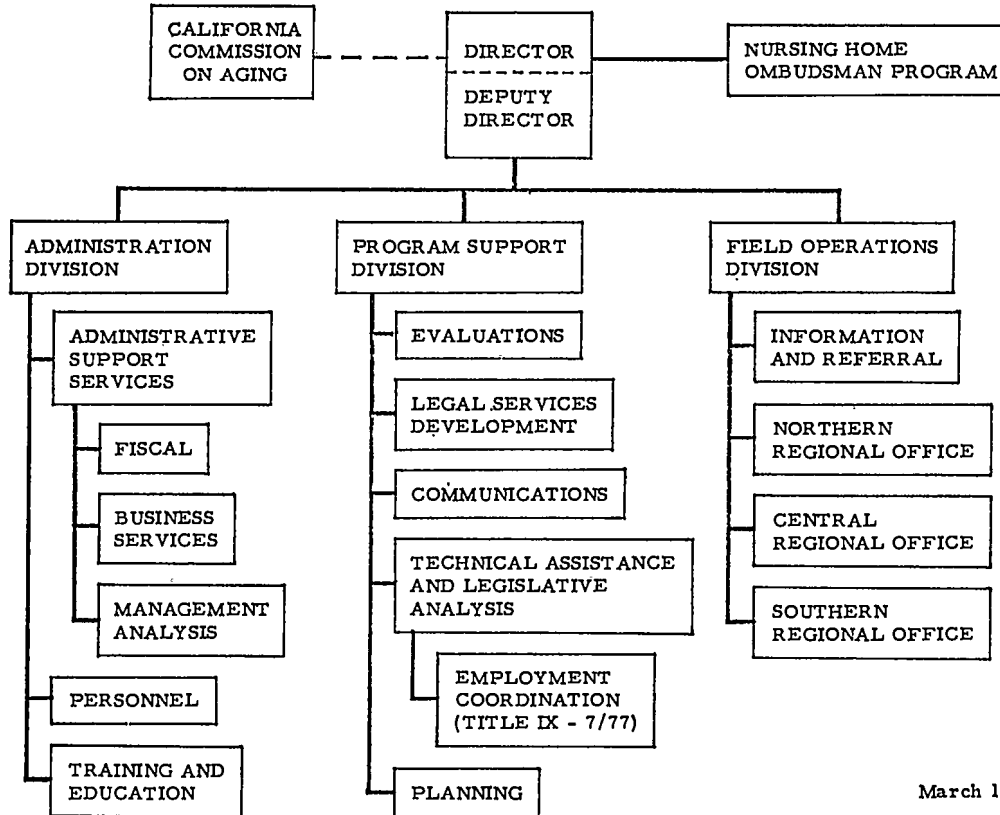
Nutrition

- Low cost and accessible "alternative market" food shopping should be made available to those elderly who have cooking facilities and prefer to cook for themselves, but lack access to low-cost, quality foodstuffs. Too many older individuals, due to lack of transportation, poor physical mobility and/or fear of neighborhood street crime, suffer from the effects of poor nutrition. Demonstration projects have established the value of "alternative" markets, but financial support is lacking. (The Mobile Mini-Market, partially funded last year by the CDA from the Nutrition Reserve Fund, is an excellent example of this approach.)
- Greater flexibility between the nutrition service modes of home-delivered meals, congregate meals and shopping assistance is needed for the elderly whose capacity for self-sufficiency is not static. The individual, depending on level of need at any given time, should have access to the service mode most appropriate, whether up or down the spectrum.
- Greater emphasis on, and availability of, discounts for seniors in public eating places would enhance nutrition for those without cooking facilities or access to nutrition programs; would, by allowing for a wider variety of dining options, enhance the twin goals of dignity and independence; and could extend the capacity of existing nutrition programs to serve a wider range of individuals by staggering service.

Housing

- Subsidized housing for the elderly should not be made contingent on development of other subsidized housing. Present laws pertaining to housing subsidies often tie senior housing construction to construction levels for other kinds of housing. It is our understanding that senior housing cannot exceed a fixed proportion of all housing. Thus, when for any reason all other housing does not develop, senior housing cannot expand, even when large sums of money are being held available.
- Subsidized installation of solar energy devices for homes owned and occupied by the elderly would serve two purposes. First, the lower maintenance and operating costs of solar energy would reduce drains on the elderly whose incomes are most often fixed at moderate to low levels, but who as a group tend to suffer most from heating shortages. Second, homes of the elderly would provide proving grounds for those who continue to question the safety and economy of solar resource units.

CALIFORNIA DEPARTMENT OF AGING

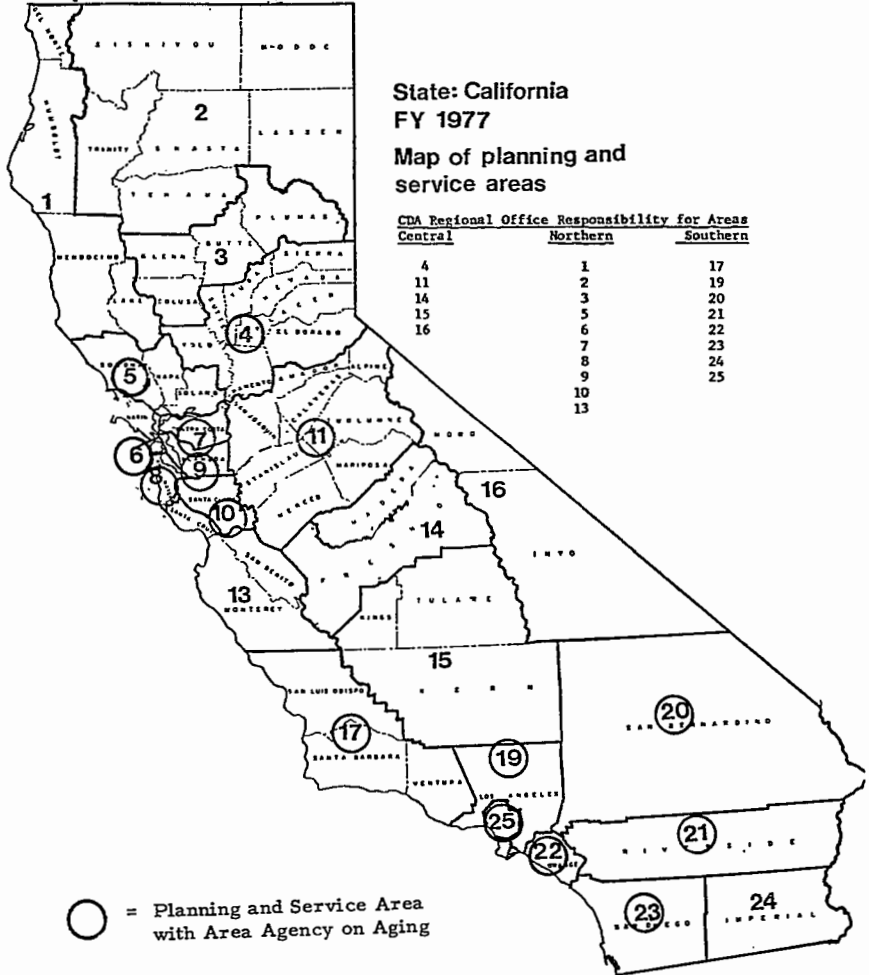


March 1977

EXHIBIT II

BUDGET COMPARISONS: July 1, 1975 to September 30, 1976

	<u>July 1, 1975</u>	<u>September 30, 1976</u>
Personal Services		
Salaries and Wages	\$ 1,155,439	\$ 1,325,598
Temporary Help and Overtime	Ø	21,252
Staff Benefits	<u>158,135</u>	<u>292,839</u>
Total, Personal Services	\$ <u>1,313,574</u>	\$ <u>1,639,689</u>
Operating Expense		
General Expense	\$ 28,681	\$ 139,404
Printing	2,500	60,300
Communication	30,560	51,000
Travel-in-State	78,874	111,815
Travel-out-of-State	4,700	8,900
Facilities Operation	90,375	63,460
Training	5,000	41,280
Consultant and Prof. Services	40,000	88,200
Equipment	Ø	5,000
Total Operating Expense	\$ <u>280,690</u>	\$ <u>569,359</u>
Reserve for Nutrition	<u>141,000</u>	<u>141,000</u>
Total Office/Dept. of Aging	\$ <u>1,735,264</u>	\$ <u>2,350,048</u>
Project Grants	\$ 19,021,027	\$ 26,786,680
Reimbursements-Federal	<u>(20,020,356)</u>	<u>(28,055,632)</u>
Total-General Fund	<u>\$ 735,935</u>	<u>\$ 1,081,096</u>



CHARACTERISTICS OF STATE'S OLDER POPULATION
BY PLANNING AND SERVICE AREA

1. Planning and Service Area (Identify by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial/Ethnic Composition of Population Aged 60 or Over (By Number)					8. Percent of Total State Family Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Income Below Poverty Level in Area	9. Percent of Total State Population Aged 60 or Over With Income Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
1	184,919	27,385	1.06	305	150	570	90	-	.28	4,910	1.25
2	162,737	26,840	1.04	475	130	737	-	-	.34	4,120	1.05
3	143,642	23,038	.89	95	360	768	138	-	.34	4,383	1.11
4	959,807	116,671	4.51	237	2,330	6,513	2,654	100	2.98	13,367	3.40
5	665,949	94,955	3.67	330	1,585	3,790	885	100	1.68	14,810	3.76
6	715,674	140,350	5.43	250	8,850	11,257	12,000	200	8.20	22,550	5.73
7	558,389	58,615	2.27	110	2,090	3,456	615	-	1.58	6,445	1.64
8	556,238	64,050	2.48	-	1,000	3,889	1,300	100	1.58	6,500	1.65
9	1,053,278	142,300	5.50	400	13,350	11,107	4,900	150	7.53	21,750	5.52
10	1,064,714	95,200	3.68	100	600	10,196	2,400	150	3.39	11,550	2.93

*Based on 1970 Census, Fourth Count

10/1/76

CHARACTERISTICS OF STATE'S OLDER POPULATION
BY PLANNING AND SERVICE AREA

1. Planning and Service Area (Label by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial Ethnic Composition of Population Aged 60 or Over (By Numbers)					6. Percent of Total State Minority Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Income Below Poverty Level in Area	8. Percent of Total State Population Aged 60 or Over With Income Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
11	643,373	92,246	3.57	351	2,355	7,822	3,782	150	3.64	19,268	4.89
13	392,086	51,105	1.98	190	120	5,135	1,210	-	1.68	9,580	2.43
14	454,474	61,025	2.36	135	2,415	6,851	655	100	2.48	11,480	2.92
15	582,099	78,210	3.02	335	3,205	7,644	1,555	200	3.26	16,295	4.14
16	19,587	2,865	.11	20	60	92	45	-	.05	630	.16
17	746,524	85,470	3.31	250	1,220	8,521	1,150	150	2.84	13,105	3.33
19	4,211,669	535,862	20.72	1,456	13,704	41,971	8,888	26,677	23.34	74,915	19.03
20	683,230	88,950	3.44	200	2,150	6,474	200	100	2.30	15,200	3.86
21	459,074	83,000	3.21	361	2,050	4,709	692	86	1.99	15,458	3.93
22	1,420,392	138,900	5.37	250	150	6,529	850	150	2.00	16,850	4.28

*Based on 1970 Census, Fourth Count
10/1/76

CHARACTERISTICS OF STATE'S OLDER POPULATION
BY PLANNING AND SERVICE AREA *

1. Planning and Service Area (Identify by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial Ethnic Composition of Population Aged 60 or Over (By Number)					6. Percent of Total State Minority Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Income Below Poverty Level in Area	8. Percent of Total State Population Aged 60 or Over With Income Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
23	1,357,854	165,350	6.39	450	2,800	10,090	1,250	-	3.67	20,850	5.30
24	774,492	10,755	.42	59	220	2,285	113	14	.68	2,517	.64
25	2,805,316	403,316	15.59	1,094	45,454	38,424	6,777	4,853	24.33	67,157	17.06
	20,615,517	2,586,458		7,453	106,348	198,830	52,149	33,280	398,060	393,690	
				.0029%	.0411%	.0769%	.0202%	.0129%	15.39%	15.22%	

* Based on 1970 Census, Fourth Count

10/1/76



Directory of
PROGRAMS AND PROJECTS
IN
AGING
funded under the Older Americans Act

Janet J. Levy, Director
CALIFORNIA DEPARTMENT OF AGING
918 J Street
Sacramento, CA 95814
(916) 322-5290

CALIFORNIA DEPARTMENT OF AGING

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CALIFORNIA DEPARTMENT OF AGING

Janet J. Levy, Director

918 J Street

Sacramento, CA 95814 ATSS 492-5290 - (916) 322-5290

CENTRAL REGIONAL OFFICE -

CALIFORNIA DEPARTMENT OF AGING

Jack Shaw, Regional Office Manager

2040 Kern Street

Fresno, CA 93721.....ATSS 421-5686 - (209) 488-5686

NORTHERN REGIONAL OFFICE -

CALIFORNIA DEPARTMENT OF AGING

Bill Winegar, Regional Office Manager

1404 Franklin St., Rm. 406

Oakland, CA 94612.....ATSS 561-0873 - (415) 4640-873

SOUTHERN REGIONAL OFFICE -

CALIFORNIA DEPARTMENT OF AGING

(vacant) Regional Office Manager

107 S. Broadway, Rm. 4014

Los Angeles, CA 90012.....ATSS 640-3180 - (213) 620-3180

Manager.....ATSS 640-3542 - (213) 620-3542

PROGRAMS AND PROJECTS ON AGING
 (Area Agencies on Aging, Titles III and VII Projects)
 FUNDED THROUGH CALIFORNIA DEPARTMENT OF AGING
 (By County)

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>ALAMEDA COUNTY</u>		
ALAMEDA COUNTY SENIOR CITIZENS NUTRITION PROJECT c/o Social Service Bur. of Oakland, Inc. 534 22nd Street Oakland, CA 94612	Billie Purnell	(415) 832-8542
AREA AGENCY ON AGING (Area 9) (includes Alameda County only) DEPARTMENT ON AGING 401 Broadway Oakland, CA 94607	Eddie James	(415) 874-6800
<u>ALPINE AND AMADOR COUNTIES</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
<u>BUTTE COUNTY</u>		
SENIOR INFORMATION AND REFERRAL CENTER P. O. Box 3583 (225 Wall Street) Chico, CA 95926	Dan Silva	(916) 895-5961 ATSS 8-459-5961
<u>CALAVERAS COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
<u>CONTRA COSTA COUNTY</u>		
AREA AGENCY ON AGING (Area 7) (includes Contra Costa County only) 2450 Stanwell Drive, Suite 220 Concord, CA 94520	Jane McClelland	(415) 671-4233
NUTRITION PROJECT FOR THE ELDERLY Human Resources Agency of Contra Costa County P. O. Box 871 (1111 Ward Street) Martinez, CA 94553	Ray Servante	(415) 372-2591

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>DEL NORTE COUNTY</u>		
DEL NORTE SENIOR CENTER, INC. Veterans Memorial Hall "H" Street Crescent City, CA 95531	Clyde Arnold	(707) 464-3069
<u>EL DORADO COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
SENIOR NUTRITION PROGRAM El Dorado County Community Action Council, Inc. 842 Pacific Street Placerville, CA 95667	Mary Jane Battaglia	(916) 622-7692
<u>FRESNO COUNTY</u>		
CLOVIS AGING PROGRAM AND DEVELOPMENT PROJECT Clovis Adult School 914 Fourth Street Clovis, CA 93612	Charles O. Peterson	(209) 299-4367
COMPREHENSIVE DEVELOPMENT PLAN FOR SENIOR CITIZENS Kerman Community Center 850 S. Madera Avenue Kerman, CA 93630	Lynn Bawdon	(209) 846-9384
FRESNO AGING INFORMATION REFERRAL City of Fresno 1221 Fulton Mall, Brix Building Fresno, CA 93721	Eddy Laine	(209) 488-1031
FRESNO-MADERA COUNTY SENIOR CITIZENS NUTRITION PROGRAM 2100 Tulare Street, Room 510 Fresno, CA 93721	Barry Donenfeld	(209) 485-0620
FRESNO SENIOR HEALTH SYSTEMS PROJECT 3930 E. Saginaw Way Fresno, CA 93726	Barbara Lundeen	(209) 222-2555 222-6351

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>FRESNO COUNTY, continued</u>		
FRIENDSHIP CENTER FOR THE BLIND 2036 Kern Street Fresno, CA 93721	Ron Prestridge	(209) 266-9496
KINGSBURG TRANSIT SERVICE City of Kingsburg 1401 Draper Street Kingsburg, CA 93631	Marge Wierman	(209) 897-3013
LEGAL AID FOR SENIORS (LAS) 1221 Fulton Mall Fresno, CA 93721	Elaine Harris	(209) 268-6322
ORANGE COVE INFORMATION AND REFERRAL SERVICE 555 6th Street Orange Cove, CA 93646	Jean York City Clerk	(209) 626-4488
PARLIER COMMUNITY CENTER 1100 Parlier Avenue Parlier, CA 93648	Ofelia Garcia	(209) 646-2767
REEDLEY INFORMATION AND REFERRAL PROGRAM 100 N. East Avenue Reedley, CA 93654	Rex Martin	(209) 638-2252
SELMA TAXI OPERATIONS PROJECT (STOP) 1814 Tucker Street Selma, CA 93662	Pete Esralian	--
WEST SIDE SENIOR CITIZENS PROGRAM Tranquility High School P. O. Box 457 Tranquility, CA 93668	Al Vita	(209) 698-7205
<u>HUMBOLDT COUNTY</u>		
HUMBOLDT-DEL NORTE SENIOR NUTRITION PROJECT Center for Community Development Humboldt State University, Graves Annex Arcata, CA 95521	Evie Tong	(707) 826-8733

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>HUMBOLDT COUNTY, continued</u>		
HUMBOLDT SENIOR RESOURCE CENTER 1217 Seventh Street Eureka, CA 95501	Ann Weiss	(707) 443-9747
SENIOR OUTREACH PROGRAM 911 Third Street Eureka, CA 95501		
<u>IMPERIAL COUNTY</u>		
IMPERIAL COUNTY SENIOR POWER NUTRITION PROGRAM 510 Main Street El Centro, CA 92243	Felipe Gauhape	(714) 352-8521
SENIOR CITIZENS AFFAIRS PROGRAM OF IMPERIAL COUNTY 510 Main Street El Centro, CA 92243	Ellen Jepson	(714) 352-8521
<u>INYO COUNTY</u>		
INYO COUNTY NUTRITION PROJECT County Road Big Pine, CA 93513	Michael Cosenza	(714) 938-2411
INYO COUNTY SENIOR CITIZENS PROJECT P. O. Box 88 Big Pine, CA 93513	Michael Cosenza	(714) 938-2411
OWENS VALLEY INDIAN TRIBAL ELDERS PROJECT c/o Owens Valley Paiute-Shoshone Band P. O. Box 1648 Bishop, CA 93514	Alexander David	(714) 873-6976

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>KERN COUNTY</u>		
CITY OF DELANO SENIOR CITIZEN NUTRITION PROGRAM /TRANSPORTAION PROJECT 925 Ellington Delano, CA 93215	Sylvia Haney	(805) 725-9650 725-9657
INFORMATION AND REFERRAL FOR SENIOR ADULTS (Kern County) 2101 K Street Mall Bakersfield, CA 93301	Clifton Johnson	(805) 395-4245
NORTH BAKERSFIELD TITLE VII NUTRITION PROGRAM/TRANSPORTATION PROJECT 405 Galaxie Bakersfield, CA 93308	Kathleen Chambers	(805) 399-2906
SENIOR CITIZENS NUTRITION PROGRAM OF KERN COUNTY 218-220 Eureka Street Bakersfield, CA 93305	Geraldine Bradley	(805) 322-3041
<u>KINGS COUNTY</u>		
INFORMATION AND REFERRAL AND TRANSPORTATION FOR SENIOR CITIZENS OF KINGS COUNTY 1122 W. Lacey Boulevard Hanford, CA 93230	Claire Lacey	(209) 582-3211 ext. 136
KINGS COUNTY SENIOR NUTRITION PROGRAM 324 N. Irwin Hanford, CA 93230	Carol Taylor	(209) 582-4386
<u>LAKE COUNTY</u>		
LAKE COUNTY SENIOR ASSISTANCE PROJECT P. O. Box 1406 Lakeport, CA 95453	Emily Medina	(707) 263-3067

Program/Project	Director	Phone
<u>LAKE COUNTY, continued</u>		
LAKE COUNTY SENIOR CITIZEN INFORMATION AND REFERRAL PROGRAM Old Main Street Grammer School Main Street Lakeport, CA 95453		
<u>LOS ANGELES COUNTY</u>		
ANTELOPE VALLEY COMMITTEE ON AGING 44221 Kingtree Avenue, Box 10 Lancaster, CA 93534	John McKenna (President)	(805) 948-6060
AREA AGENCY ON AGING (Area 19) (includes Los Angeles County only) LOS ANGELES COUNTY DEPT. OF SENIOR CITIZENS AFFAIRS 601 S. Kingsley Drive Los Angeles, CA 90005	Leon Harper	(213) 385-4221
AREA AGENCY ON AGING (Area 25) (includes City of Los Angeles only) MAYOR'S OFFICE FOR THE AGING City Hall, Suite 2100 200 N. Spring Street Los Angeles, CA 90012	Dr. Roy Azarnoff	(213) 485-4402
BELL GARDENS COMMUNITY CENTER NUTRITION PROJECT 5856 Leedell Street Bell Gardens, CA 90201	Rex M. Dye	(213) 773-3911
CASA MARAVILLA SENIOR CITIZENS NUTRITION PROJECT 4848 E. Colonia De Las Rosas Los Angeles, CA 90022	Lupe Bickley	(213) 263-9858
CHINATOWN NUTRITION PROGRAM FOR THE ELDERLY 870-B North Hill Street Los Angeles, CA 90012	John Chin	(213) 628-5545

Program/Project	Director	Phone
<u>LOS ANGELES COUNTY</u> , continued		
CITY OF GARDENA NUTRITION PROJECT Municipal Activity Center 1730 W. Gardena Boulevard Gardena, CA 90747	Mary Furutani	(213) 327-0220
CITY OF GLENDALE NUTRITION PROJECT City Hall, Room 120 613 E. Broadway Avenue Glendale, CA 91206	Karen Blue	(213) 956-2000
CITY OF INGLEWOOD NUTRITION PROJECT One Manchester Boulevard Inglewood, CA 90301	Lucille Androtti (Interim Director)	(213) 674-6555
CITY OF LOS ANGELES NUTRITION PROJECT 3191 W. Fourth Street Los Angeles, CA 90020	Marilyn Lurie	(213) 485-4884
CITY OF PARAMOUNT NUTRITION PROJECT 16420 Colorado Avenue Paramount, CA 90723	Carolyn Ellis	(213) 531-3504
CITY OF POMONA NUTRITION PROJECT P. O. Box 660 Pomona, CA 91769	Hermaine Utech	(714) 623-6811 ext. 277
CITY OF SANTA MONICA NUTRITION PROGRAM 1685 Main Street Santa Monica, CA 90401	Gwen Williams	(213) 393-9975
DICKINSON COMMUNITY LIGHTED SCHOOLS NUTRITION PROGRAM 1717 N. Long Beach Blvd., Suite 201 Compton, CA 90221	Rev. Wilbert Wade	(213) 637-6971 or 979-0739
ELDERLY NUTRITION PROGRAM 205 E. Broadway, 4th Floor Long Beach, CA 90802	Susie Ellis	(213) 432-6427

Program/Project	Director	Phone
<u>LOS ANGELES COUNTY</u> , continued		
FILIPINO AMERICAN COMMUNITY OF LOS ANGELES, INC., NUTRITION PROJECT 1740 W. Temple Street Los Angeles, CA 90026	Vincent Calzado	(213) 483-3392
GREATER WATTS SENIOR CITIZENS NUTRITION PROGRAM 11401 South Central Avenue Los Angeles, CA 90059	Hazel Lewis	(213) 566-4164
HOT MEALS FOR THE ELDERLY 1221 S. Western Los Angeles, CA 90006	Richard A. Lieboff	(213) 735-1231
KOSHER MEALS FOR THE ELDERLY 1044 S. Robertson Boulevard Los Angeles, CA 90035	Martha Newmark	(213) 659-8855
LITTLE TOKYO Koreisha Chu-Shoku Kai Center 125 Weller Street, Suite 150 Los Angeles, CA 90012	Emi Yamaki	(213) 628-1925
LONG BEACH STATE UNIVERSITY Long Beach Foundation 1250 Bellflower Boulevard Long Beach, CA 90815	Maureen Kusake	(213) 498-4180
NORTH HOLLYWOOD NUTRITION PROJECT 11640 Hesby North Hollywood, CA 91601	Doris Manoff	(213) 345-4114
NUTRITION AND HEALTH PROGRAMS FOR OLDER ADULTS 4865 E. First Street Los Angeles, CA 90022	Vincente Pichardo	(213) 268-9149
NUTRITION PROJECT FOR OLDER "NEW" AMERICANS 435 S. Boyle Avenue Los Angeles, CA 90033	Maria Vasquez	(213) 241-4171

Program/Project	Director	Phone
<u>LOS ANGELES COUNTY</u> , continued		
PROGRAM OF RETIRED CITIZENS 1450 W. Venice Boulevard Los Angeles, CA 90006	Geraldine Roberts	(213) 747-9611
RITE ORGANIZATION NUTRITION PROJECT 4508 South Western Avenue Los Angeles, CA 90062	Henry Davis	(213) 748-0461
SENIOR CITIZENS NUTRITION CENTER 1740 W. Temple Street Los Angeles, CA 90026	Vincent Calzado	(213) 483-3392
SENIOR CITIZENS NUTRITION PROGRAM FOR THE WHITTIER HEALTH DISTRICT 6505 S. Rosemead Boulevard Pico Rivera, CA 90660	Julieta Castruita	(213) 692-7768
SENIOR NUTRITION AND ACTIVITIES PROGRAM (SNAP) 717 N. Lake Avenue Pasadena, CA 91104	Shirley Curtin	(213) 798-0981
SUPPLEMENTAL NUTRITION PROGRAM 275 E. Olive Avenue Burbank, CA 91510	Elsabe Slatin	(213) 847-9746
VOLUNTEERS OF AMERICA - SUNSET CLUB 700 E. Broadway Long Beach, CA 90802	Betty Vail	(213) 437-5757
WATTS LABOR COMMUNITY ACTION NUTRITION PROJECT 11401 South Central Avenue Los Angeles, CA 90059	Ted Watkins	(213) 564-6784

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>MADERA COUNTY</u>		
MADERA COUNTY NUTRITION PROJECT AND MADERA COUNCIL ON AGING ESCORT SERVICE P. O. Box 985 Madera, CA 93637	Linda Avakian	(209) 674-8802
<u>MARIN COUNTY</u>		
AREA AGENCY ON AGING (Area 5) (includes Sonoma, Solano, Napa and Marin Counties) NORTH BAY SENIOR PLANNING COUNCIL 920 Sherman Avenue Novato, CA 94947	Henry Mattimore	(415) 892-1659
WHISTLESTOP JUNCTIONS OF MARIN 930 Tamalpais Avenue San Rafael, CA 94901	Mary Dale Scheller	(415) 457-5435
<u>MARIPOSA COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
<u>MENDOCINO COUNTY</u>		
ANDERSON VALLEY TRANSPORTATION P. O. Box 589 Boonville, CA 95415		
LAKE AND MENDOCINO SENIOR NUTRITION PROJECT North Coast Opportunities, Inc. P. O. Box 449 203 S. Main Street Ukiah, CA 95482	Eric Barentzen	(707) 462-1954
MENDOCINO INFORMATION AND REFERRAL P. O. Box 449 Ukiah, CA 95482	Duane Hill	(707) 462-1954

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>MERCED COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
PROJECT "CHERISH" Merced County Community Action Agency P. O. Box 2085 1725 L Street, Third Floor Merced, CA 95340	Delores Duckett	(209) 723-4565
<u>MONTEREY COUNTY</u>		
OLDER AMERICANS IN TOUCH 980 Fremont Street Monterey, CA 93940	Steve Grant	(408) 649-1222
SENIOR OUTREACH PROJECT Community Service Department P. O. Box 1087 Salinas, CA 93901	John Grissim	(408) 758-3881
<u>NAPA COUNTY</u>		
AREA AGENCY ON AGING (Area 5) (see Marin County)	Henry Mattimore	(415) 892-1659
NAPA VALLEY DINING CLUB Napa County Council for Economic Opportunity 8th and River Streets Napa, CA 94558	Joyce Freeman	(707) 255-6770
<u>NEVADA COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>ORANGE COUNTY</u>		
AREA AGENCY ON AGING (Area 22) (includes Orange County only) SENIOR CITIZENS PROGRAM OFFICE 801-C N. Broadway Santa Ana, CA 92701	Walter Scales	(714) 834-6017
GARDEN GROVE NUTRITION PROJECT 11391 Acacia Parkway Garden Grove, CA 92640	Arnie Zepel	(714) 638-6754
PROJECT TLC 124 N. Sullivan Street, Suite E Santa Ana, CA 92703	Shirley Cohen	(714) 835-8011
<u>PLACER COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
PLACER COUNTY SENIOR CITIZENS NUTRITION PROGRAM Auburn Neighborhood Council Projects, P. O. Box 1715 Auburn, CA 95603	Mildred Benstead	(916) 885-0122
<u>PLUMAS COUNTY</u>		
PLUMAS COUNTY TRANSPORTATION Route 1, Box 279 Quincy, CA 95971	Marjorie Fuller	(916) 283-0874
<u>RIVERSIDE COUNTY</u>		
AREA AGENCY ON AGING (Area 21) (includes Riverside County only) OFFICE ON AGING County of Riverside 21160 Box Springs Road Riverside, CA 92507	Fred Hubbard	(714) 787-6557

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>RIVERSIDE COUNTY, continued</u>		
RIVERSIDE COUNTY SENIOR CITIZENS NUTRITION PROGRAM 3575 Eleventh Street Mall (P. O. Box 1370) Riverside, CA 92502	Cindy Grotinger	(714) 787-6121
SENIOR NUTRITION PROGRAM 3900 Main Street - Mezzanine Floor Riverside, CA 92501	Michelle McQueary	(714) 787-7268
<u>SACRAMENTO, COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (includes Sierra, Placer, Nevada, Yuba, EL Dorado, Sacramento, Yolo and Sutter Counties) 1832 Tribute Road (Upstairs) Sacramento, CA 95815	Deanna Lea	(916) 929-6802
INTER-TRIBAL HEALTH AND NUTRI- TION PROJECT 2969 Fulton Avenue Sacramento, CA 95821	Gaea Duncan	(916) 483-7379
SACRAMENTO ELDERLY NUTRITION PROGRAM - PROJECT SENP 2418 K Street Sacramento, CA 95821	Tina Gontarski	(916) 483-7379
<u>SAN BERNARDINO COUNTY</u>		
AREA AGENCY ON AGING (Area 20) (includes San Bernardino County only) OFFICE ON AGING San Bernardino County 602 S. Tippecanoe, Rm. 1320, Bldg #3 San Bernardino, CA 92415	Miriam Stump	(714) 383-3673
Nutrition for Seniors 602 S. Tippecanoe Avenue, Bldg. #3, Rm. 1320 San Bernardino, CA 92415	Vicente Rodrigues	(714) 383-3527

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>SAN BERNARDINO COUNTY</u> , continued		
Tri-City Seniors Concern 17696 Foothill Boulevard P. O. Box 1430 Fontana, CA 92335	John D. Piazza	(714) 822-4493
<u>SAN DIEGO COUNTY</u>		
AREA AGENCY ON AGING (Area 23) (includes San Diego County only) SAN DIEGO COUNTY OFFICE OF SENIOR CITIZENS AFFAIRS 1955 Fourth Avenue San Diego, CA 92101	Richard Rachel	(714) 236-3269
SAN DIEGO COUNTY NUTRITION PROJECT 1955 Fourth Avenue San Diego, CA 92101	Wayne Shipley	(714) 236-4010
<u>SAN FRANCISCO COUNTY</u>		
AREA AGENCY ON AGING (Area 6) (includes San Francisco City and County) SAN FRANCISCO CITY AND COUNTY COMMISSION ON AGING 1095 Market Street, #700 San Francisco, CA 94103	W. Patrick Magee	(415) 558-2126
INTER-ETHNIC MEALS SERVICE 960 Stockton Street, #418 San Francisco, CA 94108	Katie Loo	(415) 433-7843
KIMOCHI - SAN FRANCISCO ISSEI PROJECT MEALS AND NUTRITION PROGRAM Kimochi, Inc. 1581 Webster Street, Rm. 10 San Francisco, CA 94115	David Ishida	(415) 931-2287
KOSHER NUTRITION PROJECT United Jewish Community Centers 3200 California Street San Francisco, CA 94118	Florence Edelman	(415) 665-7854

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>SAN FRANCISCO COUNTY, continued</u>		
MISSION REBELS SENIOR CITIZENS NUTRITION PROGRAM Mission Rebels in Action, Inc. 2700 16th Street San Francisco, CA 94103	Clara Morris	(415) 431-8000
SAINTE FRANCIS MEALS SERVICE 944 Market Street, Rm 500 San Francisco, CA 94102	Alma L. Lark	(415) 392-7463
SENIOR ACTIVITY AND MEALS PROGRAM Salvation Army 133 Shipley Street San Francisco, CA 94107	Evelyn Dexter	(415) 543-5383
WESTERN ADDITION SENIOR CITIZENS SERVICE CENTER 1234 McAllister Street San Francisco, CA 94115	Marjorie Bromfield	(415) 921-7805
<u>SAN JOAQUIN COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
FULL ACTIVITY CENTER PROGRAM, COUPLED WITH A NUTRITION, CONGREGATE AND IN-HOME MEALS PROJECT 114 S. Sutter Street Stockton, CA 95202	Mary Jane Thomas	(209) 465-7561
<u>SAN LUIS OBISPO COUNTY</u>		
AREA AGENCY ON AGING (Area 17) (see Santa Barbara County)	Joan Tadeo	(805) 969-5080
SAN LUIS OBISPO COUNTY SENIOR CITIZENS NUTRITION PROGRAM 1508 Marsh Street San Luis Obispo, CA 93401	Celia Sosa	(805) 544-4000

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>SAN MATEO COUNTY</u>		
AREA AGENCY ON AGING (Area 8) (includes San Mateo County only) SAN MATEO COUNTY AREA AGENCY ON AGING 704 Winslow Street Redwood City, CA 94063	Thomas J. Jordan	(415) 364-5600 ext. 2651
SAN MATEO COUNTY SENIOR CITIZEN NUTRITION PROJECT c/o San Mateo County Economic Opportunity Council 621 Middlefield Road Redwood City, CA 94063	Kathleen Haney	(415) 364-5600 ext. 4605
<u>SANTA BARBARA COUNTY</u>		
AREA AGENCY ON AGING (Area 17) (includes Ventura, Santa Barbara, and San Luis Obispo Counties) TRI-COUNTY AREA AGENCY ON AGING 1270-A Coast Village Circle Montecito, CA 93108	Joan Tadeo	(805) 969-5080
SANTA BARBARA COUNTY SENIOR CITIZENS NUTRITION PROGRAM 735 State Street Santa Barbara, CA 93101	Barbara Scott	(805) 963-1526

<u>Program/Program</u>	<u>Director</u>	<u>Phone</u>
<u>SANTA CLARA COUNTY</u>		
AREA AGENCY ON AGING (Area 10) (includes Santa Clara only) COUNCIL ON AGING OF SANTA CLARA COUNTY, INC. 277 W. Hedding Street San Jose, CA 95110	Richard Fisher	(408) 287-7111
NUTRITION AND SERVICE PROJECT FOR SENIOR CITIZENS OF SANTA CLARA COUNTY 55 W. Younger Avenue San Jose, CA 95114	Jean Gervais	(408) 299-3464
SENIOR NUTRITION PROGRAM 7484 Monterey Street Gilroy, CA 95050	Maria Soto	(408) 842-0266
<u>SANTA CRUZ COUNTY</u>		
ADULT INFORMATION AND REFERRAL CENTER 1126 Soquel Avenue Santa Cruz, CA 95062	E. B. Perretto	(408) 425-1414
SENIOR CITIZENS LEGAL SERVICES OF SANTA CRUZ 343 Church Street Santa Cruz, CA 95060	James Rumble Supervising Attorney	(408) 426-8824
TRANSPORTATION FOR THE ELDERLY AND HANDICAPPED 1100 Emeline Street Santa Cruz, CA 95060		
TRI-COUNTY NUTRITION PROJECT/ TRANSPORTATION UNIT 105 Harvey West Boulevard Santa Cruz, CA 95060	Mary Lou Coleman	(408) 427-3171
VOLUNTEER SERVICES, INC. 1110 Emeline Avenue Santa Cruz, CA 95060	Stephanie Smith	(408) 425-1558

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>SHASTA COUNTY</u>		
ANDERSON AREA SENIOR CITIZENS PROJECT 1887 Howard Street Anderson, CA 96007		
<u>SIERRA COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
<u>SISKIYOU COUNTY</u>		
YREKA SENIOR PROGRAM Senior Citizen Center 419-A West Miner Street Yreka, CA 96097	Jill Yates	(916) 929-6802
<u>SOLANO COUNTY</u>		
AREA AGENCY ON AGING (Area 5) (see Marin County)	Henry Mattimore	(415) 892-1659
SOLANO COUNTY ECONOMIC OPPOR- TUNITY COUNCIL 2100 W. Texas Street (P. O. Box 196) Fairfield, CA 94533	Mary Pruitt	(707) 422-7362
<u>SONOMA COUNTY</u>		
AREA AGENCY ON AGING (Area 5) (see Marin County)	Henry Mattimore	(415) 892-1659
SONOMA COUNTY COUNCIL ON AGING SENIOR NUTRITION PROGRAM 440 Fifth Street Santa Rosa, CA 95401	Nancy Meacham	(707) 525-0142

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>STANISLAUS COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (includes Alpine, Amador, Calaveras, Mariposa, Merced, San Joaquin, Stanislaus, and Tuolumne) AREA TECHNICAL AGENCY FOR AGING PROGRAMS (ATAAP) 1100 Kansas Avenue, Suite E Modesto, CA 95351	Jayne Coburn	(209) 521-7066
S.O.S.P. NUTRITION AND SUPPORTIVE SERVICES PROGRAM Senior Opportunity Service Program (S.O.S.P.) of Stanislaus County P. O. Box 3186 (416 Downey Avenue) Modesto, CA 95353	Steve Moreno	(209) 529-9790
<u>SUTTER COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
<u>TEHAMA COUNTY</u>		
SENIOR CITIZENS NUTRITION AUGMENTATION PROJECT 2440 Main Street, Room 55 Red Bluff, CA 96080	T. A. Dutton	(916) 527-6457
SUPERIOR CALIFORNIA SENIOR SERVICES NUTRITION PROJECT P. O. Box 1002 (2440 Main St., Rm. 55) Red Bluff, CA 96080	T. A. Dutton	(916) 527-6457
<u>TULARE COUNTY</u>		
MEALS FOR SENIORS City of Tulare 411 E. Kern Avenue Tulare, CA 93274	Jacqueline Zupp	(209) 688-2001

Program/Project	Director	Phone
<u>TULARE COUNTY</u> , continued		
MEALS FOR SENIOR CITIZENS Tulare County Housing Authority P. O. Box 791 Visalia, CA 93277	Roger Barr	(209) 732-6656
TULARE COUNTY INFORMATION AND REFERRAL CENTER 1821 W. Meadow Lane, Suite D Visalia, CA 93277	Betty Riggenberg (Interim Director)	(209) 733-4014
TULARE COUNTY SENIOR TRANSPORTATION PROGRAM Tulare County Housing Authority P. O. Box 791 Visalia, CA 93277	Roger Barr	(209) 732-6656
<u>TUOLUMNE COUNTY</u>		
AREA AGENCY ON AGING (Area 11) (see Stanislaus County)	Jayne Coburn	(209) 521-7066
CENTRAL SIERRA ELDERLY ASSISTANCE NUTRITION PROJECT, INC. P. O. Box 1145 Sonora, CA 95370	Hope Choate	(209) 532-6273
<u>VENTURA COUNTY</u>		
AREA AGENCY ON AGING (Area 17) (see Santa Barbara County)	Joan Tadeo	(805) 969-5080
HOT MEALS FOR SENIORS MEETING THE NUTRITIONAL NEEDS OF THE ELDERLY 545 So. Oxnard Blvd. Oxnard, CA 93030	Eleanor Evans	(805) 487-7711 ext. 4444 or 4565

<u>Program/Project</u>	<u>Director</u>	<u>Phone</u>
<u>YOLO COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
E.O.C. OF YOLO COUNTY, INC. "NOT BY BREAD ALONE" 511 Main Street Woodland, CA 95695	David Barnes	(916) 662-6272
<u>YUBA COUNTY</u>		
AREA AGENCY ON AGING (Area 4) (see Sacramento County)	Deanna Lea	(916) 929-6802
YUBA-SUTTER SENIOR CITIZENS NUTRITION PROJECT Bi-County Voluntary Action Center 6000 Lindhurst Avenue, Rm. 208 Marysville, CA 95901	Mary Lou Byer	(916) 743-6558

STATE California

EXHIBIT I-1

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SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #1 PRIORITY SERVICES

Ensure that, by September 30, 1977, a minimum of 20 percent of the total Fiscal Year 77 Title III funds for Area Planning and Social Services is obligated for the purpose of providing one or more of the following national priority services for older persons: in-home services; transportation services; legal services; and home maintenance and repair services.

TITLE III OBJECTIVE #2 LONG RANGE STATE PLAN

In conjunction with the California Interdepartmental Committee on Aging, develop by September 30, 1977, a long-range plan for developing a statewide network of comprehensive services to the elderly.

TITLE III OBJECTIVE #3 TITLE XX SERVICE DELIVERY

Develop, by March 31, 1977, an agreement between the California Department of Aging and the Department of Health whereby that department will initiate a system for regular reporting to the California Department of Aging of significant data relative to Title XX services delivered to persons aged 60 or over.

TITLE III OBJECTIVE #4 SERVICE REPORTING SYSTEMS

Through the California Interdepartmental Committee on Aging, establish by September 30, 1977, agreements with at least two participating State Departments which do not have a system for reporting on services delivered by age of service recipient, whereby those departments will initiate systems for regular reporting to the California Department of Aging of significant data relative to services delivered to persons aged 60 or over.

TITLE III OBJECTIVE #5 SERVICE RECOMMENDATIONS

Review, with at least three of the participating State Departments of the California Interdepartmental Committee on Aging, selected programs of their departments which provide services to older persons and recommend, by September 30, 1977, ways in which the availability and accessibility of these services to older persons could be improved within existing services capacity.

STATE California

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SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #6 HOUSING CRITERIA

Develop, by March 31, 1977, an understanding with the California Housing and Finance Agency and the Department of Housing and Community Development, by which the California Department of Aging will participate with them in the development of criteria for evaluating proposals and will review and comment on proposals submitted to the Housing and Finance Agency for funding of housing projects for older persons.

TITLE III OBJECTIVE #7 EMPLOYMENT PROGRAM RECOMMENDATIONS

Based on a joint evaluation of Project Cal-Esteem, develop recommendations with the Employment Development Department, by March 31, 1977, whereby that department could increase employment opportunities for older workers.

TITLE III OBJECTIVE #8 CRIME PREVENTION PROGRAM

Through September 30, 1977, participate with the Office of the Attorney General in the development and implementation of an expanded program for preventing crimes against the elderly.

TITLE III OBJECTIVE #9 POLICIES AND PROCEDURES

Complete, by March 31, 1977, development of an Administrative Manual clearly detailing basic operating policies and procedures of the California Department of Aging units administration of the statewide program on aging.

TITLE III OBJECTIVE #10 PUBLIC INFORMATION

Expand, by September 30, 1977, the content and scope of public information now provided by the California Department of Aging to ensure more timely publicity of California Department of Aging policies and programs.

TITLE III OBJECTIVE #11 TITLE III MANAGEMENT INFORMATION SYSTEM

Complete, by August 31, 1977, development of a basic management information system within the California Department of Aging which will provide for centralized collection and retrieval of the information required for monitoring, analyzing and reporting on Title III projects administered by the California Department of Aging program.

STATE California

EXHIBIT I-1

FY 19 77Page 3 of 4

SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #12

TITLE III EVALUATION MODEL

Develop, by September 30, 1977, an evaluation model to be used in the evaluation of Title III projects.

TITLE III OBJECTIVE #13

PARALEGAL AND SENIOR ADVOCATE PROGRAM

Conduct a Paralegal and Senior Advocate Program which, by September 30, 1977, will train:

- 25 Paralegals;
- 50 Public Benefit Administrative Law Specialists; and,
- 80 Public Benefit Application Specialists.

TITLE III OBJECTIVE #14*

OMBUDSMAN PROGRAM (LONG TERM CARE INSTITUTIONS)

Continue the effort initiated by the California Department of Aging Ombudsman Program to improve the quality of life for residents of long term care institutions, by expanding the network of local Ombudsman Programs to a total of 24 by September 30, 1977.

* This objective is contingent upon approval of a proposal for second-year funding of the Ombudsman Program under Title III Section 308 Model Projects.

STATE California

EXHIBIT I-1

FY 19 77Page 4 of 4

SUMMARY LISTING OF STATE OBJECTIVES

TITLE VII OBJECTIVE #1 NUTRITION PROGRAM EXPANSION

By December 31, 1977, award additional Title VII funds, allocated to California to increase the annual Title VII funding level to \$19.4 million, according to established and stated priorities for the funding of new projects and expansion of existing projects.

TITLE VII OBJECTIVE #2 NUTRITION RESERVE

By June 30, 1977, supplement and develop the capacity of the Statewide Nutrition Program by awarding State of California Nutrition Reserve Funds according to the established and stated priorities for these funds.

TITLE VII OBJECTIVE #3 TITLE VII MANAGEMENT INFORMATION SYSTEM

Develop, by May 31, 1977, a basic management information system within the California Department of Aging which will provide for centralized, and comprehensive, collection, storage and retrieval of all basic information required for monitoring, analyzing and reporting on Title VII projects administered by the California Department of Aging.

TITLE VII OBJECTIVE #4 STANDARDS

By June 30, 1977, establish applicable standards for Title VII budget cost categories, appropriate to different localities and mode of service provision, to be used as a basis for the approval of Title VII projects.

OFFICE OF THE GOVERNOR
 Sacto., California 95814
 Dave Jensen, Assoc. Press Secretary
 916-445-4571 6-7-76

RELEASE: Immediate

#214

Gov. Edmund G. Brown Jr. signed the following executive order prior to leaving the state:

EXECUTIVE ORDER NO. B 15-76

"WHEREAS, both the State of California and the federal government have recognized that there are nearly 3 million Californians 60 years of age and older who require and deserve special consideration because of the unique problems of the elderly; and

"WHEREAS, the numerous state and federal programs which offer consideration to the elderly are not presently equal to the relative needs of the elderly; and

"WHEREAS, the California Office on Aging is charged under federal and state law with ensuring the development and implementation of a comprehensive and coordinated system of delivery of services to the elderly, by coordinating existing services and pooling existing but untapped resources; and

"WHEREAS, an Interdepartmental Committee on Aging, by identifying and pooling existing resources within the state government structure, and by developing an effective means of self-help participation of older persons, can contribute significantly to cost reduction in a wide range of social programs.

"NOW, THEREFORE, It is ordered that:

"All departments and offices within the California State Health and Welfare Agency, and all other State departments and offices who sponsor, administer or participate in programs of current or future benefit to the elderly, join with the California Office on Aging to form the California Interdepartmental Committee on Aging; and

"The Secretary of the Health and Welfare Agency shall convene and preside over the first meeting of this Committee. He shall delegate to the Director of the Office on Aging the responsibility for convening and presiding over for subsequent regular gatherings of appropriate directors of departments and offices who will identify and eliminate duplication and gaps in services and programs for the elderly to better coordinate and improve programs in the state, and who will emphasize the constructive roles which senior citizens aged 60 and over can assume to improve the quality of life for themselves and for the total citizenry."

#####

CALIFORNIA INTERDEPARTMENTAL COMMITTEE ON AGING

Agency/Department	Secretary/Director	Representative
Health & Welfare Agency	Mario Obledo	Dr. Xavier Mena
Dept. of Aging	Janet J. Levy	Catherine D. Arlett
Office of Alcoholism	Rita Saenz	Richard R. Wilford
Dept. of Benefit Payments	Marion J. Woods	Elmer Cole
Dept. of Corrections	Jerry Enomoto	Keith Hayball
Employment Development Dept.	Martin Glick	William Smith
Dept. of Health	Jerome Lackner, M. D.	Art Choate
Office of Economic Opportunity	Robert Frane(Acting)	Harold Mozar, M. D.
Dept. of Rehabilitation	Edward V. Roberts	Willi Hanson
Dept. of Youth Authority	Pearl West	John Hessler
State Office of Narcotics & Drug Abuse	Stuart Snyder	Frederick F. Mills
Dept. of Education	Wilson C. Riles	Dave Freeman
State Library	Ethel S. Crockett	Marian Marshall
Dept. of Justice	Evelle J. Younger	Marjorie LeDonne
Office of Criminal Justice Planning	Douglas Cunningham	Sterling Boyer
Business & Transportation Agency	Allan Rothenburg	Ken Schremp
Dept. of Transportation	Ms. Adriana Gianturco	Hugh Griffin
Dept. of Housing & Community Development	Arnold Sternberg	Karen Musante
Housing Finance Agency	S. Michael Elliott	
Dept. of Motor Vehicles	Herman Sillas	Elmer A. Brown
Resources Agency	Clairé T. Dedrick	Ralph F. Haessler
Dept. of Parks & Recreation	Herbert Rhodes	Wesley C. Reetz
Agriculture & Services Agency	Rose E. Bird	William J. Haussler
Dept. of Food & Agriculture	L. T. Wallace	David E. Janssen
Public Employees Retirement System	Carl Blechinger	Pallo Desterios
Franchise Tax Board	Martin Huff	Herschell Mills
Dept. of Consumer Affairs	Richard B. Spohn	Robert Sanders
Teachers Retirement System	Michael N. Thome	Don Whitney
State Personnel Board	Ron M. Kurtz	George Nelson
Dept. of Veterans Affairs	Virginia M. Days	Leebah Winter
		Newton A. Byrd, Jr.
		Charles Hildebrant
		Paul Battisti
<u>Ex-Officio Members</u>		
Legislative Budget Committee	A. Alan Post	Thomas J. Dooley
Assembly Spec. Sub-Com. on Aging	Hon. Fred Chel	Gary Kaiser
California Commission on Aging	Ms. Cora Cocks	Tom Porter
Office of Planning & Research	Bill Press	Boone Robinson
Dept. of Finance	Roy M. Bell	Terri Thomas
Board of Equalization	H. A. Chamberlain	

TEXT OF THE LEGISLATIVE ANALYST'S RECOMMENDATION
RE LONG RANGE PLANNING

"Long-Range Planning

We recommend that the Office on Aging submit to the fiscal committees during the budget hearings, a feasibility statement for the completion of a long-range plan for developing a statewide network of comprehensive services to the elderly.

The office annually develops a state plan on aging which sets forth the priorities and objectives for the fiscal year. Concomitantly, each AAA develops a similar plan for its area. Most of these plans show objectives which are lacking in overall direction. There is the crucial need for the development of a social policy on aging setting forth long-range goals and objectives. Without such a policy the state will continue to suffer from the lack of direction which has been sharply criticized in the reports issued by the Department of Finance and the Joint Legislative Audit Committee.

California has a number of resources (e. g. , experts from State Departments of Housing, Transportation, Health, Employment, etc. , and the public and private higher education systems) which are able to help develop a comprehensive long-range social policy plan for aging programs in California. We recommend that the Director of the Office on Aging present at the budget hearings a statement regarding the development of such a plan. The statement should include projected planning costs, participants in the planning effort and a deadline for completion of the plan. "

SUMMARY OF PROPOSED POLICIES AND GOALS
FOR THE
CALIFORNIA LONG RANGE PLAN ON AGING

This document represents an initial attempt to define the basic components of a system of comprehensive services to older Californians. As such it does not yet deal with constraints, such as those imposed by budgetary limitations, which necessitate our choosing from among the proposed goals those actions which are feasible now, and those which may not be feasible until some future date. The consideration of constraints, the prioritization of actions, and the selection of implementing agencies and methods are the next steps in this planning process.

This document is being presented now to share with interested persons progress to date on the California Long Range Plan on Aging, and to seek their input at an early stage of plan development.

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I. INTRODUCTION

The elderly are becoming an increasingly important segment of the population. Today, there are approximately three million Californians over 60 years old - one in every seven Californians; by the year 2000 there will be well over four million.

This group of older persons is growing not only in size but also in education, political awareness and desire to continue contributing to the community and to society. As a result, many older people are questioning what provisions are being made for them now, and what provisions are being made for the future elderly. They are asking whether pension plans and Social Security will be adequate; whether the retirement age should be made more flexible or perhaps eliminated altogether; whether housing, health, transportation and social service programs are being designed with their special needs in mind.

The California Legislature authorized in its Budget Act of 1976 the development of a plan to address these and other questions. Its charge to the Department of Aging was to prepare a long range social policy plan for comprehensive services to California's older citizens.

The Long Range Plan differs from the Annual Plan prepared by the Department of Aging in both its time frame and its scope of services. The Annual Plan is prepared each fiscal year in order to qualify the Department for receipt of federal (Administration on Aging) funds. It details specific actions to be taken by the Department during a particular fiscal year. The Long Range Plan, on the other hand, is not limited to one fiscal year, nor is it limited solely to Department of Aging activities. It will explore all major elements of a comprehensive system of services and make recommendations relative to several sectors and levels of government. It will also provide a framework and blueprint for future Annual Plans.

This "Summary of Proposed Policies and Goals" represents the completion of the first phase of the Long Range Plan. It will be revised according to comments and suggestions raised in public meetings in January 1977, and will then form the basis for the next phase of the Long Range Plan: the development

of specific recommendations for new programs, new coordination of existing programs, new legislation and new approaches in services to older persons.

The "Summary" was developed by staff of the Department of Aging, and a Consumer Advisory Committee under the leadership of the California Commission on Aging. The Committee was composed of sixteen older persons representing a variety of senior groups and projects, and geographic areas in the state. Members included Dr. Max Bay of Los Angeles, Dr. Glen Burch of Davis, Mr. Oscar Cantu of Goleta, Mr. Verne Gleason of Sacramento, Ms. Eugenia Hickman of Berkeley, Mr. Seymour Joseph of Sacramento, Ms. Jean Maxwell of San Diego, Mr. Robert Moore of Fresno, Mr. Jesse Poindexter of San Mateo and the following members of the California Commission on Aging: Ms. Cora Cocks (chairman), Mr. Paul Cowgill, Mr. Ted Ellsworth, Mr. Stuart Harder, Mr. Archer Kirkpatrick, Dr. Wayne Neal, and Mr. Boone Robinson, Executive Secretary of the Commission. The Department of Aging gratefully acknowledges their contributions to this document.

II. TARGET GROUPS AND PRIORITIES

Older persons in California are a diverse group with varied needs, and it is important in planning programs and services for them to recognize their differences as well as their similarities. For example, an initial basic distinction can be made among the several phases of aging: the preventive phase, the productive phase and the protective phase. The preventive phase may begin as early as age 45 with problems involving employment discrimination, economic security and health. The need for pre-retirement planning, health education and screening, nutrition education and programs and physical fitness activities is evident in this phase.

The productive phase usually begins during the "first" retirement at age 55, 60, or 65, with problems primarily involving economic security, when income no longer comes from earnings. Many older persons in this phase are also seeking ways to continue contributing their talents and skills to the community and society.

The protective phase generally occurs in later years as older people become more dependent and less able to care fully for themselves. While only about five percent of all the elderly are actually in institutions, a larger group - perhaps another 10-15 percent - have some physical and/or mental impairment that necessitates supportive and protective services. Without such services, these people would require or be at risk of institutionalization.

Within each of these groups of elderly are sub-groups of minority and low-income persons who are still further "at risk" because of isolation and discrimination.

When resources are limited, as they are now and are likely to remain, priorities for action must be established:

- (1) Our first priority must be to those elderly least able to protect themselves, those who are in institutions. For these persons we must assure quality care, and protection of their legal and human rights.

- (2) Our next priority must be those elderly "at risk" of inappropriate institutionalization, those for whom home-delivered services, legal services, residential supportive services, and transportation services are essential. Implementation of the concept of a "continuum of care" is critical to this group of elderly in order to provide for the prevention of unnecessary institutionalization as well as rehabilitation from institutions back to the community.
- (3) Our next priority should be those older persons in the "productive" phase. These people need expanded opportunities for employment, and for volunteer service.
- (4) Our next priority should be to those persons in the "preventive" phase.
- (5) Within each of the above target groups, special priority emphasis should be given to those who are most likely to be and to have been excluded from services: the low income and minority older persons.

III. INCOME MAINTENANCE

In many cases, the amount of income available to older persons is inadequate to meet their basic needs for food, housing, transportation and medical services. It is estimated that as many as 22 percent of the nation's elderly are living below the poverty threshold (\$2,352 for individuals and \$2,958 for couples, annually). Older persons have about half the income of their younger counterparts. In 1974 the median income for families headed by an older person was \$7,298, while the median income for families with under-65 heads was \$13,760. The median income for older single persons was \$2,956, as compared with \$5,862 for younger individuals.

Income for the elderly comes primarily from Social Security. Additional sources include other public pension programs, some private pensions (for about 25% of Social Security beneficiaries), savings and investments.

Much of this income, however, is "fixed" - it does not increase proportionally to the rate of inflation. Some older people supplement their retirement incomes with earnings from employment. However, employment earnings are severely restricted by mandatory retirement practices and the Social Security earnings limitations. (see Employment section)

An adequate income can be provided by (a) increasing the amount of funds available to meet expenses, and (b) reducing expenditures for essential and costly items such as food, shelter and medical care. Reduction or containment of these and other costs would go far to enhance the economic security of older persons. This policy section will focus on (a) above. Major factors mentioned in (b) will be discussed in the policy sections on Health, Nutrition, Transportation and Housing.

GOAL:

To ensure that all older Californians have an adequate income in retirement in accordance with the American standard of living.

SUBGOALS:

- (1) Improve the effectiveness of outreach, counseling, Information and Referral and legal services programs to ensure that older persons receive the income support benefits to which they are entitled. (see sections on Legal Services and Social Services)
- (2) Develop a definition of an Adequate Income Level for retired Californians in accordance with the American standard of living.
- (3) Increase income available to persons living below the Adequate Income Level by advocating revision of Social Security and S.S.I. benefits.
- (4) Advocate the elimination of earnings restrictions from Social Security eligibility. (see also section on Employment)
- (5) Advocate legislation to strengthen private pension plans.
- (6) Develop legislation to improve income and property tax relief for older persons.

IV. TRANSPORTATION

Access to adequate and appropriate transportation services is particularly difficult for the elderly. Many older persons do not own or drive a car and are thus dependent on some alternative form of transit. However, alternative systems have been underdeveloped because of reliance on the private automobile, and in rural areas the lack of transit systems is particularly acute. Even when some form of public transportation is available, it is often unsuited to the needs of the elderly. Many older persons cannot walk the distance from their homes to the nearest bus stop, nor can they maneuver the high, steep stairs of most buses.

As a result of the lack of transportation facilities, many older persons do not have access to basic necessities, such as food and medical care. They are also prevented from participating in many civic, cultural, recreational and spiritual activities.

Transportation problems are particularly serious for elderly Californians as evidenced by the fact that in public hearings conducted by the Department of Aging in 20 locations from May to September 1975, transportation needs were mentioned more than any other single category of need. In addition, in needs assessments conducted as part of the 1973 area planning grants, transportation again was reflected as the most urgent area of need.

GOAL:

To ensure that all older Californians have adequate transportation facilities and services available and accessible to them.

SUBGOALS:

- (1) Encourage coordination among all transportation systems, both public and private.
- (2) Provide as a part of all local transit systems specialized and/or supplemental systems which will be fully accessible to older persons. Ensure that a proportion of capital expenditures each year is designated for this purpose.
- (3) Provide for maximum efficient use of existing vehicles (such as school buses and vehicles operated by private non-profit organizations) in meeting the transportation needs of the elderly.

- (4) Assure modification in vehicle design to eliminate architectural barriers to older persons.
- (5) Provide for reduced fares and other financial aids to alleviate the high cost of transportation to the elderly.
- (6) Encourage individuals to serve as volunteer drivers by providing some reimbursement for expenses, and by reducing barriers related to insurance.
- (7) Perform continuous research on the transportation needs of the elderly and integrate this into future transportation planning.

V. HEALTH

As age increases, chronic conditions, diseases and impairments occur more frequently. There is a corresponding need, often compounded by past neglect due to the high cost of care, for medical services. For many older persons, good health care has become a privilege that only some can afford, rather than a right to which all citizens are entitled.

Health care expenditures for older persons are almost three times as great as expenditures for adults aged 19 to 64. While Medicare and Medi-Cal have provided valuable and essential protection, the high cost of health care continues to drain elderly budgets. Medicare now covers only about 38 percent of the elderly's health care costs. Hospitalization is becoming increasingly costly, yet it is often resorted to because effective alternatives are not available. Medicare and Medi-Cal do not provide the kind of preventive and supportive services that are needed, but emphasize institutional treatment instead. The result is that many older persons, because of limited income and inadequate coverage, avoid necessary services until they must be institutionalized.

Cost is not the only barrier to adequate health care for the elderly. The fragmentation of the health delivery system is also a basic problem. Many communities have the elements of a system of health care (medical personnel,

hospitals, nursing homes, day care centers, clinics, screening programs, home-delivered meals, transportation) but seldom are these coordinated into a single system that provides a continuum of appropriate care.

GOAL:

To ensure that all older Californians have access to good physical and mental health services, including restorative services for those who require institutional care.

SUBGOALS:

- (1) Provide for educational programs, including physical fitness, to encourage the maintenance of good health habits.
- (2) Provide for screening programs (with adequate follow-up) to assess health status and encourage early diagnosis and treatment.
- (3) Coordinate with agencies which can enhance the nutritional status of the elderly. (see also section on Nutrition)
- (4) Coordinate with agencies involved in the prevention and treatment of alcoholism and drug addiction.
- (5) Provide social and supportive services to enable persons to receive an appropriate level of medical care while encouraging maximum independence. (see also section on Social Services)
- (6) Encourage the delivery of medical and supportive services at in-home settings and day care centers. (see also section on Social Services)
- (7) Encourage rehabilitative care, combined with appropriate supportive services, to return institutionalized persons to a community setting as soon as possible.
- (8) Enforce standards for quality care in nursing homes and other institutions, as well as in home-delivered services.
- (9) Increase the number and depth of courses in geriatrics in medical and nursing schools. (see also section on Education)

- (10) Develop alternative delivery and reimbursement methods (including pre-paid group health plans) with the objective of providing quality care at manageable cost.
- (11) Encourage greater cooperation and coordination between Medi-Cal and Medicare administrators and private provider groups such as the California Medical Association and the county medical societies.

VI. NUTRITION

Good nutrition is essential to the maintenance of health and recovery from illness. The elderly have essentially the same nutrient requirements as the rest of the population, and yet for a variety of reasons are more vulnerable to malnutrition.

The low income levels of many older persons force them to cut back on essential nutritious foods, such as proteins. Poor nutrition then renders them more susceptible to a variety of illnesses, both communicable and degenerative, which in turn may further hinder adequate nutritional intake as persons become less able to shop for themselves and prepare their own meals. Physical impairments such as loss of teeth or poorly fitting dentures further restrict an older person's choice of foods.

In addition, many older people eat poorly because of isolation and loneliness. Eating is a social and psychological function as well as a physiological function, and many older persons become malnourished as their social and familial contacts diminish.

GOALS:

To ensure that all older Californians have access to good nutritional health.

SUBGOALS:

- (1) Expand congregate meals programs, such as the Title VII program, with increased funding, funding derived from presently untapped sources, and greater coordination and utilization of existing social and transportation services.

- (2) Encourage the establishment of nutrition projects in locations such as "one-stop" centers, or multi-purpose centers, where a range of services is provided.
- (3) Improve coordination at the state and local level of private and public agencies and groups which have resources that can enhance the nutritional status of the elderly.
- (4) Develop and encourage meals-on-wheels and other alternative meals programs to meet the needs of the home-bound elderly.
- (5) Encourage the development of alternative food distribution methods, such as mobile markets, and shopping services.
- (6) Improve and expand nutrition education and counseling programs offered to the elderly.
- (7) Develop and encourage nutrition education programs in schools and adult education facilities.
- (8) Encourage the development of educational programs for medical and paramedical professionals on the rôle of nutrition in preventing illness and delaying degenerative conditions.
- (9) Ensure high nutritional standards in facilities and institutions serving the elderly.
- (10) Encourage the development and enforcement of consumer protection standards in the safety, wholesomeness, labeling and advertising of foods.

VII. HOUSING

Older persons on fixed retirement incomes have been more severely affected than perhaps any other group by the surge in housing costs. Not only has the purchase price of homes risen, but rents, taxes and repair costs also

have gone up. It is estimated that older persons living in the L.A., Long Beach, San Francisco and Oakland standard metropolitan areas may be spending as much as 45 percent of their incomes for shelter.

Many older people live in homes that are badly in need of repair. Many also continue to live in structures that are no longer appropriate to their diminishing physical abilities, because alternatives either do not exist or are too costly, and many have entered nursing homes and other institutions simply because adequate housing facilities and services were not available. Estimates of the number of patients in nursing homes who need not be there range from 25 to 50 percent. Nursing homes provide the services that some elderly cannot obtain in their present housing, such as meal preparation, laundry and housekeeping services, and medical treatment. However, patients often lose their ability to function as independent individuals as a result of being institutionalized. Thus, the needed services are purchased at tremendous personal as well as monetary cost.

GOAL:

To ensure that all older Californians have access to suitable housing, independently selected, designed and located with reference to their special needs and available at costs which older citizens can afford.

SUBGOALS:

- (1) Encourage the development of a variety of living arrangements to meet the diversified and changing needs of the elderly, including a) housing for independent living, b) foster homes, c) congregate housing with food and personal services, d) facilities with limited medical, food and personal services and e) long term care facilities.
- (2) Encourage the liberalization of housing and zoning codes state-wide and locally so that innovative, congregate housing arrangements, including home-sharing would be possible.
- (3) Develop standards for the environment and location of housing for the elderly to ensure that transportation and social services are accessible.

- (4) Give special consideration to the needs of rural areas to make it possible to build smaller numbers of housing units and to ensure that social services are available through proper utilization of transportation and other community services.
- (5) Designate a specific portion of new housing construction for the elderly.
- (6) Designate a specific portion of rent-supplement units to be allocated to the elderly.
- (7) Include an elderly housing policy statement in all state and local housing assistance plans, with input from aging individuals and groups.
- (8) Develop standards of accessibility to housing for the physically impaired and handicapped.
- (9) Develop economical home repair and rehabilitation programs. Staff these by older workers.
- (10) Develop information and counseling programs for older persons seeking the most appropriate alternative within a variety of housing arrangements.
- (11) Encourage cooperation between agencies at the state and local level.
- (12) Encourage revisions to the tax laws to protect home ownership and increase relief to low-income elderly.
- (13) Encourage utility rate structures and subsidies that would make necessary utilities available to older persons.

VIII. EMPLOYMENT

A survey conducted in 1974 by Louis Harris and Associates for the National

Council on the Aging concluded that "the apparent problem for many older Americans is not that they are too old or too sick to work, but rather that they have been told they are." While some older persons may welcome mandatory retirement, most consider it to be an injustice. Harris found that 86% of the people interviewed, both under and over age 65, believed that "nobody should be forced to retire because of age, if he wants to continue working and is still able to go a good job." Not only does mandatory retirement prevent older persons from maintaining an adequate income, it also deprives society of a great resource of unused talents and skills.

At the present time only about 22 percent of men aged 65 and over, and 8 percent of women aged 65 and over are in the labor force, and they are concentrated in three low-earning categories: part-time, agricultural, and self-employed.

Many older persons are active in volunteer work - 22 percent, according to Harris - and at least half again as many would like to volunteer, if opportunities were available. Harris also points out that although many elderly people recognize volunteer work as a good way to keep themselves busy and active, and use their skills and talents to meet the community's needs, they feel that if a person's work is valuable, he or she should be paid for it.

GOAL:

To ensure that all older Californians have opportunities for employment with no discriminatory practices because of age.

SUBGOALS:

- (1) Encourage the development of employment and retirement standards and practices that are based upon the capabilities of the individual rather than chronological age. This would include the abolition of a mandatory retirement age.
- (2) Encourage a restructuring of retirement programs to allow for phased in, or partial retirement rather than abrupt retirement.
- (3) Eliminate the earnings restrictions from Social Security eligibility. (see also section on Income Maintenance)

- (4) Expand the number of part-time community service job opportunities.
- (5) Expand the number of volunteer community service job opportunities. (see also section on Recreation and Cultural Activities)
- (6) Provide, through state, county and city employment agencies, older worker specialists to seek out employment opportunities for older workers and assist them in obtaining work.
- (7) Provide for priority to be given to the employment of older persons in programs and services established to serve them.
- (8) Coordinate public service employment programs for older people through the Department of Aging and Area Agencies.

IX. SOCIAL SERVICES

Social services are an essential integral part of all other services. For example, a health, housing, employment or nutrition policy would be incomplete without provisions for such social services as information and referral, counseling and outreach. Social services are included in each of the sections of this plan.

GOAL:

To ensure that all older Californians have access to the necessary social and supportive services to enhance their ability to lead independent lives for as long as possible, and protect them when they are no longer able to care for themselves.

SUBGOALS:

- (1) Ensure that necessary social and supportive services are provided effectively as part of each major service delivery program. (see all other Sections)

- (2) Ensure that information and referral services and adequate follow-up services are available to all older persons.
- (3) Encourage greater cooperation and coordination among agencies funding and delivering social services through joint program planning and implementation activities.
- (4) Develop and encourage in-home health and supportive service programs that would allow older persons capable of living at home with the aid of such services to do so. (see sections on Health and Housing)
- (5) Provide legal counseling and aid to persons whose safety, independence and personal rights are threatened, and to persons who may be entitled to benefits and services they are not receiving. (see section on Legal Services)
- (6) Encourage the development of "one stop" service centers where a full range of social and health services can be delivered in one location.

X. LEGAL SERVICES/CRIME PREVENTION

The elderly today, more than any other age group, are dependent upon an increasingly complex network of government agencies. Raised in an era relatively lacking in government-sponsored supplements and services, they are unprepared to claim what is now rightfully theirs. Many go without income supplements, and medical and social services to which they are entitled, because there is no one to guide them through the web of regulations specifying their eligibility for such services. Many also need legal or paralegal assistance in writing wills and interpreting pension plans.

* * *

The elderly are frequent targets of crime. Their physical limitations and life styles render them more vulnerable than younger people. In addition, they suffer more, since many are on low fixed incomes and have little hope of recovering their financial losses. Their fear of crime was documented by Louis Harris in a survey conducted in 1974, in which the elderly reported the fear of crime as their most serious problem.

The problem has been compounded by a lack of attention to the elderly on the part of most law enforcement agencies. Most police officers have had no training in the special needs of the elderly crime victim.

GOAL:

To ensure that all older Californians have access to legal assistance in protecting their personal rights, safety and independence, and in obtaining the benefits and services to which they are entitled.

SUBGOALS:

- (1) Expand the number and coverage of legal services projects throughout the State.
- (2) Train and employ senior citizens as Paralegals, Public Benefit Administrative Law specialists, Public Benefit Application specialists and other legal paraprofessionals.
- (3) Encourage bar associations, private law firms and law schools to provide legal services to older persons, and to advertise their fees for these services.
- (4) Encourage the development of innovative cost-effective methods of delivering legal services, such as pre-paid group insurance plans.
- (5) Coordinate legal services programs with information and referral and outreach programs so that the elderly are aware of and have access to legal services.
- (6) Develop new programs and methods to protect the elderly against crime. Specify a portion of law enforcement funding for this purpose.

- (7) Train law enforcement officers and officials regarding the special needs and vulnerability of older persons.
- (8) Expand crime prevention training programs for older persons.
- (9) Develop and expand programs to protect the elderly against fraud.

XI. EDUCATION

"A central problem faced by all segments of public higher education is lack of institutional commitment both to widening access to its educational resources to older people and to developing programs especially suited to their needs.... Formal educational opportunities have been structured for young students pursuing on-campus, daytime programs leading to degrees. Regular admission policies, prerequisites for courses, orientation of faculty and administration to a young student population and high fees all establish de facto barriers to participation by older adults. Higher enrollment of the aging is seen in informal extension, continuing education and external degree programs offered off-campus during evening hours and weekends. Unfortunately, the high cost of self-supporting programs such as Extension and Summer Session is a barrier to the aging. All three segments of public higher education report a lack of special counseling for the aging. This, too, constitutes a barrier to the aging who seek re-entry into the academic process. Location of educational opportunities and transportation problems were also seen as obstacles to participation.

"In nearly every field--education, medicine, long-term health care, law, recreation, social services--there appears to be a shortage of personnel educated and trained to work with the aging. The Report attributed this shortage to the rapid increase in the aging population and to society's growing awareness of the problems faced by this group." (excerpted from the "California Higher Education Study for the Aging - Summary Report," October 1975)

GOAL:

To ensure that all older Californians have opportunities to acquire knowledge and skills for vocational or personal enrichment. To provide society at large, and particularly persons who work with older persons, understanding of the aging process and the needs of older persons.

SUBGOALS:

- (1) Increase the accessibility to older persons of existing educational programs by modifying administrative procedures such as scheduling, registration, admission, grading, and fee-setting; also recruitment and teaching techniques; and by providing off-campus programs.
- (2) Increase the accessibility to older persons of existing education programs by providing supportive services such as counseling, transportation and outreach.
- (3) Develop and implement educational programs especially for older adults, including pre-retirement training, employment skills, and instruction in making full use of the resources and benefit programs that are available to them.
- (4) Provide financial assistance and incentives to older persons to enable them to prepare educationally for jobs, especially in areas where there are shortages of personnel trained to work with the aging.
- (5) Develop and/or improve degree and certificate programs in gerontology for persons preparing for vocational and professional work in aging and related fields.
- (6) Develop and/or improve in-service and continuing education for persons working in the field of aging.
- (7) Develop and/or improve courses in aging as part of the general public school curricula, in order to promote a better understanding by society of the nature of the aging processes.

- (8) Provide adequate and continued funding to institutions committed to programs of teaching and research in aging.
- (9) Encourage research into the educational needs of older adults.
- (10) Encourage coordination and cooperative planning among institutions of higher learning; among departments within institutions; and between faculty and staff of institutions.
- (11) Encourage coordination of information about education/training programs, and research in aging.
- (12) Provide for coordination between gerontological research performed by the academic community, and governmental program and policies.
- (13) Encourage the employment of the elderly as an educational resource.
- (14) Encourage the development of educational programs in alternative settings, for example as part of community self-help efforts, or in community cooperatives.

XII. PRE-RETIREMENT PLANNING

Many people are now spending one-third of their lives in retirement. The adjustments associated with retirement can be severe unless careful planning has been done with regard to income maintenance, health, physical fitness, leisure, housing, and legal matters.

GOAL:

To ensure that courses in pre-retirement planning are available to all older adults at least several years before retirement.

SUBGOALS:

- (1) Develop a recommended curriculum in pre-retirement planning for use by educational institutions and employers.

- (2) Coordinate with educational institutions and organizations accessible to older persons in sponsoring courses in pre-retirement planning. (see also section on Education)
- (3) Coordinate with employers in both the private and public sectors to sponsor effective pre-retirement planning and counseling.

XIII. RECREATION AND CULTURAL ACTIVITIES

With people retiring earlier and living longer, creative use of leisure time becomes an increasingly important issue. Leisure time spent in recreation can have many different benefits: it can be an opportunity for learning; it can develop and maintain physical fitness; it can help rehabilitate the injured and the ill; it can improve relations among individuals and groups.

GOAL:

To ensure that all older Californians have opportunities to pursue meaningful activity within the widest range of civic, cultural and recreational opportunities.

SUBGOALS:

- (1) Develop and expand volunteer programs. Include training for seniors to help other seniors in rest homes and extended care facilities in order to provide cultural and recreational activities.
- (2) Encourage reduced fees for older persons to allow them greater access to recreational and cultural facilities.
- (3) Increase coordination and cooperation with school districts and parks and recreation districts to aid in opening more activity centers devoted to social, recreational and cultural activities.
- (4) Make available more "one-stop" service centers, and expand their scope to more adequately involve recreational and cultural activities.

- (5) Encourage State and local lawmakers to set aside appropriate portions of their recreation budgets to programs for the elderly.
- (6) Encourage the elimination of architectural barriers in recreational facilities in order to provide easy access to aged and/or disabled persons.

XIV. DEPARTMENT OF AGING: POLICY AND PROCESS

The Department of Aging is concerned with all elderly persons in the State of California, defined as those age 60 and over, with particular emphasis on the minority and low income elderly. The Department is also concerned with the "pre-elderly," those nearing retirement age for whom adequate preparation would ease the transition to retirement.

The California Department of Aging, like other State units on aging, has a function that is unique among most governmental entities in that its primary purpose is to serve as a catalyst and a coordinator for other entities administering programs that affect the elderly. Most governmental departments administer "categorical" or functional programs (for example income supplements, health services or transportation services). While the Department of Aging administers one such program, the Title VII Nutrition Program, its primary task is to coordinate services of other entities, and develop new resources with the ultimate goal of ensuring that comprehensive and coordinated services are available to all elderly persons.

GOAL:

To establish and develop comprehensive and coordinated service systems in order to maximize the availability and delivery of resources and services to older persons in California.

SUBGOALS:

- (1) Establish and develop a comprehensive and coordinated system of social services within each Planning and Service Area (county) by the establishment of an Area Agency on Aging, which has been

identified as the focal point.

- (2) Strengthen the capacity and effectiveness of the Area Agencies on Aging in planning and coordinating services to older persons.
- (3) Develop the expertise, either within the Department of Aging or through other departments, to provide Technical Assistance to Area Agencies on Aging in all major policy areas mentioned in this report.
- (4) Improve the quality of projects and programs by performing on-going monitoring and assessment, and periodic evaluations, and modifying program operations accordingly.
- (5) Develop a foundation for statewide coordination of programs and services by working with other State departments in the following activities:
 - a) Review and comment on annual and program plans, both informally and through use of the A-95 clearinghouse
 - b) Joint membership on advisory committees
 - c) Joint program development
 - d) Joint assessment of needs and resources
 - e) Joint financing of projects and programs
 - f) Co-location of services
 - g) Sharing of staff
 - h) Formal contracts and/or memoranda of understanding
- (6) Develop a State knowledge base on aging by collecting and continuously updating information and data on the status and needs of older persons, and on the private and public resources available to them.
- (7) Increase the scope and effectiveness of nutrition services delivered under Title VII. (see also section on Nutrition)
- (8) Eliminate the barriers that prevent elderly minority Californians from enjoying full and equal access to the services and benefits described in this document.
- (9) Ensure that all older persons have access to information and referral services. (see also section on Social Services)
- (10) Provide training programs, to assure the development of appropriate staff capacities within the Department of Aging and the Area Agencies on Aging. (see also section on Education)
- (11) Encourage input from senior citizens in all policy areas by the establishment and effective use of advisory groups.

Office on Aging
March 26, 1976

PROPOSED PLAN FOR UTILIZATION OF "NUTRITION RESERVE" MONIES

(Plan contingent upon passage of AB 2285 legislation)

This plan is predicated on several assumptions (not the least of which is that enabling legislation will be passed in sufficient time to permit proper disbursement of monies) and addresses four major issues:

- (1) Is there a need for flexibility of use for the Nutrition Reserve beyond that of local match for federal Title VII funds?
 - (2) Is the more flexible use of the Nutrition Reserve going to create an ongoing level of need; e.g., would it be used to initiate ongoing services, the discontinuation of which would create a politically poor situation?
 - (3) Would use of the Nutrition Reserve for other than Title VII support be incompatible with the intent of Title VII?
 - (4) How would the Office on Aging utilize the Nutrition Reserve if the flexibility were allowed?
- (1) Is there a need for flexibility of use for the Nutrition Reserve beyond that of local match for federal Title VII funds?

In 1973, when Title VII monies were first made available, more than \$17 million in actual proposals were submitted against \$8.5 million in funds available in California. All of the proposals reviewed indicated adequate local match, which can be met by cash or in-kind contributions, including volunteers. Another approximately \$6 million worth of letters-of-intent were proffered, many of which also carried indications of adequate match.

In subsequent Title VII funding years, the same pattern has held, although in one year a portion of the available monies was utilized as "after-the-fact" cash match, and became a one-time cash supplement to existing projects who (1) served predominant numbers of low income/minority participants; (2) had no cash local match (only in-kind match); and (3) had volunteer time ("soft" match) as a predominate share of local match.

There is a strong likelihood that the national appropriation for Title VII may increase to \$187.5 million, which could increase California's share from \$12.9 million to \$16.3 million annually. At the same time, local resources are becoming less available as the amounts and types of discretionary monies at the federal and local levels are being permanently committed or, in many cases, withdrawn. Therefore, it is safe to conclude that the immediate need for a nutrition reserve is not critical until and unless more federal program funds are awarded or the economic picture regarding local match reaches more critical proportions.

While the need for use of the Nutrition Reserve as match is not immediate, there is a growing need for the benefits of nutrition programs for the elderly. Of the 2.8 million elderly in California, more than 15% (more than 425,000) live in poverty. National averages indicate that 39.5% of the non-institutionalized elderly have some form of physical or mental limitation that inhibits useful mobility, and 19% of all elderly live alone. Only 5% of all the elderly are institutionalized. California's situation is probably worse because of our relatively higher concentrations of elderly attracted to moderate climate.

Thus, at a minimum, we may conclude that at least 425,000 elderly live at or below the poverty level; 39.5% (168,000) of those in poverty suffer some limitation on mobility; and 19% (31,900) of those in poverty and with limited mobility live alone. Further, of all elderly in California, only 64% (about 1.8 million) are licensed to drive, and only 60% of those (about 1.1 million) have cars. Because accessible and reasonably priced food markets are not usually associated with clusters of residences occupied by the elderly, decent and reasonably priced raw food stuffs become a major problem for the elderly.

At present, Title VII is serving almost 21,000 meals per day. The absolute minimum need, if we use the 31,900 figure derived above, means we are statistically ignoring a full 1/3 of our elderly population most in need and, therefore, most vulnerable to the effects of poor or inadequate nutrition. In fact, however, an indeterminate number of the present 21,000 meals are going to individuals for whom an intermediate or alternative method of providing nutritional assistance would be both preferable to the individuals and more cost effective.

- (2) Is the more flexible use of the Nutrition Reserve going to create an ongoing level of need; e.g., would it be used to initiate ongoing services, the discontinuation of which would create a politically poor situation?

If the Nutrition Reserve is utilized as local match, it must be generally assumed as an ongoing commitment so long as (1) the federal funds it matches continue to be available; (2) the funded project(s) continue(s) to be maintained; and (3) until (if ever) other local match is developed.

If alternative uses of the Nutrition Reserve are implemented, the primary controlling proviso would be that the funds would be one-time-only for any given project award. That is, no use of the monies could lead to expectation of renewal or continuation. In the particular instance of pilot or demonstration projects, if the pilot or demonstration provided worthwhile, clear evidence would be required that (a) another funding source was committed to continuation, or (b) no additional funding would be necessary.

- (3) Would use of the Nutrition Reserve for other than Title VII support be incompatible with the intent of Title VII?

The purpose of Title VII is to ". . . provide older Americans, particularly those with low incomes, with low cost, nutritionally sound meals served in strategically located centers such as schools, churches, community centers, senior citizen centers, and other public or private facilities where they can obtain other social and rehabilitative services. Besides promoting better health among the older segment of the population through improved nutrition, such a program is aimed at reducing the isolation of old age, offering older Americans an opportunity to live their remaining years in dignity."

The Title VII program was designed to address the elderly who do not eat adequately because:

- (a) They cannot afford to do so;
- (b) They lack the knowledge and/or skills to select and prepare nourishing and well-balanced meals;
- (c) They have limited mobility which may impair their capacity to shop and cook for themselves; and
- (d) They have feelings of rejection and loneliness which obliterate the incentive necessary to prepare and eat a meal alone.

Our proposed utilization of the limited Nutrition Reserve monies would, in each alternative to local match, ultimately, if not immediately, extend the capacity of Title VII. If alternative methods were developed and implemented for some current participants, the Title VII program could then use the vacated spaces to reach more numbers of those who (a) need Title VII, (b) could not benefit from the alternatives, and (c) cannot now be served under Title VII for lack of funds.

An additional consideration is that Title VII regulations are so specific and restrictive that even if sufficient monies were available, the monies could not be used to demonstrate feasible alternatives, nor could they be used as one-time expenditures as proposed later in this report. The major restrictive elements of Title VII are that service must include one hot meal per day, at least five days per week, in a congregate setting, with a wide range of supportive social services.

(4) How would the Office on Aging utilize the Nutrition Reserve if the flexibility were allowed?

The Office on Aging feels utilization of the Nutrition Reserve must be considered in terms of three priorities:

- A. First priority would be to provide local match for available Title VII monies when the most effective use of the Nutrition reserve would be through a project unable to establish local match in any other fashion.
- B. Second priority would be to provide for pilot or demonstration projects of statewide or national significance which would serve as models of alternative methods for meeting the nutritional needs of California's elderly.
- C. Third priority would be to provide one-time supplement to ongoing nutrition programs (whether funded through Title VII, or some other source) for capital expenditures which would increase the continuing capacity of that project to meet existing need, but which would not change the service style of the project.

Priority One: Local Match has already been discussed at length earlier in this report.

Priority Two: Examples of Pilot/Demonstration projects include the following:

- A. A mobile Mini-Market concept, which could provide for groups of elderly a door-step/lobby miniaturized shopping market offering fresh, nutritious produce and meats at wholesale cost. Essentially, the market would bring to seniors who lack shopping mobility and access to reasonable market facilities the opportunity (1) to actively "shop", (2) to purchase nutritious foodstuffs, (3) to exercise choice and open selection from a variety of offerings, (4) to purchase at the least cost, (5) to socialize while shopping, and (6) to retain or regain the interest in cooking for themselves and others. This type of project could not serve those who lack cooking facilities or the essential knowledge and ability to cook, nor could it serve individuals not living or convening in fairly central groups. Specifically, it could not make individual deliveries to individual elderly.

Pilot project costs are estimated at \$100,000 for one year, to serve 3,000 - 6,000 elderly.

- B. Another example is a Cooperative Buyers Club/Central Storage Model which would offer volume purchasing power to members of senior clubs and organizations as well as to non-profit projects providing nutrition programs. It would simultaneously maintain centralized food storage and warehousing facilities, including quick-freeze capacity, allowing effective and safe storage of volume purchase, USDA commodities, and donated fresh foods such as cannery overruns, seized fish from illegal catches and excess farm produce.

A pilot project costing \$250,000 for one year could increase existing food and nutrition and nutrition supplement program service by 25%, could reduce Title VII food costs by 17-20%, and would involve one salary plus one-time-only capital expenditure costs for equipment and renovation.

- C. A third example would be to secure an agreement with a major food canner to can donated fresh fruit and produce, utilizing volunteer labor and purchasing only the actual cans. Foodstuffs thus canned could be used in existing nutrition programs, thereby reducing the food costs of the projects and extending the numbers of meals served for the same program costs.

Priority Three: Examples of one-time expenditures in existing nutrition programs are so numerous as to be almost endless. They include:

- A. An investment of \$70,000-\$95,000 in Central Kitchen Facilities could provide an additional 400 meals per day in a project serving 900 meals through catering.

- B. A buy-up of \$40,000 in van leasing costs by July 1, 1976 would result in an additional 83 meals a day in one project.
- C. An expenditure of \$8,000 to upgrade an existing facility into a central kitchen would save enough money in catering costs to serve an additional 70 meals per day.
- D. An investment of \$7,000 for portable dishwashers and reusable crockery and tableware to replace current disposables could allow 20 additional meals per day in one project.
- E. An investment of \$3,000 for a walk-in food storage unit would permit a project to take advantage of donated seasonally available foodstuffs, to an incremental value of 14 to 18 additional meals per day.
- F. A cash outlay of \$4,100-\$6,500 would buy a good-condition, used, refrigerated truck which could be shared by several projects to transport fresh foodstuffs from wholesalers to a variety of projects, cutting down overall food costs by an indeterminate amount, but certainly equal to the total cost of the truck times two-thirds the number of projects sharing the trucks.

State Plan on Aging

FOR THE STATE OF

CALIFORNIA

FOR FISCAL YEAR 19⁷⁶

(7/1/75 - 6/30/76)

and

(7/1/76 - 9/30/76)

Administration on Aging

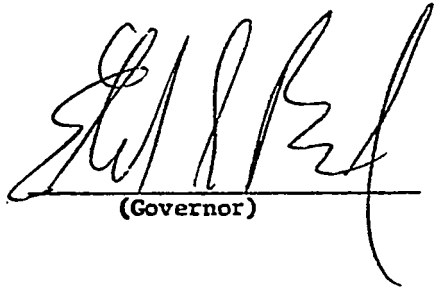
**REVIEW AND APPROVAL BY THE GOVERNOR
of
THE STATE PLAN ON AGING**

I hereby approve and submit the State Plan on Aging for fiscal year 1976, which includes State objectives and action plans for implementation of the Title III and Title VII Programs and a commitment that the State will carry out these programs in keeping with the provisions of the Act, and all established regulations, policies and procedures.

September 30, 1975

(Date)

(Signed)

A large, stylized handwritten signature in black ink, appearing to be "E. J. R.", is written over a horizontal line. The signature is highly cursive and extends significantly above and below the line.

(Governor)

ATTORNEY GENERAL CERTIFICATION

I certify that California Office on Aging
(Designated State Agency)

- 1) has the authority to prepare the State plan;
- 2) is the sole State agency responsible for administering the State plan;
- 3) is primarily responsible for coordination of State programs and activities related to the purposes of the Older Americans Act of 1965, as amended.

I further certify that nothing in this State plan is inconsistent with State law.

September 25, 1975
Date

Richard M. Jensen
Signature

Deputy Attorney General, State of California
Title

ASSURANCE OF AUDITING CAPABILITY
by California Office on Aging

As Director of the California Office on Aging I hereby guarantee that this Office has the necessary internal audit capabilities to insure audit operations of area agencies on aging, Title III projects in areas not served by area agencies on aging the Title VII nutrition projects throughout the State.

September 25, 1975
Date

James O. Levy
Signature

Director, California Office on Aging
Title

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

Prior to submission of this State plan for approval, a public hearing(s) on the content of the plan was conducted. The following is a description of how the hearing process and actual hearing(s) were conducted including the method by which public notice of the hearing(s) was given; date(s) and location(s) of the hearing(s); opportunities given to the State advisory committee on aging, area agencies, Title VII nutrition projects, and their advisory councils for prior review of the plan; number and categories of persons in attendance at the hearing(s); method by which State agency reviewed comments and suggestions after hearing(s); changes made in State plan, if any, as a result of meeting(s)

Prior to the full development of this State Plan on Aging and the conduct of public hearings on the State Plan, the California Office on Aging held nineteen (19) preliminary public hearings during the period of May 21, 1975 to July 11, 1975. The purpose of these hearings was:

to elicit comment on the twelve (12) proposed broad policy objectives which were formulated after analysis of the responses to an extensive questionnaire survey of AAAs, Title VII Projects, Title III Projects in direct service areas, the California Commission on Aging and the executive and professional staff of the California Office on Aging; and,

to provide maximum opportunity to hear public testimony on the needs of older persons in a wide variety of both urban and rural environments within the State.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

The dates and locations of these preliminary public hearings are listed below. Each public hearing was tape recorded for later transcription.

May 21,	1975	The Forum, Downtown Center Bakersfield College	Bakersfield
May 22,	1975	Auditorium Reedley Community Center	Reedley
May 23,	1975	Auditorium County Center #3	Modesto
May 27,	1975	Room #101 Casa del Prado Jalboa Park, Caradel Prado	San Diego
May 29,	1975	Imperial Irrigation District Executive Headquarters	El Centro
June 5,	1975	Auditorium Kaiser Center	Oakland
June 10,	1975	Auditorium Veterans Hall	Morgan Hill
June 12,	1975	Auditorium Petaluma Veterans Building	Petaluma
June 13,	1975	Auditorium Veterans Hall	Garberville
June 17,	1975	Public Works Hearing Room Los Angeles City Hall	Los Angeles
June 18,	1975	Knights of Columbus Temple	Ventura
June 19,	1975	Miles Playhouse Lincoln Center	Santa Monica
June 20,	1975	Room 300 City Council Chambers	Long Beach
June 26,	1975	City Council Chambers	San Mateo
June 27,	1975	Room 1194 State Building	San Francisco

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

July 3,	1975	Sierra Room Red Lion Motor Inn	Redding
July 8,	1975	Auditorium Resources Building	Sacramento
July 10,	1975	Jackie Robinson Center	Pasadena
July 11,	1975	Auditorium Magnolia School	Riverside

The State Plan was developed subsequent to the conduct of these preliminary public hearings. During August, three (3) public hearings were scheduled to be held in early September, one in each of the three (3) Regions of the State which the California Office on Aging has established for regional implementation of the State Program on Aging. The dates and locations of these public hearings are listed below.

Coastal Region

September 4, 1975	San Francisco Senior Center Aquatic Parks	San Francisco
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Southern Region

September 5, 1975	Mac Arthur Senior Citizens Center Elks Building	Los Angeles
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Valley Region

September 8, 1975	Auditorium Employment Development Department	Sacramento
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Approximately four (4) weeks before the hearings, overall news media releases - press, radio, and TV mailings - were sent out by the Communications Section of the California Office on Aging as a means of broad, early, notification of the public hearings. About a week to ten (10) days before the scheduled date of each hearing, news media releases - press, radio and TV mailings - were distributed in each of the three Regions so that all known news media in every county received a release on the hearing nearest to their communities. The news media releases comprised a total of about 1,800 mailings.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

About 2,500 Notices of Public Hearings, including a Summary of State Plan Objectives, were sent out to local governments, clubs and organizations, AAAs, Title III and Title VII Projects and to Regional Offices.

Approximately 7,000 bilingual, English-Spanish, flyers were sent out; urging attendance at the hearings and inviting participation, with 3,000 to the Southern Region and 4,000 to the Coastal and Valley Region.

The Governor's Office on Information Services calendared each of the hearings on its weekly Information Forecast which goes to all news media in the State.

An early draft of the plan was submitted to the California Commission on Aging in mid-August, while a more developed draft of the Plan was submitted, for each member of the California Commission on Aging, including its Statewide Advisory Committee members, on August 22.

As had been stated in the 2,500 Notices of Public Hearings - which, with the Summary of State Plan Objectives, were sent to local governments, clubs and organizations, AAAs, Title III and Title VII Projects and Regional Offices - copies of the State Plan were available for review in the Central Office of the California Office on Aging and in each of the Regional Offices on and after September 2. Additionally, three (3) copies of the State Plan were available for review at a designated table, within the meeting area, during the conduct of all public hearings on the plan.

At each hearing all persons attending the hearing were greeted at the door and asked to register their name and address and note any organizational affiliation by completing an individual card. All persons were asked if they desired to make a statement during the hearing. Those wishing to make a statement were asked to register their name and address, any organizational affiliation and their areas of concern on a special card. This card was later used to request that individual to step forward and make their presentation. All persons so registering, as well as those who later reached a decision to make a statement, were called upon to speak at some time during the hearing.

The panel for the public hearing typically included members of the California Commission on Aging as well as the Director and the Planner of the California Office on Aging. Other staff of the California Office on Aging were present at each of the hearings.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

Each public hearing was conducted between 10:00 a.m. and 4:00 p.m. Copies of the Summary of State Plan Objectives, with large print captions, were provided for all persons attending.

The Director began the hearing with remarks on the purpose of the hearing and identification of the significant areas of plan emphasis including coordination and cooperation. Introduction of the panel and members of the California Office on Aging staff followed. The hearing then continued with a discussion of the major thrusts reflected in the plan and each specific objective of the plan. Whenever possible, the objectives were clarified by the use of simpler terms and accompanied by explanations of the reasons these objectives had been included on the plan and with a discussion concluded with a request for comments in the plan and with a reference to the availability of copies of the plan, as an additional opportunity for review, throughout the time period in which the hearing was conducted. Following this, each person desiring to be heard was called upon to present their statement and to specifically comment on the plan.

Panel members made notes on the issues raised and the comments made in the statements presented and each public hearing was tape recorded for subsequent transcription. Whenever appropriate, response was made by the panel and California Office on Aging staff members to the issues raised and comments made.

Public participation in the hearings is summarized below:

	San Francisco	Los Angeles	Sacramento
Registrants making statements			
Projects and senior centers	11	10	9
Organizations and agencies	9	22	6
Individuals	<u>2</u>	<u>6</u>	<u>3</u>
	22	38	18
Additional registrants			
Projects and senior centers	23	23	32
Organizations and agencies	38	52	23
Individuals	<u>28</u>	<u>33</u>	<u>4</u>
	89	108	59
TOTAL	111	146	77
GRAND TOTAL			334

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

The following is a summary of the major issues and comments on the State Plan introduced at the public hearings.

Affirmative Action

1. Should state preference for hiring of elderly, especially by grantees, more strongly.
2. Should include all elderly, rather than just low income and minority elderly, in Older Worker Program.
3. Should recognize the needs of minorities and provide for programs to meet these needs.
4. Should provide bilingual (Spanish) information on the plan.

Manpower and training

5. Should offer training in the provision of social services to community persons.
6. Should utilize Title VII Project staff as resources for providing training and technical assistance to other Title VII projects.

Coordination

7. Should consider the nature of the Title III and Title VII coordination to be achieved and the method and means whereby such coordination will be determined and will be established.
8. Should provide for improved coordination between the California Office on Aging and Title VII Projects e.g.,
 - provide greater continuity of staff relationship,
 - develop and disseminate more standards, and,
 - provide information on funding.

Housing

9. Should include effort to meet needs of elderly for low and moderate income housing and for rural housing.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

Transportation

10. Should provide for increased funding of transportation as component of Title VII and I&R projects.

Legal

11. Should provide Title III funding for placement of legal services to complement paralegal program.
12. Should provide for dissemination of more information relative to SSI eligibility, rules and regulations.

Local Governmental Involvement in Aging Program

13. Should provide for study of the relationship of multi-county AAAs to County governments and Commissions on Aging.
14. Should include County Commissions on Aging in Conferences on Issues in Aging.

The major issues and comments summarized above were reviewed and discussed by executive and other professional staff of the California Office on Aging. Key issues were related to the California Commission on Aging during the monthly meeting of the Commission. The summary of major issues and comments was submitted to the Commission for its review. The following is a list which includes both the changes which were made in the State Plan and the areas of emphasis which will be observed during plan implementation, that are a result of the comments heard during the Public Hearings.

Affirmative Action

1. Exhibit II. 8, Action Plan for Equal Employment Opportunity now includes the following statement:

Subject to the requirements of merit employment systems of the California state and local governments, preference shall be given to persons aged sixty (60) or over for any staff positions (full-time or part-time) in the California Office on Aging, in Area Agencies on Aging, and in projects funded through the Older Americans Act Title III, Title IV-A and Title VII funds allocated to the California Office on Aging. Consideration of merit will include consideration of training and experience which is pertinent to the program on aging.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

2. Title III Objective #12 was revised to:

increase the participation in the labor force of older persons, particularly low income and minority older persons, who desire and/or need employment.

3. Title III Objective #4 was revised for emphasis on service to low-income and minority older population.

Additionally, greater stress will be given to the implementation of the following Objectives and Action Plans which provide for emphasis in addressing the needs of minority older persons.

Exhibit I. 2

Title III Objectives #4, #6, #7, and #12

Exhibit I. 3

Title VII Objectives #1, #3, and #5

Exhibit II. 6

Action Plan for Increasing Participation of Low-Income and Minority Older Persons as Beneficiaries of Title III and Title VII Programs

Exhibit II. 7

Action Plan for Grants and Contracts to Minority Agencies and Organizations

Exhibit II. 8

Action Plan for Equal Employment Opportunity

4. Action Step #13 was added to Exhibit II. 6.

It states that:

The Communications Section will provide English-Spanish translations of the Summary of State Plan Objectives for the FY '77 State Plan Public Hearings.

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

Manpower and Training

5. Exhibit II. 9, Action Plan for Training and Manpower Development provides for training opportunities for community people in such areas as paralegal, outreach, home health, gerontology, work with the blind, and service to non-English speaking persons.
6. Step 5 of Title VII, Objective #3, now includes provision for utilizing the skills of Title VII Project staff to contribute information, training, and technical assistance to other Title VII Projects.

Coordination

7. The California Office on Aging will provide increased opportunity, through State and Regional Meetings of AAA and Title VII Project Directors, for discussion of the nature of the Title III and Title VII coordination to be achieved and the method and means whereby such coordination will be determined and established.
8. Quarterly Regional Meetings of Title VII Project Directors will emphasize opportunities for greater interchange and information dissemination.

Housing

9. Title III, Objective #17, emphasizing coordinated planning, development and implementation of housing programs, was added to the State Plan.

Transportation

10. Increased funding of Transportation will be considered in the implementation of Title III, Objective #6, and Title VII, Objectives #2, #4 and #5.

Legal

11. Title III funding of legal services will be considered in the implementation of Title III, Objective #6 and encouraged in the development of area plans.
12. Title III, Objective #10, Action Step 6, now states that the Information and Referral Coordinator will:

make provision for supplying information and printed material on SSI to AAAs and Projects and request that they provide for

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CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

local distribution to older persons potentially eligible for SSI.

Local Governmental Involvement in the Aging Program

13.

2

14. The Relationship of multi-county AAAs to county governments and Commissions on Aging will be discussed by representatives of the California Office on Aging, Area Agencies on Aging, county governments and county Commissions on Aging, preliminary to discussion of local governmental involvement in the aging program in an early Conference on Issues in Aging (See Title III, Objective #5).

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SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVES

Objective #1

Develop and execute, by March 15, 1976, a joint programming agreement between the California State Department of Health, Community Services Division, Social Services Branch, which supervises the administration of California's Social Service Program under Title XX of the Social Security Act, and the California Office on Aging, for the purpose of ensuring that resources made available through the Title XX program are allocated in an equitable manner to older persons throughout the State.

Objective #2

Develop and execute by July 31, 1976, a memorandum of understanding between: the Social Security Administration and the Department of Rehabilitation; and, the California Office on Aging regarding Information and Referral services for older persons in California.

Objective #3

Establish by December 1, 1975, an Information and Referral Task Force which will:

work for development of a memorandum of understanding, among the State of California level counterparts of Federal Departments and Agencies and the California Office on Aging, relative to provision of Information and Referral services to older persons in the State of California; and,

hold periodic meetings to discuss specific measures to expand, coordinate and increase the effectiveness of resources available in support of Information and Referral services to older persons in the State of California.

(Attach Continuation Sheets as Needed)

SUMMARY LISTING OF STATE OBJECTIVES

Objective #4

Establish the California Interdepartmental Committee on Aging and initiate, by January 31, 1976, bi-monthly meetings of the Committee for the purpose of determining and recommending ways that the programs of the represented State Departments could, individually and collectively, more equitably and effectively serve the older population segment of their service population, particularly low-income and minority older population.

Objective #5

Initiate, by January 15, 1975, on-going bi-monthly Conferences on Issues in Aging in California to provide a forum for the California Office on Aging, the California Commission on Aging and the Joint Legislative Committee on Aging:

to identify and discuss significant issues in regard to the aging population in California; and,

to develop plans for implementation of individual, but integrated, efforts to address identified issues within their three separate spheres of responsibility.

Objective #6

Provide for acceleration in the implementation of at least one major program addressed to a priority need of older persons, particularly low-income and minority older persons, within each planning and service area, by September 30, 1976.

Objective #7

Provide, by May 31, 1976, specific training and technical assistance to Area Agencies on Aging to increase their capacity to function more effectively in the areas of:

fiscal and contracts management;
program planning, development, implementation and evaluation;
increasing the participation of minorities; and,
pooling and tapping resources (e.g., Revenue Sharing funds).

(Attach Continuation Sheets as Needed)

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SUMMARY LISTING OF STATE OBJECTIVES

<p>Objective #8</p> <p>Develop, by May 31, 1976, a major demographic data and service statistics reference document on the older population of California.</p>
<p>Objective #9</p> <p>Initiate, by July 1, 1976, implementation of the first stage of a two stage development of an Area Agency on Aging for Planning and Service Area 14.</p>
<p>Objective #10</p> <p>Establish, by March 31, 1976, additional support for the effort to locate older, low income, individuals eligible for Supplemental Security Income.</p>
<p>Objective #11</p> <p>Design, by April 15, 1976, a Community Homemaker Employment Program for the recruitment, training, certification, and placement of older workers to provide homemaking services for older persons.</p>
<p>Objective #12</p> <p>Establish, by August 15, 1976, an interagency agreement with the Employment Development Department, at the state level, in order to integrate older worker services into one durable manpower system for the elderly and to increase the participation in the labor force of older persons, particularly low-income and minority older persons who desire and/or need employment.</p>
<p>Objective #13</p> <p>Initiate and conduct, in the California Office on Aging, a program promoting and developing Statewide ombudsman activities, including establishment of a Statewide network of twelve (12) local ombudsman, designed to improve the quality of life of residents of long-term institutions and submit a status report on the program by June 30, 1976.</p>

(Attach Continuation Sheets as Needed)

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SUMMARY LISTING OF STATE OBJECTIVES

Objective #14

Develop, by September 30, 1976, the design for programs for the coordination of transportation services to the elderly, available through the various social agencies in both urban and rural communities, which would minimize duplication of services and provide more efficient use of these transportation services.

Objective #15

Complete, by September 30, 1976, a comprehensive effort to provide training relative to crime prevention, which would decrease criminal victimization of the elderly in the areas of street crime, consumer fraud and bunco.

Objective #16

Conduct in California a program which, by September 30, 1976:

- will train fifty (50) paralegals in the legal needs of older persons;
- and,
- will develop in each Area Agency on Aging and in a number of Information and Referral Projects an effective paralegal advocacy system.

Objective #17

Establish, by February 28, 1976, a joint working agreement between the Department of Housing and Community Development, the California Housing and Finance Agency and the California Office on Aging to provide the basis for coordinated planning, development and implementation of housing programs for older persons with low and moderate income.

TITLE VII OBJECTIVES

Objective #1

Ensure that, from October 1, 1975 through September 30, 1976, provision will be made for the expansion of any existing Title VII Project and the initiation of any new Title VII Projects to meet documented unmet needs of that planning and service area's target populations, particularly low-income and minority older persons.

SUMMARY LISTING OF STATE OBJECTIVES

Objective #2

Ensure, by December 31, 1975, full and timely utilization of all available Title VII funding through provision for the expansion of existing projects and the initiation of new projects within each planning and service area to the maximum rate of funding level which is available to each area under the Basic Annual Title VII Resource Allocation Plan.

Objective #3

Provide, by May 31, 1976, specific training and technical assistance to Title VII Nutrition Projects to increase their capacity to function more effectively in the areas of:

- fiscal management;
- food management;
- program planning, development and implementation; and
- increasing the participation of minorities in the Title VII Project; and,
- securing local resources (e.g., Revenue Sharing funds).

Objective #4

Establish a Title III fund, for the period July 1, 1976 to September 30, 1976, which will be available for obligation to Title VII Projects in Direct Service Areas to provide a limited one time only supplement, under specific circumstances, to the social service component of Nutrition Projects which are being refunded.

Objective #5

Ensure, in those planning and service areas in which it is practical and feasible, that by July 1, 1976, the resources available under Title VII are utilized in maximum coordination with the Title III resources available to Area Agencies on Aging, to provide the most efficient and effective delivery of nutritional and other vital social services to older persons, especially low income and minority older persons.

STATEMENT OF STATE OBJECTIVES
TITLE III

(For each objective complete one set)

<p>Statement of Objective (# <u>1</u>):</p> <p>Develop and execute, by March 15, 1976, a joint programming agreement between the California State Department of Health, Community Services Division, Social Services Branch, which supervises the administration of California's Social Service Program under Title XX of the Social Security Act, and the California Office on Aging, for the purpose of ensuring that resources made available through the Title XX program are allocated in an equitable manner to older persons throughout the State.</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>In that, the State Plan for the Title XX Social Services Program must include at least three (3) services for Supplemental Security Income (SSI) recipients, a great majority of which are older persons, and in addition, other services are provided for which older persons should be eligible, the Title XX program should allocate Title XX resources for services to older persons in an equitable manner.</p> <p>Since the California Office on Aging has, under Title III, a major responsibility for ensuring the development of a comprehensive and coordinated system of services for older persons in California, and since Title XX is the major replacement of former categorical aid programs providing services to the aging, the California Office on Aging must contribute to the effort of planning and programming for the California Title XX program and ensure that there is an equitable allocation of Title XX resources to services for older persons. This contribution will be more efficient and effective if a joint programming agreement between the California State Department of Health, Community Services Division, Social Services Branch and the California Office on Aging is developed and executed.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The California Office on Aging, following up on its previous provision of staff to serve on the Steering Committee and the Task Force for development of the State Plan for the Title XX Social Services Program for FY 76, will appoint and provide staff to serve on the Steering Committee and Task Force for development of the State Plan for the Title XX Social Service Program for FY 77. Additionally, this Office will recommend that representatives of the Administration on Aging, the California Commission on Aging and Area Agencies on Aging be asked to participate in the development of the State Plan for Title XX.</p>	Deputy Director	October 1, 1975

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 2</p> <p>The Chief Liaison will meet with the Area Region IX Liaison to the Community Services Administration and Social and Rehabilitative Services (responsible for the California Title XX program) to encourage action:</p> <p>to ensure awareness of the objective of a joint programming agreement;</p> <p>to enlist cooperation and assistance in clarifying and strengthening the role of the California Office on Aging relative to the role of the Social Services Branch of the Community Services Division in the California State Department of Health in planning and programming for Title XX services for older persons in California.</p>	Chief Liaison	October 15, 1975
<p>Step 3</p> <p>The Chief Liaison, with the Planning Section, will analyze the current allocation of Title XX funds relative to data on all persons and older persons, as distributed throughout the State, as a basis for proposing an approach to allocation which would be equitable to older persons and which could be advocated and supported by advisory bodies to the Aging Program as well as by Area Agencies on Aging and Title III and VII Projects throughout the State.</p>	Chief Liaison	October 15, 1975
<p>Step 4</p> <p>The Chief Liaison will provide proposed criteria for equitable allocation to California Office on Aging Executive Staff and California Commission on Aging for review and comment.</p>	Chief Liaison	November 15, 1975
<p>Step 5</p> <p>The Chief Liaison, at Regional Meetings of AAA Directors and Chairman of Commissions on Aging (in counties not served by AAAs) will:</p> <p>review background on Title XX and the purpose and progress to date of the joint programming agreement for Title XX;</p> <p>outline appropriate strategic action at the local (county) level;</p>	Chief Liaison	November 15, 1975

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
schedule, on request, appointments to provide technical assistance to an AAA or a Commission on Aging (in areas not served by a AAA) in their participation in developing local Title XX plans and their development of a similar joint programming agreement at the local level.		
<u>Step 6</u> The Chief Liaison will meet with the Manager of the Social Services Branch of the Community Services Division of the California State Department of Health for preliminary discussion of a joint programming agreement.	Chief Liaison	November 30, 1975
<u>Step 7</u> The Chief Liaison will provide technical assistance to the AAAs and to the Commission on Aging (in counties not served by AAA).	Chief Liaison	December 31, 1976
<u>Step 8</u> The Chief Liaison will meet with the Manager of the Social Services Branch for subsequent discussion, as necessary, to develop a mutually agreeable joint working agreement.	Chief Liaison	February 15, 1975
<u>Step 9</u> The Chief Liaison will submit the joint working agreement to the Director for signature.	Chief Liaison	March 15, 1976
<u>Step 10</u> The Chief Liaison will develop with the Manager of the Social Services Branch, appropriate means for ensuring that there is awareness of the agreement at the State level and at the local (County) level, e.g., appropriate development or revision of manuals, instructions and announcements.	Chief Liaison	April 15, 1976

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 11 The Chief Liaison, at Regional Meetings of AAA Directors and Chairman of Commissions on Aging (in counties not served by AAAs) will: review the background and content of the joint programming agreement; outline appropriate action at the local (county) level; schedule, on request, appointments to provide Technical Assistance to an AAA or a Commission on Aging (in areas not served by a AAA) in their development of a similar joint programming agreement at the local level.</p>	Chief Liaison	April 30, 1976
<p>Step 12 The Chief Liaison will provide technical assistance to the AAAs and to the Commission on Aging (in counties not served by AAAs) in their development of a similar joint programming agreement at the local level.</p>	Chief Liaison	September 30, 1976

STATEMENT OF STATE OBJECTIVES
TITLE III

(For each objective complete one set)

<p>Statement of Objective (# <u>2</u>):</p> <p>Develop and execute by July 31, 1976, a memorandum of understanding between: the Social Security Administration and the Department of Rehabilitation; and, the California Office on Aging regarding Information and Referral services for older persons in California.</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>The Social Security Administration and Social and Rehabilitation Services are mandated to provide information and referral to their service population which includes older persons. A memorandum of understanding regarding information and referral services for older Americans is anticipated to be reached by:</p> <p>Region IX Social Security Administration (SSA); Region IX Social and Rehabilitation Services (SRS); and Region IX Administration on Aging (AoA) i.e. Office of Human Development, Office on Aging.</p> <p>An effort by the California Office on Aging to follow-up, at the State level, on the basic items agreed to in the Regional Memorandum of Understanding would increase the effectiveness of this effort to increase service to older persons: it would establish a basis for more coordinated effort at the State level; and, it would serve as a model for similar follow-up and developments of memoranda of understanding at the local level.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The I&R Coordinator and the Chief Liaison will contact Region IX Office on Aging Liaison to Region IX, Social Security Administration and Social and Rehabilitative Services, in order to obtain consultation and technical assistance relative to securing a memorandum of understanding at the State level.</p>	<p>I&R Coordinator</p> <p>Chief Liaison</p>	<p>October 31, 1975</p>

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 2</p> <p>Subsequent to execution of the Region IX level memorandum of understanding, the I&R Coordinator and the Chief Liaison in consultation with the Region IX Office on Aging Liaison, will meet with appropriate representatives of Social Security Administration District Offices and the Department of Rehabilitation for preliminary discussion of a memorandum of understanding.</p>	<p>I&R Coordinator</p> <p>Chief Liaison</p>	January 31, 1976
<p>Step 3</p> <p>The I&R Coordinator, working with a subcommittee of the I&R Network Committee, will develop a proposed memorandum of understanding.</p>	I&R Coordinator	February 15, 1976
<p>Step 4</p> <p>The I&R Coordinator will submit the proposed memorandum of understanding to a sample of I&R projects with a request for their review and comment by March 15, 1976.</p>	I&R Coordinator	February 29, 1976
<p>Step 5</p> <p>The Chief Liaison, at Regional Meetings of AAA, Title III DSA Project, and Title VII Project Directors, will:</p> <ul style="list-style-type: none"> review the proposed memorandum of understanding; review the background and purpose of the memorandum; outline appropriate action at the local level; and, schedule, on request, appointments to provide technical assistance to a AAA in their preliminary effort toward development of a similar memorandum of understanding at the local level. 	Chief Liaison	April 15, 1976
<p>Step 6</p> <p>The I&R Coordinator will revise the proposed memorandum of understanding, as necessary, based on comments received.</p>	I&R Coordinator	April 30, 1976

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 7 The I&R Coordinator and the Chief Liaison will meet with appropriate Social Security Administration and Department of Rehabilitation representatives for subsequent discussion, as necessary, to develop:</p> <p>a mutually agreeable memorandum of understanding; and, a plan for disseminating its contents to the respective local agencies of each agency.</p>	<p>I&R Coordinator Chief Liaison</p>	<p>May 31, 1976</p>
<p>Step 8 The I&R Coordinator will provide the memorandum of understanding to the Director for signature.</p>	<p>I&R Coordinator Chief Liaison</p>	<p>July 31, 1976</p>
<p>Step 9 The Chief Liaison, at Regional Meetings of AAA, Title III DSA Project, and Title VII Project Directors, will:</p> <p>distribute copies of the memorandum of understanding; review the background and content of the memorandum; outline appropriate action at the local level; and, schedule, on request, appointments to provide technical assistance to a AAA in their development of a similar memorandum of understanding at the local level.</p>	<p>Chief Liaison</p>	<p>August 31, 1976</p>
<p>Step 10 The Chief Liaison will provide technical assistance to the AAAs in their development of similar memorandum of understanding at the local level.</p>	<p>Chief Liaison</p>	<p>September 30, 1976</p>

STATE California

EXHIBIT 1-2

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TITLE III

(For each objective complete one set)

Statement of Objective (# 3):

Establish by December 1, 1975, an Information and Referral Task Force which will:

work for development of a memorandum of understanding, among the State of California level counterparts of Federal Departments and Agencies, and the California Office on Aging, relative to provision of Information and Referral services to older persons in the State of California; and,

hold periodic meetings to discuss specified measures to expand, coordinate and increase the effectiveness of resources available in support of Information and Referral services to older persons in the State of California.

Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:

A number of Federal Departments and Agencies are mandated to provide information and referral to a service population which includes older persons. The Administration of Aging has signed a Federal level, joint working agreement with such Departments and Agencies as an initial means of developing greater coordination of activities and of the resources available in support of services for older persons. By continuing, at the State level, the effort initiated at the Federal level, the California Office on Aging could facilitate increased mutual awareness of and coordination of the I&R resources and services available, through Federal Department and Agency resources, to California's older population. Such coordination could lead to increasing the services delivered to older persons, especially low income and minority older persons, since it provides a basis for identifying and minimizing gaps and duplication in the provision of I&R Services.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1 The Chief Liaison will contact the Region IX Office of Aging Liaison to the following Region IX Federal Departments and Agencies in order to obtain consultation and technical assistance relative to securing the memorandum of understanding at the State level:</p> <p>ACTION Department of Agriculture Civil Service Commission General Services Administration Federal Information Centers Department of Health, Education, and Welfare Office of Consumer Affairs Public Health Service Social and Rehabilitation Service Social Security Administration Department of Housing and Urban Development</p>	<p>Chief Liaison</p>	<p>October 15, 1975</p>

STATEMENT OF STATE OBJECTIVES
TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Department of Labor Manpower Administration Office of Economic Opportunity Department of Transportation Veterans Administration.		
Step 2 The Chief Liaison, with the support of the Region IX Office of Aging Liaison will initiate contacts with each of the above-mentioned Federal Departments and Agencies: to ensure their awareness of the objective of reaching a memorandum of understanding with the State of California level counterparts to the Federal Department or Agency; and, to enlist their cooperation and assistance in reaching the objective.	Chief Liaison	November 30, 1975
Step 3 The Chief Liaison will initiate contact with the State of California level counterparts of the above-mentioned Federal Departments and Agencies to propose their participation, by means of delegated representatives, in the I&R Task Force.	Chief Liaison	December 31, 1975
Step 4 The Chief Liaison will convene the first meeting of the I&R Task Force members: representatives delegated by the above listed State of California level counterparts of Federal Departments and Agencies; designated California Office on Aging staff, including the Chief Liaison and the I&R Coordinator; and, older consumers of I&R service.	Chief Liaison	January 31, 1976
Step 5 The I&R Task Force, meeting on a twice monthly basis, will develop a memorandum of understanding relative to their provision of I&R services to older persons in the State of California.	Chief Liaison	May 31, 1976

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EXHIBIT 1-2

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 6 The I&R Task Force, meeting on a monthly basis, will determine specific measures that can be taken by their individual Department, Agency or Office to expand, coordinate and increase the effectiveness of I&R services to older persons in the State of California.</p>	Chief Liaison	July 31, 1976
<p>Step 7 The I&R Task Force will develop the I&R Task Force Report specifying: the individual, but, coordinated basic plan that each Department, Agency and the California Office on Aging will implement relative to providing I&R services to older persons in the State of California and, the means each Department, Agency and the California Office on Aging will use to transmit and implement their plan at the local level.</p>	Chief Liaison	August 31, 1976
<p>Step 8 The Chief Liaison will disseminate copies of the I&R Task Force Reports to: AAAs; Title III DSA Projects; Title VII Nutrition Projects; and, California Commission on Aging</p>	Chief Liaison	September 15, 1976
<p>Step 9 The Chief Liaison, at Regional Meetings of AAA, Title III DSA Project and Title VII Project Directors, will: review the background and content of the I&R Task Force Report; outline appropriate follow-up action at the local level; schedule, on request, appointments to provide technical assistance to a AAA, in its development of a similar memorandum of understanding at the local level.</p>	Chief Liaison	September 30, 1976

STATEMENT OF STATE OBJECTIVES
TITLE III

(For each objective complete one set)

<p>Statement of Objective (#<u>1</u>):</p> <p>Establish the California Interdepartmental Committee on Aging and initiate, by January 31, 1976, bi-monthly meetings of the Committee for the purpose of determining and recommending ways that the programs of the represented State Departments could, individually and collectively, more equitably and effectively serve the older population segment of their service population, particularly low-income and minority older population.</p>		
<p>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</p> <p>The problems of California's aging persons, particularly the problems of low income and minority aging persons, typically extend beyond the present more categorically defined areas or responsibility of individual State of California Departments which provide services to a population of a wide age range. Through joint consideration, on an inter-departmental basis, of,</p> <p style="padding-left: 40px;">specific priority problems of California's aging persons, and,</p> <p style="padding-left: 40px;">each Department's present utilization of resources and provisions of services</p> <p>there is great potential for developing a coordinated effort to equitably and effectively address the priority problems of aging persons, particularly low income and minority aging persons through:</p> <p style="padding-left: 40px;">individual departmental modification of resource utilization and service delivery; and,</p> <p style="padding-left: 40px;">collective inter-departmental service coordination.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Deputy Director will appoint a CICA Liaison Chairman and other staff to serve as CICA Liaison to specific Departments and/ or Offices in:</p> <p style="padding-left: 40px;">the CICA formation stage; and,</p> <p style="padding-left: 40px;">the CICA implementation stage.</p>	Deputy Director	October 15, 1975
<p>Step 2</p> <p>The Deputy Director and CICA Liaison Chairman will contact the following Departments and Offices to propose their participation with the California Office on Aging in the California Inter-Departmental Committee on Aging (CICA):</p> <p style="padding-left: 40px;">Department of Benefit Payments;</p> <p style="padding-left: 40px;">Department of Consumer Affairs;</p> <p style="padding-left: 40px;">Department of Education;</p> <p style="padding-left: 40px;">Department of Food and Agriculture;</p> <p style="padding-left: 40px;">Department of Health;</p> <p style="padding-left: 40px;">Department of Housing and Community</p>	CICA Liaison Chairman	October 31, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Department of Parks and Recreation; Department of Rehabilitation; Department of Transportation; Employment Development Department; Office of Economic Opportunity; and, Office of Planning and Research.		
Step 3 The Deputy Director, the CICA Liaison Chairman and the appropriate CICA Liaison will discuss with each Department and/or Office: their proposed participation in CICA; and, their present and potential role in regard to services for the aging.	CICA Liaison Chairman	November 30, 1975
Step 4 The Chairman will request that by January 1, 1976 each Department and Office appoint: a representative to CICA with delegated authority to make decisions and commitment for the Department or Office (i.e., the Director or a Deputy Director); and, a senior staff member to also attend CICA meetings and be responsible for the completion of any follow-up staff work required.	CICA Liaison Chairman	December 15, 1975
Step 5 The Chairman will propose that the California Commission on Aging appoint representation to attend CICA meetings as an ex-officio member of CICA	CICA Liaison Chairman	December 15, 1975
Step 6 The CICA Liaison Chairman will: develop the CICA roster; develop the agenda for the first CICA meeting; schedule the first CICA meeting; and, notify all participants of the first CICA meeting.	CICA Liaison Chairman	January 15, 1976
Step 7 The Chairman will conduct the first bi-worthy meeting of CICA.	CICA Liaison Chairman	January 31, 1976

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<p>Statement of Objective (# <u>5</u>):</p> <p>Initiate, by January 15, 1976, on going bimonthly Conferences on Issues in Aging in California to provide a forum for the California Office on Aging, the California Commission on Aging and the Joint Legislative Committee on Aging:</p> <p style="padding-left: 40px;">to identify and discuss significant issues in regard to the aging population in California; and</p> <p style="padding-left: 40px;">to develop plans for implementation of individual, but integrated, efforts to address the identified issues within their three separate spheres of responsibility.</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>Although the aging population in California is the basic focus of concern of the California Office on Aging, the California Commission on Aging and the Joint Legislative Committee on Aging each has separate functions and spheres of responsibility in regard to this concern. In order that the efforts exerted by each of these bodies have a potential for increased positive impact on the problems of older persons, especially the low income and minority older person, there is need to establish a mechanism whereby these efforts can be coordinated. Bimonthly conferences would establish such a mechanism.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p><u>Step 1</u></p> <p>The Deputy Director will contact the Joint Legislative Committee on Aging and the California Commission on Aging to propose their participation in ongoing bimonthly Conferences on Issues in Aging in California.</p>	Deputy Director	November 15, 1976
<p><u>Step 2</u></p> <p>The Deputy Director will request that the Joint Legislative Committee on Aging:</p> <p style="padding-left: 40px;">appoint an ongoing senior staff representative to the Conference; and,</p> <p style="padding-left: 40px;">identify issues in aging which should be given priority in initial conferences.</p>	Deputy Director	November 30, 1976
<p><u>Step 3</u></p> <p>The Deputy Director will request that the California Commission on Aging:</p> <p style="padding-left: 40px;">appoint the Chairman of the Commission, the Chairman of the Liaison Committee, the Executive Secretary, and, if appropriate, another representative to the Conference; and,</p> <p style="padding-left: 40px;">identify issues in aging which should be given priority in initial conferences.</p>	Deputy Director	December 15, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 4 The Deputy Director will: appoint staff to assist the Director in representing the California Office on Aging in the Conferences; and, request the appointed staff to identify issues in aging which should be given priority in initial conferences.</p>	Deputy Director	December 31, 1975
<p>Step 5 The first Conference will be convened.</p>	Deputy Director	January 15, 1976
<p>Step 6 Summary reports of the Conferences will be developed and disseminated.</p>	Deputy Director	April 30, 1976 August 31, 1976

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(For each objective complete one set)

Statement of Objective (# 6):
Provide for acceleration in the implementation of at least one major program addressed to a priority need of older persons, particularly low-income and minority older persons, within each planning and service area, by September 30, 1976.

Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:

The funding pattern for Title III Programs on Aging, for both AAAs (in planning and service areas covered by area plans) and DSAs (Direct Service Areas, i.e., planning and service areas not covered by area plans), has reflected the lag in the original implementation of this new and major program. To ensure that there is more timely use of available funding to address priority needs of older persons, particularly low income and minority older persons, acceleration in the implementation of programs in each planning and service area is necessary.

AAAs are currently in various early stages of planning for accelerated programming components which will be implemented along with the regular programming components of each area plan.

Similar accelerated programming, which must focus principally on non-recurring "one-time-only" expenditures, should be developed for DSAs. The eight (8) DSA planning and service areas are predominately rural. Accelerated programming has potential for impact on such a high priority need of the rural elderly as transportation, since it could provide for "one-time-only" investment in the capital cost of transportation vehicles.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>As each AAA in Areas 4, 6, 9, 19, 23 & 25 submits its area plan with accelerated programming components, the Regional Office will:</p> <ul style="list-style-type: none"> submit two (2) copies to the Central Office, i.e., to the Planning Section and to the Affirmative Action Coordinator, for area plan review and comment; schedule the period of area plan review and comment by the Consultant to the AAA and other regional staff; request the AAA to make any necessary revisions based on Central and Regional Office review and comment; and, submit the (revised) plan to Executive Staff with recommendations relative to approval. 	<p>Regional Office Manager</p>	<p>October 31, 1975</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 2 Consultants to AAAs in Areas 4, 6, 9, 19, 23 & 25 will provide technical assistance to the AAA as necessary to support AAA implementation, by January 29, 1976 of all accelerated programming components of their area plan which are funded with the FY 75 funds awarded June 30, 1975.</p> <p>*At a minimum, "implementation" requires all sub-contracts for accelerated program components to be fully signed.</p>	Consultant to AAA	January 29, 1976
<p>Step 3 Regional Offices will schedule and verify the dates* that each AAA will submit the accelerated programming components of its area plan in Areas 5, 7, 8, 10, 11, 17, 20 and 21.</p> <p>*<u>Latest</u> anticipated date is March 1, 1976; the date scheduled for each of these AAAs to submit an area plan for the next budget year.</p>	Regional Office Manager	October 31, 1975
<p>Step 4 As each AAA in Areas 5, 7, 8, 10, 11, 17, 20 and 21 submits its area plan with accelerated programming components, the Regional Office will:</p> <p>submit two (2) copies to the Central Office, i.e., to the Planning Section and to the Affirmative Action Coordinator, for area plan review and comment; schedule the period of area plan review and comment by the Consultant to the AAA and other regional staff; request the AAA to make any necessary revisions based on Central and Regional Office review and comment; and, submit the (revised) plan to Executive Staff with recommendations for approval.</p>	Regional Office Manager	April 30, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5 Consultants to AAAs in Areas 5, 7, 8, 10, 11, 17, 20 and 21 will provide technical assistance to the AAAs as necessary to support AAA implementation* of all accelerated programming components of the area plan within seven (7) months of the award of funds for accelerated components.</p> <p>*At a minimum "implementation" requires all sub-contracts for accelerated program components to be fully signed.</p>	Consultant to AAA	September 30, 1976 (and thereafter to January 29, 1977)
<p>Step 6 The Chief of Regional Office Operations will appoint a DSA Task Force and a DSA Task Force Chairman responsible for development of a DSA Task Force Summary Report, to include: identification of the established high priority needs of older persons in each DSA; identifications of an accelerated programming plan and recommendations of appropriate program objectives to be included in Request for Proposals; and, recommendations of any special procedures to be followed in requesting proposals.</p>	Chief of Regional Office Operations	October 15, 1975
<p>Step 7 The DSA Task Force will develop the DSA Task Force Report and submit it to the Chief Regional Office Operations and other Executive Staff with a request for review and comment.</p>	DSA Task Force Chairman	November 30, 1975
<p>Step 8 Regional Office Operations will submit a recommended DSA accelerated program plan to Executive Staff for approval.</p>	Chief of Regional Office Operations	December 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 9 Regional Office Operations will develop Requests for Proposals for DSA Accelerated Programs which include the specific program objectives to be provided for in proposals.	Chief of Regional Office Operations	December 31, 1975
Step 10 Communications will identify and utilize appropriate media to announce the availability of: funding for the program; request for proposal forms; and, technical assistance at Regional Offices; and, the last date for submitting proposals, April 15, 1976.	Chief of Communications	December 31, 1975
Step 11 Regional Offices through mailings and through personal contact with community organizations will: disseminate requests for proposals; provide information on the program; and, emphasize the availability of technical assistance.	Regional Office Manager	December 31, 1975
Step 12 Consultants will provide requested technical assistance to organizations developing proposals.	Consultant to DSA	April 15, 1976
Step 13 Regional Offices will review proposals and evaluate each proposal based on its conformance to the established program objectives.	Regional Office Manager	June 15, 1976
Step 14 Regional Offices will submit proposals and Regional Office recommendations to Executive Staff for selection and approval of proposals for accelerated programs in DSAs to be funded no later than September 30, 1976.	Regional Office Manager	June 30, 1976

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(For each objective complete one set)

<p>Statement of Objective (# <u>7</u>): Provides by May 31, 1976, specific training and technical assistance to Area Agencies on Aging to increase their capacity to function more effectively in the areas of: fiscal and contracts management; program planning, development, implementation and evaluation; increasing the participation of minorities in programs; and, pooling and tapping resources (e.g., Revenue Sharing funds).</p>		
<p><u>Rationale for Selection of Objective and Project Impact,</u> <u>Especially for Serving Low-Income and Minority Older Persons:</u> An Area Agency on Aging (AAA) is a relatively recent development, in concept and in reality. An AAA is charged with a complex set of functions and responsibilities which it must discharge at the same time it is establishing itself as a new organization. The California Office on Aging and the AAA's have identified important areas in which the provision of special training and technical assistance would increase the AAA's capacity to function more effectively. These areas are noted above. Provision of training and technical assistance in these areas would assist the AAAs in serving the needs of older persons, especially low-income and minority older persons, with greater effectiveness.</p>		
<p>Major Action Steps to Achieve Objective:</p>	<p>Position(s) with Key Responsibility For Step</p>	<p>Estimated Date of Completion</p>
<p>Step 1 Consultants to the AAAs, as a part of the quarterly assessment process, will provide to the Regional Managers and to the AAA Director, assessment reports including the following information to be used to address the specific training and technical assistance needs of the AAA. A report, on the status of the project re: identified strengths and weaknesses; specified key areas of concern or problems; requirements and/or recommendations for maintaining and/or improving the project. A report on the status of the project re needs for: training; and, technical assistance.</p>	<p>Consultant to the AAA</p>	<p>4 Weeks After Quarterly Assessment See Exhibit 1-2 Part A-Title III Supplement AAA ASSESSMENT SCHEDULE</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>A plan (or any necessary modifications of the previous plans), and schedule for addressing the needs of the project for:</p> <p>training; and, technical assistance.</p> <p>Identification of any new areas of concern or problems.</p>		
<p>Step 2</p> <p>Regional Offices will review all assessment reports to determine which needs for training and technical assistance will be:</p> <p>provided to AAA Directors (and other AAA staff, as appropriate) in Quarterly Regional Meetings; and,</p> <p>provided to AAA staff directly by the Consultant to the AAA.</p>	Regional Office Manager	5 Weeks After Quarterly Assessment
<p>Step 3</p> <p>Regional Offices will develop training and technical assistance, information and workshop, presentations to be made to AAA Directors (and other AAA staff, as appropriate) at Quarterly Regional Meetings of AAA Directors.</p>	Regional Office Manager	March 31, 1976 June 30, 1976 and Quarterly Thereafter
<p>Step 4</p> <p>Regional Offices will conduct Quarterly Regional Meetings of AAA Directors with the training and technical assistance information and workshop presentations developed in Step 3.</p>	Regional Office Manager	April 15, 1976 July 15, 1976 and Quarterly Thereafter

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5</p> <p>The Central Office and Regional Offices will: identify resource persons who could contribute information, training and technical assistance to Area Agencies on Aging in pooling and tapping resources (e.g., Revenue Sharing funds);</p> <p>encourage these resource persons to contribute to the projects by presenting information, training and technical assistance to the projects; and,</p> <p>provide for presentations by these resource persons in Quarterly Regional Meetings of Title VII Project Directors.</p>	<p>Director</p> <p>Training Officer</p> <p>Regional Office Manager</p>	<p>March 31, 1976</p> <p>June 30, 1976</p> <p>September 30, 1975</p>
<p>Step 6</p> <p>Training will provide for training for AAA staff in the areas of program planning, implementation and evaluation with participation by Consultants to AAAs.</p>	<p>Training Officer*</p>	<p>December 31, 1975</p>
<p>Step 7</p> <p>Training will provide for training for AAA staff in techniques and skills for providing service to Mexican non-English speaking population with participation by Consultants to AAAs.</p>	<p>Training Officer*</p>	<p>April 30, 1976</p>
<p>Step 8</p> <p>Training will provide for training for AAA staff in the areas of fiscal and contract management with participation by Consultants to AAAs.</p>	<p>Training Officer*</p>	<p>May 31, 1976</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 9 Training will provide for training for AAA staff in the area of basic management skill with participation by Consultants to AAAs.	Training Officer*	May 31, 1976

*See EXHIBIT 11-9 ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

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(For each objective complete one set)

Statement of Objective (# 8):

Develop, by June 30, 1976, a major demographic data and service statistics reference document on the older population of California.

Rationale for Selection of Objective and Projected Impact.
Especially for Serving Low-Income and Minority Older Persons:

Facts and figures on the aging population are essential as a basis for effective planning and advocacy for their needs. The California Office on Aging needs to work with the most reliable and comprehensive data which can be made available. Additionally, this office receives many requests for information about California's older population and should be able to respond to these requests, easily, readily, and fully.

Currently there is no such basic reference document answering these needs. Tabular and graphic display of a major compilation of existing demographic data and of statistics, on the participation of the older population in the major services available in California, would provide a useful and much needed basis for such a reference document. It would establish a common base of reference in the wide range of planning and advocacy efforts which need to be initiated to develop a comprehensive and coordinated system of services for older persons.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Assistant Director, Administration, will request the Personnel Officer, to identify graduate students of local educational institutions who would be interested in part-time internship work in the Planning Section to assist in the acquisition and compilation of data through May 30, 1976.</p>	Assistant Director Administration	October 1, 1975
<p>Step 2</p> <p>The California Office on Aging will establish and fill the full-time position of Planning Data Analyst in the Evaluation and Analysis Unit with a person trained in techniques of data collection and analysis and computer technology.</p>	Deputy Director Personnel Officer	November 15, 1975
<p>Step 3</p> <p>As part of an action step, to achieve Title III, Objective #10, the Deputy Director will request the Region IX Social Security Administration to provide by December 31, 1975 data, by district on:</p>	Deputy Director	October 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>the number of persons potentially eligible for JJI on the basis of a low level of Social Security payment with the data divided by age (60 and over/under 60) and by type of benefit (retirement/disability);</p> <p>the number of persons requesting eligibility determination each quarter since January 1974;</p> <p>the number of persons declared ineligible for JJI each quarter since January 1974 and the major bases for ineligibility.</p>		
<p>Step 4 Evaluation and Analysis will develop an outline plan identifying:</p> <p>the data and statistics to be acquired;</p> <p>the available sources for the information; and,</p> <p>the schedule for the acquisition and compilation of the data and statistics.</p>	Chief Planner Planning Data Analyst	November 15, 1975
<p>Step 5 The Deputy Director will provide the California Commission on Aging with a copy of the outline plan developed in Step 4 and will initiate a request to the Commission to participate in the development of the reference document by:</p> <p>sharing any available data and statistics that the Commission has acquired;</p> <p>advising of any data, statistics or sources not previously identified; and,</p> <p>sharing any resources not otherwise utilized for the purpose of developing vital comprehensive data and statistics.</p>	Deputy Director	November 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 6</p> <p>Evaluation and Analysis will initiate a request through Program Implementation Division for:</p> <p>each Area Agency on Aging to provide specific demographic data and service statistics for its planning and service area; and,</p> <p>each Regional Office Consultant to Direct Service Areas (DSA's), i.e., areas not covered by area plans, to provide specific demographic data and service statistics for each DSA planning and service area.</p>	Chief Planner	December 1, 1975
<p>Step 7</p> <p>Evaluation and Analysis will contact the Population Research Unit of the Department of Finance to discuss the availability of pertinent demographic data and the provision of that data to the California Office on Aging.</p>	Chief Planner	December 15, 1975
<p>Step 8</p> <p>Evaluation and Analysis will explore and report on the feasibility of developing computerized statewide comprehensive area planning data from sources such as:</p> <p>Teale Consolidated Data Center;</p> <p>Transportation Planning Support Information System (TPSIS); and,</p> <p>State institutions of higher education.</p>	Chief Planner Planning Data Analyst	January 15, 1975
<p>Step 9</p> <p>Based on information needs identified by Evaluation and Analysis the Deputy Director will initiate a request, through the California Interdepartmental Committee on Aging for the cooperation of each of the following Departments and Offices in compiling and providing any available statistics on their provision of services to older persons.</p>	Deputy Director	January 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Department of Benefit Payments; Department of Education; Department of Food and Agriculture; Department of Health; Department of Housing and Community Development; Department of Parks and Recreation; Department of Rehabilitation; Department of Transportation; Employment Development Department; Office of Economic Opportunity; and, Office of Planning and Research.		
Step 10 The Deputy Director will initiate a request to other departments and offices for their cooperation in compiling and providing any available statistics on their provision of services to older persons, including: Department of Consumer Affairs; Department of Fish and Game; Department of Justice; and, Department of Motor Vehicles.	Deputy Director	January 31, 1976
Step 11 Following up on Steps 9 and 10, Evaluation and Analysis will: contact each department and office; discuss with each department and office the specific pertinent statistics that are available; and, request that specific statistics be provided to the California Office on Aging by March 31, 1976.	Chief Planner Planning Data Analyst	February 29, 1976
Step 12 Evaluation and Analysis will compile statistics and develop into tabular and graphic forms.	Chief Planner Planning Data Analyst	April 30, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 13</p> <p>Evaluation and Analysis and Communications will develop the format for the reference document</p>	<p>Chief of Communications</p> <p>Chief Planner</p>	April 30, 1976
<p>Step 14</p> <p>Evaluation and Analysis will provide Communications with rough copy of all reference document material including text, tabular display and graphic display.</p>	Chief Planner	May 31, 1976
<p>Step 15</p> <p>Communications will provide for the final copy and printing of the reference document.</p>	Chief of Communications	June 30, 1976
<p>Step 16</p> <p>The reference document will be: initially disseminated to all contributory sources and to other major entities with interest in such information; and, subsequently disseminated in response to requests for such information.</p>	Chief of Communications	July 31, 1976
<p>Step 17</p> <p>Communications with the assistance of Evaluation and Analysis will develop and print a digest of the reference document for distribution as needed.</p>	<p>Chief of Communications</p> <p>Chief Planner</p>	September 30, 1976

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(For each objective complete one set)

Statement of Objective (# 9):

Initiate, by July 1, 1976, implementation of the first stage of a two stage development of an Area Agency on Aging for Planning and Service Area 14.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Establishment of a AAA in Planning and Service Area 14 is consistent with the broader more long range, objective of the California Office on Aging:

Establish within each planning and service area of the State, an Area Agency on Aging or, where this is not yet feasible, local units on aging which will serve as the focal point for the development of a comprehensive and coordinated system of services for the older population with emphasis on poor and minority elderly within that area.

Experience to date has demonstrated that the development of an organization which can effectively serve as the focal point of aging in a planning and service areas and have the capacity to fulfill all the functions and responsibilities of an area agency on aging requires time. A multi-county planning and service area such as Area 14 (Fresno and Madera County) presents a more complex situation for establishing a AAA.

Development of a fully functioning AAA in two stages provides an opportunity for a newly nominated AAA to establish itself more firmly, in Stage 1, in the key areas of planning, coordination of existing services and the pooling of available but untapped resources, before undertaking, in Stage 2, provision of supportive and gap-filling services and the responsibilities of contracts management.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 1 - The Consultant to Area 14, will strengthen contacts with governmental and community organizations: to develop awareness of the functions and responsibilities of an AAA; and, to encourage appropriate nominations.	Area 14, Consultant	November 30, 1975
Step 2 The Central Regional Office will conduct public hearings on the nomination of an AAA for Area 14	Central Regional Office Manager	November 30, 1975
Step 3 The Central Regional Office will recommend an AAA Nominee to California Office on Aging Executive Staff	Central Regional Office Manager	December 31, 1975

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EXHIBIT 2

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 4 The California Office on Aging will designate an AAA Nominee for Area 14.	Deputy Director	January 15, 1976
Step 5 The Central Regional Office will conduct public hearings on the designation of the AAA Nominee.	Central Regional Office Manager	February 15, 1976
Step 6 The Consultant to Area 14 will provide technical assistance to the AAA Nominee in its development of a proposal for provision of the social services of: coordination of existing services; and, pooling of available but untapped resources.	Area 14 Consultant	April 15, 1976
Step 7 The Central Regional Office will review the proposal of the AAA Nominee, request any necessary revision and then submit it to California Office on Aging Executive Staff for approval.	Central Regional Office Manager	April 30, 1976
Step 8 The AAA Nominee with the technical assistance of the Consultant to Area 14 will initiate: implementation of the plan for coordination and pooling; and, development of an area plan, an advisory council, and procedures for contracts management which will be utilized in the second stage of development.	Area 14 Consultant	July 1, 1976

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(For each objective complete one set)

<p>Statement of Objective I# <u>10</u>:</p> <p>Establish, by March 31, 1976, additional support for the effort to locate older, low income, individuals eligible for Supplemental Security Income (SSI).</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>Supplemental Security Income (SSI) is available to supplement the income of eligible low income older persons up to the level of \$3,084 per year for single persons 65 and over and \$5,584 per year for couples 65 and over. Additionally, other important benefits are available to persons receiving SSI:</p> <p style="padding-left: 40px;">SSI recipients are enrolled in Medi-Cal and eligible for health care benefits under that program;</p> <p style="padding-left: 40px;">under the new Title XK Social Services program three (3) social services are to be provided for SSI recipients.</p> <p>Phase I and II of the SSI Alert have been conducted in an effort to locate individuals eligible for SSI. To the degree that the objective of these areas may not have been fully achieved it is important to provide for maintenance of this effort. The SSI program can have a vital impact on the lives of older persons with inadequate income since it provides supplemental income, health care benefits and eligibility for other social services. It is essential to ensure that there is additional effort to locate potentially eligible older persons and assist them in gaining access to SSI eligibility determination in offices of the Social Security Administration.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Deputy Director will contact the Program Services Officer of the Region IX Social Security Administration to request information by December 31, 1975, that would provide a basis for:</p> <p style="padding-left: 40px;">determining the present status of the effort to locate persons eligible to receive SSI;</p> <p style="padding-left: 40px;">assessing the impact of SSI Alert activity to date; and,</p> <p style="padding-left: 40px;">developing other support for the effort to locate potentially eligible SSI recipients.</p> <p>Such information should include data, by district, on:</p>	Deputy Director	October 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>the number of persons potentially eligible for SSI on the basis of a low level of Social Security payment with the data divided by age (60 and over, / under 60) and by type of benefit (retirement/disability);</p> <p>the number of persons requesting eligibility determination each quarter since January 1974;</p> <p>the number of persons declared eligible for SSI each quarter since January 1974; and,</p> <p>the number of persons declared ineligible for SSI each quarter since January 1974 and the major bases for ineligibility.</p>		
<p>Step 2</p> <p>The I&R Coordinator and the Chief Liaison will meet with representatives of the Social Security Administration to discuss ways to support the effort to locate older persons eligible for SSI.</p>	<p>I&R Coordinator</p> <p>Chief Liaison</p>	October 31, 1975
<p>Step 3</p> <p>The I&R Coordinator will establish an SSI Task Force.</p>	I&R Coordinator	January 15, 1976
<p>Step 4</p> <p>The I&R Coordinator and I&R Task Force with assistance from the Chief Planner will analyze the data provided by the Social Security Administration:</p> <p>to relate the district data to each planning and service area (PSA);</p> <p>to determine the present status of the effort to locate persons eligible for SSI;</p> <p>to correlate the results identified in quarterly reports with the periods of SSI Alert I and II activity within each PSA.</p>	<p>I&R Coordinator</p> <p>Chief Planner</p>	January 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5</p> <p>The SSI Task Force will determine and make recommendations, in an SSI Task Force Report, of program activities that could be implemented, by AAAs, Title III DSA Projects and Title VII Nutrition Projects, that would be effective in locating older individuals potentially eligible for SSI.</p>	I&R Coordinator	February 29, 1976
<p>Step 6</p> <p>The I&R Coordinator, at Regional Meetings of AAA, Title III DSA Project and Title VII Nutrition Project Directors, will:</p> <p>distribute copies of the analysis, by P&A, of the data provided by the Social Security Administration;</p> <p>provide copies of the SSI Task Force Report outline program activities recommended by the SSI Task Force, that would support the effort to locate older persons eligible for SSI;</p> <p>make provision for supplying information and printed material on SSI to AAAs and projects and request that they provide for local distribution to older persons potentially eligible for SSI; and,</p> <p>schedule on request, appointment to provide technical assistance to AAAs, Title III and Title VII projects in their effort to locate individuals eligible for SSI.</p>	I&R Coordinator	March 31, 1976
<p>Step 7</p> <p>The I&R Coordinator will provide requested technical assistance to AAAs, Title III DSA Projects and Title VII Nutrition Projects in their effort to locate individuals eligible for SSI.</p>	I&R Coordinator	September 30, 1976

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Statement of Objective (#11):

Design, by April 15, 1976, a Community Homemaker Employment Program for the recruitment, training, certification, and placement of older workers to provide homemaking services for older persons.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The draft State Plan for California for FY 76 expenditure of Title XX Social Services funds indicates that 78,000 adult recipients of SSI are to be served by Homemaker/Chore service, with \$57.8 million available for this program. In the past when programs have authorized payment for a specific number of hours per month of homemaking service at a specified rate per hour, the supply of qualified homemakers available at the specified rate has often been insufficient to meet demand. Similarly in many areas older persons prepared to pay for such services from their own funds are not able to obtain such service.

A Community Homemaker Employment Program would:

provide for a roster of certified homemakers within communities to provide homemaking services to older persons (60 and over); and
increase training and employment opportunities for low income older workers (emphasis on workers 55 and over).

A carefully conceived design for a Community Homemaker Employment Program utilizing available but untapped resources would serve as:

a basis for development of proposals for Homemaking Service Projects by interested local sponsors (it is anticipated that funds for Model Projects for Homemaking Service will be made available under the Older Americans Act in the Spring of 1976); and,
a guide for implementation of a broad Statewide Community Homemaker Employment Program provided through the coordinated resources of such State Departments and Offices as the Department of Education, the Department of Health, the Employment Development Department and the California Office on Aging.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1 The Assistant Director, Program will identify and contact resources which could advise on and contribute to the development of a design for a Community Homemaker Employment Program, e.g., Employment Development Department (for recruitment, counseling, and placement); Department of Health (training, certification procedures, coordination with Title XX);</p>	<p>Assistant Director Program</p>	<p>October 15, 1975</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Department of Education and the Community Colleges (facilities for training and certification) and propose that they delegate representatives to participate in a Community Homemaker Employment Program Task Force.		
Step 2 The Assistant Director, Program will establish a Community Homemaker Employment Program Task Force (and a Task Force chairman) which will include delegated representatives from resources such as those listed above as well as Office on Aging staff.	Assistant Director Program	October 31, 1975
Step 3 The Assistant Director, Program will convene the first monthly meeting of the Task Force and appoint a Task Force Chairman.	Assistant Director Program	November 15, 1975
Step 4 The Task Force, in cooperation with AAAs, will obtain pertinent information in regard to homemaking service from such sources as: available literature; recognized authorities; major IIR projects funded under Title III; Employment Development Department; Public Health Nurses; Visiting Nurses Association; and, existing private Homemaker placement agencies.	Homemaker Chairman	December 15, 1975
Step 5 Based on analysis of the information obtained in Step 4 the Task Force will develop a summary report of the existing homemaker service market in planning and service areas selected as representative of typical situations. The report will include: an estimate of the existing supply of homemakers; identification of the level of skills available in the existing supply of homemaking service;	Homemaker Chairman	January 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
identification of the existing rates of pay and other compensations for homemaker service; and, an estimate of the unmet demand for homemaker service.		
Step 6 If analysis has shown an unmet demand for homemaker service the Task Force will identify the major factor that are contributory, and which must be taken into account in developing a Community Homemaker Employment Program, e.g., rate of pay, hours, existing image of homemaker services, characteristics, training of homemaker, accessibility/transportation, user resistance, user abrasiveness.	Homemaker Chairman	February 15, 1976
Step 7 The Task Force will develop the design of a Community Homemaker Employment Program which includes relevant criteria, guidelines, procedures and a basic plan for implementation.	Homemaker Chairman	March 15, 1976
Step 8 The Task Force will submit the above for review and comment: by all Departments, Offices and other organizations represented by the Task Force Members; and,	Homemaker Chairman	March 31, 1976
Step 9 The Task Force will revise the design of the Community Homemaker Employment Programs based on comments received in the above Step 8.	Homemaker Chairman	April 15, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 10 The California Office on Aging will identify and utilize appropriate media: to announce any available funding of Model Projects for Homemaker Service; and to announce the availability of technical assistance in developing a proposal based on the Community Homemaker Employment Program Design.</p>	Chief of Communications	April 15, 1976
<p>Step 11 The Task Force Chairman, at Regional Meetings of local Sponsors interested in developing proposals for Homemaker Service projects will: review the background and content of the Community Homemaker Employment Program Design; schedule, on request, appointments to provide technical assistance to local sponsors in developing a proposal.</p>	Homemaker Chairman	April 30, 1976
<p>Step 12 The Task Force Chairman will provide requested technical assistance to local sponsors in their development of proposals.</p>	Homemaker Chairman	May 31, 1976
<p>Step 13 The Assistant Director, Program will contact appropriate representatives of State Departments such as Department of Health and Employment Development Department to discuss the feasibility of jointly implementing a Community Homemaker Employment Program on a broad statewide basis.</p>	Assistant Director Program	August 31, 1976

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<p>Statement of Objective (# <u>12</u>):</p> <p>Establish by August 15, 1976, an interagency agreement with the Employment Development Department, at the state level, and follow-up with related efforts for the purpose of integrating older worker services into one durable manpower system for the elderly and to increase the participation in the labor force of older persons particularly low-income and minority older persons, who desire and/or need employment.</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>To date, manpower programs have failed to serve the older worker population proportionately with other segments of the population. This denies older workers with skills and abilities and the desire to work the opportunity to help support themselves and to supplement their meager retirement incomes.</p> <p>As of June 30, 1975, there were 388,092 persons 40 years of age and older who were available applicants in Employment Development Department field offices throughout the state and of this number, 99,694 are 55 years and older. The number indicates only those currently unemployed who are actively seeking employment; it does not account for those who have become discouraged and have dropped out of the labor force completely.</p> <p>The only state involvement in many of the federally sponsored employment programs for the elderly is through local Employment Development Department offices when the sponsors choose to use them as a recruitment source. By means of a cooperative agreement between Employment Development Department and the California Office on Aging, and appropriate follow-up efforts there will be a basis for cooperative action to insure that these offices are staffed with individuals qualified to work with the older job seeker.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Deputy Director will contact appropriate representatives of the Employment Development Department to propose discussion of the development of an Older Worker Program in the Employment Development Department with the technical assistance and support of the California Office on Aging</p>	Deputy Director	October 31, 1975

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<p>Step 2</p> <p>The Deputy Director, the Assistant Director Program, and the Chief of Technical Support will meet with appropriate representatives of the Employment Development Department to discuss:</p> <p>the design and implementation of an Older Worker Program;</p> <p>the role of the California Office on Aging in the program design and implementation;</p> <p>the basic points to consider in developing an interagency agreement between the Employment Development Department and the California Office on Aging; and,</p> <p>initial cooperative actions that can be undertaken.</p>	Deputy Director	December 15, 1975
<p>Step 3</p> <p>Technical Support will work with the Employment Development Department to organize, conduct and evaluate the impact of an Older Worker Seminar in the Fresno area to educate employers regarding the employment of older workers.</p>	Chief of Technical Support	December 31, 1975
<p>Step 4</p> <p>Technical Support will aid the Employment Development Department in establishing an Older Worker Specialist in the Employment Development Department with overall responsibility for the Older Worker Program.</p>	Chief of Technical Support	January 31, 1976
<p>Step 5</p> <p>Based on discussion with the Employment Development Department, Assistant Director Program will develop a proposed interagency agreement between the Employment Development Department and the California Office on Aging regarding the role of each in an effort to integrate older worker services into one system.</p>	Assistant Director Program	March 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 6</p> <p>The Employment Liaison, at Regional Meetings of AAA, Title III DSA projects and Title VII Project Directors, will:</p> <p>review the background and proposed content of the interagency agreement between the Employment Development Department and the California Office on Aging;</p> <p>outline action that could be initiated at the local level;</p> <p>schedule, on request, appointments to provide technical assistance to Area Agencies on Aging and other projects in developing liaison with the Employment Development Department field offices in their area; and,</p> <p>outline advocacy that could be implemented in regard to age discrimination in employment and categorical federal employment programs for the elderly.</p>	Employment Liaison	April 15, 1976
<p>Step 7</p> <p>The Deputy Director will submit the proposed interagency agreement to the Employment Department for discussion and development of a mutually satisfactory interagency agreement.</p>	Deputy Director	May 31, 1976
<p>Step 8</p> <p>Technical Support will provide technical assistance and support to the Employment Development Department in the staffing of field offices with persons who are specialists in job development and placement of older workers.</p>	Employment Liaison	June 30, 1976
<p>Step 9</p> <p>The California Office on Aging will review the interagency agreement.</p>	Deputy Director	July 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 10</p> <p>The Employment Development Department and the California Office on Aging will sign and implement the interagency agreement.</p>	Deputy Director	August 15, 1976
<p>Step 11</p> <p>The Assistant Director Program will develop with Employment Development Department appropriate means for ensuring that there is awareness of the interagency agreement at the state and local level, e.g., announcements.</p>	Employment Liaison	August 31, 1976
<p>Step 12</p> <p>Technical Support will prepare an Older Worker Employment Resource File composed of information and descriptions of state and federal employment programs and opportunities for older persons.</p>	Employment Liaison	August 31, 1976
<p>Step 13</p> <p>Technical Support will summarize advocacy efforts that could be implemented in regard to:</p> <p>age discrimination in employment; and, categorical federal employment programs for the elderly.</p>	Employment Liaison	August 31, 1976
<p>Step 14</p> <p>Technical Support, at Regional Meetings of AAA, Title III DSA projects and Title VI Project Directors, will:</p> <p>review the background and content of the interagency agreement between the Employment Development Department and the California Office on Aging;</p> <p>provide copies of the Older Worker Employment Resource File;</p> <p>outline appropriate follow-up action at the local level;</p>	Employment Liaison	September 15, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>schedule, on request, appointments to provide technical assistance to Area Agencies on Aging and other projects in developing liaison with the Employment Development Department field offices in their area; and,</p> <p>outline advocacy that could be implemented in regard to age discrimination in employment and categorical federal employment programs for the elderly.</p>		
<p>Step 15</p> <p>Technical Support will work with the Employment Development Department to conduct two additional Older Worker Seminars in California similar to the seminar noted in Step 3.</p>	Employment Liaison	September 30, 1976
<p>Step 16</p> <p>Technical Support will provide requested technical assistance to Area Agencies on Aging and projects in their development of liaison with Employment Development Department field offices.</p>	Employment Liaison	September 30, 1976
<p>Step 16</p> <p>The Deputy Director will initiate implementation of the responsibilities of the California Office on Aging under the interagency agreement.</p>	Deputy Director	September 30, 1976

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Statement of Objective (=13)

Initiate and conduct, in the California Office on Aging, a program promoting and developing Statewide ombudsman activities, including establishment of a Statewide network of twelve (12) local ombudsman, designed to improve the quality of life of residents of long-term care institutions and submit a status report on the program by June 30, 1976.

Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:

There are 14,7,000 elderly persons presently residing in nursing homes in California. The benefits of an effective ombudsman program in resolving problems related to quality of care has already been demonstrated in model projects in seven other states. The Administration on Aging has awarded the California Office on Aging a grant for the purpose of developing a nursing home ombudsman program.

The ombudsman program will serve the needs of California's older persons for improved quality of nursing home care by providing an ombudsman to:

initiate development of a network of local programs within which problems affecting quality of care, maintenance of independence, and dignity of nursing home residents can be channelled toward resolution;

mobilize staff of public agencies and long-term care associations toward improvement in the care of nursing home residents;

use information from reporting and assessment tools to initiate new legislation; and,

disseminate information to make the public aware of and responsive to the need for public input and involvement in the control of long-term institutional care.

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The California Office on Aging will hire an ombudsman to establish a central office in the headquarters of the Office on Aging to:</p> <p style="padding-left: 40px;">promote the formation of local ombudsman programs;</p> <p style="padding-left: 40px;">coordinate the activities of the local programs into an effective Statewide network;</p> <p style="padding-left: 40px;">provide information and training for local offices;</p> <p style="padding-left: 40px;">provide advice, counsel, and consultation to local offices in the operation of their programs;</p> <p style="padding-left: 40px;">serve as liaison between local programs and State departments; and,</p> <p style="padding-left: 40px;">receive reports from all local programs and synthesize these into an annual State report.</p>	Deputy Director	September 15, 1975
<p>Step 2</p> <p>The State ombudsman will develop a statement of purpose and functions for a statewide program by September 15, 1975.</p>	California State Ombudsman	September 15, 1975
<p>Step 3</p> <p>Establish a committee to advise the State Ombudsman Program, membership to be drawn from senior advocacy groups, including the California Commission on Aging, public agencies, long-term care associations, health professionals in long-term care, and related State departments.</p>	California State Ombudsman	October 1, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 4</p> <p>Develop, with assistance from Area Agency Directors, Consultants, and The Office on Aging Staff, a plan for the Statewide program including the following:</p> <p>steps in implementation;</p> <p>methods and procedures;</p> <p>criteria for selection of ombudsman;</p> <p>plan for training ombudsman;</p> <p>plan for workshops for potential users of the ombudsman program and for those who will be affected by it (providers of care, State Department of Health, etc.);</p> <p>design a reporting system; and,</p> <p>design of all necessary forms, including a form to collect information required for program evaluation.</p>	California State Ombudsman	November 30, 1975
<p>Step 5</p> <p>Present the plan to the Advisory Committee, State Commission on Aging.</p>	California State Ombudsman	November 30, 1975
<p>Step 6</p> <p>Publicize and make available for public review the final draft of the State Program.</p>	California State Ombudsman	December 15, 1975
<p>Step 7</p> <p>Meet with the Area Agency on Aging Directors and the Information and Referral Network to inform them of the program, including plans for:</p> <p>local program development;</p> <p>program procedures;</p> <p>staffing needs;</p>	California State Ombudsman	December 31, 1975

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Major Action Steps to Achieve Objective: *	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 7 Continued space assignments; budget allocations; and, application procedures.		
Step 8 Meet with administrators of public agencies which provide technical assistance to nursing homes to: explain the ombudsman program; enlist their support; and, determine methods of working cooperatively.	California State Ombudsman	December 31, 1975
Step 9 Meet with executive directors of nursing home associations for the purposes described in Step 8.	California State Ombudsman	January 15, 1976
Step 10 Provide for area agency directors and their information and referral directors to meet with staff of local public agencies for the purposes described in Step 8.	California State Ombudsman	January 15, 1976
Step 11 Provide for coordination of area agency directors and information and referral directors to meet with nursing home administrators in their service area for the purposes described in Step 8.	California State Ombudsman	January 31, 1976
Step 12 Arrange for training of personnel assigned to the ombudsman activity by area agencies on aging in: objectives, functions, methods, and procedures; and, use of reporting documents.	California State Ombudsman	January 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 13</p> <p>Begin provision of technical assistance to the area agencies on aging as necessary as as they initiate ombudsman activity.</p>	California State Ombudsman	January 31, 1976
<p>Step 14</p> <p>Coordinate with the Chief of Communications in the development of informational materials for public distribution and outreach and implement a Statewide publicity campaign to explain:</p> <p>the function and parameters of the ombudsman program; and,</p> <p>how the public can use the services of the program.</p>	California State Ombudsman Chief of Communications	January 31, 1976
<p>Step 15</p> <p>Initiate monthly meetings of ombudsman personnel to:</p> <p>provide training and technical assistance;</p> <p>review and revise procedures; and,</p> <p>submit status reports.</p>	California State Ombudsman	February 29, 1976
<p>Step 16</p> <p>Submit status reports and proposed procedural changes to the ombudsman advisory committee for its review and recommendations in regard to efficient management of the program.</p>	California State Ombudsman	March 15, 1976
<p>Step 17</p> <p>Implement revisions.</p>	California State Ombudsman	March 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step #18</p> <p>Submit a final report to the Deputy Director including:</p> <p>the extent to which objectives have been met;</p> <p>the activities of the State office activities of local offices, including statistics as to:</p> <p>number and types of complaints registered;</p> <p>number and types of complaints resolved;</p> <p>the reasons for lack of resolution of complaints;</p> <p>recommendations for additions to or changes in existing relevant legislation or need for new legislation.</p>	California State Ombudsman	June 30, 1976

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Statement of Objective (# <u>14</u>):		
<p>Develop, by September 30, 1976, the design for programs for the coordination of transportation services to the elderly, available through the various social agencies in both urban and rural communities, which would minimize duplication of services and provide the most efficient use of these transportation services.</p> <p>"Many social agencies (public and private nonprofit) whose primary purpose is service to low mobility groups in the field of health, welfare, employment, shopping, education, recreation, rehabilitation, charity or other social activities have found that transportation is essential for the fulfillment of their goals. In many cases, existing public transportation systems do not adequately serve their clients and there has been a proliferation of independent special purpose transportation services operated by these social agencies. For example, a study in the San Francisco Bay Area found that 85% of 1,500 social agencies reviewed were clearly dependent on transportation to carry out their functions and that 28% owned or operated minibuses, vans and cars in an attempt to perform their own paratransit services."</p> <p>Well conceived designs for programs that would be feasible to implement and that would coordinate the transportation services of social agencies would be a vital step in increasing transportation services to older persons, particularly low income and minority older persons, in both urban and rural areas.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 1 The California Office on Aging will fill the position of Transportation Liaison.	Personnel Officer	October 31, 1975
Step 2 The Transportation Liaison will design a project: to determine the feasibility of a program for coordinating the transportation services now being provided to the elderly by various social agencies; to develop (at least two) appropriate models for implementation and funding that would be appropriate in an urban and rural community; to provide technical assistance to area agencies and other planning entities or sponsors that would assist them in adapting and implementing one of the models.	Transportation Liaison	November 30, 1975
Step 3 The Transportation Liaison will select representative communities for study, including at least one rural and one urban community.	Transportation Liaison	December 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 4 The Transportation Liaison will analyze social programs serving the elderly for the transportation component and the types of vehicles used, including programs funded under Title III and Title VII.	Transportation Liaison	December 15, 1975
Step 5 The Transportation Liaison will project the feasibility of coordinating the transportation components to serve more than one program.	Transportation Liaison	May 31, 1976
Step 6 The Transportation Liaison will prepare cost analyses for the Transportation Coordination Programs.	Transportation Liaison	June 15, 1976
Step 7 The Transportation Specialist will contact Regional Transportation Planning Agencies for appropriate and technical assistance.	Transportation Specialist	June 30, 1976
Step 8 The Transportation Liaison will study sources of funds for combined programs, including: existing program funds; local, State and Federal transportation funds; and, new sources.	Transportation Liaison	July 31, 1976
Step 9 The Transportation Liaison will develop two model program outlines for coordinating transportation services that would be appropriate to a rural and an urban setting.	Transportation Liaison	September 30, 1976
Step 10 The Transportation will outline a three month development of a plan for provision of technical assistance to Area Agencies on Aging and communities that would include specific institutional, operational, vehicle funding, legislative and agreement arrangements to implement a coordinated transportation service for social agencies serving the elderly.	Transportation Liaison	September 30, 1976

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(For each objective complete one set)

Statement of Objective (#15):

Complete, by September 30, 1976, a comprehensive effort to provide training relative to crime prevention which would decrease criminal victimization of the elderly in the areas of street crime, consumer fraud and bunco.

Rationale for Selection of Objective and Projected Impact.
Especially for Serving Low-Income and Minority Older Persons:

According to "The Myth and Reality of Aging in America," in a poll conducted by Louis Harris's Associates, Inc., for the National Council on the Aging "fear of crime" ranks in the top four problems about which older people are concerned and first among those actually experienced by older people. Ninety percent of bunco victims are elderly. The Crime Prevention Unit of the Attorney General's Office has developed a program of training in crime prevention and has been successful with it on a small scale, utilizing their office's field representatives.

Interagency coordination among the Attorney General's Office, the Office on Aging and the Department of Consumer Affairs will provide opportunities for more comprehensive training efforts as well as coverage of a much expanded geographic area and the elderly population in the area.

The training effort will be aimed at:
law enforcement staff;
Area Agency on Aging staff;
Title VII Nutrition Project staff;
Information and Referral Project staff; and,
senior center staff.

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Assistant Director Program will coordinate with the Attorney General's Office and the Area Agencies on Aging in Los Angeles County (Area 19) and Los Angeles City (Area 25) for the conduct of six public meetings throughout the Los Angeles area designed to:</p> <p style="padding-left: 40px;">generate interest in the project; and, give seniors an opportunity to express their concerns.</p>	Assistant Director Program	October 1, 1975
<p>Step 2</p> <p>The Assistant Director Program will coordinate with the Attorney General's Office and with the Areas 19 and 25, Area Agencies on Aging, for the conduct of six pilot training sessions in the Los Angeles area to train project representatives to be crime prevention trainees in their respective projects.</p>	Crime Prevention Liaison	November 30, 1975
<p>Step 3</p> <p>The Crime Prevention Liaison will:</p> <p style="padding-left: 40px;">attend selected sessions; and, develop and submit an evaluation report to the Assistant Director Program on the six pilot training for trainer programs in the Los Angeles area.</p>	Crime Prevention Liaison	December 31, 1975
<p>Step 4</p> <p>The Assistant Director Program will coordinate support from the Attorney General's Office, the California Office on Aging and Areas 19 and 25 Area Agencies on Aging for crime prevention training to be conducted in individual projects by trained trainers.</p>	Crime Prevention Liaison	December 31, 1975

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(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5</p> <p>The Assistant Director Program will develop a schedule for additional crime prevention training in other areas in California.</p>	<p>Crime Prevention Liaison</p>	<p>January 31, 1976</p>
<p>Step 6</p> <p>The Assistant Director Program will coordinate with the Attorney General's Office and local projects for the conduct of training programs similar to the Los Angeles pilot project in at least one-third of the planning and service areas in California.</p>	<p>Assistant Director Program</p> <p>Crime Prevention Liaison</p>	<p>September 30, 1976</p>

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TITLE III

(For each objective complete one set)

Statement of Objective (# 16):

Conduct in California a program which, by September 30, 1976:
will train fifty (50) paralegals in the legal needs of older persons; and,
will develop in each Area Agency on Aging and in a number of Information
and Referral Projects an effective paralegal advocacy system.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Over 90% of California's elderly are dependent on one or more government benefit programs for basic health and income needs. For example, approximately 375,000 receive SSI, and over 425,000 are receiving Medi-Cal benefits. Yet it is conservatively estimated that 20% of California's elderly are not receiving one or more public benefits to which they are entitled. Those who fail to secure benefits, and many elderly receiving benefits, need legal counselling to obtain and maintain benefits.

Older Californians also face possible age discrimination, involuntary commitment, confinement in poor quality nursing homes, inadequate pensions, probate problems, landlord-tenant difficulties, and myriad consumer problems. Without adequate legal counselling, the older person may experience not only loss of rights, loss of income, and decline in health care, but also victimization and loss of dignity.

The traditional utilization of lawyers has not been adequate to solve the multiple legal and social problems of the low-income elderly. Considerable experimentation has been underway to alleviate the shortage of legal services. One innovation, the use of paralegals, has been thoroughly tested and the results indicate that the proper use of paralegals does substantially increase the quality and quantity of legal services.

Paralegals trained under this program will be able to deal directly with most routine client problems, from the initial interview through negotiation and investigation, legal drafting, and representation in hearings before administrative agencies.

This program will also provide for coordination with the State Community Services Agency (formerly OED) through their existing legal services programs.

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TITLE III

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 1 Develop contracts with the National Paralegal Institute (NPI) to: develop curriculum materials; design and provide training; and, advise the California Office on Aging Staff in providing technical assistance to AAAs and I&R projects.	Legal Service Coordinator	September 1, 1975
Step 2 Hire staff specialists (Technical Assistance Team) to provide technical assistance to the AAAs and Information and Referral (I&R) Projects in the development of legal/paralegal services	Legal Service Coordinator	September 30, 1975
Step 3 Meet with California Rural Legal Assistance, the National Senior Citizens Law Center, the California Bar Association and others to enlist their support and assistance	Legal Services Coordinator National Paralegal Institute	September 30, 1975
Step 4 Identify all legal services programs, I&R Projects, and others which will potentially be involved in the training.	Legal Services Coordinator Legal Technical Assistance Team (National Paralegal Institute) (Office of Economic Opportunity)	October 31, 1975
Step 5 Develop criteria for program selection, and trainee selection.	Legal Service Coordinator Legal Technical Assistance Team (Office of Economic Opportunity) Regional Office Manager	November 30, 1975

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(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 6 Select programs to sponsor trainees	Legal Service Coordinator Legal Technical Assistance Team (Office of Economic Opportunity) Regional Office Manager	December 31, 1975
Step 7 Select trainees with emphasis on the selection of older persons as well as minority persons and bilingual persons to effectively relate to the State's older minority and bilingual population.	Legal Service Coordinator Legal Technical Assistance Team (Office of Economic Opportunity) Regional Office Manager	January 31, 1976
Step 8 Develop paralegal job descriptions and paralegal advocacy models	Legal Technical Assistance Team (National Paralegal Institute)	February 29, 1976
Step 9 Meet with projects selected (Step 6) to set up support systems and legal referral systems.	Legal Technical Assistance Team (National Paralegal Institute)	April 30, 1976
Step 10 Complete curriculum development for training	(National Paralegal Institute)	May 31, 1976
Step 11 Organize training team for first group of trainees	(National Paralegal Institute)	May 31, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 12 Complete training of first group.	(National Paralegal Institute)	June 30, 1976
Step 13 Complete training of second group.	(National Paralegal Institute)	August 31, 1976
Step 14 Submit to the Director, Office on Aging, a report evaluating the results of the program and recommending future sources of funding.	Legal Services Coordinator Legal Technical Assistance Team (National Paralegal Institute)	September 30, 1976

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{For each objective complete one set}

Statement of Objective (= 17):

Establish, by February 28, 1976, a joint working agreement between the Department of Housing and Community Development, the California Housing and Finance Agency and the California Office on Aging, to provide the basis for coordinated planning, development and implementation of housing programs for older persons with low and moderate income.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Currently, only 16,500 subsidized housing units for the elderly are available in California. Although 600 to 800 units of low cost housing for the elderly could be anticipated when funds are released under the Federal Section 202 housing, there are 20,000 elderly on waiting lists for 202 housing, with 3 to 5 year waits anticipated. The need for housing for older persons is recognized as a basic, high priority, unmet need. The only Federal funding now available to meet such housing needs is for the Federal Section 8 leased housing program.

In California, Assembly Bill 1 (Chacon) has recently provided for the continuance of the Department of Housing and Community Development, the creation of the California Housing and Finance Agency and the establishment of the California Housing Finance Fund through the issuance of bonds, up to the level of \$500 million. This funding will be available for low and moderate income persons, with a portion of the funding available to older persons, i.e., persons 60 and over, with low and moderate income.

Establishment of a working agreement of the Department of Housing and Community Development and the California Housing and Finance Agency with the California Office on Aging will provide a basis for coordination of the effort of planning, developing and implementing the State housing program for older persons with restricted income and also a basis for a coordinated effort, by these entities, to contribute to improving the housing programs for elderly available at both Federal and local levels. Such an effort could include;

study of the feasibility of including special communal facilities in proposed housing for low and moderate income older persons that would accommodate provision of nutritional, day care, recreational and other programs to both the resident and community older persons in the surrounding area;

review of the results and research the housing needs of the elderly that has been conducted and that could provide a better basis for housing development; and,

coordination with the appropriate representatives of the Housing and Urban Development Program relative to the housing needs of California's elderly, e.g., it is anticipated that HUD may assign an Elderly Housing Specialist in this Region;

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<p>coordinated provision of technical assistance to local housing authorities in their efforts to develop housing programs for the elderly;</p> <p>coordination with other state level entities designated to administer and disburse Federal funds in the provision of winterization and minor housing rehabilitation programs for low and moderate income elderly.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>The Deputy Director will contact the Secretary of Business and Transportation Agency, the umbrella for both the Department of Housing and Community Development and the new Housing and Finance Agency, in order to indicate the willingness and interest of the California Office on Aging to assist in planning for meeting the housing needs of the elderly as specified in the enabling legislation (AB 1).</p>	Deputy Director	October 31, 1975
<p>Step 2</p> <p>The Deputy Director will appoint a member of staff as Housing Liaison, with major responsibilities for coordination with the Department of Housing and Community Development, and the Housing and Finance Agency.</p>	Deputy Director	November 15, 1975
<p>Step 3</p> <p>The Deputy Director will contact representatives of the Department of Housing and Community Development and the Housing and Finance Agency and, with the Assistant Director Program, will initiate preliminary discussion of the content of a mutually satisfactory joint working agreement.</p>	Deputy Director Assistant Director Program	December 1, 1975
<p>Step 4</p> <p>The Housing Liaison will meet with representatives of the Department of Housing and Community Development and the Housing and Finance Agency, including the two (2) staff assigned to elderly housing, to determine data gathering procedures and specific data necessary for the housing needs assessment, which must be conducted, which the California Office on Aging could provide.</p>	Housing Liaison	December 15, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5 The Housing Liaison will meet with Area Agency on Aging Staff in Regional Office meetings to:</p> <p>offer background on the housing programs available, through the Department of Housing and Community Development and the Housing and Finance Agency, and through the Federal Department of Housing and Urban Development;</p> <p>determine the availability and level of data pertinent to the housing needs of the elderly within planning and service areas in which there are designated area agencies on aging;</p> <p>request that Area Agencies on Aging develop and provide pertinent data to the California Office on Aging by January 31, 1976; and,</p> <p>provide technical information on appropriate action, related to the State housing program, which could be initiated by the Area Agencies on Aging at the local level.</p>	<p>Regional Office Manager</p> <p>Housing Liaison</p>	January 31, 1975
<p>Step 6 The Deputy Director and Assistant Director Program will develop with representatives of the Department of Housing and Community Development and the Housing and Finance Agency, content of the joint working agreement.</p>	<p>Deputy Director</p> <p>Assistant Director Program</p>	January 31, 1976
<p>Step 7 The Deputy Director will review the joint working agreement</p>	Deputy Director	February 15, 1976
<p>Step 8 The Director will sign the joint working agreement.</p>	Director	February 29, 1976

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 9 Utilizing data provided by Area Agencies on Aging and other planning data, the Housing Liaison will assist the Department of Housing and Community Development and the Housing and Finance Agency:</p> <p>in the compilation and analysis of the housing needs of the elderly assessment data; and,</p> <p>in the development of the plan for the State Housing program, under AB 1, addressed to the needs of the elderly.</p>	Housing Liaison	March 31, 1976
<p>Step 10 At Regional Office Meetings of Area Agency on Aging Staff the Housing Liaison will:</p> <p>provide information on the background and content of the joint working agreement;</p> <p>provide information of the status to date of the State housing program for the elderly;</p> <p>outline appropriate action which could be initiated at the local level by Area Agencies on Aging; and,</p> <p>schedule, on request, appointments to provide technical assistance to Area Agency on Aging staff for development of housing programs at the local level.</p>	Housing Liaison	April 30, 1976
<p>Step 11 The Housing Liaison will provide requested technical assistance.</p>	Housing Liaison	June 30, 1976

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<p><u>Statement of Objective (# <u>1</u>):</u></p> <p>Ensure that, from October 1, 1975 through September 30, 1976, provision will be made for the expansion of any existing Title VII Project and the initiation of any new Title VII Projects to meet documented unmet needs of that planning and service area's target populations, particularly low-income and minority older persons.</p>		
<p><u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u></p> <p>The Basic Annual Title VII Resource Allocation Plan for this State is designed to develop greater equity in serving the needs of California's older persons, low income older persons and minority older persons, at the geographic level of the planning and service area. It is essential that the utilization of the resources available to a planning and service area reflect the need to give priority attention to the documented unmet needs of target area populations, particularly low-income and minority older persons.</p>		
Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>Each Regional Office, in coordination with any designated Area Agency on Aging, will provide to Executive Staff, a report identifying the following information relative to areas of concentration of target population for Title VII projects in each planning and service area:</p> <p>the mapped geographic boundaries of areas (of limited extent) in which target populations are concentrated;</p> <p>the number of older persons in each of these geographic areas;</p> <p>the number and percentage of older persons who have low-incomes in each of these geographic areas;</p> <p>the number and percentage of older persons who are minority older persons in each of these geographic areas; and,</p>	<p>Regional Office Manager</p>	<p>October 31, 1975</p>

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(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>the number and percentage of older persons who live alone in each of these geographic areas.</p>		
<p>Step 2</p> <p>Each Regional Office will provide to Executive Staff a report identifying the following information for each existing Title VII Project in each planning and service area:</p> <p>the number of meals per day authorized in the contract; the average number* of meals per day served; the average number* of meals per day served to older persons with low income; the average number* of meals per day served to older persons who are minority older persons; and, the number* of older persons on the waiting list.</p> <p>*Based on the project's report for the quarter ending June 30, 1975.</p>	<p>Regional Office Manager</p>	<p>October 31, 1975</p>
<p>Step 3</p> <p>The California Office on Aging will identify and utilize appropriate media:</p> <p>to announce the amount of any unobligated Title VII funds which is available to a planning and service area to serve identified areas of target population; to request that proposals for new projects to serve identified areas of concentration of target population be submitted to regional offices by November 30, 1975;</p>	<p>Chief of Communications</p>	<p>October 31, 1975</p>

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TITLE VII

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 3 cont.</p> <p>to identify the remaining areas of concentration of target populations which are to be served in the planning and service areas for which unobligated Title VII funds are available;</p> <p>to announce the location of Regional Offices where Request for Proposals and application forms may be obtained;</p> <p>to emphasize the availability of technical assistance from the Regional Offices in the development of proposals for Title VII Projects.</p>		
<p>Step 4</p> <p>Regional offices will follow-up on the above action step, ensuring that community organizations, particularly minority agencies and organizations, are aware of:</p> <p>the availability of any unobligated Title VII funds to serve identified areas of concentration of target population within a planning and service area; and,</p> <p>the availability of technical assistance from the Regional Office in the development of proposals for Title VII projects.</p>	Regional Office Manager	October 31, 1975
<p>Step 5</p> <p>Regional Offices will provide requested technical assistance to organizations in their development of appropriate proposals for new Title VII Projects to serve identified areas of concentration of target population within a planning and service area.</p>	Consultants to Title VII Projects Nutritionists	November 30, 1975

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(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 6</p> <p>Executive Staff will review all proposals for expansion of existing Title VII Projects and the initiation of any new Title VII Projects with reference to its provision for areas of concentration of target populations and also its potential for serving older persons on the waiting lists of the existing Title VII Projects as identified in Steps 2 and 3.</p>	Deputy Director	December 31, 1975
<p>Step 7</p> <p>Regional Offices will:</p> <p>identify resource persons who could contribute information, training and technical assistance to Title VII Projects in increasing the proportion of low-income and minority older persons who participate in the Title VII Project;</p> <p>encourage these resource persons to contribute to the projects by presenting information, training and technical assistance to the projects; and,</p> <p>provide for presentations by these resource persons in Quarterly Regional Meetings of Title VII Project Directors.</p>	Regional Office Manager	March 31, 1976 June 30, 1976 September 30, 1976
<p>Step 8</p> <p>As proposals for the conduct of Nutrition Projects in subsequent project years are developed consideration will be given to the feasibility of utilizing additional or alternative sites to more effectively service the needs of older persons, particularly low-income and minority older persons</p>	Regional Office Manager	June 30, 1976

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(For each objective complete one set)

Statement of Objective (# 2):

Ensure, by December 31, 1975, full and timely utilization of all available Title VII funding through provision for the expansion of existing projects and the initiation of new projects within each planning and service area to the maximum rate of funding level which is available to each area under the Basic Annual Title VII Allocation Plan.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Although the federal authorized level of Title VII nutrition program funding has been increased, it is far below the level which would be necessary to fully meet the nutritional and social service needs of California's older population through Title VII nutrition projects. Moreover, basic features of the Title VII nutrition project are that, it provides for a recurring need and it is difficult to generate significant local support for the project in the first or second year of the project. Therefore, it is essential to establish projects at the maximum annual level available in a timely manner, to ensure that the available Title VII resources have maximum impact on the needs of older persons, especially low income and minority older persons and that the maximum funding level that can be maintained is not exceeded since this would almost necessarily lead to cutbacks in projects unless the federal authorized funding level was later increased.

NOTE:

The following action steps will be initiated and completed as a contingency measure if, by October 1, 1975, any Regional Office has not received sufficient, appropriate and completed proposals to ensure that by December 31, 1975, there will be obligation of all Title VII funds, to the maximum funding level available to each planning and service area.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>In conjunction with Step 1 and 2 of Title VII Objective #1, each Regional Office will provide to Executive Staff an interim plan for utilization of any unobligated Title VII funds for any planning and service area which is not currently utilizing all of its available Title VII funding to operate at the maximum rate of funding established under the Basic Annual Title VII Allocation Plan. The plan will specify the amount of Title VII funds that could be utilized to serve the geographic areas of concentration of target population for:</p>	<p>Regional Office Manager</p>	<p>October 31, 1975</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>each appropriate expansion of an existing Title VII Project; and,</p> <p>each new Title VII Project which should be funded.</p>		
<p>Step 2</p> <p>Evaluation and Analysis will develop the basic form for a Request for Proposal for Title VII Projects.</p>	Chief of Program Implementation	October 15, 1975
<p>Step 3</p> <p>Regional offices will develop specific Request for Proposal forms for each planning and service area in which there is available unobligated funds for new Title VII Projects.</p>	Regional Office Manager	October 31, 1975
<p>Step 4</p> <p>The California Office on Aging will identify and utilize appropriate media:</p> <p>to announce the amount of any unobligated Title VII funds which is available to a planning and service area to serve identified areas of target population;</p> <p>to request that proposals for new projects to serve identified areas of concentration of target population be submitted to regional offices by November 30, 1975;</p> <p>to identify the remaining areas of concentration of target populations which are to be served in the planning and service areas for which unobligated Title VII funds are available;</p> <p>to emphasize the availability of technical assistance from the Regional Offices in the development of proposals for Title VII Projects.</p>	Chief of Communications	October 31, 1975

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 5</p> <p>Regional offices through mailings and personal contact with community organizations will:</p> <p>disseminate Requests for Proposals; provide information on the Title VII programs; and,</p> <p>emphasize the availability of technical assistance from the Regional Office in the development of proposals for Title VII Projects.</p>	Regional Office Manager	October 31, 1975
<p>Step 6</p> <p>Consultants and Nutritionists will provide requested technical assistance to organizations developing proposals.</p>	Consultant to Title VII Project Nutritionists	November 30, 1975
<p>Step 7</p> <p>Regional Offices will review all proposals preliminary to making recommendations relative to the funding of their proposals to Executive Staff.</p>	Regional Office Manager	December 7, 1975
<p>Step 8</p> <p>Regional Offices will submit to Executive Staff all proposals for the utilization of any unobligated Title VII funds in any planning and service area which is not currently utilizing all of its available Title VII funding, with recommendations relative to the funding of their proposals.</p>	Regional Office Manager	December 15, 1975
<p>Step 9</p> <p>Executive Staff will approve proposals and obligate any unobligated Title VII funds in any planning and service area which is not currently utilizing all of its available Title VII funding to operate at its maximum rate of funding level according to the Basic Annual Title VII Allocation Plan.</p>	Director	December 31, 1975

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TITLE VII

(For each objective complete one set)

Statement of Objectives (#):

Provide, by May 31, 1976, specific training and technical assistance to Title VII Nutrition Projects to increase their capacity to function more effectively in the areas of:

- fiscal management;
- food management;
- program planning, development and implementation;
- increasing the participating minorities in the Title VII Project, and securing local resources (e.g., Revenue Sharing funds).

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Successful implementation of the Title VII Nutrition Program at the project level requires expertise in a wide range of areas: administration and fiscal management; nutrition and menu planning; food purchasing, handling, preparation, and service; and, the coordination and provision of social services, including transportation. Key areas in which the provision of specific training and technical assistance would significantly increase the capacity of projects to implement a more effective Title VII nutrition project have been identified. These areas are noted above. Provision of training and technical assistance in these areas would assist the Title VII projects to serve the needs of older persons, especially low income and minority older persons, with greater effectiveness.

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>Consultants to the Title VII projects, as a part of the quarterly assessment process, will provide to the Regional Manager and to the Title VII Project Director, assessment reports including the following information which will be used to address the specific training and technical assistance needs of the Title VII projects.</p> <p>A report on the status of the project re: identified strengths and weaknesses; specified key areas of concern or problems; requirements and/or recommendations for maintaining and/or improving the project.</p> <p>A plan (or any necessary modifications of previous plans) and schedule for addressing the needs of the project for:</p> <p>training; and, technical assistance.</p>	<p>Consultant to Title VII Project</p>	<p>4 Weeks After Quarterly Assessment</p> <p>See Exhibit II-2 Part B - Title VII Supplement</p> <p>TITLE VII ASSESSMENT SCHEDULE</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Identification of any new areas of concern or problems.		
<p>Step 2</p> <p>Regional Offices will review all assessment reports to determine which needs for training and technical assistance will be:</p> <p>provided to Title VII Projects in Quarterly Regional Meetings; and,</p> <p>provided to a Title VII Project directly by the Consultant to the Project.</p>	Regional Office Manager	5 Weeks After Quarterly Assessment
<p>Step 3</p> <p>Regional Offices develop training and technical assistance, information and workshop, presentations to be made to Title VII Project Directors (and other Title VII staff, as appropriate) at Quarterly Regional Meetings of Title VII Project Directors.</p>	Regional Office Manager	March 31, 1976 June 30, 1976 and Quarterly Thereafter
<p>Step 4</p> <p>Regional Offices will conduct Quarterly Regional Meetings of Title VII Project Directors and make the training and technical assistance, information and workshop, presentations developed in Step 3.</p>	Regional Office Manager.	April 15, 1976 July 15, 1976 and Quarterly Thereafter
<p>Step 5</p> <p>The Central Office and Regional Offices will: identify resource persons including Title VII Project Directors with special areas of skills who could contribute information, training and technical assistance to Title VII projects in areas of special significance including securing local resources, such as Revenue Sharing funds;</p> <p>encourage these resource persons to contribute to the Projects by presenting information, training and technical assistance to the projects; and</p>	<p>Training Officer</p> <p>Regional Office Manager</p>	<p>January 15, 1976</p> <p>April 15, 1976</p> <p>July 15, 1976</p>

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Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
provide for presentations by these resource persons in Quarterly Regional Meetings of Title VII Project Directors.		
Step 6 Training will provide for training for a number of Title VII Project staff in the areas of program planning, implementation and evaluation, with participation by consultants to Title VII Projects.	Training Officer*	December 31, 1975
Step 7 Training will provide for training for a number of the Title VII Project staff in techniques and skills for providing service to Mexican non-English speaking population with participation by Consultants to Title VII Projects.	Training Officer*	April 30, 1976
Step 8 Training will provide for training for a number of the Title VII Project staff in the areas of fiscal management and food management, with participation by Consultants to Title VII Projects.	Training Officer*	May 31, 1976
Step 9 Training will provide for training for a number of the Title VII Project staff in the area of basic management skill, with participation by Consultants to Title VII projects.	Training Officer*	May 31, 1976

* See EXHIBIT II-9 ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

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TITLE VII

(For each objective complete one set)

Statement of Objective (# 4):

Establish a Title III fund, for the period July 1, 1976 to September 30, 1976, which will be available for obligation to Title VII Projects in Direct Service Areas to provide a limited one time only supplement, under specific circumstances, to the social service component of Nutrition Projects which are being refunded.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The Direct Service Areas (DSAs), i.e., the planning and service areas not covered by area plans, are predominantly rural. Typically, rural areas have few developed local social service resources which can be coordinated, pooled and tapped to provide the social service component of the nutrition program. Lack of transportation resources is frequently acute. In order that Title VII Projects can provide the maximum possible number of meals and still maintain vital social services, provision for additional resources may be required. Establishment of a Title III fund, which would be available for the period, July 1, 1976 to September 30, 1976, would provide such an additional resource. The Title III funds would be available to those projects, making application for refunding in planning and service areas currently maintaining the maximum Title VII funding level available, which have:

served the number of meals equal to the contracted number of meals for at least one-half of the current project year; and,

provide, with the application, certification that the number of older persons currently waiting to participate in the nutrition program exceeds ten (10) percent of the currently contracted number of meals, and that the proportion of low-income and minority older persons on the waiting list is at least equal to the proportion of low-income and minority older persons in the geographic area served by the project.

The Title III funds would be available to supplement funding of the social service component in order to free for one project year, a greater percentage of Title VII funds for the provision of an addition to the previously contracted number of meals.

By establishing this fund there is greater opportunity for more older persons in rural areas, especially low-income and minority older persons, to participate in the nutrition program and benefit from the additional number of meals which will be made available and from the more developed social service component of the program. This use of Title III funds to supplement Title VII programs in areas not served by Area Agencies on Aging could encourage similar provisions in areas served by Area Agencies on Aging.

STATE of California

EXHIBIT 1-3

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STATEMENT OF STATE OBJECTIVES
TITLE VII

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 1</p> <p>Consultants to Title VII projects will review the Quarterly Nutrition Reports from each Title VII Project in a Direct Service Area (DSA) for the quarters ending:</p> <p>September 30, 1975; December 31, 1975; and, March 31, 1976;</p> <p>to determine the status of all projects in regard to number of meals served compared to contract number of meals and, the number of requests to participate in the program that could not be accommodated.</p>	Consultant to the Title VII Project	May 15, 1976
<p>Step 2</p> <p>Consultants will contact Title VII Projects in the Direct Service planning and service areas which are currently operating at the maximum rate of funding level available, to discuss the possibility of developing a proposal for supplemental Title III funding.</p>	Consultant to the Title VII Project	May 31, 1976
<p>Step 3</p> <p>Consultants will determine the status of each Title VII Project in DSAs in regard to qualifying for supplemental Title III funding.</p>	Consultant to the Title VII Project	May 31, 1976
<p>Step 4</p> <p>Consultants to Title VII Projects will provide requested technical assistance to qualifying Title VII Projects developing application for supplemental Title III funding.</p>	Consultant to the Title VII Project	August 15, 1976
<p>Step 5</p> <p>Regional Offices will review all proposals preliminary to making recommendations relative to supplemental Title III funding.</p>	Regional Office Manager	August 30, 1976

STATE of California

EXHIBIT 1-3

FY 19 76#4 Page 3 of 3STATEMENT OF STATE OBJECTIVES
TITLE VII

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
<p>Step 6</p> <p>Regional Offices will submit all proposals to Executive Staff with recommendations relative to supplemental Title III funding.</p>	Regional Office Manager	September 15, 1976
<p>Step 7</p> <p>Executive Staff will approve the obligation of one time only supplemental Title III funds to Title VII Projects according to Executive Staff review, discussion and decision.</p>	Director	September 30, 1976

STATE California

EXHIBIT 13

FY 19 76

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Page 1 of 2STATEMENT OF STATE OBJECTIVES
TITLE VII

(For each objective complete one set)

<u>Statement of Objective (# <u>5</u>):</u>		
Ensure, in those planning and service areas in which it is practical and feasible, that by July 1, 1976, the resources available under Title VII are utilized in maximum coordination with the Title III resources available to Area Agencies on Aging, to provide the most efficient and effective delivery of nutritional and other vital social services to older persons, especially low-income and minority older persons		
<u>Rationale for Selection of Objective and Projected Impact, Especially for Serving Low-Income and Minority Older Persons:</u>		
Both Title VII resources and local resources, available to provide nutritional and other vital social services to older persons, are limited in relationship to the need for these services. Coordination of the resources available under Title III and Title VII, in those areas where such coordination is practical and feasible, will maximize the impact of the Title VII program in addressing the needs of older persons, especially low-income and minority older persons. Such coordination will minimize duplication and gaps in providing the social service components of the program and through joint planning of nutrition programs will maximize service delivery in a given planning and service area.		
<u>Major Action Steps to Achieve Objective:</u>	<u>Position(s) with Key Responsibility For Step</u>	<u>Estimated Date of Completion</u>
Step 1 Title III and Title VII Project Staff will meet with Regional Office Staff in a regional meeting for the purpose of further developing the joint planning and coordination of the Title VII program, within those planning and service areas where such coordination is practical and feasible.	Regional Office Manager	October 1, 1975
Step 2 Regional Office Staff and Title III and Title VII Project Staff will assess those local resources which could be utilized to coordinate and expand the services provided under the Title VII program.	Regional Office Manager	October 15, 1975

STATE California

EXHIBIT 1.3

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Page 2 of 2STATEMENT OF STATE OBJECTIVES
TITLE VII

(For each objective complete one set)

Major Action Steps to Achieve Objective:	Position(s) with Key Responsibility For Step	Estimated Date of Completion
Step 3 Regional Office Staff, AAA Staff and Title VII Project Staff will assess the feasibility of utilizing AAA social service coordination resources to expand service devlvery under the Title VII program.	Regional Office Manager	November 1, 1975
Step 4 The California Office on Aging will provide for the planning, programming and sub-contracting of Title VII projects to be coordinated through the single designated Area Agency on Aging, in those planning and service areas where this is practical and feasible.	Deputy Director	July 1, 1976

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 1 The California Office on Aging will hire staff specialists (Technical Assistance Team) to provide technical assistance to the AAAs and Information and Referral (I&R) Projects in the development of legal/para-legal services</p> <p>See Title III Objective #16</p>	Legal Services Coordinator	September 30, 1975
<p>Step 2 The I & R Coordinator with the I & R Network Committee will develop an I & R Orientation and Training Package, for newly designated and established AAAs, for use in the training of their I & R providers. The Package will include copies of appropriate laws, model agreements and information and training materials for the development of an effective I & R component and will be used by the I & R Coordinator to provide on-site technical assistance to the AAAs in PSAs 7 and 8.</p>	I & R Coordinator	November 30, 1975
<p>Step 3 The Chief Planner will convene a Task Force, consisting of representative Central and Regional Office Staff, and with the assistance of the Task Force will:</p> <p>review the current area plan format and supplemental exhibits; develop any necessary revisions or additions to the area plan format and supplemental exhibits; and, develop a set of current guidelines for AAAs to use in the presentation of their area plan within the area plan format.</p>	Chief Planner	November 30, 1975

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .												
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>										
<p>Step 4 Regional Managers will convene AAA Directors and Planners for Regional Meetings in which the Chief Planner and Regional Office Staff will provide initial technical assistance in the development of the area plan utilizing the area plan format and guidelines as completed in the above step. These meetings will be scheduled approximately seven (7) months prior to the date the AAA anticipates its next area plan budget year to begin.</p> <table border="0"> <tr> <td>SCHEDULED REGIONAL AREA PLAN TECHNICAL ASSISTANCE MEETING</td> <td>AAA's NEXT AREA PLAN BUDGET YEAR BEGINS</td> </tr> <tr> <td>December 1975</td> <td>July 1976</td> </tr> <tr> <td>April 1976</td> <td>November 1976</td> </tr> <tr> <td>May 1976</td> <td>December 1976</td> </tr> <tr> <td>August 1976</td> <td>March 1977</td> </tr> </table>	SCHEDULED REGIONAL AREA PLAN TECHNICAL ASSISTANCE MEETING	AAA's NEXT AREA PLAN BUDGET YEAR BEGINS	December 1975	July 1976	April 1976	November 1976	May 1976	December 1976	August 1976	March 1977	Regional Office Manager	December 15, 1975 April 15, 1976 May 15, 1976 August 15, 1976
SCHEDULED REGIONAL AREA PLAN TECHNICAL ASSISTANCE MEETING	AAA's NEXT AREA PLAN BUDGET YEAR BEGINS											
December 1975	July 1976											
April 1976	November 1976											
May 1976	December 1976											
August 1976	March 1977											
<p>Step 5 The California State Ombudsman will provide technical assistance to the Area Agencies on Aging, as necessary, as they operationalize Ombudsman activity and will initiate the conduct of monthly meetings of Ombudsman personnel to provide training and technical assistance.</p> <p>See Title III Objective #13</p>	California State Ombudsman	February 29, 1976										
<p>Step 6 Concurrent with and following the period of the Major Annual Assessment (conducted 5 - 6 months prior to the expiration of the AAA's current budget year) consultants will provide technical assistance to the AAAs in the areas of plan and programming development. Technical assistance will be provided through individual on-site meetings and collective meetings of those AAAs in similar stages of budget year.</p>	Regional Office Manager Consultant to the AAA	4 months prior to expiration of AAA's current budget year										

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing technical assistance to the programs and activities to be supported under Title III.

<u>Major Action Step</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 7 Immediately following the AAA's submission of area plans (see SCHEDULE FOR AAA SUBMISSION OF AREA PLAN TO REGIONAL OFFICE, Exhibit II-1 Part A Title III Supplement), the Regional Office will coordinate the California Office on Aging process of area plan review to determine, in a timely manner, any further technical assistance that may be necessary to provide to the AAA, in order to ensure that the area plan is satisfactorily developed prior to submission to Executive Staff for approval sixty (60) days before the new area plan budget year begins.</p>	<p>Regional Office Manager Consultant to the AAA Chief Planner Affirmative Action Coordinator</p>	<p>See Exhibit II.1 Part A - Title III Supplement SCHEDULE FOR AAA SUBMISSION OF AREA PLAN TO REGIONAL OFFICE</p>
<p>Step 8 Consultants to the AAAs, as a part of the quarterly assessment process, will provide to the Regional Managers and to the AAA Director, assessment reports including the following information to be used to address the specific training and technical assistance needs of the AAA.</p> <p>A report, on the status of the project re: identified strengths and weaknesses; specified key areas of concern or problems; requirements and/or recommendations for maintaining and/or improving the project.</p> <p>A report on the status of the project re needs for: training; and technical assistance.</p> <p>A plan (or any necessary modifications of the previous plans), and schedule for addressing the needs of the project for: training; and technical assistance.</p> <p>Identification of any new areas of concern or problems.</p> <p>See Title III Objective #7</p>	<p>Consultant to the AAA</p>	<p>4 Weeks after Quarterly Assessment</p> <p>See Exhibit 1 Part A - Title III Supplement</p> <p>AAA ASSESSMENT SCHEDULE</p>

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 9 Regional Offices will develop and provide training and technical assistance workshops for AAA Directors (and other AAA staff, as appropriate) at Quarterly Regional Meetings of AAA Directors.</p>	Regional Office Manager	April 15, 1976, July 15, 1976 and quarterly thereafter
<p>Step 10. a The Community Homemaker Employment Program Task Force Chairman, at Regional Meetings of local sponsors interested in developing proposals for Homemaker Service projects will:</p> <p style="padding-left: 40px;">review the background and content of the Community Homemaker Employment Program Design;</p> <p style="padding-left: 40px;">schedule on request, appointments to provide technical assistance to local sponsors in developing a proposal.</p> <p>Step 10. b The Community Homemaker Employment Program Task Force Chairman will provide requested technical assistance to local sponsors in the development of proposals.</p> <p>See Title III Objective #11</p>	Homemaker Task Force Chairman	April 30, 1976 May 31, 1976
<p>Step 11. a The Chief Liaison, at Regional Meetings of AAA Directors and Chairman of Commissions on Aging (in counties not served by AAAs) will:</p> <p style="padding-left: 40px;">review the background and content of the Title XX joint programming agreement;</p> <p style="padding-left: 40px;">outline appropriate action at the local (county) level;</p>	Chief Liaison	April 30, 1976

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ACTION PLAN FOR TECHNICAL ASSISTANCE

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 13. a Liaison and Resource Development, at Regional Meetings of AAA, Title III DSA projects and Title VII Project Directors, will:</p> <p>review the background and content of the interagency agreement between the Employment Development Department and the California Office on Aging;</p> <p>provide copies of the Older Worker Employment Resource File;</p> <p>outline appropriate follow-up action at the local level;</p> <p>schedule, on request, appointments to provide technical assistance to Area Agencies on Aging and other projects in developing liaison with the Employment Development Department field offices in their area; and,</p> <p>outline advocacy that could be implemented in regard to age discrimination in employment and categorical federal employment programs for the elderly.</p>	Employment Liaison	September 15, 1976
<p>Step 13. b The Employment Liaison will provide requested technical assistance to Area Agencies on Aging and projects in their development of liaison with Employment Development Department field offices.</p>	Employment Liaison	September 30, 1976
<p>Step 14. a The I & R Task Force will develop the I & R Task Force Report specifying the individual, but, coordinated basic plan that each Department, Agency and the California Office on Aging will implement relative to providing I & R services to older persons in the State of California and, the means each Department, Agency and the California</p>	Chief Liaison	August 31, 1976

ACTION PLAN FOR TECHNICAL ASSISTANCE

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Office on Aging will use to transmit and implement their plan at the local level.</p> <p>Step 14, b The Chief Liaison will disseminate copies of the I&R Task Force Report to:</p> <p>AAAs; Title III DSA Projects; Title VII Nutrition Projects; and, California Commission on Aging</p> <p>See Title III, Objective #3</p>		September 15, 1976
<p>Step 15 The Chief Liaison, at the Regional Meetings of AAA, Title III DSA Project and Title VII Project Directors, will:</p> <p>review the background and content of the I & R Task Force Report;</p> <p>outline appropriate follow-up action at the local level;</p> <p>schedule, on request, appointments to provide technical assistance to a AAA, in their development of a similar memorandum of understanding at the local level.</p>	Chief Liaison	September 30, 1976

STATE California

EXHIBIT II-1

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ACTION PLAN FOR TECHNICAL ASSISTANCE

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PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 16 The Transportation Liaison will outline a three month development of a plan for provision of technical assistance to Area Agencies on Aging and communities that would include specific institutional, operational, vehicle funding, legislative and agreement arrangements to implement a coordinated transportation service for social agencies serving the elderly.	Transportation Liaison	September 30, 1976

ACTION PLAN FOR TECHNICAL ASSISTANCE

PART B - TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title VII</u> .		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 1. a Consultants to the Title VII projects, as a part of the quarterly assessment process, will provide to the Regional Manager and to the Title VII Project Director, assessment reports including the following information which will be used to address the specific training and technical assistance needs of the Title VII projects.</p> <p>A report on the status of the project re: identified strengths and weaknesses; specified key areas of concern or problems; requirements and/or recommendations for maintaining and/or improving the project.</p> <p>A plan (or any necessary modifications of previous plans) and schedule for addressing the needs of the project for: training; and, technical assistance.</p> <p>Identification of any new areas of concern or problems.</p>	Consultant to Title VII Project	<p>Four (4) weeks after Quarterly Assessment</p> <p>See Exhibit II-2 Part B - Title VII Supplement</p> <p>Title VII ASSESSMENT SCHEDULE</p>
<p>Step 1. b Regional Offices will review all assessment reports to determine which needs for training and technical assistance will be: provided to Title VII Projects in Quarterly Regional Meetings; and, provided to a Title VII Project directly by the Consultant to the Project.</p>	Regional Office Manager:	Five (5) weeks after Quarterly Assessment

STATE California

EXHIBIT II-1

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ACTION PLAN FOR TECHNICAL ASSISTANCE

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PART B - TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title VII</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 1. c Regional Offices develop and provide training and technical assistance workshops for Title VII Project Directors (and other Title VII staff, as appropriate) at Quarterly Regional Meetings of Title VII Project Directors.</p> <p>See Title VII Objectives #3</p>	Regional Office Manager	April 15, 1976 July 15, 1976 and Quarterly thereafter
<p>Step 2 Regional Offices will:</p> <p>identify resource persons who could contribute information, training and technical assistance to Title VII Projects in increasing the proportion of low-income and minority older persons who participate in the Title VII Project;</p> <p>encourage these resource persons to contribute to the projects by presenting information, training and technical assistance to the project; and,</p> <p>provide for presentations by these resource persons in Quarterly Regional Meetings of Title VII Project Directors.</p> <p>See Title VII Objective #3</p>	Regional Office Manager	March 31, 1976 June 30, 1976

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State CaliforniaY 1976Exhibit 11-1
Part A-Title III
SupplementSCHEDULE FOR AAA SUBMISSION OF AREA PLANS TO
REGIONAL OFFICE

October 1, 1975 - September 30, 1976

PSA/ AAA #	NEW BY* #	NEW BY* TO BEGIN	NEW BY* TO END	SCHEDULE DATE OF SUBMISSION OF NEW AREA PLAN
4	4	12/31/76 -	12/30/77	August 1, 1976
5	3	7/ 1/76 -	6/30/77	March 1, 1976
6	4	1/ 1/77 -	12/31/78	August 1, 1976
7	2	7/ 1/76 -	6/30/77	March 1, 1976
8	2	7/ 1/76 -	6/30/77	March 1, 1976
9	4	7/ 1/76 -	6/30/77	March 1, 1976
10	3	7/ 1/76 -	6/30/77	March 1, 1976
11	3	7/ 1/76 -	6/30/77	March 1, 1976
17	2	7/ 1/76 -	6/30/77	March 1, 1976
19	4	11/ 1/76 -	10/31/77	July 1, 1976
20	3	7/ 1/76 -	6/30/77	March 1, 1976
21	3	7/ 1/76 -	6/30/77	March 1, 1976
22	4	7/ 1/76 -	6/30/77	March 1, 1976
23	4	7/ 1/76 -	6/30/77	March 1, 1976
25	2	3/ 1/76 -	2/28/77	November 1, 1975

*BY (Budget Year)

STATE California

EXHIBIT II-2

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ACTION PLAN FOR ASSESSMENT

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
ASSESSMENT OF TITLE III AREA AGENCIES ON AGING (AAAs)		
<p>Step 1 The Chief of the Regional Office Operations will appoint an Assessment Tools Task Force for Title III and VII Projects and a Chairman for the Task Force. The Task Force will include the I&R Coordinator</p>	Chief of Regional Office Operations	October 1, 1975
<p>Step 2 The Task Force will consider existing assessment tool needs and resources and will develop a single tool for the Assessment of Area Agencies on Aging (AAAs), suitable for uniform use in the assessment of all AAAs.</p>	Assessment Task Force Chairman	October 31, 1975
<p>Step 3 The Assessment Task Force will develop standard AAA Assessment Report Forms for reporting on annual and quarterly assessments.</p> <p>a. The Major Annual AAA Assessment Report Form will include provision for:</p> <ol style="list-style-type: none"> 1) A summary of assessment fundings and conclusions, including, <ol style="list-style-type: none"> a) strengths and weaknesses of the AAA; b) specific key areas of concern or problems; c) requirements and/or recommendations for maintaining and/or improving the AAA's operation with scheduled dates for completion of requirements or implementation of recommendations. 	Assessment Task Force Chairman	November 15, 1975

ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 3 (continued)</p> <ol style="list-style-type: none"> 2) identification of the needs of the AAA in the areas of <ol style="list-style-type: none"> a) training b) technical assistance 3) outline of the plan and schedule for addressing the needs of the AAA in the areas of <ol style="list-style-type: none"> a) training b) technical assistance <p>b. The Quarterly AAA Assessment Report Form will include provision for:</p> <ol style="list-style-type: none"> 1) a report on the status of the AAA re: <ol style="list-style-type: none"> a) identified strengths and weaknesses b) specified key areas of concern or problems c) requirements and/or recommendations for maintaining and/or improving the AAA's operation. 2) a report on the status of the AAA re: needs for: <ol style="list-style-type: none"> a) training b) technical assistance 3) any necessary modifications of the plan and schedule for addressing the needs of the AAA for: <ol style="list-style-type: none"> a) training b) technical assistance 4) identification of any new areas of concern or problems. 		

STATE California

EXHIBIT II-2

FY 19⁷⁶Page 3 of 17ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 4 The Task Force will submit the Tool for the Assessment of AAA Projects and the AAA Assessment Report Form to the Executive Staff of the California Office on Aging for approval.	Assessment Task Force Chairman	November 30, 1975
Step 5 Tool and the AAA Assessment Report Forms and provide for training in their use to each Regional Office	Chief of Regional Office Operations	December 15, 1975
Step 6 At least two weeks prior to the scheduled Major Annual AAA Assessment, the consultant for the AAA will: <ol style="list-style-type: none"> 1) provide the AAA Director with copies of the assessments tools to be used for the Major Annual AAA Assessment; 2) schedule with the AAA Director and other members of the Assessment Team the date and time of the on-site Major Annual AAA Assessment conducted 5 - 6 months prior to the end of the current project year; 3) identify to the AAA Director the Advisory Council representatives to be present during the Major Annual AAA Assessment; 4) advise the AAA Director of the scheduled months of the on-site Quarterly Assessments, i.e., the follow-up assessments to the Major Annual Assessment, conducted on a quarterly basis, at a minimum, following the date of the scheduled Major Annual Assessment. 	Consultant to the AAA	See Exhibit II-2 Part A - Title III Supplement A AAA ASSESSMENT SCHEDULE

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ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 7</p> <p>The Major Annual Assessment of each AAA will be conducted by an Assessment Team from the California Office on Aging consisting of the Consultant for the AAA and at least one other staff member, e.g., another Consultant. The team will, at a minimum: interview the AAA director, key professional staff and representatives of the Advisory Council as a part of the assessment process; and, complete all sections of the assessment tool forms.</p>	Consultant to the AAA	See AAA ASSESSMENT SCHEDULE
<p>Step 8</p> <p>The Assessment Team will complete a Major Annual AAA Assessment Report.</p> <p>NOTE.</p> <p>The key areas of concern identified in the report:</p> <p>will need to be addressed by the AAA during its current budget year and, if applicable, in its development of and implementation of an area plan in a subsequent year;</p> <p>will be considered by the California Office on Aging in evaluating a AAA and its Area Plan for a subsequent year of funding; and,</p> <p>will be focused upon in ensuing Quarterly Assessments of the project.</p>	Consultant to the AAA	Two (2) weeks after Major Annual Assessment.
<p>Step 9</p> <p>The Consultant will submit the Major Annual AAA Assessment Report as an addition to the AAA's file and will discuss any key issues with the Regional Office Manager.</p>	Consultant to the AAA	Three (3) weeks after Major Annual Assessment

STATE California

EXHIBIT II-2

FY 19⁸⁵ Page 5 of 17ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 10 The Consultant will:</p> <ol style="list-style-type: none"> 1) provide the AAA Director with a copy of the Major Annual AAA Assessment Report and a plan and schedule for addressing the training and technical assistance needs of the project; 2) discuss the above with the AAA Director; 3) schedule the date for the next assessment, i.e., the Quarterly Assessment. 	<p>Consultant to the AAA Regional Office Manager</p>	<p>Four (4) weeks after Major Annual Assessment</p>
<p>Step 11 The Consultant will, at a minimum, conduct Quarterly Assessments of the AAA subsequent to the Major Annual AAA Assessment. Each Quarterly Assessment will include Steps 11, 12, 13, and 14. The assessments will provide for follow-up and focus on areas of key concerns identified in the Major Annual Assessment as well as any newly identified areas of concern.</p>	<p>Consultant to the AAA Regional Office Manager</p>	<p>SEE AAA ASSESSMENT SCHEDULE</p>
<p>Step 12 The Consultant will prepare a Quarterly AAA Assessment Report.</p>	<p>Consultant to the AAA Regional Office Manager</p>	<p>Two (2) weeks after Quarterly Assessment</p>

STATE California

EXHIBIT II-2

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ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 13 The Consultant will submit the Quarterly AAA Assessment Report as an addition to the AAA's file, which includes the Major Annual AAA Assessment Report, and discuss any key issues with the Regional Office Manager.	Consultant to the AAA Regional Office Manager	Three (3) weeks after Quarterly Assessment
Step 14 The Consultant will: 1) provide the AAA Director with a copy of the Quarterly AAA Assessment Report; 2) discuss the content of that report with the AAA Director; 3) schedule the date of the next assessment (Quarterly or Major Annual Assessment, as applicable).	Consultant to the AAA Regional Office Manager	Four (4) weeks after Quarterly Assessment

AAA ASSESSMENT SCHEDULE
October 1975 - September 1976

Exhibit II-2
Part A - Title III
Supplement A

PSA #	BUDGET YEAR (BY)		CONDUCT QUARTERLY ASSESSMENT	CONDUCT QUARTERLY ASSESSMENT	MAJOR ANNUAL ASSESSMENT		CONDUCT QUARTERLY ASSESSMENT	
	BY #	BY BEGINS			BY ENDS	NOTIFY PROJECT		CONDUCT MAJOR ANNUAL
4	2	12/31/74	12/30/75	January 76	April 76	June 76	July 76	October 75 (a)
	3	12/31/75	12/30/76					
5	2	7/1/75	6/30/76	July 76	October 75 (a)	December 75	January 76	April 76
	3	7/1/76	6/30/77					
6	2	1/1/75	12/31/75	January 76	April 76	June 76	July 76	October (a)
	3	1/31/76	12/31/76					
7	1	11/1/75	6/30/76	July 76		December 75	January 76	April 76
	2	7/1/76	6/30/77					
8	1	11/1/75	6/30/76	July 76		December 75	January 76	April 76
	2	7/1/76	6/30/77					
9	2	1/1/75	12/31/75		October 75 (a)	December 75	January 76	April 76
	3	1/1/76	6/30/76					
	4	7/1/76	6/30/77	July 76				
10	2	7/1/75	6/30/76	July 76	October 75 (a)	December 75	January 76	April 76
	3	7/1/76	6/30/77					
11	2	7/1/75	6/30/76		October 75 (a)	December 75	January 76	April 76
	3	7/1/76	6/30/77					
17	1	7/16/75	6/30/76	July 76	October 75 (a)	December 75	January 76	April 76
	2	7/1/76	6/30/77					
19	3	11/1/75	10/31/76	November 75 (a)	February 76	April 76	May 76	August 76
20	2	7/1/75	6/30/76	July 76	October (a)	December 75	January 76	April 76
	3	7/1/76	6/30/77					
21	2	7/1/75	6/30/76	July 76	October 75 (a)	December 75	January 76	April 76
	3	7/1/76	6/30/77					
22	3	10/1/75	6/30/76	October 75 (a)		December 75	January 76	
	4	7/1/76	6/30/77	July 76				
23	2	12/31/74	10/31/75			December 75	January 76	October 75 (a)
	3	11/1/75	6/30/76					April 76
	4	7/1/76	6/30/77	July 76				
25	1	3/1/75	2/29/76	March 76	June 76	August 76	September 76	December 75 (a)
	2	3/1/75	2/28/77					

(a) Assessments scheduled prior to January 76 are not anticipated to have available the Assessment Tool and Report Forms developed in Action Steps 2 and 3.

ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to <u>assessing the programs and activities to be supported under Title III.</u>		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
ASSESSMENT OF TITLE III PROJECT IN DIRECT SERVICE AREAS (DSAs) i.e., Areas not Covered by Area Plans		
Step 15 The Chief of Regional Office Operations will appoint an Assessment Tools Task Force for Title III and VII Projects and a Chairman for the Task Force. The Task Force will include the I&R Coordinator.	Chief of Regional Office Operations	October 1, 1975
Step 16 The Task Force will consider existing assessment tool needs and resources and will develop a single Tool for the Assessment of Title III DSA Projects, suitable for uniform use in the assessment of all Title III DSA Projects.	Assessment Task Force Chairman	October 31, 1975
Step 17 The Assessment Task Force will develop standard Title III DSA Assessment Report Forms for Reporting on Annual and Quarterly Assessments. A. The Major Annual DSA Assessment Report Form will include provision for: 1) a summary of assessment findings and conclusions, including, a) strengths and weaknesses of the project; b) specific key areas of concern or problems; c) requirements and/or recommendations for maintaining and/or improving the project with scheduled dates for completion of requirements or implementation of recommendations. 2) identification of the needs of the project in the areas of a) training b) technical assistance	Assessment Task Force Chairman	November 15, 1975

STATE California

EXHIBIT II-2

FY 19 75

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ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>3) outline of the plan and schedule for addressing the needs of the project in the areas of</p> <ul style="list-style-type: none">a) trainingb) technical assistance <p>The Quarterly DSA Assessment Report Form will include provision for:</p> <ul style="list-style-type: none">1) a report on the status of the project re<ul style="list-style-type: none">a) identified strengths and weaknessesb) specified key areas of concern or problemsc) requirements and/or recommendations for maintaining and/or improving the project2) a report on the status of the project re needs for<ul style="list-style-type: none">a) trainingb) technical assistance3) any necessary modifications of the plan and schedule for addressing the needs of the project for<ul style="list-style-type: none">a) trainingb) technical assistance4) identification of any new areas of concern or problems		
<p>Step 18 The Task Force will submit the Tool for the Assessment of Title III, DSA projects and the DSA Assessment Report Form to the Executive Staff of the California Office on Aging for approval.</p>	Assessment Task Force Chairman	December 1, 1975

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ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to <u>assessing</u> the programs and activities to be supported <u>under Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 19 Regional Office Operations will provide copies of the DSA Assessment Tool and the DSA Assessment Report Forms and provide for training in their use to each Regional Office</p>	Chief of Regional Office Operations	December 15, 1975
<p>Step 20 At least two weeks prior to the scheduled Major Annual Assessment of the Title III DSA Project, the Consultant for the project will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with copies of the assessment tools to be used for the Major Annual Assessment of Title III, DSA projects; 2) schedule with the Project Director and other members of the Assessment Team the date and time of the on-site Major Annual DSA Assessment conducted 5 - 6 months prior to the end of the current project year; 3) identify to the Project Director, the other project personnel to be present during the Major Annual DSA Assessment; 4) advise the Project Director of the scheduled months of the on-site Quarterly Assessments, i.e., the follow-up assessments to the Major Annual Assessment, conducted on a quarterly basis, at a minimum, following the date of the scheduled Major Annual Assessment. 	Consultant to DSA Project	See Exhibit II-2 Part A - Title II. Supplement B DSA ASSESSMENT SCHEDULE
<p>Step 21 The Major Annual Assessment of each DSA project will be conducted by an Assessment Team from the California Office on Aging consisting of the Consultant for the project and at least one other staff member, e.g., another Consultant. The team will, at a minimum, interview the Project Director and key staff members as a part of the assessment process; and, complete all sections of the assessment tool forms.</p>	Consultant to DSA Project Regional Office Manager	SEE DSA ASSESSMENT SCHEDULE

ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 22 The Assessment Team will complete a Major Annual DSA Assessment Report</p> <p>NOTE: The key areas of concern identified in the report: will need to be addressed by the project during its current project year and if applicable in its proposal for and implementation of the project in a subsequent year; will be considered by the California Office on Aging in evaluating project proposals for a subsequent year of operation; and, will be focused upon in ensuing Quarterly Assessments of the project</p>	Consultant to DSA Project	Two (2) weeks after Major Annual Assessment
<p>Step 23 The Consultant will submit the Major Annual DSA Assessment Report as an addition to the project file and will discuss any key issues with the Regional Office Manager.</p>	Consultant to DSA Project Manager	Three (3) weeks after Major Annual Assessment
<p>Step 24 The Consultant will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with a copy of the Major Annual DSA Assessment Report, a plan and schedule for addressing the training and technical assistance needs of the project; 2) discuss the above with the Project Director; 3) schedule the date for the next assessment, the Quarterly Assessment 	Consultant to DSA Project	Four (4) weeks after Major Annual Assessment

ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 25 The Consultant will, at a minimum, conduct Quarterly Assessments of the DSA project subsequent to the Major Annual Assessment. Each Quarterly Assessment will include Steps 25, 26, 27, and 28. These assessments will provide for follow-up and focus on areas of key concerns identified in the Major Annual Assessment as well as any newly identified areas of concern.</p>	<p>Consultant to DSA Project</p>	<p>See DSA ASSESSMENT SCHEDULE</p>
<p>Step 26 The Consultant will prepare a Title III Quarterly DSA Assessment Report.</p>	<p>Consultant to DSA Project</p>	<p>Two (2) weeks after Quarterly Assessment</p>
<p>Step 27 The Consultant will submit the Quarterly DSA Assessment Report as an addition to the project file which includes the Major Annual DSA Assessment Report and discuss any key issues with the Regional Office Manager</p>	<p>Consultant to DSA Project Regional Office Manager</p>	<p>Three (3) weeks after Quarterly Assessment</p>
<p>Step 28 The Consultant will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with a copy of the Quarterly DSA Assessment Report; 2) discuss the content of that report with the Project Director; 3) schedule the date of the next assessment (Quarterly or Major Annual Assessment, as applicable) 	<p>Consultant to DSA Project</p>	<p>Four (4) weeks after Quarter Assessment</p>

DSA ASSESSMENT SCHEDULE
FOR TITLE III PROJECTS IN DIRECT SERVICE AREAS (DSAs)
i.e. Areas not Covered by Area Plans
October 1975 - September 1976

PROJECT YEAR ENDS	CONDUCT QUARTERLY ASSESSMENT	CONDUCT QUARTERLY ASSESSMENT	MAJOR ANNUAL ASSESSMENT		CONDUCT QUARTERLY ASSESSMENT
			NOTIFY PROJECT	ANNUAL MAJOR ANNUAL ASSESSMENT	
December 76					October 75 ^(a)
January 76					November 75 ^(a)
February 76					December 75 ^(a)
March 76			September 75	October 75 ^(a)	January 76
April 76			October 75	November 75 ^(a)	February 76
May 76			November 75	December 75 ^(a)	March 76
June 76		October 75 ^(a)	December 75	January 76	April 76
July 76		November 75 ^(a)	January 76	February 76	May 76
August 76		December 75 ^(a)	February 76	March 76	June 76
September 76	October 75 ^(a)	January 76	March 76	April 76	July 76
October 76	November 75 ^(a)	February 76	April 76	May 76	August 76
November 76	December 75 ^(a)	March 76	May 76	June 76	September 76
December 76	January 76	April 76	June 76	July 76	
January 77	February 76	May 76	July 76	August 76	
February 77	March 76	June 76	August 76	September 76	
March 77	April 76	July 76	September 76		
April 77	May 76	August 76			
May 77	June 76	September 76			
June 77	July 76				
July 77	August 76				
August 77	September 76				

(a) Assessments scheduled prior to January 76 are not anticipated to have available the Assessment Tool and Report Forms developed in Action Steps 16 and 17

ACTION PLAN FOR ASSESSMENT
PART B-TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to <u>assessing</u> the programs and activities to be supported under <u>Title VII</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
ASSESSMENT OF TITLE VII PROJECTS		
Step 1 The Regional Office Operations will appoint an Assessment Tool Task Force for Title III and VII Projects and a Chairman for the Task Force. The Task Force will include a Nutritionist.	Chief of Regional Office Operations	October 1, 1975
Step 2 The Task Force will consider existing assessment tool needs and resources and will develop a single tool for Assessment of Title VII Projects, suitable for uniform use in the assessment of all Title VII projects.	Assessment Task Force Chairman	October 31, 1975
Step 3 The Assessment Task Force will develop standard Title VII Assessment Report Forms for Reporting on Annual and Quarterly Assessments. <p>a. The Major Annual Assessment Report Form will include provision for:</p> <ol style="list-style-type: none"> 1) a summary of assessment fundings and conclusions, including, <ol style="list-style-type: none"> a) strengths and weaknesses of the project; b) specific key areas of concern or problems; c) requirement and/or recommendations for maintaining and/or improving the project with scheduled dates for completion of requirements or implementation of recommendations. 	Assessment Task Force Chairman	November 15, 1975

ACTION PLAN FOR ASSESSMENT
PART B--TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title VII.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 3 a. (continued)</p> <p>2) identification of the needs of the project in the areas of</p> <p>a) training</p> <p>b) technical assistance</p> <p>3) outline of the plan and schedule for addressing the needs of the project in the areas of</p> <p>a) training</p> <p>b) technical assistance "</p> <p>b. The Quarterly Assessment Report Form will include provision for:</p> <p>1) a report on the status of the project re</p> <p>a) identified strengths and weaknesses</p> <p>b) specified key areas of concern or problems</p> <p>c) requirements and/or recommendations for maintaining and/or improving the project</p> <p>2) a report on the status of the project re needs for</p> <p>a) training</p> <p>b) technical assistance</p> <p>3) any necessary modifications of the plan and schedule for addressing the needs of the project for</p> <p>a) training</p> <p>b) technical assistance</p>		

ACTION PLAN FOR ASSESSMENT
PART B--TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title VII.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
4) identification of any new areas of concern or problems.		
<p>Step 4 The Task Force will submit the tool for the Assessment of Title VII Projects and the Assessment Report Forms to the Executive Staff of the California Office on Aging for approval.</p>	Assessment Task Force Chairman	December 1, 1975
<p>Step 5 Regional Office Operations will provide copies of the Assessment Tool and the Assessment Report Forms and provide for training in their use to each Regional Office.</p>	Chief of Regional Office Operations	December 15, 1975
<p>Step 6 At least two weeks prior to the scheduled Major Annual Assessment of the Title VII program, the Consultant to the project will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with copies of the assessment tools to be used for the Major Annual Assessment of Title VII projects; 2) schedule with the Project Director and other members of the Assessment Team the date and time of the on-site Major Annual Assessment conducted 5 - 6 months prior to the end of the current project year; 3) identify to the Project Director, the other project personnel, and Project Council representatives to be present during the Major Annual Assessment; 	Consultant to Title VII Project	<p>See Exhibit II-2 Part B - Title VII Supplement</p> <p>Title VII ASSESSMENT SCHEDULE</p>

ACTION PLAN FOR ASSESSMENT
PART B—TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to <u>assessing</u> the programs and activities to be supported <u>under Title VII</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 7 The Major Annual Assessment of each project will be conducted by an Assessment Team from the California Office on Aging consisting of the consultant to the project and at least one other staff member, e.g., a Nutritionist and/or another Consultant. The team will, at a minimum interview the Project Director, all Site Managers and representatives of the Nutrition Project Council as a part of the assessment process and complete all sections of the assessment tool forms.</p>	Consultant to Title VII Project	See TITLE VII ASSESSMENT SCHEDULE
<p>Step 8 The Assessment Team will complete a Title VII Major Annual Assessment Report.</p> <p>NOTE: The key areas of concern identified in the report: will need to be addressed by the project during its current project year and if applicable, in its proposal for the implementation of the project in a subsequent year; will be considered by the California Office on Aging in evaluating project proposals for a subsequent year of operation; will be focused upon in ensuing Quarterly Assessments to the project.</p>	Consultant to Title VII Project	Two (2) weeks after Major Annual Assessment
<p>Step 9 The Consultant will submit the Major Annual Assessment Report as an addition to the Project file and will discuss any key issues with the Regional Office Managers.</p>	Consultant to Title VII Project Regional Office Manager	Three (3) weeks after Major Annual Assessment

ACTION PLAN FOR ASSESSMENT
PART B--TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to <u>assessing</u> the programs and activities to be supported under Title VII.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 10 The Consultant will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with a copy of the Major Annual Assessment Report, a plan and schedule for addressing the training and technical assistance needs of the project; 2) discuss the above with the Project Director; 3) schedule the date for the next assessment, i.e., the Quarterly Assessment 	Consultant to Title VII Project	Four (4) weeks after Major Annual Assessment
<p>Step 11 The Consultant will, at a minimum, conduct Quarterly Assessments of the project subsequent to the Major Annual Assessment. Each Quarterly Assessment will include Steps 11, 12, 13, and 14. These assessments will provide for follow-up and focus on areas of key concerns identified in the Major Annual Assessment as well as any newly identified areas of concern.</p>	Consultant to Title VII Project	See TITLE VII ASSESSMENT SCHEDULE
<p>Step 12 The Consultant will prepare a Title VII Quarterly Assessment Report.</p>	Consultant to Title VII Project	Two (2) weeks after Quarterly Assessment
<p>Step 13 The Consultant will submit the Quarterly Assessment Report as an addition to the Project file, which includes the Major Annual Report, and discuss any key issues with the Regional Office Manager.</p>	Consultant to Title VII Project	Three (3) weeks after Quarterly Assessment

ACTION PLAN FOR ASSESSMENT
PART B-TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title VII.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 14 The Consultant will:</p> <ol style="list-style-type: none"> 1) provide the Project Director with a copy of the Quarterly Assessment Report; 2) discuss the content of that report with the Project Director; 3) schedule the date of the next assessment (Quarterly or Major Annual Assessment, as applicable). 	<p>Consultant to Title VII Project</p>	<p>Four (4) weeks after Quarterly Assessment</p>

TITLE VII ASSESSMENT SCHEDULE
October 1975 - September 1976

TITLE VII PROJECT YEAR ENDS	CONDUCT QUARTERLY ASSESSMENT	CONDUCT QUARTERLY ASSESSMENT	MAJOR ANNUAL ASSESSMENT			
			NOTIFY PROJECT	ANNUAL MAJOR ANNUAL ASSESSMENT		CONDUCT QUARTERLY ASSESSMENT
December 76						October 75 ^(a)
January 76						November 75 ^(a)
February 76						December 75 ^(a)
March 76			September 75	October 75 ^(a)	January 76	76
April 76			October 75	November 75 ^(a)	February 76	76
May 76			November 75	December 75 ^(a)	March 76	76
June 76		October 75 ^(a)	December 75	January 76	April 76	76
July 76		November 75 ^(a)	January 76	February 76	May 76	76
August 76		December 75 ^(a)	February 76	March 76	June 76	76
September 76	October 75 ^(a)	January 76	March 76	April 76	July 76	76
October 76	November 75 ^(a)	February 76	April 76	May 76	August 76	76
November 76	December 75 ^(a)	March 76	May 76	June 76	September 76	76
December 76	January 76	April 76	June 76	July 76		
January 77	February 76	May 76	July 76	August 76		
February 77	March 76	June 76	August 76	September 76		
March 77	April 76	July 76	September 76			
April 77	May 76	August 76				
May 77	June 76	September 76				
June 77	July 76					
July 77	August 76					
August 77	September 76					

(a) Assessments scheduled prior to January 76 are not anticipated to have available the Assessment Tool and Report Forms developed in Action Steps 2 and 3

ACTION PLAN FOR COORDINATION

PART A - TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the coordination of State planning and service activities and programs related to the purpose of Title III.

Major Action Steps	Position(s) With Key Responsibility for Step	Estimated Date of Completion
<p>Step 1 The Liaison and Resource Development Division will coordinate with the Attorney General's Office and the Area Agencies on Aging in Los Angeles County (Area 19) and Los Angeles City (Area 25) for the conduct of six public meetings throughout the Los Angeles area designed to:</p> <p>generate interest in the project; and, give seniors an opportunity to express their concerns.</p> <p>See Title III Objective #5</p>	<p>Assistant Director Program</p> <p>Crime Prevention Liaison</p>	<p>October 1, 1975</p>
<p>Step 2. a Consultants to planning and service areas not covered by area plans, i.e., Direct Service Areas (DSAs), will identify for each county, the major county-wide bodies responsible for representing aging in that county, e.g., County Commissions on Aging, Advisory Committees, and Offices on Aging.</p>	<p>Consultant to the DSA</p>	<p>October 31, 1975</p>
<p>Step 2. b Each Regional Officer will prepare a list of all of the above-mentioned county-wide bodies providing information on the name, address and contact for each county-wide body and submit the list to the Communications Section</p>	<p>Regional Office Manager</p>	<p>November 15, 1975</p>
<p>Step 2. c Communications Section will incorporate the above-mentioned lists into the current mailing lists for the dissemination of all major information pertaining to aging.</p>	<p>Chief of Communications</p>	<p>November 15, 1975</p>

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PART A - TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the coordination of State planning and service activities and programs related to the purpose of Title III.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 3 Establish an Information and Referral Task Force, which will:</p> <p>work for development of a memorandum of understanding among the State of California level counterparts of Federal Departments and Agencies and the California Office on Aging, relative to provision of Information and Referral services to older persons in the State of California; and,</p> <p>hold periodic meetings to discuss specified measures to expand, coordinate and increase the effectiveness of resources available in support of Information and Referral services to older persons in the State of California.</p> <p>See Title III Objective #3</p>	Chief Liaison	December 1, 1975
<p>Step 4 The Nursing Home Ombudsman will provide for coordination of Area Agencies on Aging and Information and Referral Directors to meet with staff of public agencies and with nursing home administrators in their planning and service area to:</p> <p>explain the Ombudsman program; enlist their support; and,</p> <p>determine methods of working and cooperation.</p> <p>See Title III Objective #13</p>	California State Ombudsman	January 31, 1976

ACTION PLAN FOR COORDINATION

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical assistance</u> to the programs and activities to be supported under <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 5 The Information and Referral Coordinator, with the assistance of the Planning Section, will develop a compilation and graphic analysis of data on, report of problem classification and, identified gaps in service, based on monthly reporting since January, 1975, by all Title III funded I&R projects within the State and through the Chief Liaison, will provide the above compilation and graphic analysis:</p> <p>to the committee responsible for development of the FY 1977 State Plan for Title XX; and,</p> <p>to AAAs and I&R projects in Direct Service Areas as a model for their similar development of input to the local (i.e., county-wide) Title XX planning process.</p>	<p>I&R Coordinator Chief Planner Chief Liaison</p>	<p>January 31, 1976</p>

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ACTION PLAN FOR COORDINATION

PART A - TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the <u>coordination</u> of State planning and service activities and programs related to the purpose of <u>Title III</u> .		
<p>Step 6 Establish the California Interdepartmental Committee on Aging and initiate monthly meetings of the Committee for the purpose of determining and recommending ways that the programs of the represented State Departments could, individually and collectively, more equitably and effectively serve the older population segment of their service population, particularly low-income and minority older population. See Title III Objective #4</p>	Deputy Director	January 31, 1976
<p>Step 7 Develop and execute a joint programming agreement between the California State Department of Health, Community Services Division, Social Services Branch, which supervises the administration of California's Social Service Program under Title XX of the Social Security Act, and the California Office on Aging, for the purpose of ensuring that resources made available through the Title XX program are allocated in an equitable manner to older persons throughout the State. See Title III Objective #1</p>	Chief Liaison	March 15, 1976
<p>Step 8 Initiate on-going bimonthly Conferences on Issues in Aging in California to provide a forum for the California Office on Aging, the California Commission on Aging and the Joint Legislative Committee on Aging:</p> <p style="padding-left: 40px;">to identify and discuss significant issues in regard to the aging population in California; and,</p> <p style="padding-left: 40px;">to develop plans for implementation of individual, but integrated, efforts to address identified issues within their three separate spheres of responsibility.</p> <p>See Title III Objective #5</p>	Deputy Director	March 15, 1976

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ACTION PLAN FOR COORDINATION

EXHIBIT II-3
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PART A - TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the coordination of State planning and service activities and programs related to the purpose of <u>Title III</u> .		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 9 The Information and Referral Coordinator and the I & R Network Committee will develop guidelines and make recommendations on minimum criteria for an effective outreach component for I & R Projects, designed to reach such older persons as: the low income; minority; culturally, socially and psychologically isolated; rural elderly; and, special groups such as, the deaf and blind elderly and will disseminate them to Area Agencies on Aging and to Title III I & R projects in Direct Service Areas.	I & R Coordinator	April 30, 1976
Step 10 Provide for the planning, programming and subcontracting of Title VII projects to be coordinated through the single designated Area Agency on Aging, in those planning and service areas where this is practical and feasible.	Deputy Director	July 1, 1976
See Title VII Objective #5 Step 11 Develop and execute by July 31, 1976, a memorandum of understanding between: the Social Security Administration and the Department of Rehabilitation; and, the California Office on Aging regarding Information and Referral services for older persons in California.	I & R Coordinator Chief Liaison	July 31, 1976
See Title VII Objective #2		

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ACTION PLAN FOR COORDINATION

EXHIBIT II-3
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PART A - TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the <u>coordination of State planning and service activities and programs related to the purpose of Title III.</u>		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 12 Establish an interagency agreement with the Employment Development Department, at the state level, in order to integrate older worker services into one durable manpower system for the elderly and to increase the participation in the labor force of low income and minority elderly who desire and/or need employment.</p> <p>See Title III Objective #12</p>	Deputy Director	August 15, 1976
<p>Step 13 Coordinate with the Attorney General's Office and the Department of Consumer Affairs to provide training, relative to crime prevention, which would decrease criminal victimization of the elderly in the areas of street crime, consumer fraud and bunco.</p> <p>See Title III Objective #15</p>	Assistant Director Program	September 1, 1976
<p>Step 14 Develop the design for programs for the coordination of transportation services to the elderly, available through the various social agencies in both urban and rural communities, which would minimize duplication of services and provide more efficient use of these transportation services.</p> <p>See Title III Objective #14</p>	Transportation Liaison	September 30, 1976

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ACTION PLAN FOR COORDINATION

PART B - TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing <u>technical coordination</u> to the programs and activities to be supported under <u>Title VII</u> .		
Major Action Steps		Estimated Date of Completion
<p>Step 1* Title III and Title VII Project Staff will meet with Regional Office Staff in a regional meeting for the purpose of further developing the joint planning and coordination of the Title VII program, within those planning and service areas where such coordination is practical and feasible.</p>	Regional Office Manager	October 1, 1975
<p>Step 2* Regional Office Staff and Title III and Title VII Project Staff will assess those local resources which could be utilized to coordinate and expand the services provided under the Title VII program.</p>	Regional Office Manager	October 15, 1975
<p>Step 3* Regional Office Staff, AAA Staff and Title VII Project Staff will assess the feasibility of utilizing AAA social service coordination resources to expand service delivery under the Title VII program.</p>	Regional Office Manager	November 1, 1975
<p>Step 4 The California Office on Aging will provide for the planning, programming and sub-contracting of Title VII projects to be coordinated through the single designated Area Agency on Aging, in those planning and service areas where this is practical and feasible.</p>	Deputy Director	July 1, 1976
<p>Step 5 The Chief Liaison, at Regional Meetings of AAA, Title III DSA Project, and Title VII Project Directors, will:</p> <p style="padding-left: 40px;">distribute copies of the memorandum of understanding between State of California level counterparts for Social Security Administration, Social and Rehabilitation Services and the California Office on Aging; review the background and content of the memorandum; and, outline appropriate action at the local level.</p>	Chief Liaison	April 30, 1976

See Title III Objective #2
 *See Title VII Objective #5

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Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out these activities related to providing technical coordination to the programs and activities to be supported under Title VII.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 6. a The I&R Task Force will develop the I&R Task Force Report specifying: the individual, but, coordinated basic plan that each Department, Agency and the California Office on Aging will implement relative to providing I&R services to older persons in the State of California; and, the means each Department, Agency and the California Office on Aging will use to transmit and implement their plan at the local level.</p> <p>Step 6. b The Chief Liaison will disseminate copies of the I&R Task Force Reports to: AAAs; Title III DSA Projects; and, Title VII Nutrition Projects.</p> <p>Step 6. c The Chief Liaison, at Regional Meetings of AAA, Title DSA Project and Title VII Project Directors, will: review the background and content of the I&R Task Force Report; and, outline appropriate follow-up action at the local level.</p>	Chief Liaison	<p>August 31, 1976</p> <p>September 15, 1976</p> <p>September 30, 1976</p>
<p>Step 7 Coordinate the use of Title III and Title VII resources by establishing a Title III fund, for the period July 1, 1976 to September 30, 1976, which will be available for obligation to Title VII Projects in Direct Service Areas to provide a limited supplement, under specific circumstances, to the social service component of Nutrition Projects which are being refunded for a new project year.</p> <p>See Title VII Objective #4</p>	Deputy Director	September 30, 1976

ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

Major Action Steps	POSITION(S) WITH Key Responsibility for Step	Estimated Date of Completion
<p>Step 1 The Information and Referral (I&R) Coordinator will gather data on assessment techniques for I&R and, with the I&R Network Committee, will make recommendations on minimum criteria for assessment of I&R Projects.</p>	I&R Coordinator	October 1, 1975
<p>Step 2 The I&R Coordinator will provide the above recommendations to the Assessment Tool Task Force for use in the assessment of I&R Projects on Direct Service Areas.</p>	I&R Coordinator	October 15, 1975
<p>Step 3 The I&R Coordinator will provide the above recommendations to Area Agencies on Aging for use in assessment of I&R Projects under area plans.</p>	I&R Coordinator	October 31, 1975
<p>Step 4 The I&R Coordinator with the I&R Network Committee will develop an I&R Orientation and Training Package, for newly designated and established AAAs, for use in the training of their I&R providers. The Package will include copies of appropriate laws, model agreements and information and training materials for the development of an effective I&R component.</p>	I&R Coordinator	October 31, 1975
<p>Step 5 The I&R Coordinator will provide on-site technical assistance to the AAAs in PSAs 7 and 8 based on the above I&R Orientation and Training package.</p>	I&R Coordinator	November 30, 1975 and on-going thereafter

ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 6 The California Office on Aging will establish an Information and Referral Task Force which will: work for development of a memorandum of understanding among Federal Departments and Agencies (at the State of California or Region IX level, as appropriate) and the California Office on Aging relative to provision of Information and Referral services to older persons in the State of California; and, hold periodic meetings to discuss specific measures to expand, coordinate and increase the effectiveness of resources available in support of Information and Referral services to older persons in the State of California.</p> <p>See Title III Objective #3</p>	<p>Chief Liaison</p>	<p>November 30, 1975</p>
<p>Step 7 The I&R Coordinator, with the assistance of the Planning Section, will develop a compilation and graphic analysis of data on, report of problem classification and, identified gaps in service, based on monthly reporting since January, 1975, by all Title III funded I&R projects within the State.</p>	<p>I&R Coordinator Chief Planner</p>	<p>January 15, 1976</p>
<p>Step 8 The I&R Coordinator, through the Chief Liaison, will provide the above compilation and graphic analysis to: the committee responsible for development of the FY 1977 State Plan for Title XX;</p>	<p>I&R Coordinator Chief Liaison</p>	<p>January 31, 1975</p>

ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>AAAs and I&R projects in Direct Service Areas as a model for their similar development of input to the local (i.e., county-wide) Title XX planning process.</p>		
<p>Step 9 Develop and execute a memorandum of understanding between the Federal Region IX Social Security Administration, the Federal Region IX Social and Rehabilitation Services and the California Office on Aging regarding Information and Referral services for older persons in California.</p> <p>See Title III Objective #2</p>	<p>Chief Liaison</p>	<p>March 31, 1976</p>
<p>Step 10 The I&R Coordinator and the I&R Network Committee will develop guidelines and make recommendations on minimum criteria for an effective outreach component for I&R Projects, designed to reach such older persons as: the low income; minority; culturally, socially and psychologically isolated; rural elderly; and, special groups such as, the deaf and blind elderly.</p>	<p>I&R Coordinator</p>	<p>March 31, 1976</p>
<p>Step 11 The I&R Coordinator will disseminate the guidelines and minimum criteria for an effective outreach component to Area Agencies on Aging and to Title III I&R projects in Direct Service Areas.</p>	<p>I&R Coordinator</p>	<p>April 30, 1976</p>

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EXHIBIT H-4

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ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

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Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

<u>Major Action Steps</u>	<u>POSITION(S) WITH Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 12 As part of the Paralegal Training and Technical Assistance Program, provide training in specific paralegal skills, as well as, other training leading to broad understanding of the legal aspects of problems of the elderly to a number of the I&R Project staff, in order to strengthen the capability of the I&R program to provide more effective advocacy. See Title III Objective #16	Legal Service Coordinator	September 30, 1976

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ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 1 The Training Section of the Administrative Division will provide for training in the area of evaluation, for a number of older persons who serve as Advisory Board members of the State Advisory Committees on Aging, Area Agency on Aging Advisory Councils, and Nutrition Project Councils.</p>	Training Officer	May 31, 1976
<p>A. INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING i.e., the California Commission on Aging and its statewide advisory body, the Statewide Advisory Council.</p>		
<p>Step A. 1 The Director, or Deputy Director, of the California Office on Aging will attend all monthly meetings of the California Commission on Aging and in these meetings discuss:</p> <p>progress in the implementation of the State Plan; constituency concerns which have been previously submitted; basic policies and priorities of the California Office on Aging; and, other matters for which the California Office on Aging has responsibilities under AB 2362.</p>	Director	Monthly
<p>Step A. 2 The Director, or the Deputy Director, of the California Office on Aging will attend all meetings of the California Office on Aging and the Liaison Committee of the California Commission on Aging.</p>	Director	Monthly

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step A. 3 The California Commission on Aging will provide the California Office on Aging with the minutes of all California Commission on Aging Meetings.	Executive Secretary to the California Commission on Aging	Monthly
Step A. 4 The California Office on Aging will provide the California Commission on Aging with the minutes of the California Office on Aging Executive Staff Meetings.	Administrative Assistant to the Director	Every two (2) weeks
Step A. 5 The California Office on Aging will provide the California Commission on Aging with copies of all major communications with Regional Offices and Title III and Title VII Projects so that the California Commission on Aging will be kept informed of policies, procedures and program developments.	Chief of Communications	N/A
Step A. 6 The California Office on Aging will assist the California Commission on Aging in arrangements for notifying all Title III and Title VII Project Directors of California Commission on Aging meetings in their area.	Chief of Communications	N/A

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step A.7 The California Office on Aging will furnish the Executive Secretary of the California Commission on Aging with:</p> <p>copies of all legislative bills affecting seniors; an analysis of all legislative bills affecting seniors; schedules of committee hearings on legislative bills of interest; and, adequate notice of any changes.</p>	Legislative Liaison	Weekly
<p>Step A.8 The California Commission on Aging will advise the California Office on Aging with regard to legislation affecting the elderly.</p>	Executive Secretary to the California Commission on Aging	Monthly
<p>Step A.9 The California Office on Aging will initiate the process of providing Request for Advice Memos to the California Commission on Aging to obtain the Commission's advice on basic policy issues:</p> <p>the issue discussion will provide succinct and relevant background information and include any appropriate arguments and alternatives; the issue statement will be structured to facilitate a decision by the California Commission on Aging to advise the California Office on Aging of either a pro or con position on the stated issue.</p>	Deputy Director	October 1, 1975
<p>Step A.10 A member of the California Commission on Aging will be requested to serve on the Advisory Committee to the State Ombudsman Program.</p>	California State Ombudsman	October 1, 1975

STATE California

EXHIBIT II.5

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**ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS** Page 4 of 8

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step A. 11 The California Office on Aging will conduct a joint meeting of California Commission on Aging representatives and Executive Staff to review and discuss recommendations and highlights of the Annual Report of the California Commission on Aging</p>	Deputy Director	January 15, 1975
<p>Step A. 12 The California Office on Aging will initiate a request to the California Commission on Aging to participate in the development of the major demographic data and service statistics reference document to be developed by May 31, 1976.</p>	Deputy Director Chief Planner	October 31, 1976
<p>Step A. 13 The CIGA Liaison Chairman will propose that the California Commission on Aging appoint a representative to attend CIGA meetings as an ex-officio member of CIGA.</p>	CIGA Liaison Chairman	December 15, 1975
<p>Step A. 14 The California Office on Aging will initiate, by January 15, 1976, ongoing bi-monthly Conferences on Issues in Aging in California to provide a forum for the California Office on Aging, the California Commission on Aging and the Joint Legislative Committee on Aging:</p> <p style="padding-left: 40px;">to identify and discuss significant issues in regard to the aging population in California; and, to develop plans for implementation of individuals, but integrated, efforts to address the identified issues within their three (3) separate spheres of responsibility. See Title III Objective #5</p>	Deputy Director	January 15, 1976

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step A. 15 The California Office on Aging will develop and present to the California Commission on Aging a special orientation session relative to its role in the development and implementation of the State Plan.	Deputy Director	January 15, 1976
Step A. 16 The California Office on Aging will provide for obtaining the initial, formal advice of the California Commission on Aging in regard to proposed priority program thrusts and specific objectives and action steps to be included in the State Plan for FY 77.	Chief Planner	April 1, 1976
Step A. 17 The California Office on Aging will submit a draft of the FY 77 State Plan to the California Commission on Aging for review and comment prior to the conduct of public hearings on the plan.	Chief Planner	July 1, 1976
Step A. 18 The California Office on Aging will submit the FY 77 State Plan to the California Commission on Aging for review and comment prior to submission of the plan to the Governor.	Chief Planner	August 1, 1976

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B. INVOLVEMENT OF AREA AGENCY ON AGING ADVISORY COUNCILS		
<p>Step B. 1 The California Office on Aging will establish as additional criteria for the approval of area plans that the Area Agency on Aging (AAA) will submit with the area plan, a letter of certification from the Chairman of the Advisory Council indicating that the Advisory Council has reviewed and provided comment on the area plan prior to the conduct of public hearings and again prior to the submission of the plan to the California Office on Aging; and, summarizing the major comments on the area plan which were made by the Advisory Council after reviewing the area plan.</p>	Chief Planner	October 31, 1975
<p>Step B. 2 The California Office on Aging will notify all AAAs that this additional criteria will be effective for all area plans submitted after November 30, 1975.</p>	Chief of Regional Office Operations	November 15, 1975
<p>Step B. 3 The Chief of Regional Office Operations will appoint an Advisory Council Task Force to obtain, analyze, structure and present to AAA Directors, by May 31, 1976, information regarding: appropriate materials and methods for orientation of the AAA Advisory Councils; and, appropriate methods and steps to develop increased involvement and more effective utilization of the AAA Advisory Council.</p>	Chief of Regional Office Operations	February 15, 1976
<p>Step B. 4 Consultants to AAAs will interview representatives of the AAA Advisory Council in the conduct of the Major Annual Assessment of each AAA.</p>	Consultant to AAA	See AAA ASSESSMENT SCHEDULE

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EXHIBIT II.5

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**ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS**

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Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
C. INVOLVEMENT OF NUTRITION PROJECTS COUNCILS		
Step C. 1 The California Office on Aging will establish as a condition for approval of the refunding of nutrition project proposals, that the proposal include a letter of certification from the Chairman of the Nutrition Project Council: indicating that the Nutrition Project Council has reviewed and provided comment on the nutrition project proposal prior to its submission to the California Office on Aging; and, summarizing the major comments on the proposal that were made by the Nutrition Project Council after reviewing the project proposal.	Evaluation and Analysis Specialist: Title VII	October 31, 1975
Step C. 2 The California Office on Aging will notify all nutrition projects that this condition will be effective for all nutrition project proposals submitted after December 30, 1975.	Chief of Regional Office Operations	November 15, 1975
Step C. 3 The California Office on Aging Central Office Nutritionist will initiate regular attendance at quarterly meetings of the State Nutrition Project Council.	Nutritionist	December 1, 1975

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EXHIBIT II

FY 19 76ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

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Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step C. 4 The Chief of Regional Office Operations will appoint an Advisory Council Task Force to obtain, analyze, structure and present to Nutrition Project Directors, by May 31, 1976, information regarding: appropriate materials and methods for orientation of the Nutrition Project Council; and, appropriate methods and steps to develop increased involvement and more effective utilization of the Nutrition Project Council.</p>	<p>Chief of Regional Office Operations</p>	<p>February 15, 1976</p>
<p>Step C. 5 Consultants to Nutrition Projects will interview representatives of the Nutrition Project Council in the conduct of the Major Annual Assessment of each Nutrition Project.</p>	<p>Consultant to Title VII Project</p>	<p>SEE TITLE VII ASSESSMENT SCHEDULE</p>

ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 1</p> <p>Each Regional Office, in coordination with any designated Area Agency on Aging, will provide to Executive Staff, a report identifying the following information relative to areas of concentration of target population for Title VII projects in each planning and service area:</p> <p>the mapped geographic boundaries of areas (of limited extent) in which target populations are concentrated; the number of older persons in each of these geographic areas; the number and percentage of older persons who have low-income in each of these geographic areas; the number and percentage of older persons who are minority older persons in each of these geographic areas; and, the number and percentage of older persons who live alone in each of these geographic areas.</p> <p>See Title III Objective #1</p>	Regional Office Manager	October 31, 1975
<p>Step 2</p> <p>Each Regional Office will provide to Executive Staff a report identifying the following information for each existing Title VII Project in each planning and service area:</p> <p>the number of meals per day authorized in the contract; the average number**of meals per day served; the average number**of meals per day served to older persons with low-income; the average number* of meals per day served to older persons who are minority older persons; and, the number**of older persons on the waiting list.</p> <p>**Based on the project's report for the quarter ending June 30, 1975.</p>	Regional Office Manager	October 31, 1975

ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 3 The California Office on Aging will identify and utilize appropriate media:</p> <p>to announce the amount of any unobligated Title VII funds which is available to a planning and service area to serve identified areas of target population;</p> <p>to request that proposals for new Title VII projects to serve identified areas of concentration of target population be submitted to regional offices by November 30, 1975;</p> <p>to identify the remaining areas of concentration of target population which are to be served in the planning and service areas for which unobligated Title VII funds are available;</p> <p>to announce the location of Regional Offices where Request for Proposals and application forms may be obtained;</p> <p>to emphasize the availability of technical assistance from the Regional Offices in the development of proposals for Title VII Projects.</p>	Chief of Communications	October 31, 1975

FY 19 76ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 4 Regional offices will follow-up on the above action step, ensuring that community organizations, particularly minority agencies and organizations, are aware of:</p> <p>the availability of any unobligated Title VII funds to serve identified areas of concentration of target population within a planning and service area; and,</p> <p>the availability of technical assistance from the Regional Office in the development of proposals for Title VII projects.</p>	Regional Office Manager	October 31, 1975
<p>Step 5 Regional Offices will provide requested technical assistance to organizations in their development of appropriate proposals for new Title VII Projects to serve identified areas of concentration of target population within a planning and service area.</p>	Consultants to Title VII Projects Nutritionists	November 30, 1975
<p>Step 6 To ensure that desired level of minority participation in Title III Projects in areas not covered by area plans is achieved the California Office on Aging will identify and utilize appropriate media:</p> <p>to announce the availability of Title III funds; and, to request proposals for new Title III projects to serve identified areas of concentration of target population.</p>	Chief of Communications	Three (3) months prior to period for approving new projects

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PAGE 4 of 6ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
<u>Major Action Steps</u>		<u>Estimated Date of Completion</u>
<p>Step 7 Regional Offices will follow up on the above action step, ensuring that minority agencies and organizations are aware of:</p> <p>the availability of funds for Title III projects; and, the availability of technical assistance from the Regional Office in development of proposals for Title III projects.</p>	Regional Office Manager	Three (3)-Two(2) months prior to period for approving new projects
<p>Step 8 Regional Offices will provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title III Projects.</p>	Regional Office Manager Consultant to DSA Projects	N/A
<p>Step 9 In recommending approval of proposals for a given target area, the California Office on Aging will give consideration to the non-quantifiable value reflected by knowledge of, and acceptance by, minority communities and familiarity with the cultural and linguistic patterns of these communities.</p>	Executive Staff	N/A

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ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
<u>Major Action Steps</u>		<u>Estimated Date of Completion</u>
<p>Step 10 In recommending the approval of area plans, the California Office on Aging will continue to consider the information provided in the following Area Plan Supplemental Exhibits:</p> <p>Area Plan Exhibit D-3 Supplement B, Estimate of Impact and Identified Need, which includes an estimate of the impact of proposed area plan activities on the identified need of minority older persons; and,</p> <p>Area Plan Exhibit G-4, Action Plan for the Including Minority Individuals in Grants and Contracts.</p>	<p>Regional Office Managers</p> <p>Affirmative Action Coordinator</p>	<p>Two (2) months prior to new budget year</p>
<p>Step 11 Regional Offices will:</p> <p>identify resource persons who could contribute information, training and technical assistance to Title III and Title VII Projects in increasing the proportion of low-income and minority older persons who participate in the Title VII Project;</p> <p>encourage these resource persons to contribute to the projects by presenting information, training and technical assistance to the projects; and,</p> <p>provide for presentations by these resource persons in Quarterly Regional Meetings</p>	<p>Regional Office Manager</p>	<p>March 31, 1976 June 30, 1976 September 30, 1976</p>

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ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 12 Training will provide training for number of Title III and Title VII Project staff in techniques and skills for providing service to Mexican non-English speaking population	Training Officer	April 30, 1976
Step 13 The Communications Section will provide English-Spanish translations of the Summary of State Plan Objectives for the Fiscal Year '77 State Plan public hearings.	Chief of Communications	July 1, 1976

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under ar- plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and througho. the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>A. TITLE III: Area Agencies on Aging</p> <p>To ensure that contracts and subcontracts under Area Agency on Aging area plans, are awarded to minority agencies or organizations in accordance with regulations, and include affirmative action plans and implementation relative to minority individuals, the following steps will be taken:</p>		
<p>Step A. 1 The Affirmative Action Coordinator will develop a response instrument to be used in reviewing and commenting on affirmative action components of the area plan, including the AAA's Action Plan for the Inclusion of Minority Individuals in Grants and Contracts (Area Plan Exhibits G-4 and G-5 Supplement)</p>	Affirmative Action Coordinator	October 1, 1975
<p>Step A. 2 Upon receipt of an AAA area plan to be considered for approval, the Regional Office will submit one copy of that area plan to the Affirmative Action Coordinator for review and comment on the above-mentioned area plan components</p>	Regional Office Manager	Approximately 120 days prior to the AAA's new Budget Year
<p>Step A. 3 The Affirmative Action Coordinator will provide the Regional Office Manager with written comment, within the response instrument developed in Step 1, on the above-mentioned area plan components.</p>	Affirmative Action Coordinator	Within 10 working days after receipt of the area plan

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under a plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and through the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step A. 4 The Affirmative Action Coordinator will develop an additional Affirmative Action component for the AAA quarterly report form which will acquire AAA quarterly reporting of the following information:</p> <p>a list of sub-contractors and the amount of award to each sub-contract; a list of minority sub-contractors identifying the basis of their minority status; number of persons employed in each sub-contract; and number and percentage of minority persons employed in each sub-contract identifying the basis of their minority status.</p>	Affirmative Action Coordinator	October 1, 1975
<p>Step A. 5 The Affirmative Action Coordinator will provide Regional Office Operations with the additional component for the AAA quarterly report.</p>	Affirmative Action Coordinator	October 15, 1975
<p>Step A. 6 Regional Office Operations will amend the Quarterly Report Form to include the additional Affirmative Action Component.</p>	Chief of Regional Office Operations	November 15, 1975
<p>Step A. 7 Regional Offices will provide quarterly Report forms to each AAA with request for provision of information noted in Step A. 4.</p>	Regional Office Manager Consultant to the AAA	December 31, 1975

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under are plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step A. 8 Regional Office Manager will provide the Affirmative Action Coordinator with completed quarterly report forms from all AAAs in the Region, and with the Regional Summary of these reports, on a quarterly basis</p>	Regional Office Manager	February 15, 1976 May 15, 1976 August 15, 1976
<p>Step A. 9 The Affirmative Action Coordinator will:</p> <p>review the quarterly reports, comparing the minimum levels established in the area plan, the current status and the previous status re inclusion of minority individuals in grants and contracts; and</p> <p>notify the Regional Office Manager and comment on the situation if a AAA's current status is not satisfactory.</p>	Affirmative Action Coordinator	Within 10 working days after the above dates.
<p>Step A. 10 The Affirmative Action Coordinator will recommend any corrective action to be taken to the Director.</p>	Affirmative Action Coordinator	N/A
<p>Step A. 11 If the Area Agency finds it must request that consideration be given to excepting it from the requirement that grants and contracts be awarded to minority grants and organizations in proportion to the relative number of minority population within the total population of a PSA, the Regional Office Manager shall advise the Area Agency that it must submit a detailed, fully documented statement to the Director, explaining the reasons for requesting the exception and the effects such an exception would have on the implementation of the Title III program. If the Office on Aging is inclined to approve such a request it will submit the Area Agency's request and the Office on Aging recommendation to the Administration on Aging for approval.</p>	Regional Office Manager Director	N/A

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under as plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and through the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
B. TITLE III: Direct Service (DSAs) i.e., Areas not covered by area plans To ensure that the California Office on Aging achieves the desired level of minority participation in Title III Projects in areas not covered by area plans the following steps will be taken.		
Step B. 1 The California Office on Aging will identify and utilize appropriate media: to announce the availability of Title III funds; and, to request proposals for new Title III projects to serve identified areas of concentration of target populations.	Chief of Communications	Three (3) months prior to period for approving new projects
Step B. 2 Regional Offices will follow up on the above action step, ensuring that minority agencies and organizations are aware of: the availability of funds for Title III projects; and, the availability of technical assistance from the Regional Office in development of proposals for Title VII projects.	Regional Office Manager	Three (3) - Two (2) months prior to period for approval of new projects
Step B. 3 Regional Offices will provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title III Projects.	Regional Office Manager Consultant to DSA Projects	N/A

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EXHIBIT I

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**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under a plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and through the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step B. 4 Program Support Division will develop a Form A - Summary Sheet for Standardized Title III DSA Projects Issue Memos which will require provision of information as to the minority status of the proposed Title III grantees.	Program Support Specialist: Title VII	October 1, 1975
Step B. 5 In recommending approval of proposals for a given target area, the California Office on Aging will give consideration to the non-quantifiable value reflected by knowledge of, and acceptance by, minority communities and familiarity with the cultural and linguistic patterns of these communities.	Executive Staff	N/A
Step B. 6 The Affirmative Action Coordinator will collect the data from Form A and maintain an ongoing record of all Title III grants, which will display and identify: the total amount of grants; the total number of grantees; the total number of sub-contracts; the amount of grants to minority organizations; the number of grants to minority organizations; and, the number of subcontracting agencies or organizations which are minority.	Affirmative Action Coordinator	N/A
Step B. 7 The Affirmative Action Coordinator will develop supplemental guidelines and instructions for Title III Projects clarifying requirements relative to affirmative action, including grants and contracts to minority agencies and organizations with statement of the regulations, associated definitions and means of meeting the requirement.	Affirmative Action Coordinator	November 15, 1975

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under all plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step B. 8 Evaluation and Analysis will amend the Title III Application Package in DSA projects to require inclusion of:</p> <ul style="list-style-type: none"> a. the number of total population in the PSA; b. the number of total minority population in the PSA; c. the percentage of minority individuals within the planning and service area; d. the total resources to be allocated to subcontractors; e. the minimum proportion of resources to be allocated for minority subcontractors (i.e., c. x d. above); f. the method the project will utilize to assure that sufficient appropriate grant or contract proposals will be submitted by minority organizations to support meeting regulations. 	<p>Evaluation and Analysis Specialist: Title III</p>	<p>October 31, 1975</p>
<p>Step B. 9 Program Support Division will amend the instructions for preparing applications for Title III to include information on affirmative action, including grants and contracts to minority agencies and organizations.</p>	<p>Evaluation and Analysis Specialist: Title III</p>	<p>January 15, 1976</p>
<p>Step B. 10 The Affirmative Action Coordinator will develop a response instrument to be used in reviewing and commenting on affirmative action components of Title III proposals.</p>	<p>Affirmative Action Coordinator</p>	<p>December 15, 1975</p>

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under all plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step B. 11 Upon receipt of Title III project proposal, to be considered for approval, the Regional Office will submit one copy of the proposal for the Affirmative Action Coordinator for review and comment relative to affirmative action.	Regional Office Manager	After January 1, 1976 upon receipt of a Title III project proposal.
Step B. 12 The Affirmative Action Coordinator will provide the Regional Office Manager with written comment, within the response instrument, developed in Step B. 10.	Affirmative Action Coordinator	Within ten (10) working days after receipt of the Title III project proposal.
Step B. 13 The Affirmative Action Coordinator will develop a quarterly report form for Title III reporting of the following information: a list of subcontractors and the amount awarded to each subcontract; a list of minority subcontractors identifying the basis of their minority status; number of persons employed in each subcontract; and, number and percentage of minority persons employed in each subcontract identifying the basis of their minority status.	Affirmative Action Coordinator	October 1, 1975

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under are plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step B. 14 The Affirmative Action Coordinator will provide Regional Office Managers with the report forms to be completed by each Title III DSA project in the Region on a quarterly basis.	Affirmative Action Coordinator	October 15, 1975
Step B. 15 Regional Offices will provide Quarterly Report forms to each Title III DSA Project in the Region with a request for provision of the information noted in Step B. 13.	Regional Office Managers Consultant to the DSA Project	October 31, 1975
Step B. 16 Regional Office Managers will provide the Affirmative Action Coordinator with completed quarterly report forms from each Title III DSA Project in the Region and with the Regional Summary of these reports, on a quarterly basis.	Regional Office Managers	November 15, 1975 February 15, 1975 May 15, 1975 August 15, 1975
Step B. 17 The Affirmative Action Coordinator will: review the quarterly reports comparing the current status with the previous status re inclusion of minority individuals in the contract and subcontracts; and, notify the Regional Office Manager and comment on the situation of the Title III Project if its current status is not satisfactory.	Affirmative Action Coordinator	Within ten (10) working days after the above dates.
Step B. 18 The Affirmative Action Coordinator will recommend any corrective action to be taken, to the Director.	Affirmative Action Coordinator	N/A

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
C. TITLE VII: Nutrition Projects To ensure that that the desired level of minority participation in Title VII's maintained the following steps will be taken:		
Step C. 1 The California Office on Aging will identify and utilize appropriate media: to announce the availability of Title VII funds; and, to request proposals for new Title VII projects to serve identified areas of concentration of target populations.	Chief of Communications	Three (3) months prior to period for approving new projects.
Step C. 2 Regional Offices will follow-up on the above action step, ensuring that minority agencies and organizations are aware of: the availability of funds for Title VII Projects; and, the availability of technical assistance from the Regional Office in development of proposals for Title VII projects.	Regional Office Manager	Three (3)-Two (2) months prior to period of approving new projects.
Step C. 3 Regional Offices will provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title VII Projects.	Regional Office Manager	As requested, in period of proposal development.
Step C. 4 Program Support Division will amend Form A - Summary Sheet for Standardized Title VII Issue Memos to provide information as to the minority status of the proposed Title VII grantee.	Program Support Specialist: Title VII	October 1, 1975

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under an plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step C. 5 The California Office on Aging, in recommending approval of proposals for a given target areas, will give consideration to the non-quantifiable value reflected by knowledge of, and acceptance by, minority communities and familiarity with the cultural and linguistic patterns of these communities.</p>	Executive Staff	N/A
<p>Step C. 6 The Affirmative Action Coordinator will collect the data from Form A and maintain an on-going record of all Title VII grants, which will identify; the total amount of grants; the total number of grantees; the total number of subcontracts the amount of grants to minority organizations; the number of grants to minority organizations; and, the number of subcontracting agencies which are minority organizations.</p>	Affirmative Action Coordinator	N/A
<p>Step C. 7 The Affirmative Action Coordinator will develop supplemental guidelines and instructions for Title VII projects clarifying requirements relative to affirmative action, including grants and contracts to minority agencies and organizations with statement of the regulations, associated definitions and means of meeting the requirement.</p>	Affirmative Action Coordinator	November 15, 1975
<p>Step C. 8 Evaluation and Analysis will amend the Title VII Application Package to require inclusion of:</p> <ol style="list-style-type: none"> a. the number of total population in the PSA; b. the number of total minority population in the PSA; c. the percentage of minority individuals within the planning and service area; d. the total resources to be allocated to subcontractors; 	Evaluation and Analysis Specialist: Title VII	October 1, 1975

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under arr plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>e. the minimum proportion of resources to be allocated for minority subcontractors (i.e., c. x d. above);</p> <p>f. the method the project will utilize to assure that sufficient appropriate grant or contract proposal will be submitted by minority organizations to support meeting regulations.</p>		
<p>Step C. 9 Evaluation and Analysis will amend the Title VII Manual to include information on affirmative action, including grants and contracts to minority agencies and organizations.</p>	Evaluation and Analysis Specialist: Title III	January 15, 1976
<p>Step C. 10 The Affirmative Action Coordinator will develop a response instrument to be used in reviewing and commenting on affirmative action components of Title VII proposals.</p>	Affirmative Action Coordinator	December 15, 1975.
<p>Step C. 11 Upon receipt of Title VII Project proposal, to be considered for approval, the Regional Office will submit one copy of the proposal to the Affirmative Action Coordinator for review and comment relative to affirmative action.</p>	Regional Office Manager	After January 1, 1976, upon receipt of a Title VII Project proposal.
<p>Step C. 12 The Affirmative Action Coordinator will provide the Regional Office Manager with written comment, within the response instrument, developed in Step 10.</p>	Affirmative Action Coordinator	Within ten (10) working days after receipt of the Title VII project proposal.

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under a plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and through the State.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step C. 13 The Affirmative Action Coordinator will develop a quarterly report form for Title VII reporting of the following information:</p> <p>a list of subcontractors and the amount awarded to each subcontract a list of minority subcontractors identifying the basis of their minority status number of persons employed in each subcontract; and, number and percentage of minority persons employed in each subcontract identifying the basis of their minority status.</p>	Affirmative Action Coordinator	October 1, 1975
<p>Step C. 14 The Affirmative Action Coordinator will provide Regional Office Managers with the report forms to be completed by each Title VII project in the Region on a quarterly basis.</p>	Affirmative Action Coordinator	October 15, 1975
<p>Step C. 15 Regional Offices will provide Quarterly Report Forms to Title VII Projects with request for provisions of information noted in Step A. 4.</p>	Consultant to the Title VII Project Regional Office Manager	
<p>Step C. 16 Regional Office Managers will provide the Affirmative Action Coordinator with completed quarterly report forms from all Title VII projects in the Region and with the Regional Summary of these reports, on a quarterly basis.</p>	Regional Office Manager	November 15, 1975 February 15, 1976 May 15, 1976 August 15, 1976

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**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step C. 17 The Affirmative Action Coordinator will: Review the quarterly reports comparing the current status with the previous status re: inclusion, when applicable, of minority individuals in the contract and subcontracts; notify the Regional Office Manager and comment on the situation of the Title VII project if its current status is not satisfactory.	Affirmative Action Coordinator	Within ten (10) working days after the above dates.
Step C. 18 The Affirmative Action Coordinator will recommend any corrective action to be taken, to the Director	Affirmative Action Coordinator	N/A

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ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

CALIFORNIA OFFICE ON AGING
AFFIRMATIVE ACTION PLAN

STATEMENT OF POLICY BY THE DIRECTOR

- A. Equal opportunity for all persons is the policy of the California Office on Aging in all its activities. This policy will be rigorously enforced. Any or all affirmative actions necessary shall be taken to assure equality of opportunity in the internal affairs of the Office and in its relationships with the general public.

All services of the Office shall be performed without discrimination based on race, sex, color, religion, national origin, ancestry, age or physical requirements not constituting a bona fide occupational qualification.

The Office shall recruit, appoint, assign, train, evaluate and promote personnel on the basis of merit and fitness without discrimination. Personnel practices shall be reviewed regularly to assure compliance with this policy and with the goals as set forth in the Office's Affirmative Action Plan.

Programs under the jurisdiction of the Office involving the distribution of funds to qualified recipients shall be administered without discrimination; the Office shall not provide grants to, or enter into contracts or subcontracts with, public agencies, private

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ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

institutions or organizations which engage in or promote discriminatory practices.

Subject to the requirements of merit employment systems of the California state and local governments, preference shall be given to persons aged sixty (60) or over for any staff positions (full-time or part-time) in the California Office on Aging, in Area Agencies on Aging, and in projects funded through the Older Americans Act Title III, Title IV-A and Title VII funds allocated to the California Office on Aging. Consideration of merit will include consideration of training and experience which is pertinent to the program on aging.

It is not sufficient for our Office to remain merely passive in practicing non-discrimination. Instead, each of us must make the goals of the Affirmative Action Plan our own.

Appropriate disciplinary action will be brought by the department against any employee who knowingly fails to adhere to the intent of the department's policy of equal opportunity for all persons.

NAME AND ADDRESS OF DEPARTMENT California State Office on Aging
455 Capitol Mall, Suite 500
Sacramento, California 95814

SIGNATURE OF SUBMITTING OFFICIAL *Paul J. Levy*
TITLE Director, California Office on Aging

DATE September 25, 1975

The Personnel Officer has been designated as the official responsible for development and implementation of this plan.

The effective date of this plan is one year, from 10/1/75 to 9/30/76.

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

B. INTRODUCTION

1. Function

The California Office of Aging has been designated as the state agency to administer funds allocated under the Older Americans Act of 1965, as amended.

Funds allocated by the Older Americans Act are administered to community-based projects, each of which operates independently under the procedures, regulations and guidelines set forth in the act or established by the Department of Health, Education and Welfare through its Administration on Aging. The Office is responsible for approving, monitoring and evaluating the activities of each project.

The Office is bound to several sets of state and federal affirmative action regulations and guidelines; projects are bound to a set of regulations and guidelines set for the specific title under which funding is authorized.

As a condition of receipt of federal funds under each title of the Act, an assurance is required that no individual will be subjected to discrimination as a result of any activity associated with a project.

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

2. Organization

The Director of the Office on Aging is appointed by the Governor to direct and manage the Office on Aging. The Director is advised by a 15 member Commission appointed by the Governor and the Legislature based on geographic, ethnic and social interests. The administration staff of the Office on Aging is appointed under Civil service provisions, and consists of a headquarters component and three Regional Offices responsible to the Chief of the Program Implementation Division, who reports to the Deputy Director.

Under Older Americans Act provisions, the director of each project is responsible for compliance with all appropriate regulations. The Office administration staff is responsible for assuring this compliance.

The Affirmative Action Coordinator shall, in carrying out the duties of this assignment, make direct contact with any staff member or project director without regard to otherwise established communications channels.

See Exhibit IV.2
ORGANIZATION CHART
CALIFORNIA OFFICE ON AGING

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the Stage agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

C. ANALYSIS OF PRESENT STATUS

The Office on Aging is budgeted for 85 full-time positions. At present there are positions filled.

1. Clerical Classifications

Positions in this classification perform routine tasks normally under the supervision of a technical or professional person. These positions do not require a college education and are the lowest entry classification in state service. There are several levels of advancement within the clerical classification. Entry positions are in the word processing or files units of the Business Services Section or in the Accounting Section. Both functions are under the Management Services Section.

2. Professional Classifications

These jobs require, at a minimum, a college education or several years of experience applicable to the skills needed to perform the job. There are several pay levels and levels of advancement within a series before a person reaches the top journeyman level.

In the professional classification the majority of positions are the Consultants on Aging. The job specifications and duties of this class are so narrowly defined as to limit the individuals' possibilities of transferring between departments and work

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

classifications. This limits an employee's career path. The office intends to broaden this classification to provide greater flexibility for upward mobility.

3. Executive Level

These executive levels represent the highest supervisory positions in the Office on Aging and have responsibility for a major function within the Office. Because of the size of the Office on Aging, most promotional opportunities for people in these positions will be outside the Office.

All the above jobs, except for exempt positions, are under the State of California's Civil Service System. This system is managed by the State Personnel Board and is a merit system which establishes job classifications and pay levels. All job opportunities are advertised and applicants are tested without regard to race, color, sex, age, religion or national origin.

D. GOALS OF THE OFFICE ON AGING

To ensure that there is rigorous adherence to the California Office on Aging's policy of equal employment opportunity for all persons the following major action steps will be taken:

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) With Key Responsibility for Step	Estimated Date of Completion
Step 1 To rewrite the Consultant on Aging Classification to allow employees greater transfer and promotion possibilities.	Personnel Officer	November 30, 1975
Step 2 To examine at the departmental level for Consultant Trainee and Consultant I.	Personnel Officer	January 31, 1975
Step 3 To insure that all contractors and grantees meet affirmative action requirements.	Deputy Director	Prior to grant approval.
Step 4 To meet all federal and state affirmative action requirements.	Deputy Director	Within thirty working days of notice.

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ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 5 To maintain an overall minority and women hiring ratio equivalent to the state's aging population, plus or minus 10%.	Deputy Director	December 31, 1975
Step 6 To adhere to the Director's Affirmative Action Policy statement.	Division Chiefs Regional Office Managers	September 30, 1976
Step 7 To insure adequate consideration to minorities and women for departmental promotions	Division Chiefs Regional Office Managers	September 30, 1976
Step 8 To conduct sensitivity training sessions to inform staff on minority groups.	Affirmative Action Coordinator	September 30, 1976

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ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 9 The Office will participate in the on-site college program with emphasis on clerical female participation.	Training Officer	February 1, 1976
Step 10 Program Evaluation: The Office will maintain internal data on the hiring, promotions and separations of its employees by ethnic groups and sex.	Personnel Officer	October 30, 1975
Step 11 Program Understanding and Support: The Office will include Affirmative Action coverage in its on-going formal supervisory and managerial training courses.	Affirmative Action Coordinator	February 1976
Step 12 The Office on Aging personnel will make every effort to know employee's desires and motivations, offer job counseling to its employees in light of these objectives, and to see that employees receive the necessary training given funds available.	Personnel Officer Training Officer	July 1, 1976

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 13 Provide to the State Personnel Board a list of organizations, agencies and individuals including public and private agencies, educational institutions, community groups, community leaders and projects, through whom information on positions and examinations can reach a broader range of individuals.	Personnel Officer Chief of Communications	30 days prior to final date for classifications utilized by the office
Step 14 All announcements of job openings will clearly indicate that the Office is an Equal Opportunity Employer.	Personnel Officer	N/A
Step 15 Inform all staff members of announcements of departmental and service-wide employment examinations so that formal recruitment will be supplemented by use of community contacts.	Personnel Officer	Within five working days of receipt of job announcement
Step 16 Conduct studies utilizing the advice and recommendations of field and project staff and report to the Executive Director any identifiable factors which may be appropriate for selective certification based on language skills.	Personnel Officer	Preliminary study to be completed by November 30, 1975

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 17 Study levels and classifications required to adequately perform the work of the office and recommend appropriate job restructuring to enhance and broaden promotional opportunities.	Personnel Officer	November 30, 1975
Step 18 Train state agency and project staffs to optimize job performance and to enhance the potential for individual upward and lateral mobility.	Training Officer	June 30 1976
Step 19 Within state administrative constraints, allow paid leave time or pay direct cost of out-service training and education courses to upgrade employees' knowledge and skills which represent a benefit to the Office.	Deputy Director	June 30, 1976
Step 20 Implement exit interview program to determine reasons for, and solutions to, turnover problems on staff, when reason for separation is not clear.	Division Chiefs Regional Office Manager	Within five working days of notice of intent of separation

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 21 Ensure that all employees are hired and promoted on the basis of merit alone.	Director	On the date of appointment
Step 22 Post copies of this plan or its latest revision in each Regional office and in the office of each project grantee.	Regional Office Manager	Within 30 days of plan approval or award of project grant.
Step 23 Provide appropriate translation of this plan in any area where there are significant numbers of limited or non-English-speaking groups.	Regional Office Manager	30 days after approval of plan
Step 24 Attach a copy of this plan to every grant application package issued by the office and require that a statement of intent to comply be made a formal part of any grant submitted for approval of the office.	Chief of Communications	Within 3 working days after receipt of proposal

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) With Key Responsibility for Step	Estimated Date of Completion
Step 25 Provide training sessions which may be included as part of a broader training program to impart information on the purposes and procedures of the Affirmative Action program to state agency and project staffs and to project participants. Training will include a question-and-comment forum for all participants.	Training Officer Affirmative Action Coordinator	Within 10 working days after request
Step 26 Distribute copy of Affirmative Action Plan to all current and new employees.	Personnel Officer	Within 30 days of approval/at orientation interview
Step 27 Advise all employees that their contribution to the implementation of this plan is and will be a factor to be considered in the annual performance appraisal.	Affirmative Action Coordinator Division Chiefs Regional Office Managers	Within 30 days of approval/at orientation
Step 28. Provide assistance to all office and project staff in implementing all provisions of this plan.	Affirmative Action Coordinator	Upon approval of Plan

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 29 Revise this plan at least annually to reflect current objectives in the area of Affirmative Action. The plan will be revised at any time conditions warrant.	Affirmative Action Coordinator	July 31 of each year as needed
Step 30 Establish information gathering and reporting system and develop a plan for monitoring and evaluating the funded projects utilizing the ethnic data reporting system.	Affirmative Action Coordinator Regional Office Managers	Semi-annually November and June
Step 31 Report formally to the Director on progress and problem areas in the implementation of the plan and provide recommendations for improvement.	Affirmative Action Coordinator	Semi-Annually
Step 32 Any employee who feels that he or she has been subjected to discriminatory or unfair labor practices will register a complaint in writing with the Affirmative Action Coordinator.	Affirmative Action Coordinator	N/A

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
Step 33 Investigate all complaints of discrimination or unfair labor practices and report in writing to the complainant within ten working days of the first notification of such complaint. Notify the complainant of the efforts that will be made to correct the complaint, and advise the complainant of procedures to be followed through the appropriate complaint agencies.	Affirmative Action Coordinator	Within 10 working days of notification
Step 34 Notify the Affirmative Action Coordinator of all job openings and all appointments to staff or to the Statewide Advisory Council, including age, sex, and ethnic composition of appointments.	Director Division Chiefs	When vacancies occur/Upon appointment
Step 35 Verify that the Statewide Advisory Council Composition includes representation of minority groups in proportion to their population in the state as set forth in the State Plan.	Deputy Director	Semi-annually
Step 36 Every contract or subcontract for goods or services shall contain a specification prohibiting discrimination on the basis of age, sex, race, ancestry or national origin and Religion.	Director	Within 30 days of grant award

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Step</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
Step 37 Comply with Title VII Manual of Policies and Procedures requirements regarding project staffing breakdown report.	Regional Office Managers	Quarterly
Step 38 Report information on sex, age and ethnic composition of each project council to the Affirmative Action Coordinator.	Regional Office Managers	Within 30 days of grant award/On change of membership
Step 39 Require an Affirmative Action Plan and review it for compliance with Office, State and Federal guidelines.	Affirmative Action Coordinator	Once a year
Step 40 Interview all employees to determine their career objectives, provide job counseling and training within funding limitations.	Personnel Officer	Semi-annually

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 41 Provide a current status report on Affirmative Action listing the following:</p> <ul style="list-style-type: none"> a. The ethnic background data on office employees b. The hiring, promotions, reclassifications and separations by ethnic code c. Current vacancies in the office d. The number of bi-lingual individuals and persons sixty or over as well as the number of minority group members in full-time positions with a breakdown as to professional or non-professional. 	Affirmative Action Coordinator	October 30, 1975
<p>Step 42 Insure that the consultant working field operations are cognizant of affirmative action requirements.</p>	Regional Office Managers	October 31, 1975
<p>Step 43 Insure that data from program operations quarterly statistical reports, affirmative action status of the contractors, sub-contractors and projects are routed to the Affirmative Action Coordinator.</p>	Deputy Director	Within 5 working days of due dates

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
<p>Step 44 Request selective certification from the State Personnel Board to employee bi-lingual Spanish-speaking; and other bi-lingual individuals.</p>	<p>Personnel Officer</p>	<p>As determined by need. Preliminary report November 30, 1975</p>
<p>Step 45 The following will be implemented to insure that locally governmental operated aging programs are under an approved merit system.</p> <ul style="list-style-type: none"> a. Clarify the statutory provision relative to California state government code section 19800 et seq. silent with respect to the State Personnel Board's responsibility vis-a-vis the Aging Program departments in local government b. Establish through contractual arrangement (interagency agreements) with the state Personnel Board's Local Governmental Services Division to: <ul style="list-style-type: none"> b1. Extend their Approved Local Merit System (ALMS) personnel plan review and audit function to all 33 older Americans departments b2. Directly provide AA training b3. Give assistance in the initial development and approval of AA plans. 	<p>Director</p>	<p>June 30, 1976</p>

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Steps</u>	<u>Position(s) with Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
b4. Continuing follow through with a reporting system		
b5. Provide directly evaluation and consultation services in onnection with progressive implementation of the action plans for all 33 older Americans departments.		
Step 46 Provide advise and consultation to individuals seeking employment with the office with emphasis on the elderly and minorities as target groups.	Personnel Officer	As needed daily
Step 47 Identify current state office employees in non-professional classes and develop a career opportunity plan via individual assesment and evaluation to encourage specific goal objectives.	Personnel Officer Training Officer	Quarterly
Step 48 Inform the Equal Opportunity Employees Development and Training Committees of all disciplinary action	Personnel Officer	Within 15 working days of the action

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.

Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
<p>Step 49. Title VII: Nutrition projects Affirmative Action Plan. To ensure that the California Office on Aging's policy of equal employment opportunity for all persons is rigorously adhered to, the following steps will be taken:</p> <p>a. Establish guidelines for development by the Title VII grantee (or prospective grantee) of an Affirmative Action Plan to be included in each new project proposal.</p> <p>b. Notification to Title VII projects of requirements for Affirmative Action plans and provisions of above developed guidelines for all proposals submitted after guidelines completed.</p> <p>c. Affirmative Action Coordinator will provide training to consultants to support their technical assistance to projects developing AA plans.</p>	<p>Affirmative Action Coordinator</p> <p>Evaluation and Analysis Specialist: Title VII</p> <p>Affirmative Action Coordinator</p>	<p>October 6, 1975</p> <p>3 months prior to submitting proposals</p> <p>Within 10 working days after request</p>

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that an affirmative action program is implemented by the single organizational unit, and by each area agency and Title VII nutrition project.		
<u>Major Action Steps</u>	<u>Position(s) With Key Responsibility for Step</u>	<u>Estimated Date of Completion</u>
d. Regional Office Manager will, upon receipt of project Affirmative Action Plan, submit on copy of the plan to the Affirmative Action Coordinator for review and comment.	Regional Office Manager	Within 3 working days after receipt of proposal.
e. Affirmative Action Coordinator will provide the Regional Office Manager with written comments on the plan.	Affirmative Action Coordinator	Within 10 working days after receipt of AA plan
f. The Affirmative Action Coordinator will make direct contact with any staff members or project director without regards to otherwise established communications channels in making recommendations.	Affirmative Action Coordinator	N/A
g. The Affirmative Action Coordinator will recommend any corrective action to be taken to the Director.	Affirmative Action Coordinator	N/A

ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

Content of Training To Be Provided	Category and Numbers of Persons for Whom Training Will be Provided	Proposed Dates of Training	Organization or Institution to Provide Training	Amount of Older Americans Act Funds to be Used	
				Title III	Title IV-A
Paralegal training to meet some of the legal needs of the Elderly within the State.	50 individuals will be trained with emphasis on older persons.	11/1/75 - 3/1/76	National Paralegal Institute		\$ 100,000
Program Planning and development, proposal writing and evaluation techniques.	20 staff people 1 from each AAA, 1 from each CoA, Regional office	10/17/74 - 12/30/75	Grantsmanship Center		\$ 5,500
Community Organization skills, resources identification, linkages, problem solving and interaction with other Agencies.	100 individuals responsible for outreach activities.	4/15/76 - 5/30/76	Sacramento State College		\$ 18,805
Housekeeping, Health maintenance, personal care, cooking, companionship visitation.	125 Homehealth Aides LVN's, Volunteers	1/76 - 3/76	College of the Redwoods		\$ 10,232
Basic Management Skill Course	OoA staff, AAA staff, Title III & VII staff 100 people	10/75 - 5/76	Management Development Institute		\$ 30,100
Specialized courses on various aspects of Aging	OoA staff, AAA staff Title III & VII staff 68 people	Summer 1975	USC Ethel Percy Andrus Gerontology Center		\$ 22,528
Fiscal Management and Food Management Systems	Title III & VII project staffs and OoA staff and 100 people	10/75 - 5/76	Intergovernmental Management Development Institute		\$ 5,000
Program planning implementation and evaluation	Title III & VII staff project staff OoA staff for 100 people.	10/75 - 12/75	Dukes and Dukes Associates		\$ 31,235

ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

Content of Training To Be Provided	Category and Numbers of Persons for Whom Training Will be Provided	Proposed Dates of Training	Organization or Institution to Provide Training	Amount of Older Americans Act Funds to be Used	
				Title III	Title IV-A
Gerontology	450 community people college students and those who provide any direct service to the elderly.	9/75 - 10/75	Coast Community College		\$ 3,345
Evaluation	200 people Elderly Advisory Board Members, project staff AAA, OOA.	12/75 - 5/76	University of Ca. San Francisco		\$ 31,6
Specialized training for practi- tioners working with the Blind Elderly	300 practitioners currently working with the Blind Elderly	11/75 - 5/76	Friendship Center		\$ 16,000
Techniques and skills for providing services to the Non-English Speak- ing population	200 practitioners currently working with the Mexican Non-English Speaking population	4/76	National Chicano Social Planning Council		\$ 21,500
Development, coordination and provision for training.	See above	7/75- 9/16	California Office on Aging	\$ 32,000	

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EXHIBIT III-1

FY 19 76 (7/1/75-9/30/76)

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SUMMARY RESOURCE ALLOCATION PLAN A

PART I:		Source of Funds	
<i>SUMMARY OF RESOURCES FOR IMPLEMENTING THE STATE PLAN</i>			
A. TOTAL TITLE III ALLOTMENT	(7/1/75-6/30/76)	\$ 7,422,194	FY 76
B. TOTAL TITLE VII ALLOTMENT	(7/1/75-9/30/76)	\$10,595,796	FY 75* & FY 76**
*FY 75	\$8,453,431	(7/1/75 6/30/76)	
**FY 76	\$2,143,365	(7/1/75-9/30/76)	
			SUB-TOTAL
			\$ 18,018,990
C. RESOURCES AVAILABLE TO STATE AGENCY OTHER THAN TITLE III AND TITLE VII ALLOTMENT FOR IMPLEMENTING THE STATE PLAN:			
• OTHER FEDERAL		\$ 471,618	
• STATE AND OTHER		\$ 1,527,754	
			SUB-TOTAL
			\$ 1,999,372
TOTAL STATE AGENCY RESOURCES			\$ 20,018,362

PART II:			
<i>SUMMARY OF RESOURCES FOR STATE PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLANS</i>			
A. TITLE III ALLOTMENT:			
• Administration of Title III and Title VII		\$ 1,109,804	
• Training		\$ 32,000	
• Demonstration Projects of Statewide Significance		\$ -0-	
• Administration of Area Plans		\$ -0-	
			SUB-TOTAL
			\$ 1,141,894
B. RESOURCES OTHER THAN TITLE III:			
• FEDERAL		\$ 471,618	
• STATE AND OTHER	\$ 807,754	\$ 1,227,754	
	\$ 420,000		
			SUB-TOTAL
			\$ 1,699,372
TOTAL RESOURCES FOR PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLAN			\$ 2,841,266
C. FEDERAL AND STATE COST SHARING			
• FEDERAL	58.6 %	\$1,141,894	
• STATE	41.4 %	\$ 807,754	
		\$1,949,648	

STATE California

EXHIBIT III-1

FY 19 76 (7/1/75 - 9/30/76)Page 2 of 9SUMMARY RESOURCE ALLOCATION PLAN A
(Continued)

PART III:		
AREA PLANNING AND SOCIAL SERVICES PROGRAM		
A. TITLE III ALLOTMENT:	100.0%	\$ <u>6,280,300</u>
• Direct Provision of Services by State Agency		\$ <u>-0-</u>
• Areas With Designated Area Agencies	84.3%	\$ <u>5,294,105</u>
1. Program Planning	(12.7%)	\$ <u>800,000</u>
2. Development of Action Programs		\$ <u>4,494,105</u>
(a) Coordinating Delivery of Existing Services		(<u>722,877</u>)
(b) Pooling of Available but Untapped Resources		(<u>499,859</u>)
(c) Supporting Services		(<u>2,701,537</u>)
(d) Gap-Filling Services		(<u>569,832</u>)
• Areas Not Covered By Area Plans	15.7%	\$ <u>986,195</u>
B. RESOURCES OTHER THAN TITLE III:		\$ <u>-0-</u>
• STATE AND OTHER		\$ <u>-0-</u>
• FEDERAL		\$ <u>-0-</u>
C. TOTAL RESOURCES FOR AREA PLANNING AND SOCIAL SERVICES PROGRAM:		\$ <u>6,280,300</u>

PART IV:		
NUTRITION PROGRAM		
A. TITLE VII ALLOTMENT:		\$ <u>10,596,796</u>
• Nutrition Services		\$ <u>8,477,437</u>
• Social Services		\$ <u>2,119,359</u>
B. RESOURCES OTHER THAN TITLE VII:		\$ _____
• STATE AND OTHER		\$ _____
• FEDERAL		\$ _____
C. TOTAL RESOURCES FOR NUTRITION PROGRAM:		\$ <u>10,596,796</u>

STATE California

EXHIBIT III-1

FY 19 76 7/1/75-9/30/76Page 3 of 9SUMMARY RESOURCE ALLOCATION PLAN A
(Continued)

PART V: SOURCES AND AMOUNTS OF RESOURCES OTHER THAN TITLE III AND TITLE VII INCLUDED UNDER PARTS I-IV.			
Source of Resources	Amount	Anticipated to be Received (√)	Currently Available (√)
OTHER FEDERAL			
Administration on Aging Older Americans Act Title III Section 308 Model Project Funds:			
Nursing Home Ombudsman Program	\$ 54,708		X
Paralegal Program	\$ 121,000	X	
Title IV A Training Funds:	\$ <u>295,910</u>		X
TOTAL	\$ 471,618		
STATE AND OTHER			
Item 270			
Flu Vaccine	\$ 420,000		X
Administrative Match	\$ 807,754		X
Item 270.1			
Nutrition Reserve	\$ <u>300,000</u>		X
TOTAL	\$1,527,754		

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EXHIBIT III-1

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SUMMARY RESOURCE ALLOCATION PLAN B

PART I:		
<i>SUMMARY OF RESOURCES FOR IMPLEMENTING THE STATE PLAN</i>		
A. TOTAL TITLE III ALLOTMENT:	\$ <u>1,855,548</u>	
B. TOTAL TITLE VII ALLOTMENT:	\$ <u>3,188,255</u>	
	SUB-TOTAL	\$ <u>5,043,803</u>
C. RESOURCES AVAILABLE TO STATE AGENCY OTHER THAN TITLE III AND TITLE VII ALLOTMENT FOR IMPLEMENTING THE STATE PLAN:		
• OTHER FEDERAL	\$ <u>-0-</u>	
• STATE AND OTHER	\$ <u>95,157</u>	
	SUB-TOTAL	\$ <u>95,157</u>
TOTAL STATE AGENCY RESOURCES		\$ <u>5,138,960</u>

PART II:		
<i>SUMMARY OF RESOURCES FOR STATE PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLANS</i>		
A. TITLE III ALLOTMENT:		
• Administration of Title III and Title VII	\$ <u>277,473</u>	
• Training	\$ <u>8,000</u>	
• Demonstration Projects of Statewide Significance	\$ <u>-0-</u>	
• Administration of Area Plans	\$ <u>-0-</u>	
	SUB-TOTAL	\$ <u>285,473</u>
B. RESOURCES OTHER THAN TITLE III:		
• FEDERAL	\$ <u>-0-</u>	
• STATE AND OTHER	\$ <u>95,157</u>	
	SUB-TOTAL	\$ <u>95,157</u>
TOTAL RESOURCES FOR PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLAN		\$ <u>380,630</u>
C. FEDERAL AND STATE COST SHARING		
• FEDERAL <u>75</u> %		
• STATE <u>25</u> %		

*Period of transition of the Federal Fiscal Year period, i.e., the period between the end of Federal Fiscal Year 76 (7/1/75-6/30/76) and the beginning of Federal Fiscal Year 77 (10/1/76-9/30/77).

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EXHIBIT III-1

FY 19 — Transitional (7/1/76-9/30/76)*Page 5 of 9SUMMARY RESOURCE ALLOCATION PLAN B
(Continued)

PART III:		
AREA PLANNING AND SOCIAL SERVICES PROGRAM		
A. TITLE III ALLOTMENT:	100.0%	\$ <u>1,570,075</u>
• Direct Provision of Services by State Agency		\$ <u>-0-</u>
• Areas With Designated Area Agencies	82.8%	\$ <u>1,300,623</u>
1. Program Planning	(4.4%)	\$ <u>69,844</u>
2. Development of Action Programs		\$ <u>1,230,779</u>
(a) Coordinating Delivery of Existing Services		(<u>147,709</u>)
(b) Pooling of Available but Untapped Resources		(<u>64,034</u>)
(c) Supporting Services		(<u>598,509</u>)
(d) Gap-Filling Services		(<u>420,530</u>)
• Areas Not Covered By Area Plans		\$ <u>269,452</u>
B. RESOURCES OTHER THAN TITLE III:	17.2%	\$ <u>-0-</u>
• STATE AND OTHER		\$ <u>-0-</u>
• FEDERAL		\$ <u>-0-</u>
C. TOTAL RESOURCES FOR AREA PLANNING AND SOCIAL SERVICES PROGRAM:		\$ <u>1,570,075</u>

PART IV:		
NUTRITION PROGRAM		
A. TITLE VII ALLOTMENT:		\$ <u>3,188,255</u>
• Nutrition Services		\$ <u>2,550,604</u>
• Social Services		\$ <u>637,651</u>
B. RESOURCES OTHER THAN TITLE VII:		\$ <u>-0-</u>
• STATE AND OTHER		\$ <u>-0-</u>
• FEDERAL		\$ <u>-0-</u>
C. TOTAL RESOURCES FOR NUTRITION PROGRAM:		\$ <u>3,188,255</u>

*Period of transition of the Federal Fiscal Year period, i.e., the period between the end of Federal Fiscal Year 76 (7/1/75-6/30/76) and the beginning of Federal Fiscal Year 77 (10/1/76-9/30/77).

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FY 19 Transitional (7/1/76-9/30/76)*Page 6 of 9SUMMARY RESOURCE ALLOCATION PLAN B
(Continued)

PART V: SOURCES AND AMOUNTS OF RESOURCES OTHER THAN TITLE III AND TITLE VII INCLUDED UNDER PARTS I-IV.			
Source of Resources	Amount	Anticipated to be Received (√)	Currently Available (√)
STATE AND OTHER Administrative Match	\$95,157	X	

*Period of transition of the Federal Fiscal Year period, i.e., the period between the end of Federal Fiscal Year 76 (7/1/75-6/30/76) and the beginning of Federal Fiscal Year 77 (10/1/76-9/30/77).

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EXHIBIT III-1

FY 19 76 (7/1/75-6/30/76)

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SUMMARY RESOURCE ALLOCATION PLAN C
TITLE VII ONLY

PART I: SUMMARY OF RESOURCES FOR IMPLEMENTING THE STATE PLAN		
A. TOTAL TITLE III ALLOTMENT:	\$ <u>N/A</u>	
B. TOTAL TITLE VII ALLOTMENT(7/1/75-6/30/76)	\$ <u>2,156,225</u> FY 75	
	SUB-TOTAL	\$ <u>2,156,225</u>
C. RESOURCES AVAILABLE TO STATE AGENCY OTHER THAN TITLE III AND TITLE VII ALLOTMENT FOR IMPLEMENTING THE STATE PLAN:		
• OTHER FEDERAL	\$ <u>-0-</u>	
• STATE AND OTHER	\$ <u>55,820</u>	
	SUB-TOTAL	\$ <u>55,820</u>
TOTAL STATE AGENCY RESOURCES		\$ <u>2,212,045</u>

PART II:		N/A
SUMMARY OF RESOURCES FOR STATE PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLANS		
A. TITLE III ALLOTMENT:		
• Administration of Title III and Title VII	\$ _____	
• Training	\$ _____	
• Demonstration Projects of Statewide Significance	\$ _____	
• Administration of Area Plans	\$ _____	
	SUB-TOTAL	\$ _____
B. RESOURCES OTHER THAN TITLE III:		
• FEDERAL	\$ _____	
• STATE AND OTHER	\$ _____	
	SUB-TOTAL	\$ _____
TOTAL RESOURCES FOR PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLAN		\$ _____
C. FEDERAL AND STATE COST SHARING		
• FEDERAL _____%		
• STATE _____%		

STATE California

EXHIBIT III-1

FY 19 76 (7/1/75-6/30/76)

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SUMMARY RESOURCE ALLOCATION PLAN C

(Continued)

TITLE VII ONLY

PART III:		N/A	
AREA PLANNING AND SOCIAL SERVICES PROGRAM			
A. TITLE III ALLOTMENT:			\$ _____
● Direct Provision of Services by State Agency		\$ _____	
● Areas With Designated Area Agencies		\$ _____	
1. Program Planning		\$ _____	
2. Development of Action Programs		\$ _____	
(a) Coordinating Delivery of Existing Services	(_____)		
(b) Pooling of Available but Untapped Resources	(_____)		
(c) Supporting Services	(_____)		
(d) Gap-Filling Services	(_____)		
● Areas Not Covered By Area Plans		\$ _____	
B. RESOURCES OTHER THAN TITLE III:			\$ _____
● STATE AND OTHER	\$ _____		
● FEDERAL	\$ _____		
C. TOTAL RESOURCES FOR AREA PLANNING AND SOCIAL SERVICES PROGRAM:			\$ _____

PART IV:			
NUTRITION PROGRAM			
A. TITLE VII ALLOTMENT:			\$ <u>2,156,225</u>
● Nutrition Services	\$ <u>1,724,980</u>		
● Social Services	\$ <u>431,245</u>		
B. RESOURCES OTHER THAN TITLE VII:			\$ <u>55,820</u>
● STATE AND OTHER	\$ <u>55,820</u>		
● FEDERAL	\$ <u>-0-</u>		
C. TOTAL RESOURCES FOR NUTRITION PROGRAM:			\$ <u>2,212,045</u>

STATE California
 FY 19 76 (7/1/75-6/30/56)

EXHIBIT III-1

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SUMMARY RESOURCE ALLOCATION PLAN C

(Continued)
 TITLE VII ONLY

PART V: SOURCES AND AMOUNTS OF RESOURCES OTHER THAN TITLE III AND TITLE VII INCLUDED UNDER PARTS I-IV.			
Source of Resources	Amount	Anticipated to be Received (√)	Currently Available (√)
STATE AND OTHER State General Fund AB 638 Nutrition	\$ 55,820		X

TITLE III
RESOURCE ALLOCATION PLAN A

Name of Area Agency *	Planning and Service Areas for Which Area Plans Will Be Developed (Identify PSA by Number)		Allocation of Title III Resources						
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (√)	Continuation (√)		Coordination of Existing Services	Funding of Available but Unappropiated Resources	Supporting Services	Gap-Filling Services	
Budget Year # (Contract Period)				\$	\$	\$	\$	\$	\$
Area 4 Agency on Aging BY2 (12/31/74-12/30/75) Supplement BY3 (12/31/75-12/30/76) Supplement**	4		X	50,000	22,950	27,900	174,156	-0-	275,00
North Bay Senior Planning Council BY3 (7/1/76 - 6/30/77)	5		X	50,000	36,600	36,600	107,989	-0-	231,18
San Francisco Commission on the Aging BY3 (1/1/76-12/31/76) Supplement**	6		X	50,000	45,383	50,600	87,115	77,727	310,82
Contra Costa County BY2 (7/1/76 - 6/30/77)	7		X	50,000	25,103	11,862	61,103	39,862	187,99
San Mateo County BY2 (7/1/76 - 6/30/77)	8		X	50,000	26,613	12,575	64,778	42,259	196,22
Alameda County Office on Aging BY4 (7/1/76 - 6/30/77)	9		X	50,000	46,420	46,420	170,910	-0-	313,79

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TITLE III
RESOURCE ALLOCATION PLAN A

Name of Area Agency *	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)			Allocation of Title III Resources					
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (✓)	Continuation (√)		Coordination of Existing Services	Fooling of Available but Untapped Resources	Supporting Services	Gap-Filling Services	
Council on Aging of Santa Clara, Inc BY3 (7/1/76 - 6/30/77)	10		X	\$ 50,000	\$ 34,585	\$ 16,342	\$ 84,181	\$ 54,917	\$ 240,025
Area Technical Agency of Aging Program BY3 (7/1/76 - 6/30/77)	11		X	50,000	36,019	36,019	116,545	-0-	238,583
Tri-County Commission for Senior Citizens BY2 (7/1/76 - 6/30/77)	17		X	50,000	10,004	12,327	106,835	49,489	228,655
Department of Senior Citizens Affairs, Area Agency on Aging Division BY3(11/ 1/75 -10/31/76) Supplement**	19		X	50,000	147,706	64,034	598,509	43,544	903,793
Plan/Action for Senior Citizens BY3(7/ 1/76 - 6/30/77)	20		X	50,000	21,496	15,800	146,429	-0-	233,725
County of Riverside Office on Aging BY3 (7/ 1/76-6/30/77)	21		X	50,000	36,994	26,349	77,827	33,330	224,500
County of Orange, Senior Citizens Program Office BY4(7/1/76 - 6/30/77)	22		X	50,000	51,198	19,910	187,467	-0-	308,575

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**TITLE III
RESOURCE ALLOCATION PLAN A**

Name of Area Agency *	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)		Allocation of Title III Resources						
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (✓)	Continuation (✓)		Coordination of Existing Services	Pooling of Available but Unapprop Resources	Supporting Services	Gen Filing Services	
				\$	\$	\$	\$	\$	\$
Office of Senior Citizens Affairs BY3(11/ 1/75 -6/30/76) Supplement**	23		X	50,000	64,704	53,373	84,085	96,013	348,17
Office of Senior Citizens Affairs BY4(7/ 1/76 -6/30/77)	23		X	50,000	64,704	53,373	84,085	96,013	348,17
Office for the Aging BY2(3/ 1/76 -2/28/77)	25		X	50,000	52,398	16,375	549,523	36,678	704,97
TOTAL				800,000	722,877	499,859	2,701,537	569,832	5,294,10

*The name of the single organizational unit within the designated Area Agency on Aging is used, if applicable.
 **Supplement for the area plan for the specified Budget Year (BY) which will include major accelerated programming components.
 See Title III Objective #6

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TITLE III
RESOURCE ALLOCATION PLAN B

Name of Area Agency Budget Year # (Contract Period)	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)		Allocation of Title III Resources						
	Number	Type of Award		Program Planning	Coordination of Existing Services	Pooling of Available but Untapped Resources	Supporting Services	Gap-Filling Services	TOTAL
		New (√)	Continuation (√)						
				\$	\$	\$	\$	\$	\$
North Bay Senior Planning Council BY3 (7/ 1/76 -6/30/77)Supplement**	5		X	2,849	-0-	-0-	-0-	54,124	56,973
Contra Costa County BY2 (7/ 1/76 -6/30/77)Supplement**	7		X	1,759	-0-	-0-	-0-	33,413	35,172
San Mateo County BY2 (7/ 1/76 -6/30/77)Supplement**	8		X	1,925	-0-	-0-	-0-	36,565	38,490
Council on Aging of Santa Clara, Inc. BY3 (7/ 1/76 -6/30/77)Supplement**	10		X	2,801	-0-	-0-	-0-	53,209	56,010
Area Technical Agency for Aging Programs BY3 (7/ 1/76 -6/30/77)Supplement**	11		X	2,772	-0-	-0-	-0-	52,661	55,433

TITLE III
RESOURCE ALLOCATION PLAN B

Name of Area Agency	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)			Allocation of Title III Resources					
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (✓)	Continuation (✓)		Coordination of Existing Services	Pooling of Available but Untapped Resources	Supporting Services	Gap-Filling Services	
				\$	\$	\$	\$	\$	\$
Tri-County Commission for Senior Citizens BY2(7/ 1/76 -6/30/77)Supplement**	17		X	2,573	-0-	-0-	-0-	48,889	51,46
Department of Senior Citizens Affairs, Area Agency on Aging Div. BY4(11/ 1/76-10/31/77) Plan/Action for Senior Citizens	19		X	50,000	14,706	64,034	598,509	43,544	90,79
BY3(7/ 1/76 -6/30/77)Supplement**	20		X	2,675	-0-	-0-	-0-	50,815	53,49
County of Riverside, Office on Aging BY3(7/ 1/76 -6/30/77)Supplement**	21		X	2,490	-0-	-0-	-0-	47,310	49,80
TOTAL				\$69,844	\$14,706	64,034	598,509	420,530	\$1,300,62

*The name of the Single Organizational Unit within the designated Area Agency on Aging is used, if applicable.
**Supplement for an accelerated programming component in the area plan for the specified Budget Year (BY).
See Title III Objective #6

TITLE VII
 RESOURCE ALLOCATION PLAN A
 FY 75 and FY 76 Funds to be obligated by the California Office on Aging in the period 7/1/75-9/30/76

Name of Project (Contract Period)	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
North Coast Opportunities Inc. (1/76-12/76)	1		x		x	73,693	18,423	92,116
Superior California Senior Services, Inc. (1/75-10/75)	2		x		x	175,930	43,982	219,912
Auburn Neighborhood Council (1/75-12/76)	4	x			x	92,102	23,026	115,128
El Dorado County (1/76-12/76)	4	x			x	62,878	15,719	78,597
Sacramento Housing and Redevelopment Agency (1/76-12/76)	4	x			x	276,307	69,077	345,384
Napa County Council for Economic Opportunities (1/76-11/76)	5	x			x	49,862	12,466	62,328

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TITLE VII
RESOURCE ALLOCATION PLAN A

Name of Project	PEA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Com	Social Services	AL
Solano County Economic Opportunity Council, Inc.	5	x			x	72,372	18,516	90,888
Sonoma County People for Economic Opportunity (12/75-11/76)	5	x				81,264	22,816	109,080
Western Addition Senior Citizens Service Center (12/75-11/76)	6	x			x	62,166	15,542	77,708
San Francisco Council of Churches (12/15-11/76)	6	x			x	92,146	23,337	115,483
Kimochi Kai, Inc. (2/76-11/76)	6	x			x	47,510	11,877	59,387
Contra Costa County (1/76-12/76)	7	x			x	132,855	33,214	166,069

TITLE VII
 RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (✓)	Continuation (✓)	Food & Related Costs	Social Services	TOTAL
Social Service Bureau of the East Bay, Inc. (1/76-12/76)	9	X			X	346,525	86,631	433,156
Santa Clara County Department of Social Services	10	X			X	243,076	60,769	303,845
Bay Area Regional Planning Council for Spanish Speaking Senior Citizens, Inc. (3/76-10/76)								
Senior Service Agency of Stockton & San Joaquin County, Inc. (12/75-11/76)	11	X			X	157,405	39,351	196,756
St. James Episcopal Church (1/76-12/76)	11	X			X	82,130	20,532	129,257
S.O.S.P. of Stanislaus County, Inc. (1/76-12/76)	11	X			X	103,406	25,851	129,257
Merced County Community Action Agency, Inc. (1/76-12/76)	11	X			X	64,062	16,015	80,077

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EXHIBIT III B

Page 4 of 2TITLE VII
RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Fresno County Economic Opportunities Commission (10/75-9/76)	14		x		x	276,202	69,050	345,252
Kern County Economic Opportunity Corporation (11/75-10/76)	15		x		x	171,232	42,808	214,040
City of Tulare (3/76-12/76)	15		x		x	49,718	12,429	62,147
Inyo County Health Department (10/75-9/76)	16		x	x		51,522	12,880	64,402
Tri-County Commission for Senior Citizens (12/75-11/76)	17	x		x		367,536	91,884	459,420

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RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Pasadena Community Services Commission, Inc. (1/76)	19	x			x	17,538	4,385	21,923
Hub City Buyers' Club (11/75-1/76)	19	x			x	62,746	15,686	78,432
Rio Hondo Area Action Council, Inc. (11/75-1/76)	19	x			x	68,098	17,025	85,123
Los Angeles Unified School District (1/76)	19	x			x	15,139	3,785	18,924
Casa Maravilla, Inc. (1/76)	19	x			x	9,386	2,316	11,702
Long Beach (12/75-1/76)	19	x		x		66,560	16,640	83,200
Antelope Valley (12/75-1/76)	19	x		x		9,710	2,428	12,138
East San Gabriel Valley (12/75-1/76)	19	x		x		10,666	2,667	13,333
Airport - South West Los Angeles County (12/75-1/76)	19	x		x		10,666	2,667	13,333

TITLE VII
RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Santa Monica (12/75-1/76)	19	x		x		10,666	2,667	13,333
Glendale (12/75-1/76)	19	x		x		15,089	3,772	18,861
San Fernando (12/75-1/76)	19	x		x		8,000	2,000	10,000
Los Angeles County Department of Senior Citizen Affairs (3/76-12/76)	19	x		x		1,670,948	417,737	2,088,685
Plan Action (1/76-12/76)	20	x		x		335,650	83,913	419,563
County of Riverside (11/75-10/76)	21	x			x	289,285	72,321	361,606

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RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
San Diego County Office Of Senior Citizens Affairs (10/15/75-6/76)	23	x			x	376,426	94,857	474,283
Economic Opportunity Commission of Imperial County, Inc. (10/75-9/76)	24		x		x	133,520	33,380	166,900
Watts Labor Community Action Committee (1/76-3/76)	25	x			x	38,596	9,649	48,245
International Institute of Los Angeles (2/76-3/76)	25	x			x	11,594	2,898	14,492
Jewish Family Service of Los Angeles (1/76-3/76)	25	x			x	52,918	13,229	66,147
Department of Recreation & Parks - City of Los Angeles (3/76)	25	x			x	31,478	7,869	39,347
San Fernando Valley Inter-faith Council (1/76-3/76)	25	x			x	27,753	6,938	34,691

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TITLE VII
 RESOURCE ALLOCATION PLAN A

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Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Protestant Communities Services of Southern California, Inc. (10/75-3/76)	25	x			x	71,898	17,974	89,872
Child Care and Development Services (11/75-3/76)	25	x			x	106,150	26,537	\$132,687
Filipino American Community of Los Angeles, Inc. (1/76-3/76)	25	x			x	26,601	6,650	\$ 33,251
Chinese Committee on Aging (1/76-3/76)	25	x			x	26,160	6,540	\$ 32,700
Exposition Park (1/76-3/76)	25	x		x		9,317	2,329	\$ 11,646
South Vermont (1/76-3/76)	25	x		x		9,317	2,329	\$ 11,646
Westlake (1/76-3/76)	25	x		x		9,317	2,329	\$ 11,646
Leimert Park (1/76-3/76)	25	x		x		9,317	2,329	\$ 11,646
Little Tokyo (1/76-3/76)	25	x		x		9,317	2,329	\$ 11,646

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TITLE VII
 RESOURCE ALLOCATION PLAN A

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Korean Community (1/76-3/76)	25	x		x		9,317	2,329	11,646
Los Angeles City Office for the Aging (4/76-1/15/77)	25	x		x		1,409,446	352,362	1,761,808
Inter-Tribal Council of California, Inc. (1/76-12/76)	—		x		x	345,998	86,500	432,498

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STATE CaliforniaFV 19 76 Transitional (7/1/76-9/30/76)*

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RESOURCE ALLOCATION PLAN B

Transitional funds to be obligated by the California Office on Aging in the period 7/1/75-9/30/76

Name of Project (Contract Period)	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Humboldt County Senior Citizens Council (9/76-8/77)	1		X		X	51,566	12,891	64,457
Superior California Senior Services, Inc. (10/76-9/77)	2		X		X	76,573	19,143	95,716
Superior California Senior Services, Inc. (7/76-6/77)	3		X		X	140,158	35,039	175,197
Napa County Council for Economic Opportunities (12/76-11/77)	5	X			X	59,835	14,959	74,794
Solano County Economic Opportunity Council, Inc. (12/76-11/77)	5	X			X	72,072	18,018	90,090
Sonoma County People for Economic Opportunity (12/76-11/77)	5	X			X	87,264	21,816	109,080

*Period of transition of the Federal Fiscal Year period, I.E., the period between the end of Federal Fiscal Year 76 (7/1/75-6/30/76) and the beginning of Federal Fiscal Year 77 (10/1/76-9/30/77).

TITLE VII
RESOURCE ALLOCATION PLAN

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (✓)	Continuation (✓)	Food & Related Costs	Social Services	TOTAL
The Salvation Army (7/76-6/77)	6	x			x	112,744	28,186	140,930
Mission Rebels In Action, Inc. (7/76-6/77)	6	x			x	116,533	29,133	145,666
Western Addition Senior Citizens Service Center (11/76-4/77)	6	x			x	31,083	7,771	38,854
United Jewish Community Center (7/76-6/77)	6	x			x	74,221	18,555	92,776
Self Help for the Elderly (7/76-6/77)	6	x			x	101,767	25,442	127,209
San Francisco Council of Churches (12/76-10/77)	6	x			x	78,428	19,607	98,035
Kimochi Kai, Inc. (11/76-5/77)	6	x			x	31,678	7,920	39,598
San Mateo County Economic Opportunity Commission (7/76-6/77)	8	x			x	215,292	53,823	269,115

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RESOURCE ALLOCATION PLAN

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Senior Service Agency of Stockton & San Joaquin County, Inc. (12/76-11/77)	11	x			x	157,405	39,351	196,756
Santa Cruz County (7/76-6/77)	13		x		x	181,655	45,414	227,069
Fresno County Economic Opportunities Commission (10/76-9/77)	14		x		x	277,992	69,498	347,490
Kings County (7/76-6/77)	15		x		x	88,306	22,077	110,383
Feedback Foundation, Inc. (10/76-9/77)	22	x			x	312,156	78,039	390,195

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RESOURCE ALLOCATION PLAN

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
San Diego County Office of Senior Citizens Affairs (7/76-1/77)	23	x			x	167,327	41,832	209,159
Economic Opportunity Commission of Imperial County, Inc. (10/76-8/15/77)	24		x		x	116,549	29,137	145,686

Total: Plan B = 2,550,695 637,651 3,188,255

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**TITLE VII
RESOURCE ALLOCATION PLAN C**

FY 75 Funds to be obligated by the California Office on Aging in the period 7/1/75-6/30/76

Name of Project (Contract Period)	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New 1/1	Continuation 1/1	Food & Related Costs	Social Services	TOTAL
North Coast Opportunities Inc. (Supplement to 1/75-12/75)	1		x		x	2,729	682	\$ 3,411
Humboldt County Senior Citizens Council (9/75-8/76)	1		x	x		51,566	12,891	\$ 64,457
Superior California Senior Services, Inc. (Supplement to 11/74-10/75)	2		x		x	4,344	1,086	\$ 5,430
Superior California Senior Services, Inc. (9/75-6/76)	3		x	x		116,798	29,199	\$ 145,997
Autumn Neighborhood Council (Supplemental to 1/75-12/75)	4	x			x	3,411	853	\$ 4,264
El Dorado County (Supplement to 1/75-12/75)	4	x			x	2,329	582	\$ 2,911

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RESOURCE ALLOCATION PLAN C

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Sacramento Housing and Redevelopment Agency (Supplement to 1/75-12/75)	4	x			x	10,234	2,558	\$ 12,792
The Salvation Army (9/75-6/76)	6	x			x	93,953	23,488	\$117,441
Mission Rebels in Action, Inc.	6	x			x	97,110	24,278	\$121,388
United Jewish Community Center (9/75-6/76)	6	x			x	61,850	15,463	\$ 77,313
Self Help for the Elderly (9/75-6/76)	6	x			x	84,806	21,201	\$106,007
San Mateo County Economic Opportunity Commission (9/75-6/76)	8	x			x	179,410	44,852	\$224,262

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RESOURCE ALLOCATION PLAN C

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Senior Service Agency of Stockton & San Joaquin County, Inc. (Supplement to	11	x			x	4,857	1,214	\$ 6,071
St. James Episcopal Church (12/74-11/75) (Supplement to 1/75-12/75)	11	x			x	3,042	760	\$ 3,802
S.O.S.P. of Stanislaus County, Inc. (Supplement to 1/75-12/75)	11	x			x	3,330	957	\$ 4,787
Merced County Community Action Agency, Inc. (Supplement to 1/75-12/75)	11	x			x	2,373	593	\$ 2,966
Santa Cruz County (7/75-6/76)	13		x	x		181,655	45,414	\$227,069
Fresno County Economic Opportunities Commission (Supplement to 10/74-9/75)	14		x		x	5,714	1,427	\$ 7,143

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EXHIBIT III-3

Page 17 of 21TITLE VII
RESOURCE ALLOCATION PLAN C

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Kings County (8/75-6/76)	15		x	x		80,947	20,237	\$101,184
Kern County Economic Opportunity Corporation (Supplement to 1/75-2/76)	15		x		x	4,227	1,057	\$ 5,284
City of Tulare (Supplement to 3/75-2/76)	15		x		x	2,454	614	3,068
Ventura County Health Services Agency (7/75-11/75)	17	x			x	66,489	16,622	\$ 83,111
Community Action Commission of Santa Barbara County, Inc. (Supplement to 12/74- 11/75)	17	x			x	3,846	962	\$ 4,808
Economic Opportunity Commission of San Luis Obispo County, Inc. (Supplement to 12/74-11/75)	17	x			x	2,370	592	2,962

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STATE CaliforniaFY 19 76EXHIBIT III-3
Page 18 of 21TITLE VII
RESOURCE ALLOCATION PLAN C

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
City of Burbank (7/75-2/76)	19				x	43,350	10,837	54,187
Pasadena Community Services Commission, Inc. (Supplement to 2/75-1/76)	19	x			x	7,286	1,822	9,108
Volunteers of America Sunset Club (Supplement to 1/75-12/75)	19	x			x	2,669	667	3,336
Hub City Buyers' Club (Supplement to 12/74-11/75)	19	x			x	5,919	1,480	7,399
Rio Hondo Area Action Council, Inc. (Supplement to 12/74-11/75)	19	x			x	4,998	1,250	6,248
Long Beach Commission on Economic Opportunities (Supplement to 12/74-11/75)	19	x			x	6,278	1,569	7,847
Los Angeles Unified School District (Supplement to 2/75-1/76)	19	x			x	6,842	1,711	8,553
Casa Maravilla, Inc. (Supplement to 2/75-1/76)	19	x			x	6,507	1,627	8,134

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TITLE VII
 RESOURCE ALLOCATION PLAN

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Community Services Department of San Bernardino (Supplement to 1/75-12/75)	20	x			x	9,110	2,277	11,387
Steelworkers Oldtimers Foundation (9/75-1/76)	20	x			x	36,338	9,085	45,423
City of Riverside, Park & Recreation Department (8/75-10/75)	21	x			x	24,801	6,200	31,001
Feedback Foundation, Inc, (10/75-9/76)	22	x			x	331,499	82,875	414,374
San Diego County Office of Senior Citizens Affairs (10/75)	23	x			x	29,189	7,297	36,486

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EXHIBIT III-3

Page 20 of 21TITLE VII
RESOURCE ALLOCATION PLAN

Name of Project	PSA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Economic Opportunity Commission of Imperial County, Inc. (Supplement to 10/74-9/75)	24		X		X	2,160	540	2,700
Watts Labor Community Action Committee (Supplement to 1/75-12/75)	25	X			X	11,678	2,920	14,598
International Institute of Los Angeles (Supplement to 2/75-1/76)	25	X			X	8,576	2,144	10,720
Jewish Family Service of Los Angeles (Supplement to 1/75-12/75)	25	X			X	28,581	7,145	35,726
Department of Recreation & Parks - City of Los Angeles (Supplement to 3/75-2/76)	25	X			X	23,949	5,987	29,936
San Fernando Valley Inter-Faith Council (Supplement to 1/75-12/75)	25	X			X	13,698	3,424	17,122
Protestant Communities of Southern California, Inc. (Supplement to 10/74-9/75)	25	X			X	2,574	643	3,217

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TITLE VII
RESOURCE ALLOCATION PLAN

Name of Project	PEA Number	Part of Area Plan		Type of Award		Allocation of Title VII Resources by Project		
		Yes	No	New (√)	Continuation (√)	Food & Related Costs	Social Services	TOTAL
Child Care and Development Services (Supplement to 11/74-10/75)	25	X			X	6,171	1,171	7,714
Filipino American Community of Los Angeles, Inc. (Supplement to 1/75-12/75)	25	X			X	11,992	2,998	14,990
Chinese Committee on Aging (Supplement to 1/75-12/75)	25	X			X	15,523	3,881	19,404
Inter-Tribal Council of California, Inc. (Supplement to 2/75-1/76)	—		X		X	14,950	3,737	18,687

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BASIC ANNUAL
TITLE VII
RESOURCE ALLOCATION PLAN
for each
Planning and Service Area (PSA)

SUPPLEMENT

Page 1 of 2

PSA #	COUNTIES IN EACH PSA	BASIC ANNUAL TITLE VII ALLOCATION (Funding Level for a 12-Month Period) for each PSA
1	Del Norte, Humboldt, Mendocino, Lake Siskiyou, Modoc, Trinity, Shasta,	\$ 187,872
2	Lassen, Tehama	269,576
3	Glenn, Butte, Plumas, Colusa	175,197
4	Yolo, Sutter, Yuba, Sierra, Nevada, Placer, El Dorado, Sacramento	593,119
5	Sonoma, Napa, Solano, Marin	422,224
6	San Francisco	779,076
7	Contra Costa	256,783
8	San Mateo	269,115
9	Alameda	712,463
10	Santa Clara	550,806
11	San Joaquin, Amador, Alpine, Calaveras, Tuolumne, Stanislaus, Merced, Mariposa	569,574
13	Santa Cruz, San Benito, Monterey	279,400
14	San Luis Obispo, Santa Barbara, Ventura	373,522
15	Kings, Tulare, Kern	479,513
16	Mono, Inyo	64,402
17	San Luis Obispo, Santa Barbara, Ventura	459,600
19	Los Angeles (excepting City of Los Angeles)	2,445,768
20	San Bernardino	419,563
21	Riverside	361,606
22	Orange	489,928
23	San Diego	670,465
24	Imperial	166,590
25	City of Los Angeles	2,220,809
ITCC	Statewide	432,498
		\$13,649,469

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EXHIBIT III-3
SUPPLEMENT

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The basis for the funding allocation to each planning and service area (PSA) is derived from:

- the percentage in the PSA of the Statewide 60+ population;
- the percentage in the PSA of the Statewide 60+ population below the poverty level;
- the percentage in the PSA of the Statewide 60+ minority population; and
- the ratios of all-age urban-to-rural population within the PSA.

Additionally, three other considerations contributed to the determination of the most equitable allocation of Title VII funds to each planning and service area:

- although there were disparities in the original granting of Title VII funds in years previous, no existing program should now suffer cutbacks in its programs;

- each planning and service area should be guaranteed a bare amount equal to the estimated average cost of one minimum-level (100 meals per day) project;

- the current Indian nutrition project funded through the Inter Tribal Council of California (ITCC) is Statewide, lacks direct relationship to the planning and service area structure and should be funded independently of the 23 planning and service areas.

The following organizational charts are on file at the U.S. Commission on Civil Rights:

1. Executive Branch, California State Government
2. California Health and Welfare Agency
3. California Office on Aging

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The California Office on Aging was established by the California State Assembly Bill No. 2263, Chapter IV, approved by the Governor October 1, 1973. AB 2263 states:

"The California Office on Aging was established as an independent office on aging, as the single state agency designated for the supervision of all programs under the Older Americans Act as amended, and all programs for the elderly established by federal acts which provide revenue sharing for such programs, and may be designated as the single agency for supervision of other programs of the federal government relating to the aged which are not the specific responsibility of another state department under the provisions of federal law or which have not been specifically entrusted to another state department by the Legislature.

The duties and powers of the Office shall be to:

- (a) Provide for the coordination of programs and activities related to the purposes of this act;
- (b) Coordinate and assist in the planning and development by public and nonprofit private agencies of programs for older persons, with a view to establishing a statewide network of comprehensive, coordinated services and opportunities for such persons;
- (c) Provide technical assistance and consultation to state and local public agencies, voluntary organizations, churches, industry, labor and other interested persons and organizations with respect to programs for the aged;
- (d) Prepare, publish, and disseminate materials dealing with the well-being of older persons;
- (e) Conduct and arrange for research; gather statistics on, hold hearings on, and in other ways study all aspects of the problems of the aged in order to accomplish the purposes of the act;
- (f) Serve as a clearinghouse for information and all aspects of the aged;

among other duties."

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EXHIBIT IV.4

FY 19 76FUNCTIONAL STATEMENT FOR
SINGLE ORGANIZATIONAL UNIT

Set forth in detail the functions performed directly by the single organizational unit. Specify which functions, if any, are performed by another unit(s) of the State agency or another State agency under the supervision of the single organizational unit.

The Office on Aging is charged with administering funds allocated to the Federal Older Americans Act of 1965, as amended.

The Office on Aging serves the aging population by acting as a clearinghouse and information center for information on aging; conducting public hearings on all matters relating to the well being of the elderly; cooperating with federal, state and local bodies to promulgate effective programs for the elderly; acting as the funds administrator for the more than \$20 million dollars in federal monies allocated to California under the Older Americans Act; providing consultative services for development and implementation of Community Planning and Nutrition Programs; stimulating effective use of existing resources and supporting all available services offered to the elderly at state and community levels.

Specific functions of the Office on Aging include coordinating, planning and developing a comprehensive delivery system of services to the elderly, utilizing existing resources to the maximum possible extent; providing technical assistance in efficient and effective program management to funded projects; providing staff consultation to community and volunteer groups in fields such as nutrition, education, employment, health services, housing and living arrangements, income maintenance, pre-retirement planning, recreational and social services; providing demographic and other data essential to implementation of Titles III and VII of the Older Americans Act of 1965, as amended; providing consultation services and informational materials for local committees or councils on aging, universities and colleges, church organizations, industry, labor and federal, state and local government agencies.

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position including by its register title	2. Percent of Time on Effect		Status of Position		3. If Position is Currently Filled, Indicate (✓) if Staff Member is:																		
	Title III	Title VII	Indicate (✓) Positions Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial & Ethnic Composition														
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other										
CALIFORNIA OFFICE ON AGING																							
Executive Leadership																							
Director	50	50	x	2			x		x										x				
Deputy Director																							
Information Officer II	50	50	x		x			x											x				
Deputy Director for Program Support Services	50	50	x		x			x															
Staff Services Manager I	50	50	x					x	x										x				
Staff Services Manager I	50	50	x					x	x										x				
Staff Services Manager II	50	50	x					x	x										x				
Administrator, Commission on Aging	50	50	x		x				x														
Other Professional																							
Consultant on Aging II	50	50	x		x				x										x				
Specialist on the Problems of Aging	50	50	x					x	x										x				
Staff Service Analyst				x																			
Information Officer I	50	50	x					x	x										x				
Consultant on Aging II	50	50	x					x	x										x				
Consultant on Aging II	50	50	x					x	x										x				
Staff Services Analyst	50	50	x		x				x										x				
Staff Services Analyst	40	60	x					x	x										x				
Associate Governmental Program Analyst	50	50	x		x				x										x				
Consultant on Aging II			x						x														
Associate Personnel Analyst	50	50	x		x			x	x														
Associate Budget Analyst	50	50	x		x				x														
Accounting Officer II	50	50	x		x				x														
Business Services Manager	50	50	x		x				x														
Personnel Assistant I	50	50	x					x	x										x				
Public Health Nutritionist Consultant II		100	x					x	x										x				

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Inventory of Open Positions (omit only by the relative title)	2. Percent of Time of (line)		3. Status of Position		4. If Position is Currently Filled, Indicate (✓) if Staff Member is:											
	Title III	Title VII	Indicate (✓) if Positions Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial Ethnic Composition							
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
Consultant on Aging I	50	50	x		x											x
Staff Services Analyst	50	50	x			x										
Staff Governmental Program Analyst	50	50	x		x											
Senior Clerk Typist	50	50	x				x									
Consultant on Aging II	50	50	x		x											x
Consultant on Aging II	50	50	x			x										
Consultant on Aging II	50	50	x		x											
Consultant on Aging II	50	50	x				x									x
Consultant on Aging II	50	50	x				x									x
Consultant on Aging II	50	50	x				x									x
Consultant on Aging II	50	50	x	x												
Consultant on Aging I	50	50	x				x									
State Financial Examiner II	50	50	x		x											
State Financial Examiner II	50	50	x		x											
Public Health Nutritionist Consultant II		100	x				x									
Consultant on Aging III	50	50	x				x									x
Consultant on Aging II	50	50	x		x											
Consultant on Aging II	50	50	x				x									
Consultant on Aging II	50	50	x				x									
Consultant on Aging II	50	50	x				x									
Consultant on Aging I	50	50	x		x											
Consultant on Aging I	50	50	x		x											
Consultant on Aging I	50	50	x		x											
State Financial Examiner II	50	50	x		x											
Consultant on Aging I	50	50	x													
Consultant on Aging I	50	50	x													
State Financial Examiner II	50	50	x													
State Financial Examiner II	50	50	x													
State Financial Examiner II	50	50	x	x												

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify each position imminently by its number and title	2. Percent of Time on Unit		3. Status of Position		4. If Position is Currently Filled, Indicate (✓) if Staff Member is:																
	Title III	Title VII	Indicate (✓) Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial Ethnic Composition												
					M	F	Under 50	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other								
<u>CALIFORNIA OFFICE ON AGING</u> <u>Executive Leadership</u>																					
Director	50	50	x	2			x		x												x
Deputy Director																					
Information Officer II	50	50	x		x				x												x
Deputy Director for Program Support Services	50	50	x		x				x												
Staff Services Manager I	50	50	x				x		x												x
Staff Services Manager I	50	50	x				x		x												x
Staff Services Manager II	50	50	x				x		x												x
Administrator, Commission on Aging	50	50	x		x				x												x
<u>Other Professional</u>																					
Consultant on Aging II	50	50	x		x				x												x
Specialist on the Problems of Aging	50	50	x				x		x												x
Staff Service Analyst				x																	
Information Officer I	50	50	x				x		x												x
Consultant on Aging II	50	50	x				x		x												x
Consultant on Aging II	50	50	x				x		x												x
Staff Services Analyst	50	50	x				x		x												x
Staff Services Analyst	40	60	x				x		x												x
Associate Governmental Program Analyst	50	50	x				x		x												x
Consultant on Aging II			x																		
Associate Personnel Analyst	50	50	x				x		x												
Associate Budget Analyst	50	50	x				x		x												
Accounting Officer II	50	50	x				x		x												
Business Services Manager	50	50	x				x		x												
Personnel Assistant I	50	50	x				x		x												x
Public Health Nutritionist Consultant II		100	x				x		x												x

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify each position and indicate by its title	2. Percent of Time or Effect		3. Status of Position		4. If Position is Currently Filled, Indicate (✓) if Staff Member is:										
	Title III	Title VII	Indicate (✓) Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial Ethnic Composition						
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other		
Staff Services Manager I	50	50	x		x		x								x
Consultant on Aging II	50	50	x		x		x								x
Consultant on Aging II	50	50	x		x		x								x
State Financial Examiner II	50	50	x		x		x								x
Public Health Nutritionist Consultant II		100				x	x								x
Consultant on Aging I	50	50	x		x		x								x
Consultant on Aging II				x											
Staff Service Analyst	50	50	x		x		x								x
<u>Clerical</u>															
Secretary II	50	50	x				x	x							x
Senior Steno	50	50	x				x	x					x		
Senior Clerk Typist	50	50	x				x	x							x
Clerk Typist II	50	50	x				x	x	x						x
Clerk Typist II	50	50	x				x	x							x
Steno	50	50	x				x	x							x
Clerk Typist II	50	50	x				x	x							x
Clerk Typist II(1/2 time)	50	50	x				x	x	x						x
Senior Account Clerk	50	50	x				x	x			x				
Senior Account Clerk	50	50	x				x	x							x
Clerk Typist II	50	50	x				x	x							x
Clerk	50	50	x		x		x	x							x
Bookkeeping Machine Operator				x											
Clerk Typist II	50	50	x				x	x							x
Senior Clerk Typist	50	50	x				x	x							x
Clerk Typist II	50	50	x				x	x				x			
Clerk Typist II	50	50	x				x	x			x				
Steno	50	50	x				x	x							x
Steno	50	50	x				x	x							x
Steno	50	50	x				x	x			x				
Senior Clerk Typist	50	50	x				x	x	x						x

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify each Position and whether by its relative Title	2. Percent of Time or Effort		3. Status of Position		4. If Position is Currently Filled, Indicate (✓) if Staff Member is:											
	Title III	Title VII	Indicate (✓) Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial/Ethnic Composition							
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
<u>COMMISSION ON AGING</u>																
<u>Executive Leadership</u>																
Executive Secretary			X			X			X							X
<u>Other Professional</u>																
Staff Services Analyst			X			X			X							X
<u>Clerical</u>																
Senior Steno			X				X	X								X
Steno			X				X	X								X
Clark Typist II			X				X	X								X

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EXHIBIT IV.6

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STATE ADVISORY COMMITTEE ON AGING

- I. The State Advisory Committee on Aging has has not reviewed the State plan prior to submission to the Governor

II. Describe the responsibilities, functions and composition of the State Advisory Committee on Aging

The State Advisory Committee on Aging consists of the California Commission on Aging, including the statewide advisory body which it established, i.e., the Statewide Advisory Council.

The duties and functions of the California Commission on Aging and its statewide advisory body were established in AB 2263 which was approved by the Governor on October 1, 1973 and filed with the Secretary of State October 1, 1973. These duties and functions are the following.

Serve as the principal advocate body in the state on behalf of older persons in areas affecting such persons, including, but not limited to, advisory participation in the consideration of all regulations made by state departments affecting licensing, inspection and rate setting for nursing homes and like facilities, housing for the elderly, home health services, educational, and income maintenance programs;

Advise the director concerning basic policies and priorities with respect to the development and operation of programs and activities related to the purposes of this division, in order to stimulate the most effective use of existing resources and available services for the elderly;

Prepare, publish and disseminate materials dealing with the well-being of senior adults;

Meet as a commission at least monthly in order to study all aspects of the problems of aging;

Establish a statewide advisory body, representing senior adults in accordance with the guidelines for such advisory bodies established in the Older Americans Act as amended. This advisory body shall be composed of one senior consumer from each of the planning areas for aging, and those areas having a senior adult population over 100,000 shall receive an additional representative for each 100,000 senior population or portion thereof. The term of office for this advisory body shall be for two years. This advisory body shall meet at least quarterly to review the State Annual Operating Plan for Aging and to make recommendations to the commission concerning such programs;

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STATE ADVISORY COMMITTEE ON AGING

Hold hearings throughout the state, in order to advise the Governor, Legislature and the office on action needed to solve problems of aging, and in order to insure that the needs and wants of older consumers of services referred to in this division are duly considered by the office, the Governor and the Legislature prior to the taking of action to solve these problems;

Within budgetary limits, hire an executive secretary and such staff as may be necessary for the commission to fulfill its duties; and

Perform other duties as may be assigned to it by statute or regulation.

The commission shall prepare and render annually a written report of its activities and its recommendations, which shall reflect the advice of the statewide advisory body of senior consumers. Such report shall be presented to the Governor, the director, the Speaker of the Assembly and the Senate Rules Committee for improvements and additions to the existing efforts of state government on behalf of older persons and of the ways the State of California could encourage and promote programs and services by local communities on behalf of senior residents.

The basic composition of the California Commission on Aging, including the Statewide Advisory Council was established in AB 2263:

The California Commission on Aging

"... shall be composed of 15 persons: nine persons appointed by the Governor, three persons appointed by the Speaker of the Assembly, and three persons appointed by the Senate Rules Committee. At least five of the persons appointed by the Governor, two of the persons appointed by the Speaker of the Assembly and two of the persons appointed by the Senate Rules Committee shall be 55 years of age or older. Persons so appointed to the commission shall include a significant number of consumers of services referred to in this division, including members of minority groups, and persons knowledgeable in the provision of services for the elderly."

The Statewide Advisory body

"... shall be composed of one senior consumer from each of the planning areas for aging, and those areas having a senior adult population over 100,000 shall receive an additional representative for each 100,000 senior population or portion thereof.

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EXHIBIT IV.6

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STATE ADVISORY COMMITTEE ON AGING

The present composition of the California Commission on Aging, including the Statewide Advisory Council, is the following:

CALIFORNIA COMMISSION ON AGING (15 Members)

Position	General Public	Actual (1) Consumer	Elder Consumer	Low Income Elder Person	Minority Elder Person	Agency or Interest Group Representative	Meridian Project Council Representative
Member	X						
Member	X						X
Member	X						
Member	X						
Member	X						
Member	X						
Member	X						
Member	X						
Member	X			X		X	
Member	X				X	X	
Member	X					X	
Member	X					X	
Member	X					X	
Member	X					X	
Member	X					X	

STATEWIDE ADVISORY COUNCIL (36 Members)

Position	General Public	Actual (1) Consumer	Elder Consumer	Low Income Elder Person	Minority Elder Person	Agency or Interest Group Representative	Meridian Project Council Representative
Chair-person			X		X		
Member			X				X
Member			X			X(2)	
Member			X				
Member			X				X
Member			X			X(3)	
Member			X				

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STATE ADVISORY COMMITTEE ON AGING

Position	General Public	Actual (1) Consumer	Older Consumer	Low Income Older Person	Minority Older Person	Agency or Interest Group Representative	Warrior Project Council Representative
Member			X		X	X(3)	
Member			X		X	X(2)	
Member			X				
Member			X				
Member			X		X		X
Member			X	X			
Member			X	X	X		
Member			X	X			
Member			X				
Member			X	X	X		
Member			X				
Member			X			X(3)	
Member			X			X(3)	X
Member			X				
Member			X				X
Member			X	X	X		
Member			X	X	X		
Member			X		X	X(2)	
Member			X				
Member			X				
Member			X	X	X		
Member			X	X	X		
Member			X				
Member			X				
Member			X			X(3)	
Member			X	X	X		
Member			X				X
Member			X		X		
Member			X		X		

(1) "Actual consumers of service under this program" i.e., under the Title III program.
(2) Private agency representative
(3) Public agency representative

CHARACTERISTICS OF STATE'S OLDER POPULATION
 BY PLANNING AND SERVICE AREA

1. Planning and Service Area (Identify by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial Ethnic Composition of Population Aged 60 or Over (By Numbers)					6. Percent of Total State Minority Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Income Below Poverty Level in Area	8. Percent of Total State Population Aged 60 or Over With Income Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
1.	184,919	27,385	1.05	305	150	-	90	-	0.14	4,910	1.2
2.	162,737	26,765	1.03	475	130	-	-	-	0.16	4,120	1.0
3.	143,642	23,038	0.88	95	360	768	138	-	0.36	4,383	1.1
4.	959,807	116,671	4.50	237	2,330	1,732	2,654	100	1.87	13,367	3.4
5.	665,949	94,955	3.66	330	1,585	3,790	885	100	1.78	14,810	3.8
6.	715,674	140,550	5.42	250	8,850	10,409	12,000	200	8.44	22,550	5.7
7.	558,389	58,620	2.26	110	2,090	3,367	615	-	1.64	6,445	1.6
8.	556,238	64,150	2.47	-	1,000	3,889	1,300	100	1.67	6,500	1.7
9.	1,053,278	142,500	5.50	400	13,350	6,589	4,900	150	6.76	21,750	5.5
10.	1,064,714	93,350	3.60	100	600	10,196	2,400	150	3.58	11,550	2.9

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CHARACTERISTICS OF STATE'S OLDER POPULATION
 BY PLANNING AND SERVICE AREA

1. Planning and Service Area (Identify by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial/Ethnic Composition of Population Aged 60 or Over (By Numbers)					6. Percent of Total State Minority Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Incomes Below Poverty Level in Area	8. Percent of Total State Population Aged 60 or Over With Incomes Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other			
11	643,373	92,389	3.56	351	2,355	5,803	3,782	150	3.31	19,268	4.9
13	392,086	51,105	1.97	190	120	3,185	1,210	-	1.25	9,580	2.4
14	454,474	61,025	2.35	135	2,115	1,824	655	100	1.36	11,480	2.9
15	582,099	78,310	3.02	335	3,205	7,703	1,555	200	3.46	16,295	4.1
16	19,587	2,865	0.11	20	60	N.A.	45	-	0.03	630	0.2
17	716,524	85,770	3.31	250	1,220	13,589	1,150	150	4.35	13,105	3.3
19	4,211,669	535,862	20.69	1,456	13,704	41,971	8,888	26,677	24.69	74,915	19.0
20	683,230	89,150	3.44	200	2,150	6,474	200	100	2.43	15,200	3.9
21	459,074	83,000	3.20	361	2,050	N.A.	692	86	.84	15,458	3.9
22	1,420,392	139,050	5.37	250	150	6,445	850	150	2.09	16,850	4.3

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CHARACTERISTICS OF STATE'S OLDER POPULATION
 BY PLANNING AND SERVICE AREA

1. Planning and Service Area (Identify by Number)	2. Total Population in Area	3. Population Aged 60 or Over in Area	4. Percent of Total State Population Aged 60 or Over Represented in Area	5. Racial Ethnic Composition of Population Aged 60 or Over (By Numbers)					6. Percent of Total State Minority Population Aged 60 or Over Represented in Area	7. Population Aged 60 or Over With Income Below Poverty Level in Area	8. Percent of Total State Population Aged 60 or Over With Income Below Poverty Level Represented in Area
				a. American Indian	b. Negro	c. Spanish Language	d. Chinese	e. Other			
23	1,357,854	165,450	6.39	450	2,800	10,090	1,250	--	5.08	20,850	5.3
24	774,492	13,755	.53	59	220	NA	113	14	.10	2,517	.6
25	2,805,316	403,316	15.57	1,094	45,454	38,300	6,777	4,853	25.70	67,157	17.1

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STATE California

EXHIBIT IV-9

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KEY CHART TO MAP WHICH IDENTIFIES
THE PLANNING AND SERVICE AREAS FOR THE STATE
(See Instructions for Completion of State Plan)

1. Planning and Service Areas (Identify by Number)	2. Identify the Counties, Metropolitan Areas, and Indian Reservations within each Planning and Service Area
1	Counties: Del Norte, Mendocino, Humboldt, Lake; Metro Areas*: None; Reservations**: Coast Indian Community (Resighini), Big Lagoon, Hoopa Valley, Hoopa Estension (Klamath Strip), Trinidad, Hopland, Laytonville, Manchester (Point Arena), Round Valley, Middletown, Sulphur Bank, Upper Lake
2	Counties: Lassen, Modoc, Shasta, Siskiyou, Tehama, Trinity; Metro Areas: None; Reservations: Alturas, Cedarville, Fort Bidwell, Likely, Lookout, XL Ranch, Big Bend (Henderson), Montgomery Creek, Roaring Creek, Susanville
3	Counties: Glenn, Colusa, Butte, Plumas; Metro Areas: None; Reservations: Colusa (Cachil Dehe), Cortina, Grindstone Creek, Taylorsville, Berry Creek
4	Counties: Sacramento, Yolo, Yuba, Nevada, Placer, El Dorado, Sierra, Sutter; Metro Areas: Sacramento, Arden-Arcade; Reservations: Colfax, Rumsey
5	Counties: Sonoma, Napa, Solano, Marin; Metro Areas: Santa Rosa, Vallejo; Reservations: Stewart's Point
6	Counties: San Francisco; Metro Areas: San Francisco; Reservations: None
7	Counties: Contra Costa; Metro Areas: Richmond, Concord, El Monte; Reservations: None
8	Counties: San Mateo; Metro Areas: Richmond, Consord. El Monte; Reservations: none
9	Counties: Alameda; Metro Areas: Berkeley, Oakland, Alameda, San Leandro, Hayward, Fremont; Reservations: None

(Attach Continuation Sheets as Needed)

STATE California

EXHIBIT IV-9

FY 19 75Page 2 of 3

KEY CHART TO MAP WHICH IDENTIFIES
THE PLANNING AND SERVICE AREAS FOR THE STATE
(See Instructions for Completion of State Plan)

1. Planning and Service Areas (Identify by Number)	2. Identify the Counties, Metropolitan Areas, and Indian Reservations within each Planning and Service Area
10	Counties: Santa Clara; Metro Areas: San Jose, Palo Alto, Mountain View, Sunnyvale, Santa Clara; Reservations: None
11	Counties: San Joaquin, Stanislaus, Mariposa, Merced, Calaveras, Amador, Alpine, Tuolumne; Metro Areas: Stockton, Modesto; Reservations: Jackson, Sheep Ranch, Tuolumne
13	Counties: Santa Cruz, San Benito, Monterey; Metro Areas: Santa Cruz, Salinas, Monterey; Reservations: None
14	Counties: Fresno, Madera; Metro Areas: Fresno; Reservations: Big Sandy (Auberry), Cold Springs (Sycamore), Table Mountain
15	Counties: Kings, Tulare, Kern; Metro Areas: Visalia, Bakersfield; Reservations: Santa Rosa, Tule River
16	Counties: Mono, Inyo; Metro Areas: none; Reservations: Big Pine, Bishop, Fort Independence, Lone Pine
17	Counties: San Luis Obispo, Santa Barbara, Ventura; Metro Areas: Ventura, Oxnard, Simi Valley, San Luis Obispo, Santa Barbara; Reservations: Santa Inez
19	Counties: Los Angeles (excepting the City of Los Angeles portion of the County of Los Angeles); Metro Areas: Burbank, Glendale, Pasadena, Alhambra, East Los Angeles, West Covina, Pomona, Santa Monica, Pico Rivera, Whittier, South Gate, Inglewood, Downey, Hawthorne, Compton, Norwalk, Bellflower, Lakewood, Redondo Beach, Carson, Torrance, Long Beach; Reservations: None
20	Counties: San Bernardino; Metro Areas: San Bernardino, Ontario; Reservations: San Manuel, Twentynine Palms

(Attach Continuation Sheets as Needed)

STATE California

EXHIBIT IV-9

FY 19 75Page 3 of 3

KEY CHART TO MAP WHICH IDENTIFIES
THE PLANNING AND SERVICE AREAS FOR THE STATE
(See Instructions for Completion of State Plan)

1. Planning and Service Areas (Identify by Number)	2. Identify the Counties, Metropolitan Areas, and Indian Reservations within each Planning and Service Area
21	Counties: Riverside; Metro Areas: Riverside, Reservations: Angua, Caliente, Cabazon, Canulla, Morengo, Pechanga, Ramona, Santa Rosa, Soboda, Torres-Martinez
22	Counties: Orange; Metro Areas: Fullerton, Buena Park, Anaheim, Orange, Garden Grove, Santa Ana, Westminster, Huntington Beach, Costa Mesa; Reservations: None
23	Counties: San Diego; Metro Areas: El Cajon, San Diego, Chula Vista; Reservations: Barona Ranch, Campo, Capitan Grande, Cuyaipe, Inaya, Cosmit, La Jolla, La Posta, Los Coyotes, Manzanita, Mesa Grande, Mission Reserve, Paia, Pauma, and Yuima, Rincon, San Pasqual, Santa Ysabel, Sycuan, Viejas (Baron Long)
24	Counties: Imperial, Metro Areas: None; Reservations: Fort Yuma
25	Metro Area: Los Angeles; Reservations: None
	<p>*Metro Area Designations based on cities of 50,000 population or more, from California Counties, Standard Metropolitan Statistical Areas, and Selected Places, "<u>Detailed Characteristics, 1970 Census of Population</u>, issued November 1972.</p> <p>**Reservation data obtained from map drawing number 59-30, <u>Indian Reservations in California</u>, U. S. Department of the Interior, Bureau of Indian Affairs, and furnished 12-1-73 by Inter-Tribal Council of California, with undated legend designating reservations sold or terminated.</p>

(Attach Continuation Sheets as Needed)

STATE California

EXHIBIT V.1

FY 19 76

Page 1 of 1

MODIFIED
DEFINITION OF LOW INCOME

In keeping with §903.48 of the Regulations, the State agency here proposes a modified definition of the term "low income", including the relevant data which demonstrate that special factors affecting the economic status of older persons in the State require such modification.

Currently, Federal regulations for this program indicate that the term "low income" refers to those incomes which are below the current Department of Commerce, Bureau of Census poverty threshold, unless the State specifically demonstrates that this definition should be modified based on special factors affecting the economic status of older persons in the State.

As of July 1, 1975, the minimum income for California's elderly receiving Supplementary Security Income (SSI/SSP) was raised to \$3,084 per year for a single person and \$5,854 per year for a couple, in response to special factors affecting the economic status of persons in this state.

The California Office on Aging proposes that this income level be considered the minimum income necessary to maintain a standard of living that will not be detrimental to the health and well being of low income older persons. Therefore, the California Office on Aging proposes that the definition of a "low income" older person be modified so as to include all older* individuals whose annual income is equal to or less than \$3,084 and all older couples** whose annual income is equal to or less than \$5,856.

This definition of low-income does not in any way imply that a "means test" will be used to determine the income level of an individual older person participating in either a Title III or Title VII program. It does establish a basis for determining those geographic areas in which there are concentrations of older persons with low income in which planning for provision of services needs to be given special consideration.

Specific data on the number and geographic location of older persons with incomes at or below this level will be developed through the information and data to be collected in the process of achieving Title III objectives #8 and #10.

* older individual (60 years of age or over)

** older couple (at least one spouse 60 years of age or over)

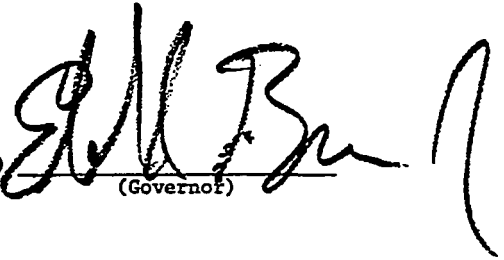
REVIEW AND APPROVAL BY THE GOVERNOR
of
THE STATE PLAN ON AGING

I hereby approve and submit the State Plan on Aging for fiscal year 1977, which includes State objectives and action plans for implementation of the Title III and Title VII Programs and a commitment that the State will carry out these programs in keeping with the provisions of the Act, and all established regulations, policies and procedures.

8/22/76

(Date)

(Signed)

A large, stylized handwritten signature in black ink, appearing to read "G. W. Bush", is written over a horizontal line. The signature is slanted to the right and has a long, sweeping tail.

(Governor)

ATTORNEY GENERAL CERTIFICATION

I certify that CALIFORNIA DEPARTMENT OF AGING
 (Designated State Agency)

- 1) has the authority to prepare the State Plan;
- 2) is the sole State agency responsible for administering the State Plan;
- 3) is primarily responsible for coordination of State programs and activities related to the purposes of the Older Americans Act of 1965, as amended.

I further certify that nothing in this State Plan is inconsistent with State law.

August 19, 1976
 Date

Richard M. Skinner
 Signature

Deputy Attorney General
 Title

STATE CALIFORNIAFY 19 77

CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN

Prior to submission of this State Plan for approval, a public hearing(s) on the content of the Plan was conducted. The following is a description of how the hearing process and actual hearing(s) were conducted including the method by which public notice of the hearing(s) was given; date(s) and location(s) of the hearing(s); opportunities given to the state advisory committee on aging, area agencies, Title VII nutrition projects, and their advisory councils for prior review of the plan; number and categories of persons in attendance at the hearing(s); method by which state agency reviewed comments and suggestions after hearing(s); changes made in State Plan, if any, as a result of meeting(s).

Seven public hearings on the FY 77 State Plan on Aging were held. The locations and dates of the meetings are listed below:

June 2, 1976	MacArthur Senior Citizens Center Elks Building (Second Floor) 607 South Parkview Los Angeles 90057
June 4, 1976	Garden Grove Community Center 11300 Stanford Avenue Garden Grove 92640
June 9, 1976	Salvation Army Community Center 648 Third Avenue Chula Vista 92010
June 11, 1976	State Building 1111 Jackson Street Oakland 94607
June 15, 1976	Red Lion Motor Inn Sierra Room Redding 96001
June 17, 1976	Employment Development Department Auditorium (First Floor) 722 Capitol Mall Sacramento 95814
June 18, 1976	State Building 2550 Mariposa Street Room 1000 Fresno 93721

STATE CALIFORNIAFY 19 77CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN
(Cont'd)

Prior to the hearings, a variety of measures were taken to ensure early and broad notice of the public hearings. This early effort was then followed by subsequent and appropriately-timed efforts.

On April 19, 1976, 850 news media were given initial information on the hearings in both English and Spanish. This same release was also sent to our mailing list of over 3,500 organizations and groups, including senior clubs, councils, commissions and other interested organizations.

In early May, copies of the English version and the Spanish version of an Overview of the Plan were distributed to approximately 45,000 on our mailing list. The Overview included both background information on the program of California Department of Aging and a digest of the three major components of the Plan, i.e., the objectives, the action plans, and the resource allocation plans.

Over 10,000 flyers on individual hearings were disseminated in May. Over a week in advance of each hearing, news releases were made in each locality.

A total of approximately 1,600 persons were in attendance at the seven hearings. The major portion of persons attending the hearings represented groups or organizations with direct and vital concerns for serving the needs of older persons.

The formal development of the plan began in January 1976. A Plan Development Program was broadly distributed through the Office of Planning and Research, State Clearinghouse, and also directly by the California Department of Aging to the California Commission on Aging and to all projects. This was followed by a survey questionnaire relative to areas of program development to the California Commission on Aging and all projects. A draft of the Plan was developed by May 15, 1976. The Office of Planning and Research provided for review and comment through the State Clearinghouse system.

The California Department of Aging distributed approximately 100 copies of the draft including copies to each member of the California Commission on Aging and Statewide Advisory Committee, to each of the four offices of the Department of Aging and to all offices of the 15 Area Agencies on Aging. The latter distributions provided a means of facilitating local review and comment on the plan by project staff, service providers, older persons and all other interested persons.

STATE CALIFORNIAFY 19 77CONDUCT OF PUBLIC HEARING(S) ON STATE PLAN
(Cont'd)

At each hearing, persons desiring to speak identified their area of concern through registration at the hearing. Typically, persons desiring to comment on the Plan itself were heard first. Persons wishing to address any other concerns were then heard, so that everyone desiring to speak had the opportunity.

Each hearing was tape recorded and transcripts of the hearings were later developed. Comments and suggestions on the Plan were reviewed and discussed by the Administrative and Planning staff of the California Department of Aging.

The comments made at the hearings basically affirmed the validity of the approach taken within the Plan. The necessity of developing service systems which could meet previously identified need areas was most evident, e.g., health, transportation, housing, in-home services, day care, crime prevention, legal, employment, and education. Consistent themes brought out at the hearings were the need to give special attention to the needs of the rural elderly and the value of greater utilization and involvement of existing organizational entities at the county level which could contribute significantly to serving the needs of older persons in their local area.

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STATE California

EXHIBIT I-1

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SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #1 PRIORITY SERVICES

Ensure that, by September 30, 1977, a minimum of 20 percent of the total Fiscal Year 77 Title III funds for Area Planning and Social Services is obligated for the purpose of providing one or more of the following national priority services for older persons: in-home services; transportation services; legal services; and home maintenance and repair services.

TITLE III OBJECTIVE #2 LONG RANGE STATE PLAN

In conjunction with the California Interdepartmental Committee on Aging, develop by September 30, 1977, a long-range plan for developing a statewide network of comprehensive services to the elderly.

TITLE III OBJECTIVE #3 TITLE XX SERVICE DELIVERY

Develop, by March 31, 1977, an agreement between the California Department of Aging and the Department of Health whereby that department will initiate a system for regular reporting to the California Department of Aging of significant data relative to Title XX services delivered to persons aged 60 or over.

TITLE III OBJECTIVE #4 SERVICE REPORTING SYSTEMS

Through the California Interdepartmental Committee on Aging, establish by September 30, 1977, agreements with at least two participating State Departments which do not have a system for reporting on services delivered by age of service recipient, whereby those departments will initiate systems for regular reporting to the California Department of Aging of significant data relative to services delivered to persons aged 60 or over.

TITLE III OBJECTIVE #5 SERVICE RECOMMENDATIONS

Review, with at least three of the participating State Departments of the California Interdepartmental Committee on Aging, selected programs of their departments which provide services to older persons and recommend, by September 30, 1977, ways in which the availability and accessibility of these services to older persons could be improved within existing services capacity.

SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #6 HOUSING CRITERIA

Develop, by March 31, 1977, an understanding with the California Housing and Finance Agency and the Department of Housing and Community Development, by which the California Department of Aging will participate with them in the development of criteria for evaluating proposals and will review and comment on proposals submitted to the Housing and Finance Agency for funding of housing projects for older persons.

TITLE III OBJECTIVE #7 EMPLOYMENT PROGRAM RECOMMENDATIONS

Based on a joint evaluation of Project Cal-Esteem, develop recommendations with the Employment Development Department, by March 31, 1977, whereby that department could increase employment opportunities for older workers.

TITLE III OBJECTIVE #8 CRIME PREVENTION PROGRAM

Through September 30, 1977, participate with the Office of the Attorney General in the development and implementation of an expanded program for preventing crimes against the elderly.

TITLE III OBJECTIVE #9 POLICIES AND PROCEDURES

Complete, by March 31, 1977, development of an Administrative Manual clearly detailing basic operating policies and procedures of the California Department of Aging units administration of the statewide program on aging.

TITLE III OBJECTIVE #10 PUBLIC INFORMATION

Expand, by September 30, 1977, the content and scope of public information now provided by the California Department of Aging to ensure more timely publicity of California Department of Aging policies and programs.

TITLE III OBJECTIVE #11 TITLE III MANAGEMENT INFORMATION SYSTEM

Complete, by August 31, 1977, development of a basic management information system within the California Department of Aging which will provide for centralized collection and retrieval of the information required for monitoring, analyzing and reporting on Title III projects administered by the California Department of Aging program.

STATE California

EXHIBIT I-1

FY 19 77Page 3 of 6

SUMMARY LISTING OF STATE OBJECTIVES

TITLE III OBJECTIVE #12

TITLE III EVALUATION MODEL

Develop, by September 30, 1977, an evaluation model to be used in the evaluation of Title III projects.

TITLE III OBJECTIVE #13

PARALEGAL AND SENIOR ADVOCATE PROGRAM

Conduct a Paralegal and Senior Advocate Program which, by September 30, 1977, will train:

25 Paralegals;
50 Public Benefit Administrative Law Specialists; and,
80 Public Benefit Application Specialists.

TITLE III OBJECTIVE #14*

OMBUDSMAN PROGRAM (LONG TERM CARE INSTITUTIONS)

Continue the effort initiated by the California Department of Aging Ombudsman Program to improve the quality of life for residents of long term care institutions, by expanding the network of local Ombudsman Programs to a total of 24 by September 30, 1977.

* This objective is contingent upon approval of a proposal for second-year funding of the Ombudsman Program under Title III Section 308 Model Projects.

STATE California

EXHIBIT I-1

FY 19 77Page 4 of 4

SUMMARY LISTING OF STATE OBJECTIVES

TITLE VII OBJECTIVE #1 NUTRITION PROGRAM EXPANSION

By December 31, 1977, award additional Title VII funds, allocated to California to increase the annual Title VII funding level to \$19.4 million, according to established and stated priorities for the funding of new projects and expansion of existing projects.

TITLE VII OBJECTIVE #2 NUTRITION RESERVE

By June 30, 1977, supplement and develop the capacity of the Statewide Nutrition Program by awarding State of California Nutrition Reserve Funds according to the established and stated priorities for these funds.

TITLE VII OBJECTIVE #3 TITLE VII MANAGEMENT INFORMATION SYSTEM

Develop, by May 31, 1977, a basic management information system within the California Department of Aging which will provide for centralized, and comprehensive, collection, storage and retrieval of all basic information required for monitoring, analyzing and reporting on Title VII projects administered by the California Department of Aging.

TITLE VII OBJECTIVE #4 STANDARDS

By June 30, 1977, establish applicable standards for Title VII budget cost categories, appropriate to different localities and mode of service provision, to be used as a basis for the approval of Title VII projects.

STATE California

EXHIBIT I-2

FY 19 77Page 1 of 3STATEMENT OF STATE OBJECTIVES
TITLE III

(For each objective complete one set)

Statement of Objective (# 1):

PRIORITY SERVICES

Ensure that, by September 30, 1977, a minimum of 20 percent of the total Fiscal Year 77 Title III* funds for Area Planning and Social Services is obligated for the purpose of providing one or more of the following national priority services for older persons: in-home services; transportation services; legal services; and home maintenance and repair services.

* See Exhibit III.1 Supplement, Assurance of Compliance, which details the funds to be used for national priority services.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The 1975 Amendments to the Older Americans Act established four national priority services; namely, in-home services; transportation services; legal and other counseling and assistance programs; and, residential repair and renovation. Each of these services can contribute significantly toward realization of the goals of the Act for older persons: provision of one or more of these services can help a number of older persons in California to have access to those services which will assist them in avoiding unnecessary institutionalization and in maintaining their independence and dignity in a home environment.

The new Amendments require that in Fiscal Year 77, and in ensuing Fiscal Years, at least 20 percent of a State's allotment for area planning and social services must be awarded for the specified national priority services. Therefore, the California Department of Aging is committed to ensuring that of the total FY 77 funds for area planning and social services at least 20 percent will be utilized for one or more of the national priority services.

In obligating these funds for national priority services, for use in individual planning and service areas, the California Department of Aging will emphasize the necessity for providing these services which are of major priority within the area and which will meet the needs of older persons, including minority older persons, with low incomes.

STATE California

EXHIBIT 1-2

FY 19 77Page 2 of 3STATEMENT OF STATE OBJECTIVES
TITLE III.

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Priority services to be funded in Direct Service Areas (DSAs), i.e., in the 8 Planning and Service areas not currently served by Area Agencies on Aging, will be identified and the approximate percentage of the total funds available to DSAs to be awarded for priority services will be ascertained.	Chief of Field Operations Regional Office Manager	September 30, 1976
2.	At Regional Office meetings of AAA Directors, anticipated plans and problems in AAA provision of national priority services will be identified and discussed.	Regional Office Manager	September 30, 1976
3.	At a statewide meeting of AAA Directors, the policy of the California Department of Aging on AAA provision of national priority services will be presented and discussed and potential opportunities for coordinated actions relative to specific priorities will be identified.	Deputy Director	September 30, 1976
4.	At the request of the Regional Office Manager, each AAA will submit to its Regional Office, a statement identifying on a preliminary basis: the national priority services for which FY 77 funds will be requested; the amount of FY 77 funds which will be requested for national priority services; and, the percentage of the total FY 77 allocation planned for national priority services.	Regional Office Manager	October 15, 1976
5.	Each Regional Office will review the statements submitted by AAAs to determine the anticipated percentage of FY 77 funds to be awarded for national priority services in the region and submit an analysis to Headquarters Office.	Regional Office Manager	October 31, 1976

STATE California

EXHIBIT I-2

FY IS 77Page 3 of 3STATEMENT OF STATE OBJECTIVES
TITLE III

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
6.	Headquarters Office will summarize the anticipated plans for providing national priority services to determine if there are any modifications in plans required and to identify special coordination efforts appropriate to initiate.	Chief of Program Chief of Planning	November 15, 1976
7.	Technical Support will identify major pertinent technical information on national priority services which is needed and will develop related Technical Support Information Memos.	Chief of Technical Support	December 15, 1976
8.	Regional Offices will provide technical assistance to AAAs and to potential DSA grantees in their development of plans and proposals for national priority services.	Regional Office Managers Consultants	June 30, 1977
9.	Regional Offices and Headquarters Office will review plans and proposals to determine that, at a minimum, 20 percent of FY 77 funds will be awarded for national priority services.	Chief of Field Operations Regional Office Managers Chief of Planning Keeper of the Budget	July 31, 1977
10.	At a minimum 20 percent of the FY 77 funds will be obligated for national priority services.	Executive Staff	September 30, 1977

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Statement of Objective (# 2):

LONG RANGE STATE PLAN

In conjunction with the California Interdepartmental Committee on Aging, develop by September 30, 1977, a long range plan for developing a statewide network of comprehensive services to the elderly.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Since its establishment, the California Department of Aging has focused a major portion of its planning effort on the development of annual plans designed to meet the needs of older persons within the context of implementing Title III and Title VII of the Older Americans Act. For maximum impact on both the present and future needs of California's older population, it is vital to establish, in concert with other major departments of the State of California responsible for service provision to the elderly, a long range plan for developing a statewide network of comprehensive services for the elderly. Such a plan would entail both the development of a social policy on aging and the establishment of long range goals and objectives consistent with that policy. Each would engender and support a committed response by the various departments to meeting the present and future need of California's elderly for a statewide network of comprehensive services. This network will emphasize provision of those services which are most vital for older persons, including minority older persons, with low incomes.

Those departments currently identified as participants in the California Interdepartmental Committee on Aging (CICA)* will be key participants with the Department of Aging in the process of developing the long range plan. Participatory relationships will also be developed with the following entities:

The California Commission on Aging (CCoA);
The Administration on Aging (AoA);
Legislative Committee(s) on Aging;
The Office of Planning and Research (OPR);
AAAs and Title III DSA and Title VII Nutrition Projects.

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To satisfy conditions for financial support, the long range planning effort will be initiated July 1, 1976 and completed September 30, 1977. Action steps to be completed prior to the beginning of the period of this State Plan and therefore shown.

* CICA Membership List

Health and Welfare Agency
 Department of Aging
 Office of Alcohol Program Management
 Department of Benefit Payments
 Department of Corrections
 Employment Development Department
 Department of Health
 Office of Economic Opportunity
 Department of Rehabilitation
 Department of Youth Authority
 State Office of Narcotics and Drug Abuse
 Department of Education
 State Library
 Department of Finance
 Department of Justice
 Office of Criminal Justice Planning
 Business and Transportation Agency
 Department of Transportation
 Department of Housing and Community Development
 Housing & Finance Agency
 Department of Motor Vehicles
 Resource Agency
 Department of Parks & Recreation
 Agriculture and Services Agency
 Department of Food & Agriculture
 Public Employees Retirement System
 Franchise Tax Board
 Department of Consumer Affairs
 Teachers Retirement System
 State Personnel Board
 Department of Veterans Affairs
 Legislative Budget Committee
 Joint Legislative Committee on Aging
 Office of Planning and Research
 California Commission on Aging (Ex Officio Member)

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Identify and contact participants in planning process and establish participatory relationships.	Deputy Director CICA Coordinator	September 30, 1976
2.	Identify the existing levels of commitment of participatory departments and potential increases in terms of policies, funding, services and relevant data.	Chief of Planning	September 30, 1976
3.	Develop an analysis of factors identified in Step 2.	Chief of Planning	October 31, 1976
4.	In coordination with participants in planning process draft a statement of a social policy on aging, long range goals and objectives and minimum service level criteria.	Deputy Director CICA Coordinator	November 30, 1976
5.	Disseminate the above draft statement for a 30 day period of public review and comment.	Chief of Communications Chief of Planning	December 31, 1976
6.	Conduct public hearings on the draft statement.	Deputy Director	January 31, 1976
7.	In coordination with participants, revise statement of policy, goals, objectives and criteria, as appropriate, based on comments received.	Deputy Director CICA Coordinator Chief of Planning	February 28, 1976
8.	In coordination with participants, develop draft of long range plan including specific action steps.	Deputy Director CICA Coordinator Chief of Planning	June 30, 1977
9.	Disseminate draft of long range plan for public review and comment.	Chief of Communications Chief of Planning	June 30, 1977
10.	Conduct public hearings on the draft of the long range plan.	Deputy Director	July 31, 1977

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
11.	In coordination with participants revise plan, as appropriate, based on comments received.	Deputy Director CICA Coordinator Chief of Planning	September 15, 1977
12.	Present final draft to Legislature preliminary to submitting the plan to the Governor for approval.	Deputy Director	September 30, 1977

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Statement of Objective (# 3):

TITLE XX SERVICE DELIVERY

Develop, by March 31, 1977, an agreement between the California Department of Aging and the Department of Health whereby that department will initiate a system for regular reporting to the California Department of Aging of significant data relative to Title XX services delivered to persons aged 60 or over.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The Title XX program under the Social Security Act is a major source of a variety of the social services provided in the State of California, typically at the County level.

The Title XX program must include at least three social services for Supplemental Security Income recipients; a great majority of which are older persons. In addition, other services are provided to a general population which includes older persons. Based on the first year plan for Title XX services, it was estimated that approximately 18 percent of Title XX resources were to be expended in providing services which would be available to older persons. To date, however, a system for reporting on services actually delivered to older persons has not been established. Such a system would be a vital source of data on services delivered and the characteristics of older persons utilizing Title XX services.

As part of the total effort to establish a long range approach to the development of a network of comprehensive services to the elderly it is essential to develop baseline data and a system for regular determination of trends in the provision of services. A system of regular reporting on Title XX service delivery would be an important basis for assessing the effectiveness of the Title XX program in addressing critical needs of the elderly as well as a basis for improving state coordinated systems of services for older persons at the level of the planning and service area.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Contact the Department of Health, Social Services Division to discuss present plans and constraints in developing a system for reporting data on the delivery of Title XX services.	Deputy Director	October 31, 1976
2.	Based on discussion with the Department of Health, Social Services, identify essential Title XX service delivery data.	Chief of Planning Chief of Evaluations and Management Analysis	November 30, 1976
3.	Submit to the Department of Health, Social Services Division an outline of data requirements essential for ongoing analysis of services delivered to the elderly relative to service needs of the elderly.	Deputy Director	December 31, 1976
4.	Discuss with the Department of Health, Social Services Division the feasibility of initiating a system for regular reporting of the data specified.	Deputy Director	January 31, 1977
5.	Develop a draft of an agreement whereby the Department of Health will initiate a system for regular reporting to the California Department of Aging of data specified.	Deputy Director	February 28, 1977
6.	Finalize agreement.	Deputy Director	March 31, 1977

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Statement of Objective (# 4):

SERVICE REPORTING SYSTEMS

Through the California Interdepartmental Committee on Aging, establish by September 30, 1977, agreements with at least two participating state departments which do not have a system for reporting on services delivered by age of service recipient, whereby those departments will initiate systems for regular reporting to the California Department of Aging of significant data relative to services delivered to persons aged 60 or over.

Rationale for Selection of Objective and Projected Impact.Especially for Serving Low-Income and Minority Older Persons:

The Departments included in the California Interdepartmental Committee on Aging (CICA) provide for a number of services which presently or potentially could be of benefit to older persons. In many cases, however, it has been difficult to determine the extent of services actually delivered to older persons since there is not currently a system for reporting on services delivered by the general age group of service recipients. The initiation of such systems would provide an important source of data on services delivered, and the characteristics of the older persons utilizing those services. It would also provide a basis for assessing the effectiveness of the existing program addressing critical needs of older persons.

The initiation of systems for reporting data on services delivered is consistent with the overall goal of the Title III program which is to develop comprehensive and coordinated systems of service. As noted in Objective #3, as part of the total effort to establish a long range approach to the development of a network of comprehensive services to the elderly it is essential to develop baseline data and a system for regular determination of trends in the provision of services. The initiation of these two additional systems; for regular reporting to the Department of Aging of significant data relative to services delivered to older persons; would be a vital contribution toward the goal of the Title III program.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Through the California Interdepartmental Committee on Aging (CICA) initiate discussions with at least two participating state departments which do not have a system for reporting on services delivered by age of recipients.	Deputy Director CICA Coordinator	March 31, 1977
2.	Determine present plans of these dependents for data reporting systems and any constraints to such systems.	Deputy Director	April 30, 1977
3.	Based on above discussions, identify service delivery data required.	Chief of Planning	May 31, 1977
4.	Submit to each department an outline of data requirements essential for ongoing analysis of services delivered to the elderly relative to service needs of the elderly.	Deputy Director	June 30, 1977
5.	Discuss with each department the feasibility of initiating a system for regular reporting of the data specified.	Deputy Director	July 31, 1977
6.	Develop drafts of agreements whereby each department will initiate a system for regular reporting to the Department of Aging of data specified.	Deputy Director	August 31, 1977
7.	Finalize agreements.	Deputy Director	September 30, 1977

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Statement of Objective (# 5):

SERVICE RECOMMENDATIONS

Review, with at least three of the participating State Departments of the California Interdepartmental Committee on Aging, selected programs of their departments which provide services to older persons and recommend, by September 30, 1977, ways in which the availability and accessibility of these services to older persons could be improved within existing service capacity.

Rationale for Selection of Objective and Projected Impact,Especially for Serving Low-Income and Minority Older Persons:

The departments identified as participants in the California Interdepartmental Committee on Aging (CICA)* either currently or could potentially provide for services of major significance to California's older population. Typically, however, these departments are principally responsible for the administration and/or provision of categorical services, i.e., health services, rehabilitation services, transportation services, employment, etc. Additionally, these departments must serve a population of wide age range, rather than only older persons. The Department of Aging is responsible for fostering the development of comprehensive and coordinated systems of services for older persons. Through identification of both needs and existing services and through development of area agencies on aging and projects such as those providing information and referral (I & R) services to older persons, gaps and duplications in service delivery, from the point of view of older persons, have become apparent.

Through pooling and analyzing the data available from AAAs and I & R sources, relative to services needed and existing service programs in three key service areas, recommendations for program modification within existing service capacity could be made. Implementation of such recommendations could develop greater congruence between needs and the nature of mode of service delivered. This in turn should increase the effectiveness of service provision in meeting the needs of older persons.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Acquire data from AAAs and I & R projects relative to any identified gaps and/or duplication in services for older persons provided through the programs of the departments participating in CICA.	Regional Office Managers I & R Coordinator Chief of Planning	December 31, 1976
2.	Analyze the above data and the data gathered in Objective #2 Action Steps 2, i.e., the existing level of commitment of participating departments and potential increases in these levels, in terms of policies, funding, services and relevant data.	Chief of Planning Chief of Technical Assistance and Legislative Analysis	January 31, 1977
3.	In coordination with participating departments in CICA specify three programs of selected departments for review.	CICA Coordinator	February 28, 1977
4.	Develop, with the selected departments providing the three programs a detailed analysis of program objectives and implementation relative to identified gaps and/or duplications.	Chief of Planning Chief of Technical Assistance and Legislative Analysis	April 30, 1977
5.	In coordination with the selected departments, draft recommendations for improving service availability and accessibility.	CICA Coordinator	June 30, 1977
6.	Disseminate draft recommendations to: CICA: California Commission on Aging; AAAS; Title III DSA projects; and Title VII nutrition projects.	CICA Coordinator	July 15, 1977
7.	In coordination with the selected departments, revise recommendations, as appropriate, based on comments received.	CICA Coordinator	August 31, 1977
8.	Submit recommendations to CICA including the selected departments.	CICA Coordinator	September 30, 1977

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Statement of Objective (# 6):

HOUSING CRITERIA

Develop, by March 31, 1977, an understanding with the California Housing and Finance Agency and the Department of Housing and Community Development, by which the California Department of Aging will participate with them in the development of criteria for evaluating proposals and will review and comment on proposals submitted to the Housing and Finance Agency for funding of housing projects for older persons.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-income and Minority Older Persons:

The Housing and Finance Agency has the responsibility for evaluating proposals submitted to that Agency for funding through the California Housing Finance Fund. The portion of this funding which is to be available for developing housing for older persons with low and moderate incomes is a major source of state financed housing for the elderly. It is essential that the limited housing which can be provided through this source be maximally responsive to the critical housing needs of California's older persons with low and moderate incomes.

Both the Department of Housing and Community Development and the California Department of Aging, have special interest in the provision of housing for the elderly and can provide added insight into the special housing needs of the elderly. Therefore, it is vital that each contributes to the development of Housing and Finance Agency criteria for evaluating proposals for housing for the elderly. Consistent with this approach, the California Department of Aging should review and comment on proposals for housing for the elderly based on the criteria developed.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	The Department of Aging will contact the Secretary of Business and Transportation Agency, the umbrella for both the Department of Housing and Community Development and the new Housing and Finance Agency, in order to indicate the willingness and interest of the Department of Aging in participating in the development of criteria for evaluating proposals for housing for older persons to be funded through the Housing and Finance Agency.	Deputy Director	December 31, 1976
2.	The Department of Aging will contact representatives of the Department of Housing and Community Development and the Housing and Finance Agency and will initiate preliminary discussion of a memorandum of understanding.	Deputy Director	January 31, 1977
3.	<p>The Department of Aging will develop an understanding relative to:</p> <p>the participation of the Department of Housing and Community Development and the Department of Aging in the development of criteria to be used by the California Housing and Finance Agency in evaluating housing for the elderly proposals;</p> <p>the participation of the Department of Aging in reviewing and commenting on housing for the elderly proposals.</p>	Deputy Director	March 31, 1977

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Statement of Objective (# 7):

EMPLOYMENT PROGRAM RECOMMENDATIONS

Based on a joint evaluation of Project Cal-Esteem, develop recommendations with the Employment Development Department, by March 31, 1977, whereby that department could increase employment opportunities for older workers

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Of the total number of persons 55 years of age or older who actively seek employment through the Employment Development Department (EDD), only a small number are placed.

Project Cal-Esteem, a model project funded through the National Council on Aging (NCOA), was developed jointly by the Department of Aging and EDD. It provides for the recruitment, placement and training of approximately 43 persons in 26 EDD field offices, for a limited time period (until approximately December 31, 1976). Eligibility for Project Cal-Esteem was limited to unemployed persons, 55 years of age or older, with incomes below the poverty level. In the process of developing their own skills, the efforts of Cal-Esteem trainees are focused on providing employment services for older workers, i.e., workers 40 years of age or older. Thus, the program was designed to not only provide and promote needed work opportunities for the economically advantaged, 55 and over, but to provide employment services to a limited number of older workers, 40 and over.

Joint evaluation of the Cal-Esteem program by the California Department of Aging and EDD provides an uniquely appropriate basis for comparing the Project Cal-Esteem implementation approach and results in each of the 26 EDD field offices in which the project was conducted. Such comparisons and related analysis should provide an excellent basis for developing recommendations for increasing employment opportunities for older workers through EDD's program.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	In coordination with EDD, develop a survey questionnaire for identifying the essentials of the approach used in each EDD field office in implementing Project Cal-Esteem and the results obtained.	Deputy Director Chief of Technical Support Employment Consultant	October 31, 1976
2.	In coordination with EDD distribute the survey questionnaire to each of the 26 EDD field offices and request response.	Chief of Technical Support Employment Consultant	November 30, 1976
3.	In coordination with EDD, obtain response to the survey questionnaire and analyze results	Chief of Technical Support Employment Consultant	January 31, 1977
4.	In coordination with EDD, interview a selected sample of key EDD personnel responsible for Project Cal-Esteem.	Deputy Director Chief of Technical Support	January 31, 1977
5.	In coordination with EDD, identify key characteristics associated with the most effective implementation of Project Cal-Esteem.	Chief of Technical Support Employment Consultant	February 28, 1977
6.	In coordination with EDD, develop recommendations for providing increased employment opportunities for older workers through EDD's program; based on results obtained through Project Cal-Esteem.	Chief of Technical Support Employment Consultant	March 31, 1977

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Statement of Objective (# 8):

CRIME PREVENTION PROGRAM

Through September 30; 1977, participate with the Office of the Attorney General in the development and implementation of an expanded program for preventing crimes against the elderly.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

During FY 76, the California Department of Aging and the Office of the Attorney General developed a positive working relationship while implementing a special crime prevention and consumer protection training program designed to decrease victimization of the elderly. During FY 77 the Office of the Attorney General will expand the program to include training and development of persons, currently serving the elderly in other capacities to form a special diffused cadre, who will then be capable of also serving the elderly as "crime preventers". This approach has been based on finding that no single category of protectioner, e.g., law enforcement or consumer bureau, can have sufficient impact on the prevention of crimes against the elderly. This program will provide training to such human and social service providers as:

staffs of projects for the aging;
criminal justice personnel;
social service practitioners, i.e.,
nurses, social workers, etc.;
elderly housing providers, i.e.,
managers or owners of mobile home parks, hotels, etc.;
others, i.e., taxi drivers, retail store personnel, etc.

By building on the existing working relationship developed with the Office of the Attorney General, the California Department of Aging can help ensure that the expanded crime prevention program will have impact on increased numbers of older persons.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	<p>In coordination with the Office of the Attorney General, the California Department of Aging will provide initial coverage of the program:</p> <p>through releases in ERA, the monthly newsletter of the California Department of Aging;</p> <p>through releases to other media;</p> <p>through the Information and Referral Network.</p>	<p>Chief of Communications Crime Prevention Consultant I & R Coordinator</p>	<p>November 31, 1976</p>
2.	<p>The Crime Prevention Consultant will initiate support for the program through coordination between the Office of the Attorney General and the following:</p> <p>Regional Offices; AAA Projects; Title III DSA Projects; Title VII Nutrition Projects.</p>	<p>Crime Prevention Consultant</p>	<p>December 31, 1976</p>
3.	<p>The Crime Prevention Consultant will provide coordination for contracts between the Office of the Attorney General and local service providers through the intermediaries of:</p> <p>Regional Offices; AAA Projects; Title III DSA Projects; Title VII Nutrition Projects.</p>	<p>Crime Prevention Consultant</p>	<p>January 31, 1977</p>
4.	<p>The Crime Prevention Consultant will provide coordination in development of schedules and arrangements for training.</p>	<p>Crime Prevention Consultant</p>	<p>February 28, 1977</p>
5.	<p>The Crime Prevention Consultant will attend selected training sessions and provide special coordination as necessary.</p>	<p>Crime Prevention Consultant</p>	<p>September 30, 1977</p>

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Statement of Objective (# 2):

POLICIES AND PROCEDURES

Complete, by March 31, 1977, development of an Administrative Manual clearly detailing basic operating policies and procedures of the California Department of Aging units administration of the statewide program on aging.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The California Department of Aging, (formerly the Office on Aging established in January 1974) is a comparatively new and still developing organization within the state. It has been delegated the authority and has assumed the responsibility for administering the statewide program on aging during a period when a number of major issues related to policies and procedures have not been fully established.

The program on aging includes a variety of geographically dispersed projects - currently 15 Area Agencies on Aging, 25 Title III Direct Service Area projects and 63 Title VII nutrition projects with over 325 sites. The administration of this program requires a Headquarters Office and three Regional Offices.

To ensure more effective administration of the program on aging, it is critical to develop an administrative manual which will clearly formulate and detail basic operating policies and procedures of the California Department of Aging units administration of the statewide program on aging.

The Administrative Manual will link external program operations and internal administration and will provide a bases for integrating and transmitting administrative procedures. Such procedures are especially important in the administration of a regionalized operation.

This objective is consistent with Administration on Aging (AoA) guidance which emphasizes the development of the capacity of the state to administer the program on aging. Achievement of this objective will increase the capacity of the California Department of Aging to administer the program in a more efficient and cost-effective manner and will therefore have ongoing impact on the effectiveness of this program to serve the needs of older persons.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	In coordination with appropriate units of the California Department of Aging develop drafts of the following sections of the Administrative Manual and submit to Executive Staff for review and comment: Personal Communications System; Internal Administration Policies; Grants Administration Policy; Personnel; Public Relations and Information; Employee Training.	Management Analysis Technician	October 15, 1976
2.	Develop an initial draft of a formal statement of the California Department of Aging's overall purpose and its major goals and objectives.	Deputy Director	October 31, 1976
3.	In coordination with appropriate units of the California Department of Aging, develop drafts of the following Sections and submit to Executive Staff for review and comment: Overall Planning, Grants Monitoring and Evaluation, and Legislation; General Office Services Support.	Management Analysis Technician	October 31, 1976
4.	In coordination with the Administration Division, develop a draft of the Fiscal Operations Section and submit to Executive Staff for review and comment.	Management Analysis Technician	November 30, 1976
5.	Develop final draft of the formal statement of purpose, major goals and objectives for inclusion in the Administrative Manual.	Deputy Director	December 31, 1976
6.	Submit the complete draft of the Administrative Manual to Executive Staff for review and comment.	Chief of Evaluation and Management Analysis	December 31, 1976

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
7.	Revise the Administrative Manual as appropriate based on comments received.	Management Analysis Technician	January 31, 1977
8.	Approve final draft of the California Department of Aging Administrative Manual.	Executive Staff	February 15, 1977
9.	Print and disseminate copies of the Administrative Manual.	Chief of Communications	March 31, 1977

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Statement of Objective (#10):

PUBLIC INFORMATION

Expand, by September 30, 1977, the content and scope of public information now provided by the California Department of Aging to insure more timely publicity of California Department of Aging policies and progress.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The statewide program on aging administered by the California Department of Aging is an expanding program involving and linking a large number of organizations and persons. Increasing the scope and content of public information will improve public understanding of the program. By consistently articulating specifics of its programs and policies, the Department of Aging will improve the basis for the public's perception of the efforts of this Department. This will have a positive impact on the effectiveness of the statewide effort to develop comprehensive and coordinated systems of services for older persons.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Initiate regular utilization of the monthly newsletter ERA and regional or statewide news release formats for articulating policies and programs.	Deputy Director Chief of Communications	December 31, 1976
2.	Develop a Department of Aging brochure identifying the organizational structure and the administrative and/or programmatic functions and responsibilities of key staff.	Chief of Communications	March 31, 1977
3.	Provide for initial public information distribution of the brochure.	Chief of Communications	April 30, 1977
4.	Develop "speech package" material, including visual aids, illustrating the structure and program roles of the California Department of Aging and its relationships to projects and governmental and other organizational entities.	Chief of Communications	September 30, 1977

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Statement of Objective (# 11):

TITLE III MANAGEMENT INFORMATION SYSTEM

Complete, by August 31, 1977, development of a basic management information system within the California Department of Aging which will provide for centralized collection and retrieval of the information required for monitoring, analyzing and reporting on Title III projects administered by the California Department of Aging program.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The Department of Aging was established as the Office on Aging shortly after the Title III program was altered significantly by the 1973 Amendments to the Older Americans Act. The Amendments created a complex program for state level administration, involving fiscal transactions, grants management and program development.

To date, the Department of Aging has not had the opportunity to develop a management information system which would provide for integration and summarization of the data, regional and state levels for conducting the various functions for which the Department of Aging is responsible. It is most important, however, that this management information system will be developed for the Title III program. This system can then be further developed in later years.

This objective is consistent with Administration on Aging guidance which states that "The complexity of grantsmanship and accountability at all levels of the Program clearly indicates the need for state agencies to give serious attention to expanding their capability and that of their grantees in this area." Development of the management information system for Title III will provide a dependable basis for improving the overall program on aging and the specific services provided to older persons through the Title III program.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Acquire a key entry device for processing data on electronic equipment.	Chief of Administration	October 31, 1976
2.	Complete specification of Title III fiscal data required at Project, Regional Office and Headquarters Office levels.	Chief of Administration	November 30, 1976
3.	Design data gathering forms for monthly summarization of fiscal data for the Title III program.	Chief of Administration	December 31, 1976
4.	Design information reporting forms for monthly summarization of fiscal information on Title III.	Chief of Administration	December 31, 1976
5.	In coordination with the Department of Finance, complete development of a program for processing Title III fiscal data required at the state level.	Chief of Administration	December 31, 1976
6.	Initiate utilization of data gathering forms.	Chief of Administration	January 31, 1977
7.	Initiate utilization of key entry and electronic processing of Title III fiscal data required at the state level.	Chief of Administration	February 28, 1977
8.	Initiate dissemination of monthly reports of summarized information on fiscal status of Title III program.	Chief of Administration	March 31, 1977
9.	Complete specification of program data required for the Title III program at Project, Regional Office and Headquarters Office levels.	Chief of Administration	March 31, 1977

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TITLE III

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
10.	Design data gathering forms for quarterly summarization of Title III program data.	Chief of Administration	May 31, 1977
11.	Design information reporting forms for quarterly summarization of Title III program data.	Chief of Administration	May 31, 1977
12.	Complete development of a program for electronic processing of basic Title III data required at the state level.	Chief of Administration	May 31, 1977
13.	Initiate utilization of quarterly data gathering forms.	Chief of Administration	June 30, 1977
14.	Initiate utilization of program for electronic processing of Title III program data.	Chief of Administration	July 31, 1977
15.	Initiate dissemination of quarterly reports of information on program status of the Title III program.	Chief of Administration	August 31, 1977

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TITLE III

(For each objective complete one set)

Statement of Objective (# 12):

TITLE III EVALUATION MODEL

Develop, by September 30, 1977, an evaluation model to be used in the evaluation of Title III projects.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Under Title III of the Older Americans Act, major emphasis has been given to fostering the development of comprehensive and coordinated systems of services to serve the needs of older persons within each planning and service area of the state. Alternative approaches to the implementation of this development are being utilized but to date these approaches have not been adequately evaluated. In order to ensure that limited Title III funds are utilized most effectively for the development of these systems, it is essential to develop a means to evaluate the efficacy of various approaches. The evaluation model will provide us with a means for evaluating the efficacy of various approaches and efforts to date and a basis for more effective planning and decision making. Both will lead to increased benefits to older persons from the programs planned under Title III. This evaluation model will also provide means to respond to requests for specific data and information on the effectiveness of various Title III programs.

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(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Hire a professional evaluator to consult with evaluation staff on the development of an evaluation model.	Chief of Evaluations and Management Analysis	September 15, 1976
2.	Develop an evaluation proposal and disseminate to the staff of the California Department of Aging and to projects requesting review and comment.	Chief of Evaluations and Management Analysis	October 31, 1976
3.	Review comments received and revise evaluation proposal.	Chief of Evaluations and Management Analysis	January 31, 1977
4.	Initiate project evaluation.	Chief of Evaluations and Management Analysis	February 28, 1977
5.	Disseminate information relative to initial project evaluation to the staff of the California Department of Aging and projects requesting review and comment.	Chief of Evaluations and Management Analysis	June 30, 1977
6.	Revise evaluation model as necessary based on comments received.	Chief of Evaluations and Management Analysis	September 30, 1977

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TITLE III

(For each objective complete one set)

Statement of Objective (#13):

PARALEGAL AND SENIOR ADVOCATE PROGRAM

Conduct a Paralegal and Senior Advocate Program which, by September 30, 1977, will train:

25 Paralegals;
50 Public Benefit Administrative Law Specialists; and,
80 Public Benefit Application Specialists.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

Although over 90 percent of California's older persons are dependent on one or more government benefit programs for basic health and income needs, it is estimated that 20 percent of California's older persons are not receiving one or more of the public benefits to which they are entitled. Those who fail to secure benefits, as well as many older persons who do receive benefits, are in need of legal counseling. Older persons with low income are particularly in need of such counseling; however, national statistics show that the low income elderly clients comprise less than 6 percent of the client population of legal service programs.

The Paralegal and Senior Advocate Program has been designed to impact the legal service needs of the elderly and to help the elderly obtain benefits to which they are entitled. Two approaches are included in the program.

One approach which has been previously demonstrated to be effective is to train Paralegals and then place them in working relationship with an attorney. This proper use of Paralegals can substantially increase the quantity and quality of legal services to older persons. This program includes the training of 25 Paralegals which, added to the 60 Paralegals trained in the first project year, will expand the advocacy system initiated for older persons.

The other approach is to train persons such as social service staff to serve a specific function relative to the elderly and the public benefits for which the elderly are eligible. This program will train various social service staff as one of two different types of specialists:

the Public Benefit Application Specialist;
the Public Benefit Administrative Law Specialist.

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(For each objective complete one set)

The Public Benefit Application Specialist will serve as a "linkage agent" between the elderly community and the maze of complex and interlocking public benefit bureaucracies. This Specialist will also act as a "facilitator" for individuals who have applied for benefits and are having problems becoming or remaining eligible for benefits.

The Administrative Law Specialist will act as the "advocate" for the older persons at all stages of the administrative law process.

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TITLE III

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Adapt, modify and pre-test present 14-day intensive Paralegal Training Program into a 10-day Paralegal training curriculum.	Paralegal and Specialist (P/S) Training Coordinator	November 30, 1976
2.	Train 25 Paralegal Trainees in the first 3-day intensive session of a 10-day curriculum.	P/S Training Coordinator	December 31, 1976
3.	Adapt, modify and pre-test Paralegal and Public Benefit Administrative Law Specialist curriculum to a 3-day intensive curriculum for Public Benefit Application Specialists.	P/S Training Coordinator	March 31, 1977
4.	Train 25 Public Benefit Administrative Law Specialists in the first 3-day intensive session.	P/S Training Coordinator	January 31, 1977
5.	Train the same 25 Paralegals in the second 3-day intensive session of a 10-day curriculum.	P/S Training Coordinator	February 28, 1977
6.	Train the first group of 40 Public Benefit Application Specialists	P/S Training Coordinator	March 31, 1977
7.	Train the same 25 Public Benefit Administrative Law Specialists in the last 3 days of the 6-day intensive curriculum.	P/S Training Coordinator	April 30, 1977
8.	Train the second group of 40 Public Benefit Application Specialists.	P/S Training Coordinator	May 30, 1977
9.	Conduct 2 days of seminars for 25 Paralegal Trainees.	P/S Training Coordinator	June 30, 1977
10.	Conduct an additional 2 days of seminars for 25 Paralegal Trainees.	P/S Training Coordinator	July 31, 1977
11.	Conduct final evaluation, submit reports and disseminate information.	P/S Training Coordinator	September 30, 1977

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(For each objective complete one set)

Statement of Objective (# 14):

OMBUDSMAN PROGRAM (LONG TERM CARE INSTITUTIONS)*

Continue the effort initiated by the California Department of Aging Ombudsman Program to improve the quality of life for residents of long term care institutions, by expanding the network of local Ombudsman Programs to a total of 24 by September 30, 1977.

* This objective is contingent upon approval of a proposal for second year funding of the Ombudsman Program under Title III Section 308 Model Projects.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The Ombudsman Program was designed to serve the needs of California's older persons for improved quality of nursing home care by providing an ombudsman to:

initiate development of a network of local programs within which problems affecting quality of care, maintenance of independence, and dignity of nursing home residents can be channelled toward resolution;

mobilize staff of public agencies and long-term care associations toward improvement in the care of nursing home residents:

use information from reporting and assessment tools to initiate new legislation; and

disseminate information to make the public aware of and responsive to the need for public input and involvement in the control of long-term institutional care.

Although its first year of operation has not yet been completed, the Ombudsman Program, with a staff of only one professional and one clerical person has achieved noteworthy progress in initiating the development of a network of local Ombudsman Programs and meeting its other objectives. However, the need to expand the network to include increased numbers of local Ombudsman Programs is apparent. Such expansion would increase by approximately 100 percent the availability of this vital program for the state's widely dispersed elderly residing in long-term care institutions. It would help to focus and mobilize interest at the local level and would develop a basis for constructive action at that level.

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Through Program Instructions and Technical Assistance Memoranda, the Administration on Aging (AOA) has emphasized that a program such as the California Office on Aging Ombudsman Program should be included as an integral and ongoing part of a state's total program on aging. In order to expand the capacity of the Ombudsman Program to better serve California's older population, in its proposal for second year funding under Title III, Section 308 Model Projects, the California Office on Aging is seeking to increase the number of State Ombudsman from one to two.

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(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Develop details of the plan for continuation and expansion of the Ombudsman Program.	Chief Ombudsman	November 30, 1976
2.	Present plan to the Advisory Committee for the Ombudsman Program.	Chief Ombudsman	December 31, 1976
3.	Hire an Associate Ombudsman.	Deputy Director	January 31, 1977
4.	Provide special training to the Associate Ombudsman.	Chief Ombudsman	February 28, 1977
5.	Disseminate public information on proposed program expansion.	Chief of Communications Chief Ombudsman	February 28, 1977
6.	Initiate joint participation by both Ombudsman in the development of the 12 prevailing initiated local Ombudsman Programs and an additional 12 new local Ombudsman Programs.	Chief Ombudsman Associate Ombudsman	March 15, 1977
7.	Meet with appropriate Area Agency on Aging Directors and Information and Referral Project Directors to inform them of the program, including plans for: local program development; program procedures; staffing needs; space assignments; budget allocations; and, application procedures.	Chief Ombudsman Associate Ombudsman	March 31, 1977
8.	Meet with administrators of public agencies which provide technical assistance to nursing homes to: explain the Ombudsman Program; enlist their support; and, determine methods of working cooperatively.	Chief Ombudsman Associate Ombudsman	April 15, 1977

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(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
9.	Meet with executive directors of nursing home associations for the purposes described in Step 8.	Chief Ombudsman Associate Ombudsman	April 30, 1977
10.	Provide for Area Agency on Aging Directors and Information and Referral Directors to meet with staff of local public agencies for the purposes described in Step 7.	Chief Ombudsman Associate Ombudsman	May 15, 1977
11.	Provide for coordination of Area Agency on Aging Directors and Information and Referral Directors to meet with nursing home administrators in their service area for the purposes described in Step 8.	Chief Ombudsman Associate Ombudsman	May 31, 1977
12.	Arrange for training of personnel assigned to the Ombudsman activity by Area Agencies on Aging in: Objectives, functions, methods, and procedures; and, use of reporting documents.	Chief Ombudsman Associate Ombudsman	July 15, 1977
13.	Begin provision of technical assistance to the Area Agencies on Aging and Information and Referral Projects as necessary as they initiate Ombudsman activity.	Chief Ombudsman Associate Ombudsman	July 31, 1977
14.	Coordinate with the Chief of Communications the development of informational material for public distribution and outreach and implement a statewide publicity campaign to explain: the function and parameters of the Ombudsman program; and how the public can use the services of the program.	Chief Ombudsman Associate Ombudsman	August 15, 1977

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
15.	Initiate monthly meetings of Ombudsman personnel to: provide training and technical assistance; review and revise procedures; and, submit status reports.	Chief Ombudsman Associate Ombudsman	August 31, 1977
16.	Seek state sponsorship and legislation for continuation of the Ombudsman Program in its third year of operation.	Deputy Director	September 30, 1977

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TITLE VII

(For each objective complete one set)

Statement of Objective (# 1):

NUTRITION PROGRAM EXPANSION

By December 31, 1976 award additional Title VII funds, allocated to California to increase the annual Title VII funding level to \$19.4 million, according to established and stated priorities for the funding of new projects and expansion of existing projects.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The annual operating level for the national Title VII Nutrition Program has been increased. This increase enables the California Department of Aging to raise its annual operating level to \$19.4 million.

The California Department of Aging considers it essential to reach the \$19.4 million annual operating level in a timely manner in order that the California Title VII Nutrition Program can serve increased numbers of older persons as soon as possible. While keeping in mind the necessity to make reasonable and carefully considered funding decisions, the California Department of Aging plans to achieve the authorized annual operating level by December 1976.

The prior annual operating level was \$13.6 million. The general policy statement for the Title VII increased operating level is stated below. Priorities for the funding of new projects and the expansion of existing projects are also set forth.

POLICY FOR TITLE VII INCREASED OPERATING LEVEL

General Policy Statement

1. Highest priority will be given to serving older persons with low income.
2. As in the past, every action will be taken to insure that minority older persons will receive meals "at least in proportion to their numbers of the eligible individuals in the state."*
3. To the extent feasible, grants will be awarded to projects operated by minority individuals "at least in proportion to their numbers of the eligible individuals in the state."

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TITLE VII

(For each objective complete one set)

Funding Decisions Within Each Planning and Service Area (PSA)

After consultation and opportunity for review by the appropriate Area Agency on Aging, each Regional Office of the California Department of Aging is responsible for deciding how funds will be allocated within the PSAs within its jurisdiction. Allocation decisions will be consistent with the General Policy as stated above and will be made based on the following priorities:

1. To insure maintenance of the current contracted service level (making allowances for pay raises, inflation, etc.).
2. To extend service to NON-SERVED geographic areas with high numbers and percentages of low income elderly.
3. To extend service to UNDER-SERVED geographic areas with high numbers and percentages of low income elderly.
4. The extension of service may be accomplished by either expansion of an existing or development of a new project taking into account the following factors in relative order of importance:

cost-effectiveness;
expressed community needs;
fiscal capability;
evidence of local participation and support
(such as availability and commitment of resources).

* Currently, minority seniors comprise 15.34% of the total population of Californians 60 years of age or older. In California 37.64% of all meals are going to minority elders.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Regional Offices, in accordance with the Basic Annual Title VII Resource Allocation Plan will request proposals for expansion of projects and/or new projects.	Regional Office Manager	July 30, 1976
2.	Regional Offices will provide requested technical assistance to organizations developing proposals.	Title VII Consultant Nutritionist	October 31, 1976*
3.	Regional Offices will review proposals for expansion of projects and/or new projects according to the established and stated priorities.	Regional Office Managers	November 30, 1976*
4.	Regional Offices will recommend proposals to Executive Staff for funding	Regional Office Manager	December 15, 1976*
5.	Executive Staff will consider the recommended proposals according to the established and stated priorities and award the additional Title VII funds.	Executive Staff	December 31, 1976*
	* This is the latest date for completion of this step for every PSA allocated an increased annual level of funding. For most PSAs this step will be completed at an earlier date.		

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TITLE VII

(For each objective complete one set)

Statement of Objective (# 2):

NUTRITION RESERVE

By June 30, 1977, supplement and develop the capacity of the Statewide Nutrition Program by awarding State of California Nutrition Reserve Funds according to the established and stated priorities for these funds.

Rationale for Selection of Objective and Projected Impact.Especially for Serving Low-Income and Minority Older Persons:

Under previous state law, state funds were authorized as the nonfederal share of local matching funds for nutrition programs conducted under the McCarthy-Kennick Nutrition Program for the Elderly Act of 1972. Such funds were appropriated annually in the Budget Act.

Assembly Bill 2285, passed in May 1976, stated that in addition to any nutrition programs conducted under the above Act, the California Department of Aging may make funds available, "to other nutrition projects serving the needs of individuals aged 60 or over provided by public or private non-profit persons or agencies under such terms and conditions as the (Department) specifies."

A budget of \$141,000 in Nutrition Reserve Funds has been approved. The California Department of Aging has established the following as nutrition reserve funding priorities:

NUTRITION RESERVE FUNDING PRIORITIES

First priority is to provide local match when the most effective use of Title VII monies would be through a project unable to establish local match in any other fashion.

Second priority is to provide for pilot or demonstration projects of statewide or national significance which would serve as models of alternative methods for meeting the nutritional needs of California's elderly.

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TITLE VII

(For each objective complete one set)

Third priority is to provide one-time supplements to ongoing nutrition programs, whether Title VII or other source-funded, for capital equipment expenditures which would increase the continuing capacity of that project to meet existing need, but which would not change the service style of the project.

The development and funding of proposals meeting the established priorities will supplement the capacity of the state's total nutrition program on aging. It will also increase the flexibility and responsiveness with which the program can serve the needs of its older persons.

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TITLE VII

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Assess nutritional needs within the region including any needs of a one-time-only nature.	Regional Office Managers	December 15, 1976
2.	Provide broad dissemination of a Request for Proposals for Nutrition Reserve Funds. Proposals will be requested to be submitted to Regional Offices by March 1, 1977 for consideration for funding by June 30, 1977.	Deputy Director Chief of Communications	December 31, 1976
3.	Send a Letter of Intent to the Department of Finance prior to the awarding of the nutrition funds.	Executive Staff	December 31, 1976
4.	Review proposals for the first priority which were submitted by March 1, 1977 and make recommendations to the Executive Staff relative to the funding of these proposals.	Regional Office Manager	March 31, 1977
5.	Review proposals for the second and third priorities which were submitted by March 1, 1977 and make recommendations to Executive Staff relative to the funding of these proposals.	Regional Office Manager	March 31, 1977
6.	Submit a Letter of Intent to the Department of Finance stating the priorities in the awarding of nutrition funds.	Executive Staff	April 15, 1977
7.	Award Nutrition Reserve Funds according to the amount available for the priority.	Executive Staff	June 30, 1977

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TITLE VII

(For each objective complete one set)

Statement of Objective (# 3):

TITLE VII MANAGEMENT INFORMATION SYSTEM

Develop, by May 31, 1977, a basic management information system within the California Department of Aging which will provide for centralized, collection, storage and retrieval of all basic information required for monitoring, analyzing and reporting on Title VII projects administered by the California Department of Aging.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

In May 1976 the California Department of Aging was responsible for administering 63 Title VII Nutrition Projects with a total of 325 sites. The recent increase in the level of annual funding for Title VII - from \$13.6 to \$19.4 million - will provide for expansion of some existing projects and also for the addition of some new projects. In order to administer this extensive and expanding program in a more efficient and cost effective manner, the California Department of Aging needs to develop a management information system for gathering and analyzing Title VII fiscal and program data.

During FY 77 a basic management information system, incorporating electronic processing of data, will be developed for the nutrition program. It will provide a dependable basis for improving the quality of the nutrition and other services delivered to older persons under the Title VII program.

This objective is consistent with the emphasis of the Administration on Aging on the state's development of its capacity to administer the complex state program on aging.

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TITLE VII

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Acquire a key entry device for processing data on electronic equipment.	Chief of Administration	October 31, 1976
2.	Complete specification of Title VII fiscal data required at Project, Regional Office, and Headquarters Office levels.	Chief of Administration	October 31, 1976
3.	Design data gathering forms for monthly summarization of fiscal data for the Title VII program.	Chief of Administration	October 31, 1976
4.	Design information reporting forms for monthly summarization of fiscal information on Title VII.	Chief of Administration	October 31, 1976
5.	In coordination with the Department of Finance, complete development of a program for processing Title VII fiscal data required at the state level.	Chief of Administration	October 31, 1976
6.	Initiate utilization of data gathering forms.	Chief of Administration	November 30, 1976
7.	Initiate utilization of key entry and electronic processing of Title VII fiscal data required at the state level.	Chief of Administration	December 31, 1976
8.	Initiate dissemination of monthly reports of summarized information on fiscal status of Title VII program.	Chief of Administration	January 31, 1977
9.	Complete specification of program data required for the Title VII program at Project, Regional Office and Headquarters Office levels.	Chief of Administration	January 31, 1977
10.	Design data gathering forms for quarterly summarization of Title VII program data.	Chief of Administration	February 28, 1977
11.	Design information reporting forms for quarterly summarization of Title VII program data.	Chief of Administration	February 28, 1977

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TITLE VII

(Continued)

Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
12.	Complete development of a program for electronic processing of basic Title VII program data required at the state level.	Chief of Administration	February 28, 1977
13.	Initiate utilization of quarterly data gathering forms.	Chief of Administration	March 31, 1977
14.	Initiate utilization of program for electronic processing of Title VII program data.	Chief of Administration	April 30, 1977
15.	Initiate dissemination of quarterly reports of information on program status of the Title VII program.	Chief of Administration	May 31, 1977

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TITLE VII

(For each objective complete one set)

Statement of Objective (# 4):

STANDARDS

By June 30, 1977, establish applicable standards for Title VII budget cost categories, appropriate to different localities and mode of service provision, to be used as one of the bases for the approval of Title VII projects.

Rationale for Selection of Objective and Projected Impact,
Especially for Serving Low-Income and Minority Older Persons:

The Title VII Nutrition Program is implemented through contracts with a variety of governmental and other organizations at the local level. There is substantial variation in costs incurred at local levels, for example, established by salary ranges, costs for facilities and services, and food costs can vary considerably by locality. To ensure that the level of costs budgeted for Title VII are consistent with the most efficient and effective provision of Title VII services to older persons, it is essential to establish applicable standards for a number of Title VII budget items. The development of the Title VII management information system will facilitate the development of these standards.

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Step #	Major Action Steps to Achieve Objective	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Analyze data for existing Title VII budget cost categories and specify those priority categories for which applicable standards will be established.	Chief of Evaluation and Management Analysis	February 28, 1977
2.	Specify variables and ranges to be considered in establishing standards.	Chief of Evaluation and Management Analysis	March 15, 1977
3.	Develop draft of applicable standards for categories selected.	Chief of Evaluation and Management Analysis	April 15, 1977
4.	Submit draft of standards to Executive Staff for a period of review and comment.	Chief of Evaluation and Management Analysis	April 30, 1977
5.	Revise standards as necessary based on comments received.	Chief of Evaluation and Management Analysis	June 15, 1977
6.	Approve final draft of the standards for Title VII cost categories.	Executive Staff	June 30, 1977

PART II: ACTION PLANS

ACTION PLAN FOR TECHNICAL ASSISTANCE

PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing technical assistance to the programs and activities to be supported under Title III.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Identify Technical Assistance information essential for the development of each of the national priority services and develop Technical Assistance Memos pertinent to high priority information needs.	Chief of Technical Assistance and Legislative Analysis	December 31, 1976
2.	Conduct regional workshops to discuss the area plan format and requirements, and to provide technical assistance in the development of the area plan.	Chief of Planning Regional Office Manager	December 31, 1976
3.	Conduct regional workshops to provide technical assistance in the planning and implementation of programs to provide for national priority services utilizing special resource persons within the region.	Chief of Technical Assistance and Legislative Analysis Regional Office Managers	January 31, 1977
4.	Test the feasibility of following up quarterly assessments of projects by conducting, in one Regional Office, quarterly program reviews involving key project staff and a team of Regional Office staff to provide appropriate technical assistance.	Regional Office Managers	June 30, 1977

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ACTION PLAN FOR TECHNICAL ASSISTANCE

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PART A - TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing technical assistance to the programs and activities to be supported under Title III.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
5.	Provide public information media material to projects to assist them in increasing public awareness of the project, i.e.: Model news releases; Spot announcements; Methods for enlisting news media support.	Chief of Communications	June 30, 1977
6.	Revise the Title III Manual of Policies and Procedures.	Management Analysis Technician	September 30, 1977

ACTION PLAN FOR TECHNICAL ASSISTANCE

PART B - TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing technical assistance to the programs and activities to be supported under Title VII.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Initiate the conduct of regional workshops for potential applicants, interested in responding to requests for proposals for Title VII Nutrition Programs, to provide basic technical assistance required to develop proposals.	Regional Office Managers	December 31, 1976
2.	Present quarterly regional workshops to provide technical assistance and nutrition education to nutrition project staff.	Nutritionist	December 31, 1976 March 31, 1977 June 30, 1977 September 30, 1977
3.	Initiate the conduct of technical assistance workshops for the key staff of new Title VII Nutrition Projects to provide technical assistance in basic aspects of implementing the nutrition program.	Regional Office Managers	January 31, 1977
4.	Test the feasibility of following up quarterly assessments of projects by conducting, in one Regional Office, quarterly program reviews involving key project staff and a team of regional office staff to provide appropriate technical assistance.	Regional Office Managers	March 31, 1977
5.	Provide on-site technical assistance to Title VII project nutritionists in the development of monitoring and assessment of nutritional aspects of meals served at Title VII project sites.	Nutritionists	June 30, 1977
6.	Revise the Title VII Manual of Policies and Procedures.	Management Analysis Technician	September 30, 1977

ACTION PLAN FOR ASSESSMENT
PART A--TITLE III

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title III.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Complete a draft version of the assessment tool for making measurable assessments of Title III Projects developed by a task force consisting of: Administrative Assistant to the Chief of Field Operations; 3 Field Consultants (one representative from each Region); 1 Evaluations and Management Analysis representative; 3 AAA Director (one representative from each Region).	Administrative Assistant to the Chief of Field Operations	December 31, 1976
2.	Distribute the assessment tool for review and comment by: California Department of Aging staff; Area Agencies on Aging; Title III Direct Service Area projects; California Commission on Aging.	Administrative Assistant to the Chief of Field Operations	January 15, 1977
3.	Revise the assessment tool based on comments received.	Administrative Assistant to the Chief of Field Operations	February 28, 1977
4.	Approve the assessment tool for use in assessing Title III projects.	Executive Staff	March 15, 1977

ACTION PLAN FOR ASSESSMENT
PART E--TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title VII.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	<p>Complete a draft version of the assessment tool for making measurable assessment of Title VII Projects developed by a task force consisting of:</p> <p style="padding-left: 40px;">Administrative Assistant to the Chief of Field Operations;</p> <p style="padding-left: 40px;">1 Nutritionist (representing one Region);</p> <p style="padding-left: 40px;">2 Field Consultants (representing the other two Regions);</p> <p style="padding-left: 40px;">1 Evaluations and Management Analysis representative;</p> <p style="padding-left: 40px;">3 Title VII project Directors (one representative from each of the Regions).</p>	Administrative Assistant to the Chief of Field Operations	October 1, 1976
2.	<p>Distribute the assessment tool for review and comment by:</p> <p style="padding-left: 40px;">California Department of Aging staff;</p> <p style="padding-left: 40px;">Title VII Projects;</p> <p style="padding-left: 40px;">Area Agencies on Aging;</p> <p style="padding-left: 40px;">California Commission on Aging.</p>	Administrative Assistant to the Chief of Field Operations	October 15, 1976
3.	<p>Revise the assessment tool based on comments received.</p>	Administrative Assistant to the Chief of Field Operations	November 30, 1976
4.	<p>Approve the assessment tool for use in assessing Title VII Projects.</p>	Executive Staff	December 15, 1976

ACTION PLAN FOR ASSESSMENT
PART E—TITLE VII

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to assessing the programs and activities to be supported under Title VII.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
5.	Disseminate the assessment tool to Department of Aging staff and to Title VII Projects.	Administrative Assistant to the Chief of Field Operations	December 31, 1976
6.	Train staff in the use of the assessment tool.	Administrative Assistant to the Chief of Field Operations	January 15, 1977
7.	Initiate use of the assessment tool for quarterly on-site assessments by a team of two Field Consultants, including the Field Consultant assigned to the Title VII Project.	Field Consultant	January 31, 1977
8.	Initiate quarterly submission to the Title VII Project of findings and recommendations based on use of the Title VII assessment tool (to be submitted within two weeks of the date of assessment).	Field Consultant	February 15, 1977

ACTION PLAN FOR COORDINATION

PART A -- TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the coordination of State planning and service activities and programs related to the purpose of <u>Title III</u> .			
Step	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Initiate dissemination, on an approximately monthly basis of summaries of: the content of proposed legislation with potential for significant impact for older persons; and its current status.	Legislative Analyst	December 31, 1976
2.	Conduct six bi-monthly meetings of the California Interdepartmental Committee on Aging (CICA) to determine specific ways to increase coordination in the delivery of services, provided by the participating state departments, to older persons.	Director CICA Coordinator	November 30, 1976 January 31, 1977 March 31, 1977 May 31, 1977 July 31, 1977 September 30, 1977
3.	Provide for presentations and discussion, by the departments participating in CICA, at Regional meetings of AAA and Title III DSA Project Directors, relative to the service programs administered by that department within the region.	CICA Coordinator Regional Office Manager	December 31, 1976 March 31, 1977 June 30, 1977 September 30, 1977
4.	Conduct four quarterly Regional meetings of AAA Directors, Title III DSA Project Directors and Title VII Project Directors to jointly develop recommended actions by these directors to address specific priority service needs of older persons within the planning and service area.	Regional Office Managers	December 31, 1976 March 31, 1977 June 30, 1977 September 30, 1977

PART A -- TITLE III

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities which will provide for the coordination of State planning and service activities and programs related to the purpose of Title III.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
5.	Initiate dissemination, to AAAs and Title III projects, of basic information on statewide service programs available to older persons which are provided by state departments participating in CICA: request comments on the present availability and accessibility of these programs to older persons within the specific planning and service area and appropriate recommendations for improving service.	Chief of Technical Assistance and Legislative Analysis	December 31, 1976

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to carry out those activities related to providing technical coordination to the programs and activities to be supported under Title VII.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Establish a subcommittee of the California Interdepartmental Committee on Aging to focus on specific ways in which participating departments can improve the provision of nutrition and related services to older persons.	Director CICA Coordinator	June 30, 1977
2.	Provide for presentations and discussions by selected departments participating in CICA, at Regional meetings of Title VII Project Directors, relative to the service programs administered by that department within the region.	Regional Office Managers CICA Coordinator	March 31, 1977 September 30, 1977
3.	Conduct four quarterly Regional meetings of Title VII Project Directors, AAA Directors and Title III DSA Project Directors to jointly develop recommended actions by their directors to address specific priority service needs of older persons within the planning and service area.	Regional Office Managers	December 31, 1976 March 31, 1977 June 30, 1977 September 30, 1977

ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	In coordination with CICA develop a resource file on the state agencies and departments providing services that either primarily or secondarily impact the service needs of older persons.	I&R Coordinator	December 31, 1976
2.	In cooperation with the I & R Network Committee develop a tool for uniform monitoring of I & R projects, to be used by Consultants in the ongoing monitoring of those I & R projects for which they have direct monitoring responsibility.	I&R Coordinator	March 31, 1977
3.	Initiate a system for the semi-annual reporting of the demographic characteristics of the recipients of I & R services currently delivered under the Title III program as a basis for analyzing the factors most relevant to developing more effective delivery of I & R service.	I&R Coordinator	June 30, 1977
4.	Revise the current "Directory of I & R Services for Seniors in California" and disseminate as a basis for improved coordination between the multiple providers of I & R Service throughout the State.	I&R Coordinator	September 30, 1977
5.	Initiate a study of the feasibility of coordinating the provision of I & R services, currently provided separately through both the Title XX and the Title III program, to determine if higher level of I & R service could be jointly provided through specific coordination efforts.	I&R Coordinator	September 30, 1977

ACTION PLAN FOR INFORMATION AND REFERRAL SOURCES

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to further promote development of information and referral sources with a view toward achieving the long range goal identified by the Administration on Aging.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
6.	In cooperation with the I & R Network Committee, coordinate with those AAAs currently intensifying the provision of outreach relative to I & R services in order to identify and study the impact of this effort on the utilization of I & R. Based on the findings of this study, make recommendations for increasing the effectiveness of I & R service delivery and modify minimum I & R criteria accordingly.	I&R Coordinator	September 30, 1977

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Submit the draft Title VII Assessment Tool to the California Commission on Aging for review and comment.	Administrative Assistant to the Chief of Field Operations	October 15, 1976
2.	Request initial advice from the California Commission on Aging in the development of the FY 78 State Plan through response to a survey questionnaire.	Chief of Planning	November 30, 1976
3.	Submit the draft Title III Assessment Tool to the California Commission on Aging for review and comment.	Administrative Assistant to the Chief of Field Operations.	January 15, 1977
4.	Submit a draft of the FY 78 State Plan to the California Commission on Aging for review and comment.	Chief of Planning	April 30, 1977
5.	Submit the final draft of the FY 78 State Plan to the California Commission on Aging for review and comment prior to submission to the Governor for approval.	Chief of Planning	June 30, 1977
6.	Submit draft recommendations for improving availability and accessibility of three services provided by CICA participating departments to the California Commission on Aging for review and comment.	CICA Coordinator	July 15, 1977

ACTION PLAN FOR INVOLVEMENT OF STATE ADVISORY COMMITTEE ON AGING
AREA AGENCY ON AGING ADVISORY COUNCILS AND NUTRITION PROJECT COUNCILS

Set forth the major action steps, including the estimated date of completion, the State agency plans to undertake for the fiscal year to involve the State advisory committee, area agency and nutrition project councils in development and implementation of State plan, area plans and nutrition proposals respectively.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
7.	Maintain the participatory relationship of the California Commission on Aging in the development of the long range plan for a statewide network of comprehensive services to the elderly.	Director CICA Coordinator	September 30, 1977
8.	Through Title IV-A provide for training in the roles and functions of Advisory Council members to members of the California Commission on Aging, to AAA Advisory Council members and Title VII Nutrition Project Council members.	Chief of Training and Education	September 30, 1977
9.	Establish an additional criteria for the approval of area plans that the Area Agency on Aging (AAA) submit with the area plan, certification from the Chairman of the Advisory Council that the Advisory Council has reviewed and provided comment to the AAA on the area plan prior to the conduct of public hearings and, again, prior to the submission of the plan to the California Department of Aging.	Executive Staff	December 31, 1976
10.	Establish as one condition for approval of the refunding of nutrition project proposals, that the proposal include a letter of certification from the Chairman of the Nutrition Project Council indicating that the Nutrition Project has reviewed and provided comment on the nutrition project proposal prior to its submission to the California Department on Aging.	Executive Staff	December 31, 1976

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PRELIMINARY STATEMENT FOR EXHIBITS 11.6, 11.7, 11.8 and 11.9

The following action plans are developed to ensure that by September 30, 1977, a truly effective program of Affirmative Action will be an active and integral part of the total operation of the California Department of Aging. This program involves and requires accountability for established goals to provide equal opportunity to staff, grantee organizations and recipients of services funded under the Older Americans Act. The goal associated with each of the following action plans is stated below:

**ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND
MINORITY OLDER PERSONS AS BENEFICIARIES OF TITLE III AND
TITLE VII PROGRAMS**

To ensure that each area plan shall provide that Affirmative Action priority be given according to resources available to provide the most effective activities and delivery of services which shall benefit and increase the participation of low-income and minority older persons as beneficiaries of Title III and Title VII programs.

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND
ORGANIZATIONS UNDER TITLE III AND TITLE VII**

To ensure full utilization of minority contractors in those planning and service areas in which it is practical and feasible according to the number of these agencies or organizations in particular geographic areas of the state.

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

To ensure that equal employment opportunity is imbued in all grants and contracts awarded under Title III and Title VII.

AFFIRMATIVE ACTION PLAN

To ensure prevention of discrimination in state employment or contracts on the basis of age, sex, race, religious creed, color, national origin, ancestry, handicap, or marital status which is in violation of state or federal law.

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The Chief of the Administration Division has been designated to serve as the Affirmative Action Coordinator for the California Department of Aging. The Affirmative Action Coordinator delegates responsibility for aspects of Affirmative Action which are closely related to the programs funded by the California Department of Aging to the Chief of Field Operations. The Chief of Field Operations monitors progress on the following affirmative action efforts:

increasing participation of low-income and minority older persons as beneficiaries of Title III and Title VII programs;

grants and contracts to minority agencies and organizations under Title III and Title VII;

equal employment opportunity within Title III and Title VII projects.

ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.	Title III - Area Agencies on Aging (AAAs) To ensure that the California Department of Aging achieves the desired level of low-income and minority older persons as participants in Title III AAA projects, the following steps will be taken:		
A.1	Increase the accessibility of services and programs for low-income and minority older persons by requiring that in selecting project site locations consideration be given to the site's accessibility for low-income and older persons.	Regional Office Manager	During the development of AAA Area Plans
A.2	Provide technical assistance to AAAs regarding the publicizing of service availability.	Chief of Communications Regional Office Managers	January 31, 1977
A.3	Provide technical assistance to AAAs for the development of volunteers to increase low-income and minority community involvement.	Regional Office Managers	May 31, 1977
A.4	Provide English-Spanish translations of the Summary of State Plan Objectives for the Fiscal Year 77 State Plan public hearings.	Chief of Communications	May 31, 1976

STATE CaliforniaEXHIBIT 11-6
PAGE 2 of 3FY 19 77ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.	<p>Title III - Direct Service Areas (DSAs)</p> <p>To ensure that desired level of participation by low-income and minority older persons in Title III projects in areas not covered by area plans is achieved, the California Department of Aging will take the following steps:</p>		
B.1	<p>Identify and utilize appropriate media or means:</p> <p>to announce the availability of Title III funds; and</p> <p>to request proposals for new Title III projects to serve identified areas of concentration of target population.</p>	Chief of Communications	Three (3) months prior to period for approving new projects
B.2	<p>Ensure that minority agencies and organizations are aware:</p> <p>of the availability of funds for Title projects; and,</p> <p>of the availability of technical assistance from the Regional Office in development of proposals for Title III projects.</p>	Regional Office Manager	Three (3) - Two (2) months prior to period for approving new projects.
B.3	<p>Provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title III projects.</p>	Regional Office Manager	Three (3) - Two (2) months prior to period for approving new projects.

ACTION PLAN FOR INCREASING PARTICIPATION OF LOW INCOME AND MINORITY OLDER
PERSONS AS BENEFICIARIES OF TITLE III AND TITLE VII PROGRAMS

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to carry out activities which will increase participation of low-income and minority older persons in Title III and Title VII programs.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
C.	Title VII: Nutrition Projects To ensure that the desired level of minority participation in Title VII is maintained, the following steps will be taken:		
C.1	Identify and utilize appropriate media or means: to announce the availability of Title VII funds; and, to request proposals for new Title VII projects to serve identified areas of concentration of target populations.	Chief of Communications	Three (3) months prior to period for approving new projects.
C.2	Ensure that minority agencies and organizations are aware of: the availability of funds for Title VII projects; the location of Regional Offices where Request for Proposals and application forms may be obtained; and, the availability of technical assistance from the Regional Office in development of proposals for Title VII projects.	Regional Office Manager	Three (3) - Two (2) months prior to period of approving new projects.
C.3	Provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title VII projects.	Regional Office Manager	As requested, in period of proposal development.

ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.	<p>Title III: Area Agencies on Aging (AAAs)</p> <p>To ensure that contracts and subcontracts under Area Agency on Aging area plans are awarded to minority agencies or organizations in accordance with regulations, and include affirmative action plans and implementation relative to minority individuals, the following steps will be taken:</p>		
A.1	<p>Develop a review format to be used in reviewing and commenting on affirmative action components of the area plan, including the AAA's Action Plan for the inclusion of Minority Individuals in Grants and Contracts.</p>	<p>A A Coordinator Chief of Field Operations</p>	<p>October 15, 1976</p>
A.2	<p>Submit one copy of the AAA area plan to be considered for approval to the Chief of Field Operations for review and comment on affirmative action components.</p>	<p>Regional Office Manager</p>	<p>Approximately 120 days prior to the AAA's new budget year.</p>
A.3	<p>Review the affirmative action components of the AAA area plan submitted and provide the Regional Office Manager with written comments if revisions are required.</p>	<p>Chief of Field Operations</p>	<p>Within 10 working days after receipt of the area plan.</p>

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ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.4	<p>Develop an additional Affirmative Action component for the AAA quarterly report form which will acquire AAA quarterly reporting of the following information:</p> <p>a list of subcontractors and the amount of award to each subcontract; a list of minority subcontractors identifying the basis of their minority status; number of persons employed in each subcontract; and, number of percentage of minority persons employed in each subcontract identifying the basis of their minority status.</p>	<p>A A Coordinator</p> <p>Chief of Field Operations Chief of Evaluations</p>	November 15, 1976
A.5	Provide Regional Office Operations with the additional component for the AAA quarterly report.	Chief of Evaluations and Management Analysis	November 30, 1976
A.6	Amend the Quarterly Report Form to include the additional Affirmative Action Component.	Chief of Evaluations and Management Analysis	December 15, 1976
A.7	Provide Quarterly Report forms to each AAA with request for provision of information noted in Step A.4.	Regional Office Manager Consultant to the AAA	December 31, 1976

ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.8	Provide the Chief, of Field Operations with completed quarterly report forms from all AAAs in the Region, and with the Regional Summary of these reports, on a quarterly basis.	Regional Office Manager	February 15, 1977 May 15, 1977 August 15, 1977
A.9	Review the quarterly reports, comparing the minimum levels established in the area plan, the current status and the previous status re inclusion of minority individuals in grants and contracts; and notify the Affirmative Action Coordinator and comment on the situation if a AAA's current status is not satisfactory.	Chief of Field Operations	Within 10 working days after the above dates.
A.10	The Affirmative Action Coordinator will recommend any corrective action to be taken by the Director.	A A Coordinator	N/A
A.11	If the Area Agency finds it must request that consideration be given to excepting it from the requirement that grants and contracts be awarded to minority grants and organizations in proportion to the relative number of minority population within the total population of a PSA, the Regional Office Manager shall advise the Area Agency that it must submit a detailed, fully documented statement to the Director, explaining the reasons for requesting the exception and the effects such an exception would have on the implementation of the Title III program. If the Department of Aging is inclined to approve such a request it will submit the Area Agency's request and the Department of Aging recommendation to the Administration on Aging for approval.	Regional Office Manager Director	N/A

ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.	<p>TITLE III: Direct Service Areas (DSAs) i.e., Areas not covered by area plans</p> <p>To ensure that the California Department of Aging achieves the desired level of grants and contracts (including subcontract and grants) to minority agencies and organizations in Title III projects in areas not covered by area plans, the following steps will be taken:</p>		
B.1	<p>Ensure that minority agencies and organizations are aware of:</p> <p>the availability of funds for Title III projects; and, the availability of technical assistance from the Regional Office in development of proposals for Title VII projects.</p>	Regional Office Manager	Three (3) - Two (2) months prior to period for approving new projects.
B.2	Provide requested technical assistance to minority agencies and organizations in their development of appropriate proposals for Title III projects.	Regional Office Manager Consultant to DSA	Three (3) - Two (2) months prior to period for approving new projects.

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.3	The Affirmative Action Coordinator will develop supplemental guidelines and instructions for Title III Projects clarifying requirements relative to affirmative action, including grants and contracts to minority agencies and organizations with statement of the regulations, associated definitions and means of meeting the requirement.	A A Coordinator	December 15, 1976
B.4	Amend the instructions for preparing applications for Title III to include information on affirmative action, including grants and contracts to minority agencies and organizations.	Chief of Evaluations and Management Analysis	January 15, 1977
B.5	Develop a review format to be used in reviewing and commenting on affirmative action components of Title III DSA proposals	A A Coordinator Chief of Field Operations	January 31, 1977
B.6	Report to the Chief of Field Operations for each direct service area: the total amount of grants under Title III; the total number of grantees; the total number of subcontracts; the amount of grants to minority organizations; the number of grants to minority organizations; and, the number of subcontracting agencies or organizations which are minority.	Regional Office Manager	January 31, 1977 April 30, 1977 July 31, 1977

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EXHIBIT II-7

FY 19 77Page 6 of 8ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.7	Upon receipt of Title III project proposal, to be considered for approval, submit one copy of the proposal to the Chief of Field Operations for review and comment relative to affirmative action.	Regional Office Managers	Within 10 days after receipt of proposal.
B.8	Review the proposal relative to affirmative action and provide written comment to the Regional Office Manager if any revisions are required.	Chief of Field Operations	10 days after receipt of proposal
C.	TITLE VII: Nutrition Project		
	To ensure that contracts and subcontracts are awarded to minority agencies or organizations in accordance with regulations, the following steps will be taken:		
C.1	Identify and utilize appropriate media and means: to announce the availability of Title VII funds; and, to request proposals for new Title VII projects to serve identified areas of concentration of target populations.	Chief of Communications	Three (3) months prior to period for approving new projects.

ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
C.2	Ensure that minority agencies and organizations are aware of: the availability of funds for Title VII Projects; and the location of Regional Offices; and, the availability of technical assistance from the Regional Office in development of proposals for Title VII projects.	Regional Office Manager	Three (3) - Two (2) months prior to period of approving new projects.
C.3	Develop and disseminate supplemental guidelines and instructions for Title VII projects clarifying requirements relative to affirmative action, including grants and contracts to minority agencies and organizations with statement of the regulations, associated definitions and means of meeting the requirement.	A A Coordinator	November 15, 1976
C.4	Develop as a supplement to the quarterly nutrition report for Title VII a report form for the following information: a list of subcontractors and the amount awarded to each subcontract; a list of minority subcontractors identifying the basis of their minority status; number of persons employed in each subcontract; and, number of percentage of minority persons employed in each subcontract identifying the basis of their minority status.	A A Coordinator Chief of Evaluations and Management Analysis Chief of Field Operations	November 15, 1976
C.5	Provide Regional Office Managers with the report forms to be completed by each Title VII project in the Region on a quarterly basis.	A A Coordinator	November 30, 1976

**ACTION PLAN FOR GRANTS AND CONTRACTS TO MINORITY AGENCIES AND ORGANIZATIONS
UNDER TITLE III AND TITLE VII**

Set forth the major action steps, including estimated date of completion, the State agency plans to undertake for the fiscal year to assure that contracts or grants under area plans and Title VII nutrition projects are operated by minority individuals, at least in proportion to their respective numbers in each planning and service area and throughout the State.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
C.6	Provide Quarterly Report Forms to Title VII Projects with request for provisions of information noted above.	Regional Office Manager Consultant to Title VII	December 15, 1976
C.7	Provide the Chief of Evaluations and Management Analysis with completed quarterly report forms from all Title VII projects in the Region and with the Regional Summary of these reports, on a quarterly basis.	Regional Office Manager	January 31, 1977 April 30, 1977 July 31, 1977
C.8	Collect and maintain the following data on all Title VII grants: the total amount of grants; the total number of grantees; the total number of subcontracts; the amount of grants to minority organizations; the number of grants to minority organizations; and, the number of subcontracting agencies which are minority organizations.	Chief of Evaluations and Management Analysis	N/A
C.9	Analyze the above data and report to the Affirmative Action Coordinator on the status of Title VII grants and contracts to minority agencies and organizations.	Chief of Field Operations	April 30, 1977 July 31, 1977
C.10	Recommend any corrective action to be taken to the Director.	A A Coordinator	

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART A - TITLE III

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title III program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.	<p>TITLE III</p> <p>To ensure an effective program of equal employment opportunity under the Title III Program, including affirmative action plans and implementation relative to employment of minority individuals, the following action steps will be taken:</p>		
A.1	<p>Identify all locally governmental operated aging programs that are currently under an approved merit system (SPB - approved local merit system ALMS) and initiate the provision of direct evaluation and consultation services in connection with progressive implementation of plans to require local governmental operated older American Services departments to make contractual arrangement (Interagency Agreements) for an approved local merit system.</p>	A A Coordinator	October 31, 1976
A.2	<p>Develop a review format to be used in reviewing and commenting on affirmative action components of the area plan, including the AAA's Action Plan for ensuring equal employment opportunity to minority individuals.</p>	A A Coordinator Chief of Field Operations	November 30, 1976
A.3	<p>Submit one copy of AAA area plan to be considered for approval to the Chief of Field Operations for review and comment on the above-mentioned area plan components.</p>	Regional Office Managers	Approximately 120 days prior to the AAAs new Budget Year.

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY
PART A - TITLE III

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title III program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.4	Review the Affirmative Action Components and provide the Regional Office Manager with written comment, if revisions are required.	Chief of Field Operations	Within 10 working days after receipt of the area plan.
A.5	Develop, as a supplement to the quarterly report form, a form for reporting on completion of step in the AAA Affirmative Action Plan and information on current staff.	A A Coordinator Chief of Evaluations and Management Analysis Chief of Field Operations	November 30, 1976
A.6	Develop supplemental guidelines and instructions for Title III Projects clarifying requirements relative to affirmative action, including grants and contracts to minority agencies and organizations with statement of the regulations, associated definitions and means of meeting the requirements.	A A Coordinator	November 30, 1976
A.7	Provide AAAs with the additional component for the AAA Quarterly Report with request for provision of the information noted.	Regional Office	December 15, 1976

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART A - TITLE III

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title III program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
A.8	Provide the Chief of Field Operations with completed quarterly report forms from all AAAs in the Region, and with the Regional Summary of these reports, on a quarterly basis.	Regional Office Manager	January 31, 1977 April 30, 1977 July 31, 1977
A.9	Review the quarterly reports, comparing the minimum levels established in the area plan, the current status and the previous status regarding the number of minority individuals employed by each project and, notify the Affirmative Action Coordinator if the AAA's current status is not satisfactory	Chief of Field Operations	Within 10 working days after the above dates.
A.10	<p>Conduct sensitivity training sessions for AAA staff on the following aspects of affirmative actions:</p> <ol style="list-style-type: none"> a. Summary of the Major E.E.O. Laws, Regulations, and Guidelines. b. The Executive Order 11246 on Government Contracts and Subcontractors. c. Rule and Regulations, Office of Federal Contract Compliance (EEO) Department of Labor. d. OFCC Affirmative Action Guidelines 41 CFR 60-2. e. California Department of Aging Affirmative Action Plan. f. Summary Listing of State Objectives relative to Affirmative Action: Title III and Title VII. g. Action Plan for Increasing Participation of Low-Income and Minority Older Persons as Beneficiaries of Title III and Title VII Programs. h. Action Plan for Grants and Contracts to Minority Agencies and organizations. i. Action Plan for Equal Employment Opportunity. 	A A Coordinator	September 30, 1977

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART B - TITLE VII

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title VII program.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
	<ul style="list-style-type: none"> j. Interpersonal training to expose consultants to the needs concerns and lifestyle of minorities, older persons over 60 years and females as head of house. k. Training to help the consultants overcome myths, fears, prejudices and ignorances relating to poor people, minorities, older persons 60 years and females. l. Additional training to familiarize staff and field consultants with objectives and goals of the Title III and Title VII and the functions of the Older Americans Act. m. Training to aid consultant participants in establishing, implementing, and evaluating their own training sessions to expand program knowledge and promote human understanding. n. Training in community outreach to elderly persons in all sections not presently being served by the programs. 		

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART B -- TITLE VII

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title VII program.			
Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.	TITLE VII To ensure that the California Department of Aging's policy of equal employment opportunity for all persons is rigorously adhered to in the Title VII program, the following steps will be taken:		
B.1	Establish guidelines for development by the Title VII grantee (or prospective grantee) of an Affirmative Action Plan to be included in each new project proposal.	A A Coordinator	November 15, 1976
B.2	Notify Title VII projects of requirements for Affirmative Action plans and provisions of above developed guidelines for all proposals submitted after guidelines are completed.	Regional Office Manager	Three (3) months prior to submitting proposals.
B.3	Provide training to consultants to support their technical assistance to projects developing AAA plans.	A A Coordinator	Within ten (10) working days after request.
B.4	Submit one copy of the Title VII project's Affirmative Action Plan to the Chief of Field Operations for review and comment.	Regional Office Manager	Approximately 120 days prior to the new project year.

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART B -- TITLE VII

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title VII program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
B.5	Review the Affirmative Action Plan and provide the Regional Office Manager with written comment if revision is required.	Chief of Field Operations	Within 10 working days after receipt of the plan.
B.6	The Affirmative Action Coordinator will recommend any corrective action to be taken to the Director.	A A Coordinator	N/A

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY
PART A - TITLE III

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title III program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
C.	In order to ensure compliance with Affirmative Action requirements, the Affirmative Action Coordinator will work with staff through the established chain of command to complete the following steps:		
C.1	Initiate ongoing maintenance of the existing aggressive outreach information system listing all minority agencies, organizations and media to be included in mailing list for disseminating contract and grant information.	A A Coordinator	October 15, 1976
C.2	Advertise and contact all agencies and organizations developed in Step C.1.	Deputy Director A A Coordinator	March 31, 1977
C.3	Inform individuals, agencies and organizations of Affirmative Action policies required for contract application and regulation in Section 903.8(c) of Title III of the Older Americans Act.	Deputy Director A A Coordinator	March 31, 1977
C.4	Assess ratio of number of minority population to total population of senior citizens and determine corresponding percentage of minority applications.	A A Coordinator	June 30, 1977
C.5	Review applications for Affirmative Action policies.	Deputy Director	June 30, 1977
C.6	Review selection techniques for granting awards to insure compliance with Affirmative Action guidelines.	Director Deputy Director	June 30, 1977

ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY

PART A - TITLE III

Set forth the major action steps, including estimated dates of completion, the State agency plans to undertake for the fiscal year to assure that equal employment opportunities are provided throughout the State under the Title III program.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
C.7	Monitor and evaluate programs to assure compliance with contract provisions and determine effectiveness of Affirmative Action policies.	A A Coordinator	September 30, 1977
C.8	Act on all requests for technical assistance to minority individuals and organizations.	Deputy Director	Within 10 working days of the receipt of request.
C.9	Telephone call to remind all agencies developed in Step C.1 regarding deadlines established for submission of proposal and other related information.	Consultant	Ten (10) working days prior to deadline.

This Affirmative Action Plan is intended to prevent discrimination in State employment or contracts on the basis of age, sex, race, religious creed, color, national origin, ancestry, handicap, or marital status which is in violation of State or Federal law. It implements the mandate imposed upon this office to insure that unlawful discrimination does not occur in the State Civil Service and programs under the jurisdiction of this office. To that end it provides a process to correct the effects of such discrimination.

CALIFORNIA DEPARTMENT OF AGING
AFFIRMATIVE ACTION PLAN

A. STATEMENT OF POLICY BY THE DIRECTOR

Equal opportunity for all persons is the policy of the California Department of Aging in all its activities. This policy will be rigorously enforced. Any or all affirmative actions necessary shall be taken to assure equality of opportunity in the internal affairs of the Department and in its relationships with the general public.

All services of the Department shall be performed without discrimination based on race, sex, color, religion, national origin, ancestry, age, or physical requirements not constituting a bonafide occupational qualification.

The Department shall recruit, appoint, assign, train, evaluate and promote personnel on the basis of merit and fitness without discrimination. Personnel practices shall be reviewed regularly to assure compliance with this policy and with the goals as set forth in the Department's Affirmative Action Plan.

Programs under the jurisdiction of the Department involving the distribution of funds to qualified recipients shall be administered without discrimination; the Department shall not provide grants to, or enter into contracts or subcontracts with, public agencies, probate institutions or organizations which engage in or promote unlawful discriminatory practices.

Subject to the requirements of merit employment systems of the California State and local governments, preference shall be given to persons aged sixty (60) or over for any staff positions (full-time or part-time) in the California Department of Aging, in Area Agencies on Aging, and in projects funded through the Older Americans Act Title III, Title IV-A and Title VII funds allocated to the California Department of Aging. Consideration of merit will include consideration of training and experience which is pertinent to the program on aging.

It is not sufficient for our Department to remain merely passive in practicing non-discrimination. Instead, each of us must make the goals of the Affirmative Action Plan our own.

Appropriate disciplinary action will be brought by the Department against any employee who knowingly fails to adhere to the intent of the Department's policy of equal opportunity for all persons.

Signed



Director

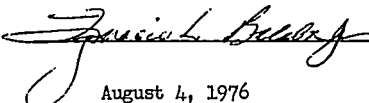
The effective date of this plan is one year from October 1, 1976 to September 30, 1977.

NAME AND ADDRESS OF DEPARTMENT

California Department of Aging
918 J Street
Sacramento, California 95814

The Chief of Administrative Division has been designated as the individual responsible for development and implementation of this Affirmative Action Plan. This individual is also the Affirmative Action Coordinator.

SIGNATURE OF SUBMITTING OFFICIAL



DATE

August 4, 1976

B. INTRODUCTION

1. Function

The California Department of Aging has been designated as the single state agency to administer funds allocated under the Older Americans Act of 1965, as amended.

The primary responsibility of the California Department of Aging is the supervision and administration of programs funded under Titles III and VII of the Older Americans Act of 1965, as amended. Title III of that act provides for the development, delivery and coordination of existing social services to the low income elderly, as well as the funding of new direct services to this client population. Title VII of the Older Americans Act is designed to provide meals to the low income elderly, for the most part at central dining rooms. However, provisions also exist for home-delivered meals.

Federal funds have also been made available to staff the California Department of Aging and to administer small grants for training and model projects.

To implement the provisions of Title III, the Department of Aging divided the state into geographic planning and service areas. The state office has designated area agencies on aging in 15 planning and service areas.

The area agencies on aging operate on the basis of a plan approved by the California Department of Aging and are responsible for planning and coordination of existing social services provided to the elderly Californians within their areas, as well as providing the financial support for development of new services. They are provided funds by the Department of Aging for administration and implementation of their approved plans. Once an area agency is designated, the State Department of Aging has a continuing obligation to monitor the agency's operations and to provide consultation and technical assistance when requested.

In the remaining planning and service areas, the State Department of Aging funds community-sponsored projects which provide delivery of direct services to the elderly. These are generally the more rural areas of the state.

The Department of Aging has approved nutrition projects to provide meals to California's over-60 population. Federal regulations require that the Department of Aging carry out ongoing monitoring and administrative activities necessary to preclude adverse program developments. Federal guidelines require that meals served through Title VII projects be made available on a priority basis to groups primarily of the low income and minority elderly.

The Department is responsible for approving and monitoring and evaluating the activities of each project. This is accomplished through the central State Department of Aging and its three regional offices in Oakland, Fresno and Los Angeles.

In addition the Department is bound to several sets of state and federal affirmative action regulations and guidelines; projects are bound to a single set of regulations and guidelines set for the specific title under which funding is authorized.

As a condition of receipt of federal funds under each title of the Act, an assurance is required that no individual will be subjected to unlawful discrimination as a result of any activity associated with a project.

2. Organization

The Director of the Department of Aging is appointed by the Governor to direct and manage the Department of Aging. The Director is assisted by a Deputy Director whose position is also an exempt appointment and is advised by a fifteen-member Commission appointed by the Governor and the Legislature based on geographic, ethnic and social interests. The administrative staff of the Department of Aging is appointed under civil service provisions, and consists of a headquarters component and three field offices responsible to the Deputy Director.

Under Older Americans Act provisions, the Director of each project is responsible for compliance with all appropriate regulations. The Department of Aging administrative staff is responsible for assuring this compliance.

The Affirmative Action Coordinator shall, in carrying out the duties of this assignment, make direct contact with any staff member or project director, without regard to otherwise established communications channels.

Organization Chart
(See Exhibit V.2)

C. ANALYSIS OF PRESENT STATUS

The Department is budgeted for 83.5 full-time positions. In addition there are several other full-time positions within the Department not included in its present budget. For example, the Nursing Home Ombudsman and one Consultant on Aging working with the legal research group are paid from funds provided specifically for that purpose. There are 80 permanent full-time positions filled in the Department. Counting 18 part-time and intermittent employees, as well as the 6 Commission employees there are 104 total employees as of the last report.

A numerical breakdown of filled positions classification is as follows:

23 Clerical
45 Professional
9 Managerial
3 Administrative

Clerical Classifications

Positions in this classification perform routine tasks normally under the supervision of a technical or professional person. These positions do not require a college education and are the lowest entry classification in State service. There are several levels of advancement within the clerical classification. Entry positions are in the various branches and units of the Programs and Administrative Division. Both offer limited upward mobility because of the number and level of budgeted positions.

Professional Classifications

These jobs require at a minimum a college education or several years of experience applicable to the skills needed to perform the job. There are several pay levels of advancement within a series before a person reaches the top journeyman level.

In the professional classification the majority of positions are the Consultants on Aging. These positions in the Department of Aging have responsibility for a major function within the Department. Because of the size of the Department of Aging, most promotional opportunities for people in these positions will be outside of the Department.

Managerial Level

These management levels are through the professional classifications, COA III, SS Mgr. I and above, they represent the highest supervisory positions in the Department of Aging and may have responsibility for a major function within the Department. Because of the size of the Department of Aging, most promotional opportunities for people in these positions will be outside the Department.

Administrative Level

This level includes the Director, Deputy Director and the Executive Secretary for the Commission, all are exempt appointments.

All the jobs, except for exempt positions, are under the State of California's Civil Service System. This System is managed by the State Personnel Board and is a merit system which establishes job classifications and pay levels. All job opportunities are advertised and applicants are tested without regard to race, color, sex, age, religion, or national origin.

A breakdown of staff composition by age and ethnicity for the various office classifications follows. This includes all staff which totals 104.

Highlight of the data:

1. The average age per employee is approximately 40.
2. The total ethnic average is 30% (24 employees).
3. The percentage for both Black and Spanish Surname is 8.7%.
The labor market for Spanish Surname is 13%; for Blacks 8%.

Future Considerations in Hiring:

1. Black or a female or Spanish Surname Regional Office.
2. A Black, Spanish Surname or Filipino professional for field consultant.
3. A minority nutritionist for the Regional Office.
4. Create two upward mobility positions and recommend qualified clerical employees for them.
5. Designate a certain percentage or number of projected openings for upward mobility.
6. Designate an upward mobility coordinator to counsel clericals in identifying mobility options.

One may conclude from the above analysis that the Department of Aging has been practicing an equal-opportunity hiring policy. However, the analysis indicates that there is a further need to emphasize minority hiring in the professional and above classifications, and to develop more technical positions for people in clerical positions who are qualified and who wish to train for professional positions.

D. GOALS OF THE DEPARTMENT OF AGING

The goal of the Affirmative Action Plan is to eliminate the effects of discrimination in State Service and programs through corrective action. This process should lead to the reduction of the possibility of discriminatory actions by making State managers, supervisors and others aware of equal employment opportunity concerns. In addition this plan will enable the office and project grantee to focus on specific problem areas that can be resolved through preventive action, rather than through the processing of complaints.

JULY 1976

Department of Aging Staff Composition

	Admin.		Manager		Prof.		Clerical		Total		Grand Total
	M	F	M	F	M	F	M	F	M	F	
Percentage	2	1	6	3	23	22	1	22	32	148	80
	66	34	66	34	51	49	4	196	40	160	100%
- A G E -											
20 - 29			1		4	4	1	4	6	8	14
30 - 39	1		1	2	11	6		6	13	14	27
40 - 49	1		1		3	5		5	5	10	15
50 - 59			3	1	3	7		5	6	13	19
60 plus		1			2			2	2	3	5
TOTAL											80
- E T H N I C -											
Majority	2	1	4	3	15	15	1	15	22	134	56
Black			1		1	4		2	2	6	8
Span. Eur.					4			2	4	2	6
Asian Am.					2	3		1	2	4	6
Filipino Am.		1		1				1	2	1	3
Native Am.								1	1	1	1
Total											80

SUMMARY*

This month

	1	2	3	4	5
Professionals	63.0	59.0	5.0	1	3
Clericals	25.5	24.0	1.5	1	2
Total	88.5	83.0	6.5	2	5
FY 76 Starting January 1976					
Professionals	60.0	53.0	7.0	6	9
Clericals	25.4	24.0	1.5	5	7
Cum. Total	85.4	77.0	8.5	11	16

1. budgeted positions
2. filled
3. unfilled
4. separated
5. new

* includes CCoA staff

D. AFFIRMATIVE ACTION COORDINATOR

The Affirmative Action Coordinator will spend such time as is necessary and proper to perform the duties relating to the position. The Affirmative Action Coordinator will report directly to the Director on matters relating to Affirmative Action.

Responsibilities of the assignment will include:

- a. Monitor and evaluate program effectiveness.
- b. Recommend to the Director changes and improvements in this plan and other activities which have impact on the Equal Employment Opportunity Program.
- c. Provide assistance and guidance to the persons who have responsibility for implementation of the provisions of this plan.
- d. Submit a report semi-annually to the Director on the progress of implementation of this plan.
- e. Analyze complaints of discrimination, and take or recommend to the Director appropriate action to overcome valid complaints.
- f. Recommend appropriate disciplinary action, including denial of MSA, for noncompliance by office staff with AA guidelines or requirements.
- g. Perform other duties appropriate to this position as spelled out in the body of this plan.

Program consultants are in day to day contact with grantee or contractor. They monitor projects compliance with the State's Affirmative Action Plan. They will file a quarterly report on each contract or grant with the Affirmative Action Coordinator.

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
1.	Distribute copy of Affirmative Action Plan to all current and new employees.	Personnel Officer	Upon approval of plan
2.	Train state agency and project staffs to optimize job performance and to enhance the potential for individual upward and lateral mobility.	Training Officer	Upon approval of plan
3.	Provide appropriate translation of this plan in any area where there are significant numbers of limited or non-English-speaking groups.	A A Coordinator	Within 30 days of approval of plan
4.	Ensure that copies of this plan or its latest revision are posted in each Regional office and in the office of each project grantee.	Regional Office Manager	Within 30 days of approval of plan
5.	Ensure that all employees are hired and promoted on the basis of merit alone	Director A A Coordinator	When vacancies occur/upon appointment
6.	Notify the Affirmative Action Coordinator of all job openings and all appointments to staff or to the Statewide Advisory Council, including age, sex, ethnic composition of appointments.	Director Supervisors	When vacancies occur/upon appointment
7.	Inform all staff members of announcements of departmental and service-wide employment examinations so that formal recruitment will be supplemented by use of community contacts.	Personnel Officer	Within 5 working days of receipt of job announcement
8.	Inform staff within the Department of Aging on job vacancies and announcements that may have upward mobility potential for current employees.	Personnel Officer	Within 5 working days of receipt of job announcement

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
9.	Implement exit interview program to determine reasons for, and solutions to, turn-over problems on staff, when reason for separation is not clear.	Supervisor Personnel Officer	Within 2 weeks of resignation or transfer notice
10.	Require all employees contribution to the implementation of this plan as a factor in the annual performance appraisal probation reports.	A A Coordinator Supervisors	During probation period
11.	Any employee who feels that he/she has been subjected to discriminatory or unfair labor practices will register a complaint in writing with the Affirmative Action Coordinator.	Complainant	Within 5 working days of occurrence
12.	Investigate all complaints of discriminatory or unfair labor practices and report in writing to the complainant within ten working days of the first notification of such complaint. Notify the complainant of the efforts that will be made to correct the complaint, and advise the complainant of procedures to be followed through the appropriate complaint agencies.	A A Coordinator	Within 10 working days of receipt of complaint
13.	Provide assistance to all office and project staff in implementing all provisions of this plan.	A A Coordinator	Within 10 days after request
14.	Attach a copy of this plan to every grant application package issued by the Department and require that a statement of intent to comply be made a formal part of any grant submitted for approval of the Department.	Chief of Field Operation	Within 5 working days after receipt of proposal
15.	<u>Require that every contract or subcontract for goods or services shall contain a specification prohibiting discrimination on the basis of age, sex, race, ancestry or national origin.</u>	Director	Within 15 working days of receipt of contract

Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
16.	Obtain information from projects on sex, age, and ethnic composition of each project council and submit to the Affirmative Action Coordinator.	Consultants	Within 30 days of grant award on change of membership
17.	Inform all employees of the Grievance procedures and uses thereof.	Personnel Officer	October 15, 1976
18.	Hire up to all authorized positions.	Supervisors	October 31, 1976
19.	Conduct studies utilizing the advice and recommendations of field and project staff. Report to the Executive Director any identifiable factors which may be appropriate for selective certification based on language skills.	Personnel Officer	November 30, 1976
20.	Study levels and classifications required to adequately perform the work of the Department and recommend appropriate job restructuring to enhance and broaden promotional opportunities.	Personnel Officer State Personnel Board	November 30, 1976
21.	Establish information gathering and reporting system and develop a plan for monitoring and evaluating the funded projects utilizing the ethnic data reporting system.	A A Coordinator	November 30, 1976
22.	Participate in the on-site college program with emphasis on clerical female participation.	Training Officer	December 15, 1976
23.	Report formally to the Director on progress and problem areas in the implementation of the plan and provide recommendations for improvement.	A A Coordinator	December 31, 1976 June 30, 1977
24.	Verify that the Statewide Advisory Council composition includes representation of minority groups in proportion to their population in the state as set forth in the State Plan.	Deputy Director	December 31, 1976 June 30, 1977

Step #	Major Action Steps	Function(s) with Key Responsibility for Step	Estimated Date of Completion
25.	Provide training sessions - which may be included as part of a broader training program - to impart information on the purposes and procedures of the Affirmative Action program to state agency and project staffs and to project participants. Training will include a question-and-comment form for all participants.	Training Officer	January 31, 1977 April 30, 1977 July 31, 1977
26.	Maintain an overall minority and women hiring ratio equivalent to the state's aging population, plus or minus 10%.	Personnel Officer	January 31, 1977
27.	Check compliance of projects with the Manual of Policies and Procedures requirements regarding report of project staffing breakdown.	Consultants	January 31, 1977 April 30, 1977 July 31, 1977
28.	Revise this plan at least annually to reflect current objectives in the area of Affirmative Action. The plan will be revised at any time conditions warrant.	A A Coordinator	April 30, 1977
29.	Require an Affirmative Action Plan and review it for compliance with Department, State and Federal Guidelines.	A A Coordinator	April 30, 1977
30.	Rewrite Consultant on Aging Classifications.	Personnel Officer	May 31, 1977
31.	Interview all employees to determine their career objectives, provide job counseling and training within funding limitations.	Supervisor	June 15, 1977
32.	Implement a Summer Intern Program/Volunteer State Intern Program.	Personnel Officer	June 30, 1977
33.	Conduct sensitivity training sessions to inform staff on minority groups.	Training Officer A A Coordinator	June 30, 1977
34.	Make every effort to know employee's desires and motivations, offer job counseling to its employees in light of these objectives and to see that employees receive the necessary training given funds available	Training Officer	June 30, 1977

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Step #	Major Action Steps	Position(s) with Key Responsibility for Step	Estimated Date of Completion
35.	Within state administrative constraints, allow paid leave time or pay direct cost of out-service training and education courses to up-grade employees' knowledge and skills which represent a benefit to the Department.	Director	June 30, 1977
36.	Ensure that all contractors and grantees meet affirmative action requirements.	A A Coordinator	June 30, 1977
37.	Ensure adequate consideration to minorities and women for Departmental promotions.	A A Coordinator	June 30, 1977
38.	Train state agency and project staffs to optimize job performance and to enhance the potential for individual upward and lateral mobility.	Training Officer	September 30, 1977
39.	Establish a bridging class in the COA series.	Personnel Officer	September 30, 1977
40.	Designate a number of selected positions for Training and Development Assignments for upward mobility for non-professional employees.	Personnel Officer	September 30, 1977
41.	Adhere to the Director's Affirmative Action Policy statement.	Deputy Director A A Coordinator	September 30, 1977
42.	Meet all Federal and State affirmative action requirements.	Director	September 30, 1977

F. EVALUATION

The Department of Aging Management Analysis Unit as directed by the Affirmative Action Coordinator will have the functional responsibility for once-a-year review of each grant or contract to determine compliance with minimum program requirements, including a review of the employment history of the contractor or grantee. See Action Plan II.6.

The evaluation team criteria will be based on guidelines established in the State Plan and Federal Regulations, those methods reviewed and approved by the Affirmative Action Coordinator, and special conditions of the contract.

Copies of the sections of the reports dealing with Affirmative Action are forwarded to the Affirmative Action Coordinator for review and approval. Any discrepancies will be further investigated by the Affirmative Action Coordinator or his designee. Non-compliance with guidelines may result in future defunding decisions.

ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

Content of Training To Be Provided	Category and Numbers of Persons for Whom Training Will be Provided	Proposed Quarter of Training	Organization or Institution to Provide Training	Amount of Older Americans Act Funds to be Used	
				Title III	Title IV-A
Communication skills; fiscal management; planning; management techniques - personnel; program evaluation.	Area Agency on Aging, Title III Direct Service Area and Title VII Staff: 650 persons.	3, 4	Intergovernmental Management Development Institute		\$22,779
Advisory Council roles; legislation; training volunteers gerontology; food service.	Title III Direct Service Area Title VII staff and others: 55 persons.	2, 3, 4	California State University Sacramento		19,116
Management Technique - personnel; problem solving; communication skills.	California Department of Aging staff; 60 persons (270 training units).	1, 2, 3, 4	Personnel Board		20,000
Gerontology; communication skills; affirmative action; management - personnel; program evaluation; minority seminar; data collection.	California Department of Aging, Title III Direct Service Area and Title VII staff: 150 persons.	1, 2, 3, 4	People's Clinic		8,122

ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

Content of Training To Be Provided	Category and Numbers of Persons for Whom Training Will be Provided	Proposed Quarter of Training	Organization or Institution to Provide Training	Amount of Older Americans Act Funds to be Used	
				Title III	Title IV-A
Advocacy; minority seminar; advisory council roles; research.	California Department of Aging, Area Agency on Aging, Title III Direct Service Area, Title VII staff and others; 300 persons	4	San Diego State Foundation		\$20,000
Record keeping; coordinating and pooling; program development. Training trainers; development of local programs in gerontology - junior colleges; other.	California Department of Aging, Area Agency on Aging, Title III Direct Service Area and Title VII Staff and others.	1, 2, 3, 4	California Department of Aging		29,981
Paralegal; public benefit administrative law, public benefit application.	Legal assistants and benefit specialists; 155 persons	1, 2, 3, 4	National Paralegal Institute		40,000
Develop coordination and provision for training; updating knowledge and skills of professional staff in gerontology; and training in the development of national priority services.	See above	1, 2, 3, 4	California Department of Aging	\$37,000	

ACTION PLAN FOR TRAINING AND MANPOWER DEVELOPMENT

Content of Training To Be Provided	Category and Numbers of Persons for Whom Training Will be Provided	Proposed Quarter of Training	Organization or Institution to Provide Training	Amount of Older Americans Act Funds to be Used	
				Title III	Title IV-A
Local training in areas of: skills development; management training; community organization; advisory council roles; general staff and volunteer training.	280 Area Agency on Aging Staff; 532 Title III subcon- tractors and/or service providers; 300 Title VII staff; 1,200 Advisory Council members; 1,400 older persons; 600 volunteers 50 educational system people	1, 2, 3, 4	To be determined.		\$140,480
			TOTAL	\$32,000	\$300,478

PART III: RESOURCE ALLOCATION PLANS

STATE California

EXHIBIT III-1

FY 19 77Page 1 of 3

SUMMARY RESOURCE ALLOCATION PLAN

Revised 12/15/76

PART I:		
<i>SUMMARY OF RESOURCES FOR IMPLEMENTING THE STATE PLAN</i>		
A. TOTAL TITLE III ALLOTMENT:	\$ <u>11,877,516</u>	
B. TOTAL TITLE VII ALLOTMENT:	\$ <u>17,724,803</u>	
	SUB-TOTAL	\$ <u>29,602,319</u>
C. RESOURCES AVAILABLE TO STATE AGENCY OTHER THAN TITLE III AND TITLE VII ALLOTMENT FOR IMPLEMENTING THE STATE PLAN:		
• OTHER FEDERAL	\$ <u>691,995</u>	
• STATE AND OTHER	\$ <u>1,307,556</u>	
	SUB-TOTAL	\$ <u>1,999,551</u>
TOTAL STATE AGENCY RESOURCES		\$ <u>31,601,870</u>

PART II:		
<i>SUMMARY OF RESOURCES FOR STATE PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLANS</i>		
A. TITLE III ALLOTMENT:		
• Administration of Title III and Title VII	\$ <u>1,220,183</u>	
• Training	\$ <u>32,000</u>	
• Demonstration Projects of Statewide Significance	\$ <u>-0-</u>	
• Administration of Area Plans	\$ <u>-0-</u>	
	SUB-TOTAL	\$ <u>1,252,183</u>
B. RESOURCES OTHER THAN TITLE III:		
• FEDERAL	\$ <u>691,995</u>	
• STATE AND OTHER	\$ <u>1,166,556</u>	
	SUB-TOTAL	\$ <u>1,858,551</u>
TOTAL RESOURCES FOR PLANNING, COORDINATION, ADMINISTRATION AND EVALUATION OF STATE PLAN		\$ <u>3,110,734</u>
C. FEDERAL AND STATE COST SHARING		
• FEDERAL <u>51.77</u> %	\$1,252,183	
• STATE <u>48.22</u> %	\$1,166,556	

STATE California

EXHIBIT III-1

FY 19 77Page 2 of 3SUMMARY RESOURCE ALLOCATION PLAN
(Continued)

Revised 12/15/76

PART III:		
AREA PLANNING AND SOCIAL SERVICES PROGRAM		
A. TITLE III ALLOTMENT:		\$ <u>10,625,333</u>
• Direct Provision of Services by State Agency	\$ <u>-0-</u>	
• Areas With Designated Area Agencies (80.4% of A)	\$ <u>8,543,700</u>	
1. Program Planning	\$ <u>1,035,000</u>	
2. Development of Action Programs	\$ <u>7,508,700</u>	
(a) Coordinating Delivery of Existing Services	\$ <u>1,335,905</u>	
(b) Pooling of Available but Untapped Resources	\$ <u>890,604</u>	
(c) Supporting Services	\$ <u>3,521,461</u>	
(d) Gap-Filling Services	\$ <u>1,760,730</u>	
• Areas Not Covered By Area Plans (19.6% of A)	\$ <u>2,081,633</u>	
B. RESOURCES OTHER THAN TITLE III:		\$ <u>-0-</u>
• STATE AND OTHER	\$ <u>-0-</u>	
• FEDERAL	\$ <u>-0-</u>	
C. TOTAL RESOURCES FOR AREA PLANNING AND SOCIAL SERVICES PROGRAM:		\$ <u>10,625,333</u>

PART IV:		
NUTRITION PROGRAM		
A. TITLE VII ALLOTMENT:		\$ <u>17,724,803</u>
• Nutrition Services	\$ <u>14,179,842</u>	
• Social Services	\$ <u>3,544,961</u>	
B. RESOURCES OTHER THAN TITLE VII:		\$ <u>141,000</u>
• STATE AND OTHER	\$ <u>141,000</u>	
• FEDERAL	\$ <u>-0-</u>	
C. TOTAL RESOURCES FOR NUTRITION PROGRAM:		\$ <u>17,865,803</u>

STATE California

EXHIBIT III-1

FY 19 77Page 3 of 3SUMMARY RESOURCE ALLOCATION PLAN
(Continued)

Revised 12/15/76

PART V: SOURCES AND AMOUNTS OF RESOURCES OTHER THAN TITLE III AND TITLE VII INCLUDED UNDER PARTS I-IV.			
Source of Resources	Amount	Anticipated to be Received (√)	Currently Available (√)
OTHER FEDERAL			
Administration on Aging Older Americans Act			
Title III Section Model Project Funds:			
Nursing Home Ombudsman Program	\$ 41,031	X	
Paralegal Program	85,501	X	
Legal Services Program	37,602	X	
Title IV A	300,478		X
Training Funds	<u>227,383</u>		X
TOTAL	\$ 691,995		
STATE AND OTHER			
Item 278			
Administrative Match (including Nutrition Reserve \$141,000)	\$1,184,340		X
Item 278.1			
Long Range Plan	<u>\$ 123,216</u>		X
TOTAL	\$ 1,307,556		

STATE California
 FY 19 77

EXHIBIT III-1
 SUPPLEMENT

STATE PLAN FOR PROGRAMS AUTHORIZED
 UNDER TITLE III OF THE OLDER AMERICANS
 ACT, AS AMENDED

Assurance of Compliance with Section 305(a)(10)
 of the Older Americans Act

a c

As a condition to the receipt of Federal funds under Title III of the Older Americans Act of 1965, as amended, the State of California certifies as follows:

1. The State will use not less than fifty percent (50%) of the increase, if any, in its allotment for the Fiscal Year for that Area Planning and Social Services Program over that which it received in Fiscal Year 1975 for such purposes, to support some or all of the National Priority Services set forth under Section 305(b) of the Act; and

The State will not use less than twenty percent (20%) of its allotment for the Fiscal Year for the Area Planning and Social Services Program to support some or all of the National Priority Services set forth under Section 305(b) of the Act.

or

2. The State will use at least thirty-three and one-third percent (33-1/3%) of its allotment for the Fiscal Year for the Area Planning and Social Services Program to support some or all of the National Priority Services set forth under Section 305(b) of the Act.

TITLE III
RESOURCE ALLOCATION PLAN
\$10,625,333

Revised 12/15/76

Name of Area Agency	Planning and Service Area for Which Area Plans Will be Developed (Identify PSA by Number)			Allocation of Title III Resources					
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (<input type="checkbox"/>)	Continuation (<input type="checkbox"/>)		Coordination of Existing Services	Pooling of Available but Untapped Resources	Supporting Services	Gap-Filling Services	
				\$	\$	\$	\$	\$	\$
Area 4 Agency on Aging	4		x	65,000	68,392	45,594	192,423	96,411	467,820
North Bay Senior Planning Council	5		x	60,000	53,786	35,858	160,205	80,431	390,280
San Francisco Commission on the Aging	6		x	70,000	71,531	47,687	203,500	101,942	494,660
Contra Costa Office on Aging	7		x	55,000	34,301	22,867	118,988	60,004	291,160
San Mateo County Area Agency on Aging	8		x	55,000	37,988	25,258	125,602	63,272	307,020
Alameda County Area Agency on Aging	9		x	70,000	74,359	49,373	208,162	104,246	505,840

-III-

0015

**TITLE III
RESOURCE ALLOCATION PLAN**
\$10,625,333

Revised 12/15/76

Name of Area Agency	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)			Allocation of Title III Resources					
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (✓)	Continuation (✓)		Coordination of Existing Services	Funding of Available but Unapped Resources	Supporting Services	Gap Filling Services	
Council on Aging of Santa Clara, Inc.	10		x	65,000	55,632	37,088	168,897	84,783	411,400
Area Technical Agency for Aging Programs	11		x	60,000	54,080	36,054	160,748	80,698	391,580
Tri-County Commission for Senior Citizens	17		x	65,000	53,633	35,755	165,210	82,962	402,560
Department of Senior Citizens Affairs Area Agency on Aging Division	19		x	100,000	307,573	205,049	670,455	333,063	1,616,140
San Bernardino County Area Agency on Aging	20		x	60,000	50,082	33,388	153,375	77,055	373,900

-112-

856

**TITLE III
RESOURCE ALLOCATION PLAN**
\$10,625,333

Revised 12/15/76

Name of Area Agency	Planning and Service Areas for Which Area Plans Will be Developed (Identify PSA by Number)		Allocation of Title III Resources						
	Number	Type of Award		Program Planning	Development of Action Programs				TOTAL
		New (✓)	Continuation (✓)		Coordination of Existing Services	Pooling of Available but Untapped Resources	Supporting Services	Gap-Filling Services	
				\$	\$	\$	\$	\$	\$
Area 4 Agency on Aging	4		x	65,000	68,392	45,594	192,423	96,411	467,820
North Bay Senior Planning Council	5		x	60,000	53,786	35,858	160,205	80,431	390,280
San Francisco Commission on the Aging	6		x	70,000	71,531	47,687	203,500	101,942	494,660
Contra Costa Office on Aging	7		x	55,000	34,301	22,867	118,988	60,004	291,160
San Mateo County Area Agency on Aging	8		x	55,000	37,888	25,258	125,602	63,272	307,020
Alameda County Area Agency on Aging	9		x	70,000	76,359	49,373	208,162	104,246	505,840

STATE: CALIFORNIA

DATE: 7/30/76

PART I

Funds Available for Obligation

A. Total FY 1976 Allotment Obligated as of 6/30/76	B. Total FY 1976 Allotment Available for Obligation after 6/30/76	C. Total Allotment for Transition Quarter 7/1/76 - 9/30/76	D. Projected Allotment for FY 1977
	\$4,490,730	\$2,697,428	\$17,724,803
E. Total to be obligated between 1/1/76 and 9/30/77. (Total B, C, and D)			
			\$24,909,021

PART II

To be obligated during the months of

For purposes of		Continuation Costs	New Projects	Monthly Total
Transition Quarter	Jul	\$ 733,046	\$ 137,917	\$ 870,963
	Aug	633,966	231,293	865,259
	Sep	910,064		910,064
1st Quarter	Oct	388,940		388,940
	Nov	1,345,766	954,672	2,300,438
	Dec	2,617,176	472,279	3,089,455
2nd Quarter	Jan	119,584		119,584
	Feb	47,387		47,387
	Mar	4,517,849		4,517,849
3rd Quarter	Apr			
	May			
	Jun	11,899,681		11,899,681
4th Quarter	Jul			
	Aug			
	Sep			
Total		\$ 23,212,859	\$ 1,696,162	\$ 24,909,021

Signature

Just D. Levy

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position Individually by Descriptive Title	2. Percent of Time or Effort		Status of Position		If Position is Currently Filled, Indicate () if Staff Member is:															
	Title III	Title VII	Indicate Positions, Currently Filled	If Proposed Position, Enter Fiscal Year-Quarter Position Will Be Filled	Sex		Age		Racial-Ethnic Composition											
					M	F	Under 50	50 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other							
OFFICE OF THE DIRECTOR																				
Director	50	50	x				x		x											
Deputy Director	50	50	x		x			x												
Consultant on Aging II	50	50	x		x				x											
Senior Stenographer	50	50	x				x	x												x
Clerk Typist II	50	50	x				x	x												
Staff Service Manager I	50	50	x				x	x												
Staff Service Manager I	50	50	x		x			x												
Staff Services Analyst	50	50	x				x	x												
OMBUDSMAN																				
Ombudsman	100		x					x	x											
Clerk Typist II	100		x					x	x											x
PLANNING BRANCH																				
Consultant on Aging II	50	50	x					x	x											
Associate Governmental Program Analyst				Q-1																
Senior Clerk Typist	50	50	x					x	x											
ADMINISTRATION DIVISION																				
Staff Service Manager III	50	50		Q-1																

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position Individually by Descriptive Title	2. Percent of Time or Effort		Status of Position		3. If Position is Currently Filled, Indicate () if Staff Member is:																
	Title III	Title VII	Indicate Positions Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial-Ethnic Composition												
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other								
ADMINISTRATIVE SUPPORT SERVICES																					
Staff Service Manager I	50	50	x				x	x													
Clerk Typist II	50	50	x				x	x													
Clerk Typist II (1/2 time)				Q-1																	
FISCAL, ACCOUNTING & BUSINESS SERVICES BRANCH																					
Associate Budget Analyst	50	50		Q-1																	
Accounting Officer III	50	50	x			x		x													
Staff Services Analyst	50	50	x					x													
Senior Account Clerk	50	50	x					x													x
Senior Account Clerk	50	50	x					x													x
Business Services Officer I	50	50	x			x		x													
Clerk Typist II	50	50	x					x													
Clerk I	50	50	x					x													x
Clerk I	50	50	x					x													
EVALUATION & MANAGEMENT ANALYSIS BRANCH																					
Associate Governmental Program Analyst	50	50	x					x													
Associate Governmental Program Analyst	50	50	x					x													
State Financial Examiner I	50	50	x					x													
Consultant on Aging I	50	50	x					x													
Senior Clerk Typist	50	50	x					x													

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position Individually by Descriptive Title	2. Percent of Time or Effort		Status of Position		If Position is Currently Filled, Indicate () If Staff Member Is:															
	Title III	Title VII	Indicate Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial-Ethnic Composition											
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other							
PERSONNEL BRANCH																				
Associate Personnel Analyst	50	50	x		x		x								x					
Personnel Assistant I	50	50	x			x	x													
PROGRAM SUPPORT DIVISION																				
Staff Services Manager II	50	50	x		x		x													x
Senior Clerk Typist	50	50	x			x	x													
TRAINING & EDUCATION BRANCH																				
Consultant on Aging II	50	50	x			x	x							x						
COMMUNICATIONS																				
Information Officer II	50	50	x		x		x													
Public Information Officer	50	50	x			x	x													
Senior Clerk Typist	50	50	x			x	x													
Clerk Typist II	50	50	x			x			x											
TECHNICAL ASSISTANCE & LEGISLATIVE BRANCH																				
Staff Governmental Program Analyst	50	50	x		x		x							x						
Specialist on Problems on Aging	50	50	x		x				x											
Staff Services Analyst	50	50	x			x	x													
Staff Services Analyst	50	50	x			x	x													
Clerk Typist II	50	50	x			x	x													
Clerk II	50	50	x			x			x											

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position Individually by Descriptive Title	2. Percent of Time or Effort		Status of Position		If Position is Currently Filled, Indicate () if Staff Member is:									
	Title III	Title VII	Indicate Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarte Position Will be Filled	Sex		Age		Racial-Ethnic Composition					
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other	
FIELD OPERATIONS DIVISION														
Administration Consultant on Aging	50	50	x		x			x						
Consultant on Aging II	50	50	x		x			x						
Public Health Nutrition Consultant II	100		x					x						
Senior Clerk Typist	50	50		Q-1										
NORTHERN REGIONAL OFFICE														
Staff Services Manager I	50	50	x		x			x						
Consultant on Aging II	50	50	x		x			x						
Consultant on Aging II	50	50	x					x						
Consultant on Aging II	50	50	x		x			x						
Consultant on Aging II	50	50	x					x			x			
Consultant on Aging II	50	50	x	Q-1				x						
Consultant on Aging I	50	50	x					x			x			
State Financial Examiner III	50	50	x		x			x						
State Financial Examiner II	50	50	x		x			x						
Public Health Nutrition Consultant II	100		x					x						
Public Health Nutrition Consultant II	100		x					x						
Stenographer	50	50	x					x						
Stenographer	50	50	x					x			x			

PROPOSED STAFFING PLAN FOR SINGLE ORGANIZATIONAL UNIT

1. Identify Each Position Individually by Descriptive Title	2. Percent of Time or Effort		Status of Position		If Position is Currently Filled, Indicate () if Staff Member is:																
	Title III	Title VII	Indicate Positions, Currently Filled	If Proposed Position, Enter Fiscal Year Quarter Position Will be Filled	Sex		Age		Racial-Ethnic Composition												
					M	F	Under 60	60 or Over	a. American Indian	b. Negro	c. Spanish Language	d. Oriental	e. Other								
CENTRAL REGIONAL OFFICE																					
Consultant on Aging III	50	50	x			x		x													
Consultant on Aging II	50	50	x			x		x													
Consultant on Aging II	50	50	x			x		x					x								
Consultant on Aging I	50	50	x			x		x													
Auditor I	50	50																			
Consultant on Aging Trainee	50	50																			
Clerk Typist II	50	50	x					x	x												
SOUTHERN REGIONAL OFFICE																					
Consultant on Aging III	50	50	x					x	x												
Consultant on Aging II	50	50	x					x	x												
Consultant on Aging II	50	50	x					x	x												
Consultant on Aging II	50	50	x					x	x												
Consultant on Aging II	50	50	x					x	x				x								
Consultant on Aging II	50	50	x					x	x												
Consultant on Aging II	50	50	x					x	x				x								
Consultant on Aging II	50	50	x					x	x												
Consultant on Aging I	50	50	x					x	x												
Consultant on Aging I	50	50	x					x	x												
State Financial Examiner II	50	50	x					x	x				x								
State Financial Examiner II	50	50						x	x												
Staff Services Analyst	50	50	x					x	x												x
Stenographer	50	50	x						x	x			x								
Stenographer	50	50	x						x	x											

PART IV: GENERAL PROVISIONS

STATE California

EXHIBIT IV.2

FY 19 77STATE PROVISIONS FOR OBTAINING ADVISORY ASSISTANCE
FOR TITLE III AND TITLE VII PROGRAMS

1. The State Advisory Committee on Aging has reviewed / X / the State Plan prior to the conduct of the public hearing(s); and again reviewed / X / the State Plan prior to submission to the Governor.
- II. a. TITLE III: Describe the functions, responsibilities and composition of the State Advisory Committee on Aging, as related to the Title III program. Provide explicit assurance that the composition and frequency of meeting requirements of Section 903.50(c) are fully satisfied.
- b. TITLE VII: Describe separately the manner in which the State agency (through the State Advisory Committee on Aging or other means) obtains advisory assistance on the Title VII Nutrition program, as required by Section 909.20. Describe the sources from which advisory assistance is obtained; and the method used by the State agency to obtain such assistance, including the means established for review of and advice on the Title VII State Plan provisions.
- II. a. TITLE III: The State Advisory Committee on Aging consists of the California Commission on Aging, including the statewide advisory body which it established, i.e., the Statewide Advisory Council.

The duties and functions of the California Commission on Aging and its statewide advisory body were established in AB 2263 which was approved by the Governor on October 1, 1973. These duties and functions are the following.

Serve as the principal advocate body in the state on behalf of older persons in areas affecting such persons, including, but not limited to, advisory participation in the consideration of all regulations made by state departments affecting licensing, inspection and rate setting for nursing homes and like facilities, housing for the elderly, home health services, educational, and income maintenance programs;

STATE California

EXHIBIT IV.2

FY 19 77

Advise the director concerning basic policies and priorities with respect to the development and operation of programs and activities related to the purposes of this division, in order to stimulate the most effective use of existing resources and available services for the elderly;

Prepare, publish and disseminate materials dealing with the well-being of senior adults;

Meet as a commission at least monthly in order to study all aspects of the problems of aging;

Establish a statewide advisory body, representing senior adults in accordance with the guidelines for such advisory bodies established in the Older Americans Act as Amended. This advisory body shall be composed of one senior consumer from each of the planning areas for aging, and those areas having a senior adult population over 100,000 shall receive an additional representative for each 100,000 senior population or portion thereof. The term of office for this advisory body shall be for two years. This advisory body shall meet at least quarterly to review the State Annual Operating Plan for Aging and to make recommendations to the commission concerning such programs;

Hold hearings throughout the state, in order to advise the Governor, Legislature and the office on action needed to solve problems of aging, and in order to insure that the needs and wants of older consumers of services referred to in this division are duly considered by the office, the Governor and the Legislature prior to the taking of action to solve these problems;

Within budgetary limits, hire an executive secretary and such staff as may be necessary for the commission to fulfill its duties; and

Perform other duties as may be assigned to it by statute or regulation.

STATE California

EXHIBIT IV.2

FY 19 77

The commission shall prepare and render annually a written report of its activities and its recommendations, which shall reflect the advice of the statewide advisory body of senior consumers. Such report shall be presented to the Governor, the director, the Speaker of the Assembly and the Senate Rules Committee for improvements and additions to the existing efforts of state government on behalf of older persons and of the ways the State of California could encourage and promote programs and services by local communities on behalf of senior residents.

The basic composition of the California Commission on Aging, including the Statewide Advisory Council was established in AB 2263:

The California Commission on Aging

"...shall be composed of 15 persons: nine persons appointed by the Governor, three persons appointed by the Speaker of the Assembly, and three persons appointed by the Senate Rules Committee. At least five of the persons appointed by the Governor, two of the persons appointed by the Speaker of the Assembly and two of the persons appointed by the Senate Rules Committee shall be 55 years of age or older. Persons so appointed by the Senate Rules Committee shall be 55 years of age or older. Persons so appointed to the commission shall include a significant number of consumers of services referred to in this division, including members of minority groups, and persons knowledgeable in the provisions of services for the elderly."

The Statewide Advisory body

"...shall be composed of one senior consumer from each of the planning areas for aging, and those areas having a senior adult population over 100,000 shall receive an additional representative for each 100,000 senior population or portion thereof."

STATE California

EXHIBIT IV.2

FY 19 77

The California Commission on Aging meets monthly. In June 1976 one of the 15 memberships in the California Commission on Aging was vacant. Of the 14 members: 2 represent the general public; 12 represent actual older consumers of service (older persons are identified as actual consumers of service if they receive services, e.g., information and referral, provided under the Title III program); 1 represents low income older persons; 2 represent minority persons; 5 represent private agencies; and 5 represent public agencies.

In June 1976, the 45 member Statewide Advisory Committee had 2 vacancies. Of the 43 members: 43 represented actual older consumers; 5 represented low income older persons; 12 represented minority persons; 20 represented private agencies; and 10 represented public agencies.

- b. TITLE VII: The California Department of Aging obtains advisory assistance on the Title VII nutrition program through the California Commission on Aging and its Statewide Advisory Committee. The membership of a 7 member Nutrition Subcommittee is drawn from the total membership of the California Commission on Aging and its Statewide Advisory Committee. Proposed policies are provided to the California Commission on Aging for review and comment and the advice of the Commission is then considered by the California Department of Aging.

The advice of the California Commission on Aging relative to the Title VII State Plan provisions is obtained by review of the Commission's response to an annual survey questionnaire, by review of the Commission's comments and advice on the draft of the State Plan, and by open discussion with the Commission and its Liaison Committee during their monthly meetings.

CALIFORNIA DEPARTMENT OF AGING
 ORGANIZATIONAL CHART

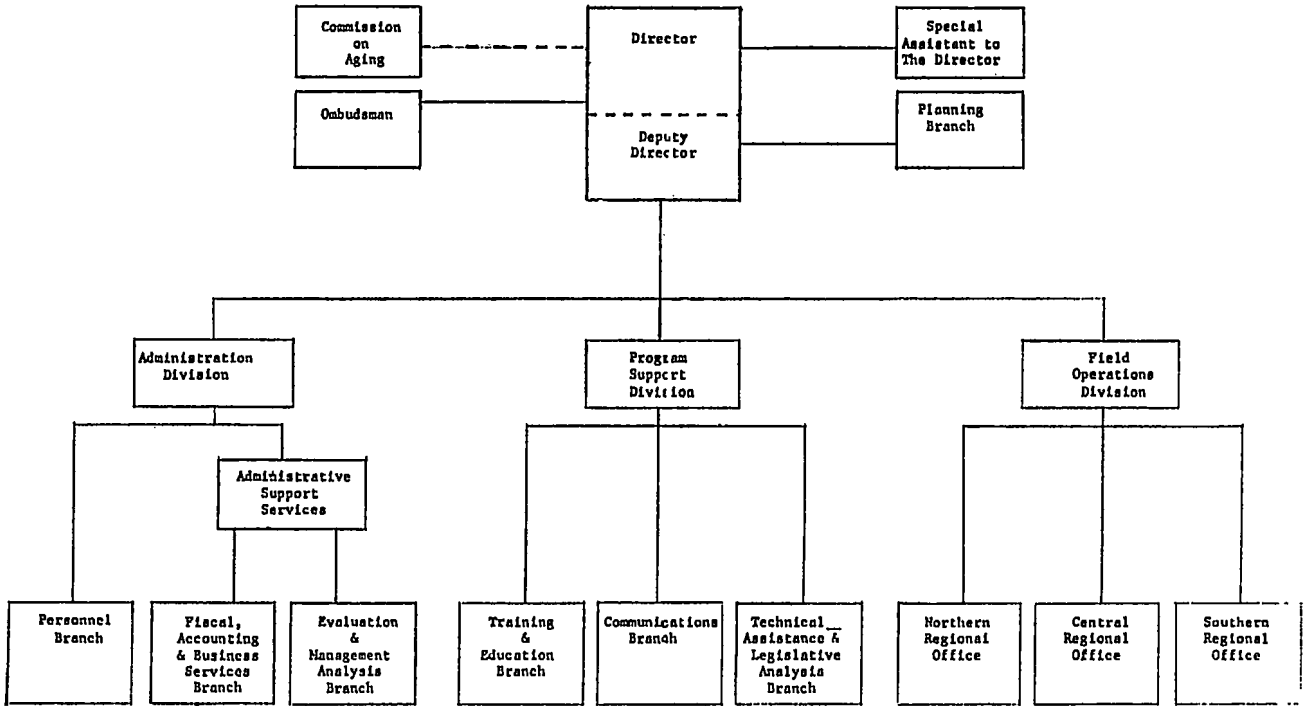


Exhibit No. 30

THE SAN FRANCISCO COALITION
ON LEGAL SERVICES TO THE ELDERLY
220 Bush Street, 21st Floor
San Francisco, California 94102

April 29, 1977

CERTIFIED MAIL

Ms. Janet Levy, Director
California Department on Aging
918 "J" Street
Sacramento, California 95814

Dear Ms. Levy:

The members of the San Francisco Coalition on Legal Services to the Elderly would like to submit the following letter for your consideration in reviewing the Area Plan on Aging for Program Year IV submitted by the San Francisco Commission on Aging for Program Year IV. We find this necessary because the Commission has in no way responded in any meaningful manner to our concerns as they were expressed during the San Francisco Area Plan on Aging Public Hearings for Program Year IV or in subsequent meetings or discussions with members of the coalition.

The problems facing the elderly in San Francisco are many and grave. It is imperative that the Commission fulfill its mandate to "pool, tap and coordinate" existing services, yet they have not worked with us in any coordinated effort.

Specifically, the following are our concerns:

(1) The lack of a meaningful Area Plan Public Hearing process - only two commissioners were present during the hearing. Thus far, the Commission has been unresponsive to our testimony.

(2) The lack of specificity of the Area Plan on Aging Objectives for Program Year IV - an example of this is in Objective Six (6); the plan does not show in what manner legal services will be provided to the elderly. The Action Steps of Objective Six (6) lead us to conclude that legal services will either be funded only for a six month time period or that the state requirement to confine subcontractual activities within the time frame of an AAA contract will not be met, i.e. from July 1, 1977 to

Ms. Janet Levy, Director
April 29, 1977
Page Two

June 30, 1978.

(3) The amount allocated for legal services to the elderly in this city is totally inadequate and unrealistic.

We in no way wish to impede or adversely affect the funding process to direct services to seniors in the city. Therefore, we are not requesting that the state not adopt the plan at this time. We merely wish:

(a) Clarification of the concerns expressed in this letter.

(b) That this letter be attached to the plan as a "rider" in order to put on record the concerns of this Coalition.

Sincerely,



Hiram E. Smith, Director
San Francisco Neighborhood
Legal Assistance Foundation

HES/sw

cc: The San Francisco Bar Association
SFNLAF
California Rural Legal Assistance
Asian Law Caucus
Mission YMCA
American Jewish Congress



YOUNG MEN'S CHRISTIAN ASSOCIATION OF SAN FRANCISCO-

4080 MISSION STREET • SAN FRANCISCO, CALIFORNIA 94112 • PHONE (415) 586-6900

MISSION BRANCH

April 28, 1977

Janet Levy, Director
State Department on Aging
918 J Street
Sacramento, California

RE: Year IV Plan of
San Francisco AAA

Dear Ms. Levy,

In the year IV plan for the San Francisco Commission on Aging we who serve the seniors of this city are happy to see that some money has been earmarked for legal services to the elderly.

However, there is one possible problem with these legal services as proposed that we feel you should consider at the May 2nd hearing on this area plan. That problem is the vague manner in which the San Francisco Commission on Aging states it will allocate this money. It would help to assure this money goes to agencies who can and will provide legal services to the elderly if guidelines for the types of programs that will be funded were stated in the plan.

Please consider this suggestion at the May 2nd hearing. Also, please attach this letter to any correspondence concerning the San Francisco AAA's area plan.

Very Truly yours,

Lyn Fitzpatrick
Senior Program Director



ANNUAL AREA PLAN

For Progress Toward a Comprehensive,
Coordinated Service System for Older Persons

-SUBMITTED BY-

SAN FRANCISCO COMMISSION ON THE AGING

THE AREA AGENCY ON AGING

FOR THE

CITY AND COUNTY OF SAN FRANCISCO

STATE OF CALIFORNIA

FOR

YEAR IV

JULY 1, 1977 - JUNE 30, 1978

ANNUAL AREA PLAN FOR PROGRESS TOWARD A COMPREHENSIVE,
COORDINATED SERVICE SYSTEM FOR OLDER PERSONS

Submitted by

San Francisco Commission on the Aging

for the

City and County of San Francisco

in

California

for

YEAR IV

July 1, 1977 - June 30, 1978

DHEW Publication No. (OHD) 76-20117

ADMINISTRATION ON AGING
OFFICE OF HUMAN DEVELOPMENT
U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

June 1976

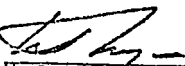
Planning and Service Area: #6

AREA PLAN ON AGING

This document constitutes San Francisco Commission on the Aging's plan for progress toward a comprehensive, coordinated service system for older persons during the year from 7/1/1977 to 6/30/1978. This Area Plan on Aging represents the intent of the Area Agency on Aging to act as an advocate for programs for older persons in City & County of San Francisco (PSA #6) by drawing attention to the needs of older persons for services and for opportunities for services. The plan also represents efforts on the part of San Francisco Commission on the Aging to coordinate all existing services and resources in this planning and service area which can assist in improving the lives of older persons, and to stimulate the commitment of additional funds by public and private agencies to support programs needed by older persons.

The plan presents analyses of the service needs of older persons and the resources currently available to meet those needs. The plan also set forth the program priorities and specific objectives to be undertaken by the San Francisco Commission on the Aging during this plan year.

On behalf of the designated Area Agency on Aging, I certify that I am authorized to submit this plan summarizing the activities to be undertaken on behalf of older persons in this planning and service area during this plan year.



H. Patrick Magee
Executive Director

February 28, 1977

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Regulatory Requirements: Policies Governing the Operation of the Area Plan on Aging under Title III of the Older Americans Act of 1965, as amended.

Planning and Service Area: #6

Exhibit A-1
Page 1 of 1AREA AGENCY ON AGING PLAN SUBMITTALPlanning and Service Area Designation: #6
The Area Agency is a single purpose agency

Name and Address of Agency designated as Area Agency:

San Francisco Commission on the Aging
1095 Market Street, Room 700
San Francisco, CA 94103

Name/Title of Director:

W. Patrick Magee
Executive Director

Area Code/Telephone No.

415-558-2126

Name, Title and Address of Payee (Specify to whom checks
should be sent):Controller
City and County of San Francisco
c/o San Francisco Commission on the Aging
1095 Market Street, Room 700
San Francisco, CA 94103

Budget Period Covered by the Area Plan:

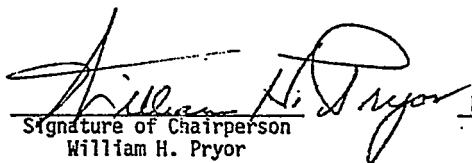
From July 1, 1977 through June 30, 1978.Area Plan Year: 4th

Planning and Service Area: #6
San Francisco Commission on the Aging

Exhibit A-2

Advisory Council Review of the Area Plan

The Advisory Council to the Area Agency on Aging has participated in the development of the Area Plan and has reviewed the plan as herewith submitted.



Signature of Chairperson
William H. Pryor

February 22, 1977
Date

Planning and Service Area: #6

Exhibit A-3

Page 1 of 11

PUBLIC HEARINGS ON THE AREA PLAN

The Commission on the Aging believes that the strongest possible senior citizen input process at all stages of plan development will strengthen hand-in-hand the final document with the ultimate goal of providing more and better services for San Francisco's elderly. In order to achieve this, public hearings are held at the earliest possible stages in plan development. Based on the outcome of the needs assessment, draft objectives are developed and submitted to public hearings for citizen review and input. In formulation of the year IV plan, these hearings were held at the following dates and locations.

<u>DATE</u>	<u>LOCATION</u>	<u>PERSONS IN ATTENDANCE</u>
1) June 22, 1976	Bayview Hunter's Point Senior Citizens 1715 Yosemite Street San Francisco, CA 94124	30
2) June 23, 1976	Salvation Army Building 3550 Army Street San Francisco, CA 94110 (Spanish Interpreter was provided at this meeting)	25
3) June 24, 1976	Montefiore Senior Citizens Center 3200 California Street San Francisco, CA 94118 (coffee was served at this meeting)	40
4) June 28, 1976	Christ Lutheran Church 20th and Quintara San Francisco, CA	55
5) June 29, 1976	Room 282 City Hall San Francisco, CA 94102	30
6) July 1, 1976	Visitacion Valley Community Center 50 Raymond Avenue San Francisco, CA 94134	20
7) July 2, 1976	Chinatown Senior Citizen Association 832 Kearny Street San Francisco, CA 94108 (Chinese Interpreter was provided)	150

SAN FRANCISCO COMMISSION ON THE AGINGPUBLIC HEARINGS1977 AREA PLAN - YEAR IV.

Please come to any of the meetings listed below to offer comments, additions, or deletions to the draft objectives and plan that the Commission brings to you. Call the Commission on the Aging at 558-2126 if you have any questions.

<u>DATE</u>	<u>LOCATION</u>	<u>TIME</u>
6/22/1976	Bayview Hunter's Point Service Center 1715 Yosemite, Conference Room (Coffee & Donuts)	9:30 - 12:00 p.m.
6/23/1976	Salvation Army Bldg. 3550 Army Street, near Valencia	1:00 - 3:00 p.m.
6/24/1976	Montefiore Senior Center 3200 California (Serve Coffee)	1:00 - 3:00 p.m.
6/28/1976	Christ Lutheran Church 20th & Quintara	1:00 - 3:30 p.m.
6/29/1976	City Hall - Room 282	9:30 - Noon
7/1/1976	Visitation Valley Community Center 50 Raymond Avenue	1:00 - 3:00 p.m.
7/2/1976	Chinatown Senior Citizens Assn. 832 Kearny	1:00 - 3:00 p.m.

DRAFT OBJECTIVE
FOR
DISCUSSION

A. HEALTH

Objective #1: I & R: HEALTH REFERRAL SPECIALIST

By October 1977, to have trained health referral specialists within the Commission on the Aging's information and referral component, to provide necessary health related assistance, for example, (1) facilitating ease of entry and passage through the health care system, (2) providing nursing home counseling, for 300 seniors per year.

Objective #2: NURSING HOME REFERRAL

Starting in May 1977 and quarterly thereafter, do a periodic updating of the Commission on the Aging's nursing home referral system, through a quarterly evaluation of quality of care in San Francisco's nursing homes.

Objective #3: NURSING HOME ADVOCACY

To write and submit, in coordination with the Community Coalition for Nursing Home Reform, a nursing home advocacy proposal by January 1977.

Objective #4: STAYING WELL

To contract for the provision of workshops/classes related to the improvement and maintenance of health (e.g. exercise, relaxation, nutrition, health education) to 500 seniors by November 1977.

Objective #5: PEER COUNSELING

To contract for the training and supervision of senior paraprofessionals to provide peer counseling for situational problems (e.g. finances, widowhood, apathy) to 250 senior citizens by December 1977.

Objective #6: DEMONSTRATION HEALTH PROJECT DEVELOPMENT

By December 1977, to write and submit a proposal for a demonstration, neighborhood based, senior community health care system.

B. LEGAL SERVICES

Objective #1: DIRECT LEGAL PROGRAM

To contract for direct legal services for the purposes of offering legal assistance at dispersed sites to and for San Francisco's senior citizens, by October 1977.

Planning and Service Area: #6

Exhibit A-3
Page 4 of 11

C. INFORMATION AND REFERRAL

Objective #1: I & R REFERRAL SYSTEM

By December 1977, to redesign the information and referral telephone system to permit direct communication between the I & R worker the referee agency, and the individual.

D. HOUSING

Objective #1: I & R: HOUSING REPRESENTATIVES

By December 1977, utilizing as a base the comprehensive housing referral network established in Year III, have trained housing representatives within the Commission on the Aging's information and referral system to provide location and other assistance to 50 seniors per month.

Objective #2: HOUSING DEVELOPMENT

By December 1977, to identify present & potential sponsors, and provide technical assistance, as needed, in the development of senior housing and its related components, (including such things as design criteria, site selection, service elements, methods for coordination of service delivery), to at least three non-profit sponsors.

Objective #3: SINGLE ROOM HOUSING: FEASIBILITY

By November 1977, do a feasibility study to determine the need and potential for creating more single room housing in San Francisco.

Objective #4: SINGLE ROOM HOUSING: QUALITY

By December 1977, to develop and submit to the B of S suggested legislation and standards to improve the quality of life of the single room elderly.

E. INCOME/EMPLOYMENT

Objective #1: INCOME TASK FORCE

Utilizing as a basis the income study developed in Year III, establish a task force to review issues involved in income maintenance and income expansion for purposes of making action recommendations by November 1977.

Objective #2: SKILL TRAINING

To coordinate with the Community College District, and implement, a program to train 150 senior citizens in basic home repair and other marketable skills for purposes of potential employment, by June 1977.

Objective #3: SKILL RESOURCE CENTER

In conjunction with the skill development objective, contract for the establishment of a skill resource center to link trained seniors with those needing services (payment to be on a sliding scale according to ability of recipient to pay) by December 1977.

Planning and Service Area: #6

Exhibit A-3
Page 5 of 11

Objective #4: JOB DEVELOPMENT

To contract for job development services for 200 seniors by December 1977.

F. TRANSPORTATION

Objective #1: TRANSPORTATION

To contract for the provision of operating costs for 5 vehicles from Operation Transport for transporting seniors to identified activities by October 1977.

Planning and Service Area: #6

Exhibit A-3
Page 6 of 11PUBLIC HEARINGS ON THE AREA PLAN

After the above public hearings, objectives were redrafted to indicate the input of the senior citizens into this planning process and the objectives enclosed substantially reflect the consensus of suggestions as made at the hearings.

In addition to the above hearings, the Area Agency plan was discussed extensively at the July 7th meeting of the Commission on the Aging, the July 27th meeting of the Senior Citizen Community Advisory Council, the August 4th meeting of the Commission on the Aging and the August 24th meeting of the Senior Citizens Community Advisory Council. In each of the four, public meetings, substantial opportunities were provided for senior citizens to comment on the development process as well as the content of the Year IV Plan.

With the change in our fiscal year, a Plan was not submitted to the State of California on September 1, as had been anticipated. There have been no substantial changes in this Plan since it was presented to the public and although somewhat delayed, the objectives possess the same validity and public support as in the summer of 1976.

Because of the unique involvement of citizens, including Advisory Council members and members of the Commission on the Aging, at all stages of Plan development, once the Plan is prepared the necessity for change is minimal since seniors have been given extensive opportunities to comment on the Plan throughout the development process and their comments are taken seriously by the staff and Commission involved.

Because of the above mentioned delay and in order to insure maximum possible senior input, one additional public hearing was held on February 16, 1977, just two weeks prior to submission to the California Department on Aging and two weeks after Commission on the Aging gave its tentative approval for submission of the plan to the California Department on Aging. Because of our sincere commitment to public input process, a second public Commission meeting has been scheduled for February 23, in order to guarantee that any changes made necessary as a result of the February 16, meeting can be incorporated into the plan and the plan given final approval before submission to the State on February 28, 1977.

The document you have before you represents the most sincere effort to involve seniors in the complete planning process and at every step of the way their comments, suggestions, criticisms, and requests have been given serious consideration for inclusion into the formulation of this final document.

The method of disseminating information regarding the public hearings is one that reflects our sincere commitment to secure as broad as possible citizen involvement. Flyers are prepared from two to four weeks in advance of the meetings and are mailed to every citizens organization within the City as well as all elected officials, various newspapers and other publications, and radio and television stations. In addition, press releases were distributed indicating the dates, times, locations of the public meetings. Large quantities of these flyers were distributed by hand at the places where senior citizens congregate, including the June meeting of the Commission on the Aging as well as numerous senior citizen clubs and organizations with special efforts made to guarantee that senior citizens who regularly attend programs in the locations of the public hearings were given special opportunities to attend and invite their friends. Attached with each of the notices of public

Planning and Service Area: #6

Exhibit A-3
Page 7 of 11

hearings were copies of the draft objectives to guarantee that every person receiving a copy of this notice also received the principle elements of the work program before inclusion in the area agency final plan document.

As an example of our sincere commitment we have enclosed a copy of the flyers announcing the public hearings held in June and July of 1976 and a copy of the notice of the public hearing held on July 2, 1976, in Chinatown which was printed in Chinese.

A copy of the announcement of the February 16, 1977, public hearing is also included. This was distributed over two weeks before that meeting was to take place. Prior to that meeting a copy of the Area Agency plan in its final draft form was available in the Commission on the Aging offices, public library of San Francisco, and complete summaries of it were broadly distributed to senior groups and organizations.

Planning and Service Area: #6

NOTICE OF PUBLIC HEARING

THE SAN FRANCISCO COMMISSION ON THE AGING

will conduct a public hearing on
the Area Agency Plan for Year IV.
July 1, 1977 to June 30, 1978

10:00 A.M. TO 4:00 P.M.

WEDNESDAY, FEBRUARY 16, 1977

ROOM 300

101 GROVE STREET

SAN FRANCISCO

PUBLIC HEALTH DEPARTMENT

THE PURPOSE OF THIS HEARING WILL BE TO
RECEIVE PUBLIC INPUT ON THE PLAN BEFORE
IT IS SUBMITTED TO THE CALIFORNIA DEPART-
MENT ON AGING.

三藩市政府老人事務委員會

一九七七年工作目標草案

三藩市市政府老人事務委員會擬定一九七七年工作目標草案，分發各行關機構研討，並徵求各方意見。該委員會定於七月二日（星期五）下午一時，假庭施尼街八三二號華埠耆英會，召集華埠人士座談，屆時該局派出高級職員解說草案，並請我華商耆英提出意見。安老自助處將派出鍾月娟小姐担任翻譯。事關老人切身問題，尚希各界人士踴躍參加，爭取權益。茲將該委員會一九七七年工作目標草案摘述如下：

(甲) 衛生

目標一：衛生指導專員

到一九七七年十月之時，訓練衛生指導專員完竣，派在三藩市老人事務委員會內任事，每年為三百名老人提供有關衛生的必要援助，如利便老人進住護理機構或為老人提供有關療養院的問題。

目標二：評定療養院的質素

自一九七七年五月起，每季將三藩市的療養院情況，重新估定其護理質素，以供老人參考。

目標三：倡議改革療養院

與「社區改革療養院協會」共同努力，於一九七七年一月之時，草定一項倡議改革療養院方案，向有關當局提出。

目標四：健康服務

在一九七七年十一月之時，設立實驗講座，研討改進衛生和保養健康事宜，使五百位老人獲得運動、休養、營養、衛生教育等服務。

目標五：老人問題諮詢

到一九七七年十二月時止，訓練老人為專業人員，指導他們替二百五十位老人，解答個別遭遇問題，如財政、家居、孤寡冷淡等事宜。

目標六：保健計劃示範

至一九七七年十二月之時，擬定及提出一項示範計劃，此計劃以街坊為基礎，設立老人社區保健體系。

(乙) 法律援助

目標一：直接法律援助

於一九七七年十月，在分散的地點，提供直接的法律援助，維護三藩市老人的權益。

(丙) 輔導與安排服務

目標一：安排服務諮詢

在一九七七年十二月間，重新擬定諮詢與安排工作的電話服務制度，以便委員會工作人員、受托機構、與各當事人之間，能直接溝通。

(丁) 住屋**目標一：住屋問題專員**

至一九七七年十二月之時，根據本會的全面住屋調查資料，訓練住屋問題專員，常駐本會諮詢輔導組內工作，每月為五十位老人服務，告以住屋所在地及給予其他幫助。

目標二：發展住屋建築

到一九七七年十二月之時，鑑定現有和可能的住屋承造商，選定至少三個非牟利的承造商，協助彼等發展老人住屋建築及有關建造部份事宜，如設計標準、地盤選擇等，於必要時更提供技術援助。

目標三：多層單房住屋的研究

在一九七七年十一月間，作一切實的研究，以便決定在三藩市建造更多的單房住屋的需要與可能性。

目標四：改良單房住屋的質素

到一九七七年十二月間，向市參議會提出有關單房住屋質素的立法及標準，藉以改進獨居老人的生活。

(戊) 入息與就業**目標一：入息特別研究組**

根據本會調查所得的入息資料，設立一個特別研究組，檢討有關維持入息與增加入息的問題，以便採取行動，提出改善之方。

目標二：技能訓練

到一九七七年六月間，與「社區民衆教育處」合作，訓練一百五十位老人，授以家庭修理的基本常識及其他適用的技能，以利老人就業。

目標三：技工調度中心

到一九七七年十二月之時，為配合技能訓練計劃，設立技工調度中心，以便為受訓完竣的老人與各方需用技工的商號人士聯系。

目標四：發展職業

於一九七七年十二月間，與各方訂約發展工作職位，以便容納二百位老人。

(己) 交通**目標一：交通**

到一九七七年十月間，籌集經營費用，置備五輛汽車，載送老人，前赴辦理應做的事情。

Planning and Service Area #6



COMMISSION ON THE AGING
City and County of San Francisco

1095 Market Street, San Francisco, California 94103

(415) 558-2126

February 9, 1977

Exhibit A-3
Page 11 of 11FOR IMMEDIATE)
RELEASE)FOR FURTHER INFORMATION)
CONTACT W. PATRICK MAGEE)
AT 558-2126)

COMMISSION ON AGING ANNOUNCES
 PUBLIC HEARINGS

San Francisco. The San Francisco Commission on the Aging announced today that a public hearing will be held on Wednesday, February 16, 1977, at 10:00 a.m. in Room 300 of the Public Health Department Building, 101 Grove Street. Comments from the senior community will be invited on the Year IV Work Program for the San Francisco Commission on the Aging which allocates \$494,660 of federal funds to provide specialized transportation for the elderly, health maintenance program for seniors to promote physical fitness, specialized housing placement service, legal assistance and a home repair program.

In announcing these hearings, Maurice Lipian, Chairman of the Commission and William H. Pryor, President of the Senior Community Advisory Council stressed the importance of receiving comments and suggestions from the 150,000 seniors in San Francisco. When approved, this work program is scheduled to take effect for the twelve months beginning July 1, 1977.

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Planning and Service Area: San Francisco

Exhibit A-4
Page 1 of 4

Summary Statement of Priorities for
Area Agency Activities

Ordinance 305-72 which created the San Francisco Commission on the Aging defined the responsibilities as follows:

To develop broad policy goals in dealing with the problems of the aged and aging within San Francisco. In accordance with this, the overall goal of the SFCOA is development of a system of coordinated and comprehensive services which will enable San Francisco's older population to live for as long as possible in the location of their choice and to function independently in society with the dignity and respect due those who helped create modern society.

The ordinance also states that the SFCOA: a) . . . receive funds from public and private sources and disburse these funds to programs related to the aged; b) direct the preparation and dissemination of educational and informational materials . . . relating to services provided for the elderly by . . . agencies in areas such as housing, medical care, recreation, transportation, information and referral services; c) ascertain, study, and analyze all facts relating to the economic, health, and social needs of older adults . . . d) review proposed legislation and its effect on the welfare of aging persons and report those effect on the elderly to appropriate individuals.

Reinforcing the city charter is the overall goal of the Older Americans Act suggesting that older persons deserve a full range of social services permitting them to maintain independent lives. For those unable to remain fully independent the SFCOA believes that whatever possible must be done to guarantee the availability of a full range of options so that premature institutionalization is prevented. Should institutionalization become necessary, the commitment must then be to preserve the highest level of individuals functioning through a combination of good medical care and planned psycho-social components of quality care.

The charge to create a comprehensive and coordinated system means:

- 1) providing services that respond to needs across the life span - the needs of the pre-retirement individual who is between 60 and 65 differ very much, from the needs of the young-old who are between 65 and 75 and the very-old who are over 75.

- 2) Facilitating the individual's ability whatever his/her functional capabilities to move with relative ease, from one type of service to another, as needs or wants change. Both components involve knowledge, specific linkages, and, the reduction or closure of gaps in available services.

The SFCOA will develop an overall community profile and a plan for

Planning and Service Area: San Francisco

Exhibit A-4
Page 2 of 4

a comprehensive approach to services. Over the next several years, sections of this plan will be implemented. This can only be a beginning in guaranteeing the older individual his full and rightful place in our society.

The SFCOA will utilize this summary statement to review some of the goals that the agency is moving toward. A goal or priority is a broad statement of what one would hope to achieve or change in any particular problem area. A document such as the Area Agency Plan selects certain specific limited, and measurable objectives to achieve within one year's time. In order for these to have meaning they need to be examined in the context of the goals from which they were derived. With the cooperation and support of many other seniors and service providers these goals can be met.

The COA is deeply aware of the range of needs that must be considered in areawide planning. Critical to most is insufficient income. Over the next 3 years, the COA will work on legislation and advocacy to increase the base income of older persons and also work toward expanding purchasing power, creating new job opportunities, and training individuals to fill those positions. To improve the financial status of older persons is a major goal that the COA will be working towards. In 1977, objectives relating to income and employment are steps toward achieving that goal.

Considerations of the problems of the older woman and crime will be reflected in the Action Plans for Training and Coordination. The goals: 1) To plan with/and for the current and emerging generation of older women; 2) To educate older persons in the areas of crime and safety and assist where possible in the reduction of crime. The limitations in resources force selection of specific areas to be worked on each year. As part of a long range plan, other areas will continuously re-examined.

Given the overall goal of Title III, the COA see health care and maintenance as an essential priority.

In the area of health, the SFCOA sees the overall goal as: - To assure availability of services, linkages between services, and payment mechanisms to assist the individual in the maintenance of his or her own health while assuring treatment and care if conditions warrant it. It has become a cliché worth repeating, that health services, as they now exist, are fragmentary and based on the traditional disease model. If you are poor, the problems are compounded since many physicians and nursing homes do not choose to accept Medi-Cal patients. These are not the only problems if you are old and poor, for health status itself, for example, the extent of mobility and limitations varies closely with income, race and education.

What happens if you are over the Medi-Cal eligibility line and do not have enough money to pay for homemaker, chore, or home health services? The medically needy only category can help but it can also create a

Planning and Service Area: San Francisco

Exhibit A-4
Page 3 of 4

series of other financial woes for the partner who might be left at home with little or no money after "spending down" to the M.N.O. line.

Another problem of concern to the COA is the ease with which an individual can get lost in the system. The passage from home to perhaps acute care, to nursing home to home health and maybe back again is not always smooth. A weekend may go by without service or movement in and out of an acute facility may mean different nursing homes, or homemakers when leaving the facility. Receiving an appropriate level of service may also be a problem, given lack of knowledge about available resources and often just plain lack of resources.

Some of the most serious lacks are at the two opposite ends of the health care spectrum: preventative or self-care programs and screening and, at the other end, various options for the older individual requiring one or more environmental supports. Too often the individual receives or seeks "care" only when ill or finds himself in an institution for lack of a suitable alternative. In BY 4, particular attention will be paid to people at the "well" end of the spectrum. If people can stay in good physical and mental health for as long as possible, it can lead to decreased demands or more expensive - both in human and financial terms - institutional or extensive at-home care.

Over the past year the Health Committee has examined the range of health care needs, resources, and gaps. Over the next year, the Committee will be selecting areas to particularly attend to, in addition to the objectives already in the plan.

Institutional care, a necessary part of the health care continuum, is another area of real concern and will receive attention through 1) expansion and upgrading of the nursing home referral system 2) the development of an ombudsman proposal and 3) a financial study of San Francisco's nursing homes and the development of model nursing home costed out, to compare to current costs, 4) the monitoring of state inspections.

However, the SFCOA feels that the limited amount of Title III money available for services must go to helping the 95% of older people who are currently living out of institutions. Committee and staff time will be applied to the myriad of problems associated with long-term care and funds will be sought elsewhere for specific programs as they develop.

Utilizing Title III funds, the COA will be able to do a limited amount to impact the above stated problems. However, through a continued effort at coordination, pooling, community education, and training, the COA hopes to gradually see the emergency of community based medical services available to all older persons ranging from basic good exercise and nutrition to quality long term care. We recognize the inherent frustrations in such long range goals but also acknowledge that actual year by year accomplishments cannot negate the need to see where you are ultimately going.

Planning and Service Area: San Francisco

Exhibit A-4
Page 4 of 4

Good health is often closely associated with decent housing. This becomes even more important as individuals age and require more support from their environment if they are to remain at home. Such support can be specifically designed into traditional public or 202 housing or new approaches to housing the older and frailer person can be explored. Next to income, good housing is probably the most persistently expressed need of older San Franciscans and one that the SFOA will affect whenever and wherever possible.

The Commission's housing goal is: to assure that San Francisco's older residents have access to housing that they can afford, that is in good repair; and in a neighborhood that will provide needed services in relative safety. However, the COA's impact on housing in itself is largely indirect. The direct effect will be felt through the housing referral directory and in the special assistance that the housing component which the I & R network can offer. The COA will continue to explore the possibility of alternative living arrangements.

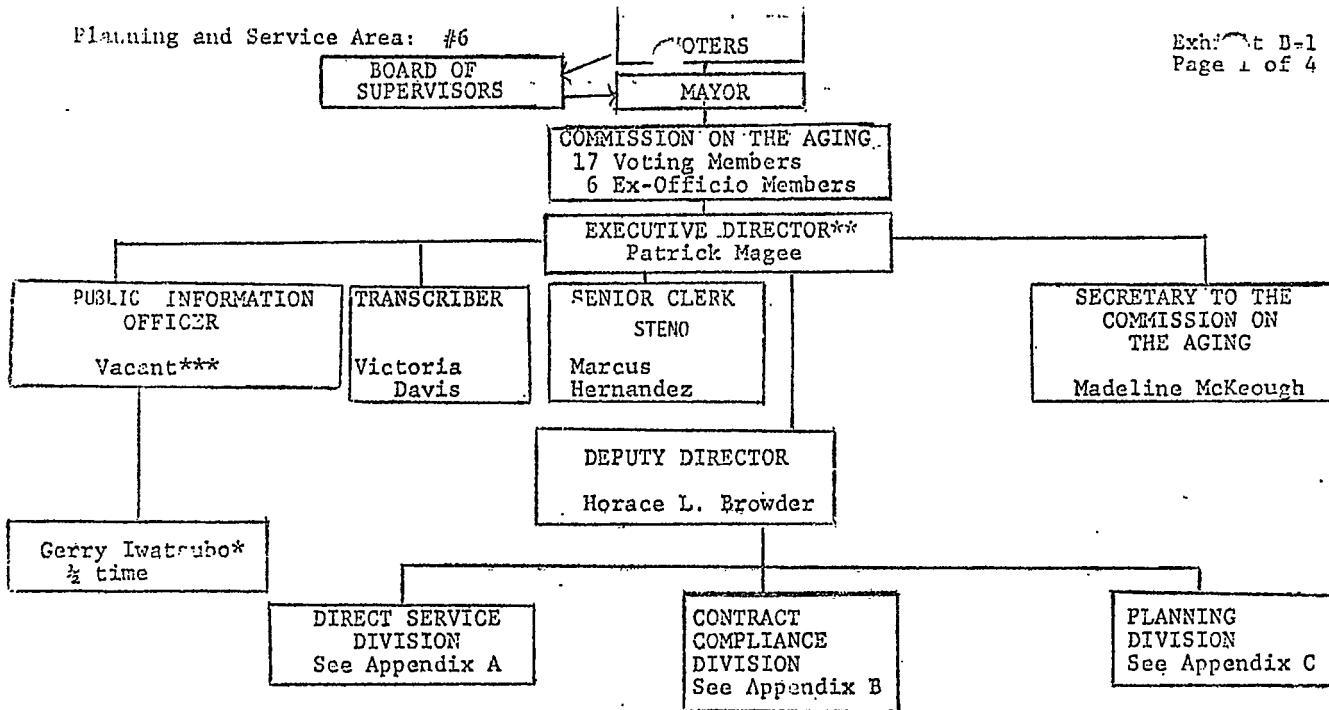
Legislative review and advocacy, where necessary, to bring more and better senior citizen housing to San Francisco will be ongoing combined with an effort to assure that whatever housing is built has design features both for physical space and social services that will meet the changing needs of the elderly residents.

The COA will assist in the development of 202 projects and review proposals to help assure that San Francisco's older persons benefit from all sources of housing resources.

Other problems with housing older people include: rent increases, tax payments, residential rehabilitation and repair, availability of a neighborhood support system including shops, restaurants, and services. Many of these problems relate closely to sufficiency of income but given that problem, the COA will coordinate with other groups to affect what can be done to ease the housing problems of San Francisco's elderly. The COA will coordinate with other groups to affect whatever changes are necessary to ease the problems and will specifically work towards a reasonable residential repair program. (see Objectives)

Lastly, the COA will continue working toward developing an effective transportation system and will contract for a direct legal services program. Both are means of assuring that older individuals can receive the services program. Both are means of assuring that older individuals can receive the services that they need. Legal services also respond to an identified national priority.

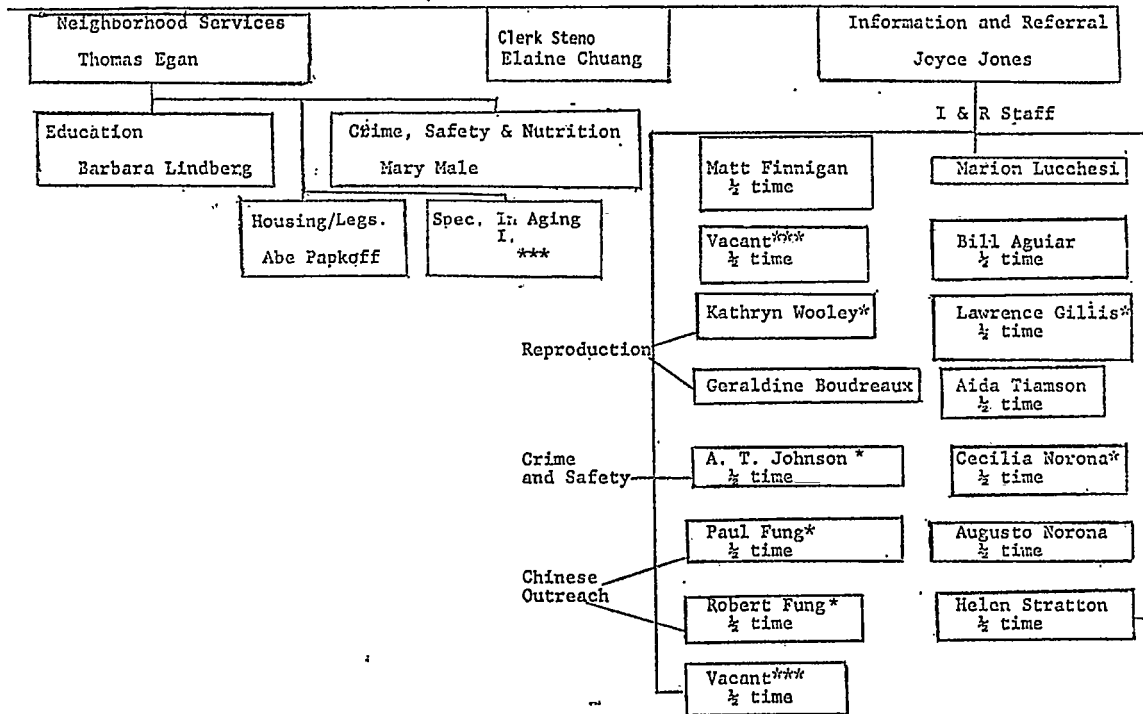
Thus, the COA sees the overall direction of the agency toward resolving or, at least, affecting, problems associated with low income, inadequate housing and fragmented and expensive health services. The correlation between these is high; affecting one positively can have a positive effect on the others. Unfortunately housing is an enormously expensive area; the COA will work in the directions indicated above to do what is feasible to meet its housing goal. In health, increased coordination, pooling, and some conditions in other areas, but, for this year, at least, the stress will be on reaching immediate objectives that derive from longer range goals in the above areas.



895

* CETA
 ** CITY RESOURCES
 *** VACANT AT TIME OF PREPARATION-TO BE FILLED BY 7-1-77

DIRECT SERVICE DIVISION



* CITA
*** VACANT AT TIME OF PREPARATION-TO BE FILLED BY 7-1-77

CONTRACT COMPLIANCE
DIVISION

Accountant I
Melvyn Belanon

Account-Clerk
Sigrid Fink

Yolanda Esguerra
Clerk Steno

Evaluation Coordinator
Lynn Susoeff

Planning and Service Area: #6

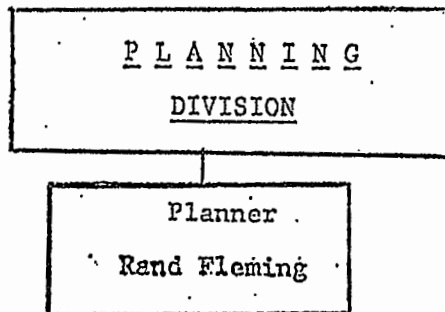


Exhibit 1
Page 4 of 4

Appendix C

PROPOSED STAFFING PLAN FOR THE AREA AGENCY

1. Identify Each Position Individually, by Descriptive Title (Complete for Each Professional, Clerical, and Volunteer Position)	2. If Position is Currently Filled, Indicate IF Staff Member is										3. If Position is not Currently Filled, Indicate Proposed Date When Position Will be Filled		
			Sex		Age		Racial-Ethnic Composition						
	Part-time	Full-time	Male	Female	Under 60	60 or over	American Indian	Caucasian	Negro	Spanish Language		Oriental	Other []
1. Executive Director	X	X			X			X					
2. Admin. Asst. to the Commission on Aging	X	X						X					
3. Assistant to the Director	X			X	X				X				
4. Specialist in Aging III	X	X			X			X					
5. Specialist in Aging II	X	X			X			X					
6. Specialist in Aging II	X			X	X			X					
7. Specialist in Aging II	X			X	X			X					
8. Specialist in Aging I	X	X						X					
9. Specialist in Aging I	X			X	X			X					

(Continued on Next Page)

PROPOSED STAFFING PLAN FOR THE AREA AGENCY

1. Identify Each Position Individually, by Descriptive Title (Complete For Each Professional, Clerical, and Volunteer Position)	2. If Position is Currently Filled, Indicate If Staff Member is										3. If Position is not Currently Filled, Indicate Proposed Date When Position Will be Filled	
			Sex		Age		Racial-Ethnic Composition					
	Part-time	Full-time	Male	Female	Under 60	60 or over	American Indian	Caucasian	Negro	Spanish Language		Oriental
10. Specialist in Aging I												July 1, 1977
11. Public Information Officer												July 1, 1977
12. Accountant I		X	X		X							X
13. Account Clerk		X		X	X			X				
14. Community Worker		X		X		X			X			
15. Community Worker		X		X	X				X			
16. Community Worker	X		X			X		X				
17. Community Worker		X		X	X			X				
18. Community Worker	X		X		X			X				
19. Community Worker	X			X	X						X	
20. Community Worker	X		X			X					X	
21. Community Worker												April '8, 1977

(Continued on Next

PROPOSED STAFFING PLAN FOR THE AREA AGENCY

	1. Identify Each Position Individually, by Descriptive Title (Complete for Each Professional, Clerical, and Volunteer Position)	2. If Position is Currently Filled, Indicate If Staff Member is										3. If Position is not Currently Filled, Indicate Proposed Date When Position Will be Filled				
		Sex		Age		Racial-Ethnic Composition										
		Part-time	Full-time	Male	Female	Under 60	60 or over	American Indian	Caucasian	Negro	Spanish Language		Oriental	Other 1/		
22.	Community Representative	X		X		X				X						
23.	Community Representative	X		X		X							X			
24.	Community Representative	X		X				X					X			
25.	Community Representative	X		X				X		X						
26.	Community Representative	X			X			X								
27.	Community Representative	X			X			X					X			
28.	Secretary to the Commission		X		X					X						
29.	Senior Clerk Steno		X	X		X						X				
30.	Clerk Stenographer		X		X	X							X			
31.	Clerk Stenographer		X		X	X							X			
32.	Clerk Typist		X		X	X				X						
33.	Transcriber Typist		X		X	X				X						

PROPOSED STAFFING PLAN FOR THE AREA AGENCY
CO. POSITION OF GOVERNING BODY
SAN FRANCISCO COMMISSION ON THE AGING

1. Identify Each Position Individually, by Descriptive Title (Complete for Each Professional, Clerical, and Volunteer Position)	2. If Position is Currently Filled, Indicate If Staff Member is										3. If Position is not Currently Filled, Indicate Proposed Date When Position Will be Filled	
			Sex		Age		Racial-Ethnic Composition					
	Part-time	Full-time	Male	Female	Under 60	60 or over	American Indian	Caucasian	Negro	Spanish Language		Oriental
CASHION, GRACIELA												
FEIGENBAUM, ELLIOTT M												
FELHAUER, MARTIN A												
GURALNICK, MARGARET												
KINP, HATTIE												
KNOWLES, THEODORE R.												
LAHR, LORRAINE												
LANE, ELSA												
LEONE, LUCILE P.												
LIPIAN, MAURICE												
MAJEWSKY, ADOLFO												
MC CABE, WILLIAM												
HENDELSONN, PETER P. (mentioned on page 1)												

PROPOSED STAFFING PLAN FOR THE AREA AGENCY
COMPOSITION OF GOVERNING BODY
SAN FRANCISCO COMMISSION ON THE AGING

1. Identify Each Position Individually, by Descriptive Title (Complete for Each Professional, Clerical, and Volunteer Position)	2. If Position is Currently Filled, Indicate if Staff Member is										3. If Position is not Currently Filled, Indicate Proposed Date When Position Will be Filled	
	Part-time	Full-time	Sex		Age		Racial-Ethnic Composition					
			Male	Female	Under 60	60 or over	American Indian	Caucasian	Negro	Spanish Language		Oriental
(Continued)												
ONG, GEORGE W.												
FEET, EDWARD L.												
RHEINER, CONARD												
VAVURIS, NICOLE												
POLIDORI, ROBERT												
DRAFF, ERWIN H.												
ROBINSON, EFFIE												
SHEA, CON S.												
LEVY, AL												
UMINO, ROD												
TOTAL:			15	8	6	17		16	3	2	2	

Planning and Service Area: #6

Exhibit B-3a
Page 1 of 1ADVISORY COUNCIL REPRESENTATION

The following is a description of the Advisory Council composition in accordance with governing Federal regulations:

- 1) The advisory council to the Area Agency is comprised of 142 persons, including 126 older persons. The advisory council is also comprised of members of the general public, nutrition site and project councils and other representatives of program participants.
- 2) 68% of the council membership is comprised of "consumers" of services under the Area Plan. (Note: This figure is misleading because of the definition of "providers" includes many services. Not more than a handful of SCAC members are actually employed to provide services to seniors.)
- 3) Older low income and older minority persons are represented on the Advisory Council (78, total number of older low income and older persons).
- 4) Older minority persons are represented on the Advisory Council at least in proportion to the number of older minority persons in the planning and service area:

25.7% - - proportion of older minority persons to all older persons in the planning and service area.

29.6% - - proportion of older minority persons to total members on Advisory Council.
- 5) Title VII nutrition projects are located within the planning and service area.

 No

 Yes. Representatives of the nutrition project councils are represented on the Advisory Council to the Area Agency.

Planning and Service Area: #6

ADVISORY COUNCIL MEMBERSHIP

The following is a list of Advisory Council members, separated by the period of their appointment to the council and the district from which they were elected.

Officers elected in January, 1977 are: President, William Pryor; First Vice President, Richard Nelson; Second Vice President, Maurine Lamb; Secretary, Arthur Hecht; Treasurer, Rev. L. Banks.

SENIOR COMMUNITY ADVISORY COUNCIL
TO THE
SAN FRANCISCO COMMISSION ON THE AGING
TERMS OF OFFICE - ONE-THREE YEARS

ONE YEAR

<u>DISTRICT I</u> (SEVEN CONSUMERS VACANT) GUADENCIO F. BOMBARDA(P)	FLORENCIO F. VILLANUEVA, SR.(P)	COL. NEMESIO G. GUBATAN(P)
<u>DISTRICT II</u> MARRIE SHAWWELL(C) E ETTER THOMAS(C) CHARLOTTE KNAEBEL(C) MRS. LENORA CAESAR(P)	HORACE J. PIERRE(C) JOSEPH STEVENSON(C) MARJORIE BROMFIELD(P)	MIRIAM PEARSON(C) EDNA HAIR(C) CLAUDE D. MASON(P)
<u>DISTRICT III</u> IDA VAN RAAN(C) ARSENIO GARFIL(C) EMMA BARTOLA(C) ELISEO MEDRANO(P)	ROUEL SCHOLTEN BRADY(C) GEORGE DORTON(C) MORRIS PARRA(C) LORETTE DEL PRIORE(P)	ANANIAS CLAMUCHA(C) EUGENIO TORNEROS(C) JOSE M. FERNANDEZ(P)
<u>DISTRICT IV.</u> PAYE McLEAN(C) RAY WILSON(C) ROSE DAVIDSON(C) DOMINADOR GOMEZ(P)	TAK HING LING(C) LEO MENDOZA(C) NAN ROBERTSON(P)	ROBERT LIM(C) LEOCADIO BONGOLAN(C) JAN GASSMAN(P)
<u>DISTRICT V.</u> LOUIS MORENO(C) FRANCES KLUGER(C) RUFINO N. SANTOS(C) HAZEL AMERAL(P)	JACK O. PAULL(C) VICTOR DEXTER(C) DEACON J. CHOICE(P)	TONG WOO(C) RICHARD CHUNG(C) LILLIE MAE HOUSTON(P)

TWO YEARS

<u>DISTRICT I</u> AMERICA CALVO(C) FERMIN F. HIPOLITO(C) CONSUMER VACANT LANCE PRUITT(P)	PACIFICO DELA CRUZ(C) FRANCES MILLHAUSER(C) REV. C.N. SCHINDLER(P)	MAXIMO E. ANASCO(C) PHILIP GAGLIONE(C) MRS. LOLA JENNINGS(P)
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Planning and Service Area: #6
 Senior Community Advisory Council-
 Terms of office one to three years continued

Exhibit B-3b
 Page 2 of 2

DISTRICT II		
HAZEL GLEASONKAMP(C)	CHARLES F. LAMB(C)	CATHERINE V. MILLER(C)
ARRIE MAE ROBINSON(C)	RORY STARKS(C)	CARRIE CARROLL(C)
GLADYS ZELMS(C)	HARRY CHEN(P)	MRS. BEATRICE BROWN(P)
MRS. IRENE PATTERSON(P)		
DISTRICT III		
CAESAR LORENZO(C)	MAURINE LAMB(C)	LILLIE JEFFERSON(C)
JULIA JOHNSON(C)	CONRADO NAHONGDO(C)	WILLIAM C. MORGAN(C)
PAUL QUGAMA(C)	VIRGINIA THOMAS(P)	LOLA W. YOUNG(P)
BESSIE L. BROOKS(P)		
DISTRICT IV		
KAI LING WONG(C)	YING HANG LING(C)	CHAN CHAP(C)
WILLIAM POON(C)	K. S. HOO(C)	THOMAS D. TIERNEY(C)
MAE V. SPOHN(C)	FRANCES BROWN(P)	FLORA POON(P)
ALFONSO SAN AGUSTIN(P)		
DISTRICT V		
DAN GINTZLER(C)	EMMA GLICK(C)	MARIE ROACH(C)
MARK J. SCHWARTS(C)	JOHN A. AMERAL(C)	LEWIS SIEGEL(C)
ARTHUR S. HECHT(C)	REBA HIGHTOWER(P)	PROF. WILLIAM H. PROYER(P)
MRS. JUSTINE CARTER(P)		
THREE YEARS		
DISTRICT I		
HORACIO MORENO(C)	MRS. ELIZABETH HUMESTON(C)	REBECCA L. WALTON(C)
GERALD WARNER MEYERS(C)	MRS. BETTY ROTE(C)	CHARLES SEALS(C)
LILLIAN MORGAN(P)	MRS. ALICE M. PROSCHOLD(C)	MRS. LEIGH HUBERT(P)
MRS. HELEN E. MEAKIN(P)		
DISTRICT II		
W. EARL CRANSHAW(C)	JACK COLEMAN(C)	I. DEL CARTER(C)
E.L. DIAMOND(C)	ARISTON ARMADA(C)	CARRIE MILLER(C)
GIRVIN WAIT(C)	EDITH HALL(P)	MINNIE JOHNSON(P)
PRO. O.H. HUDSPETH(P)		
DISTRICT III		
ISABEL NEVES(C)	ALFREDA WITTMAN(C)	MAMIE REMZI(C)
AL BANTIST(C)	AMANDA WINSLOW(C)	ARTHUR B. CHASE(C)
DON ALAMEDA(C)	ELSIE SALAS(P)	REV. L. BANKS(P)
BARBARA ANDERSON(P)		
DISTRICT IV		
PIERRE DU VAULLE(C)	GEORGE BOWLES(C)	GILES B. COLBERT(C)
VINCENT DE MICETTI(C)	PAUL M. WHITTER(C)	GRACE G. HAZELBRIGG(C)
CARNELO SANTOS(C)	RICHARD L. NELSON(P)	LARRY GODSEY(P)
NAOMI DE GRACIA(P)		
DISTRICT V		
FLORENCE McGOWAN(C)	SOPHIE HAUT(C)	ELENA CAROLA(C)
MARY GROSS(C)	MRS. ESTHER REICHNER(C)	BILL HAUT(C)
MELBA DENT(C)	MARY GERALD(P)	KIRSTEN ROTH(P)
LUCILLE RAHSTETTER(P)		

ORGANIZATION AND RESPONSIBILITIES OF THE
ADVISORY COUNCIL

The San Francisco Senior Community Advisory Council is democratically elected by San Francisco seniors. Partly to insure geographic representation from all sections of the city, the elections are held within each of the city's five public health districts. Thirty persons are elected to the Senior Community Advisory Council (SCAC) from each district for three-year overlapping terms, a total of 150 SCAC members.

The By-laws provide that a minimum of 70 percent of the SCAC membership shall be persons over the age of 60, "... actual consumers of the various services provided for seniors in the City and County of San Francisco and shall include low income and older minority persons at least in proportion to the number of minority older persons in the area." In fact, the present membership exceeds this By-laws requirement.

In addition to the usual "housekeeping" committees, the By-laws mandate seven committees which correspond to the Committees of the Commission on the Aging. (COA) The intention is that, in addition to conducting their own business, these SCAC Committees shall meet regularly (monthly) with the corresponding COA Committee, thus insuring immediate Advisory input to COA Committee actions.

By-laws of the COA mandate that the agenda of each regular (monthly) meeting of the COA shall include a report from the SCAC President. This assures a regular public forum for issues of concern to the Advisory Council.

SCAC By-laws provide for representation by both "consumers" and "providers" of services to seniors. The term "provider" is defined very broadly and includes a senior who volunteers regularly at one of the city's senior centers or clubs.

The Advisory Council shall:

- 1) Consult with the AAA on at least a bi-monthly basis on all aspects and functions of the AAA.
- 2) Provide a forum to ensure that consumers, providers and the general public are involved in all phases of the AAA planning process.
- 3) Continually assess the needs of the senior community and advise the AAA of which needs require priority attention and which are amenable to longer range solutions.
- 4) Identify specific problems within neighborhoods and in the larger senior community of the City and County of San Francisco and recommend alternative approaches to solve such problems.
- 5) Provide input and feedback during Area Plan development to assure its responsiveness to the needs of the Senior Community.

Planning and Service Area: #6
ORGANIZATION AND RESPONSIBILITIES OF THE
ADVISORY COUNCIL - Continuation:

Exhibit B-9
Page 2 of 2

- 6) Develop an evaluation and review procedure to determine the effectiveness of specific programs to advise the Commission on the Aging on the continuation of such projects.
- 7) Assist the AFA in the dissemination of needed information to the Senior Community city wide or in particular neighborhoods.
- 8) Act as liaisons between the Commission and the community at large.

TRAINING AND MANPOWER DEVELOPMENT PLAN

<u>CONTENT OF TRAINING</u>	<u>Category and Number of Persons for Whom Training will be provided</u>	<u>Proposed Quarter of Training</u>	<u>Organization or Institution to provide Training Tentative</u>	<u>Funding Source and Amount</u>			
				<u>Title III</u>	<u>Title IV-A</u>	<u>Title VII</u>	<u>Other</u>
1) Resource Development for Community Aging Services; Funding Resources in California, Development Planning and Research.	Agencies and individuals looking for strong fiscal base in their programs. (100)	4	Open	X	X		
2) Advocacy, Group Process and Effectiveness Training, the Political Power Structure, Aspects of Aging.	San Francisco Commission on the Aging staff, San Francisco Commission on the Aging Advisory Board members and San Francisco Commission on the Aging Commissioners. (500)	1, 2, 3, 4	Open, but presented by several resources and viewpoints.		X		
3) Minority Aging	Service providers, AAA staff, Senior Groups, Commissioners, Advisory Board. (100)	3	Staff Professional Organizations.	X	X		
4) Aging - Overview	All interested (100)	2	National Institute Aging. Robert Butler				
5) Single Room Elderly	Service providers landlords, property owners, real estate interests. (125)	3	Institute of Applied Gerontology St. Louis University.	X	X		X

TRAINING AND MANPOWER DEVELOPMENT PLAN

	<u>CONTENTS OF TRAINING</u>	Category and Number of Persons for Whom Training will be Provided	Proposed Quarter of Training	Organization or Institution to Provide Training	Funding Source and Amount			
					Title III	Title IV-A	Title VII	Other
6)	Nutrition; fitness; health resource information, consumer education	Nutrition site participants	1, 2, 3, 4	Staff and consultants	X	X		X
7)	Senior Club effectiveness	Senior Club leaders and participants	3, 4	Staff and consultants	X	X		
8)	Legal-assistance to seniors	Volunteers, seniors themselves, Service providers	1	Open	X	X		X
9)	Public Management and Administration	Bay Area AAA's joint staff effort	Depends on Santa Clara AAA for scheduling	Stanford University School of Business Administration		X		X
10)	Emergency Medical Care CPR	Staff, Senior Center Personnel, Teachers, Service Providers Commissioners, Advisory Board	1	E.M.C.C. of Amer. Red Cross	X	X		X
11)	Establishment of senior support system - to allow seniors to function more freely in their home environment	Vista - senior volunteers	1, 2, 3	Staff - SSCCD instructors	X	X		X

AFFIRMATIVE ACTION PLAN

It will be the policy of the AAA to recruit and appoint qualified individuals for employment based on factors of merit without regard to age, race, ethnic background, religion, sex, sexual orientation, or such factors which have no demonstrated relationship to job performance.

Since one of the primary objectives of the AAA is to remove the barriers to economic and personal independence of the over 60 population, this agency will provide opportunities for employment and volunteer activities with special attention being given to low income persons over the age of 60.

This affirmative action plan shall be pursued subject to the requirements of the merit employment system of the City and County of San Francisco and will be administered in ways which further the principles of equal opportunity:

- 1) The AAA will continue to encourage equal employment opportunity in all of its policies affecting staffing of the AAA.
- 2) It will include the principles of affirmative action within personnel management such as training, employee-employer relations, research, job structure and classification, career mobility and pay structure.
- 3) It will inform individuals of employment opportunities through public newspaper advertisement including neighborhood and ethnic publications, through community based organizations and agencies, and through employee organizations and programs.
- 4) It will also notify recruiting sources and request that these sources actively recruit and refer minority elderly for all positions listed.
- 5) It will encourage applications from women, cultural and racial minorities, and the elderly.
- 6) The Agency will encourage the identification and eradication of all barriers to equal opportunity employment.
- 7) Selection techniques will be subject to continuous review to insure that they conform to Civil Service affirmative action guidelines. Although all staff positions of the AAA are in the classified service of the Civil Service Commission of the City and County of San Francisco, every effort will be made within that system to insure equal employment opportunity.
- 8) There will be full utilization of skills which employees bring to their work, including opportunities for training and the development of new skills.

Planning and Service Area: #6

Exhibit B-7
Page 1 of 1

ASSURANCE OF COMPLIANCE WITH THE DEPARTMENT OF
HEALTH, EDUCATION, AND WELFARE REGULATION UNDER
TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

San Francisco Commission on the Aging (hereinafter called the "Applicant")
(Name of Applicant)

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Department of Health, Education, and Welfare (45 CFR Part 80) issued pursuant to that title, to the end that, in accordance with title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department; and HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Applicant for the period during which the Federal financial assistance is extended to it by the Department.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Applicant by the Department; including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The applicant recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Applicant, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Applicant.

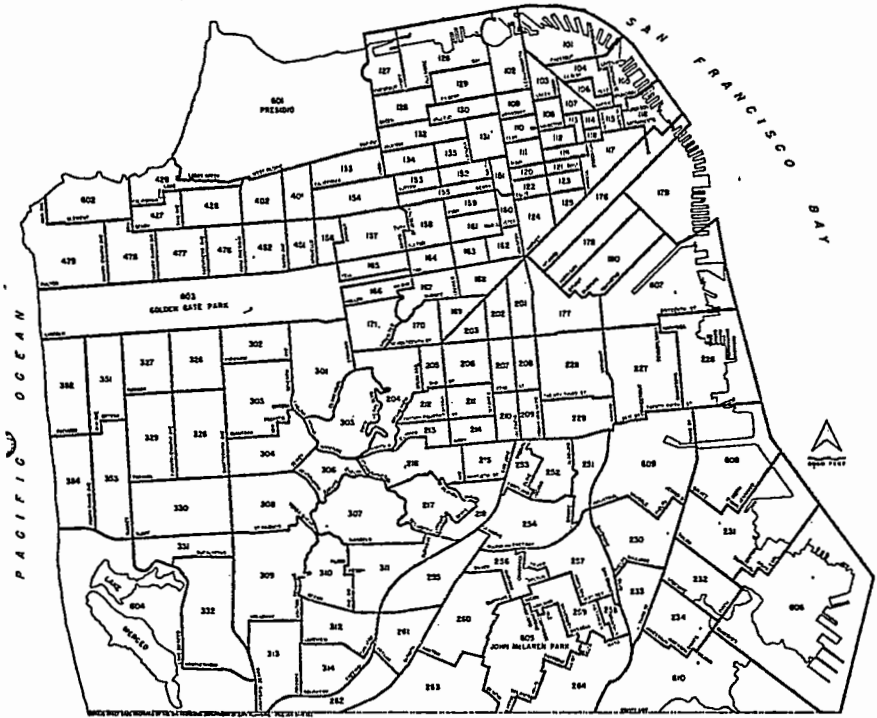
Date February 28, 1977

San Francisco Commission on the Aging
(Applicant)

By *Alvin S. ...*
Chairman

1095 Market Street

San Francisco, CA 94103
(Applicant's mailing address)



1970 CENSUS TRACTS

MAP OF THE PLANNING AND SERVICE AREA

Population of the Total Planning and Service Area,
and its Component Counties or Major Sub-areas

Planning and Service Area, County, or Major Sub-area :	All Ages	Population Aged 60 or Over		
		Number	Percent of All Ages	Percent Distribution <u>1/</u>
Planning and Service Area, Total	715,674*	140,550*	20%	100
Area 6- City and County of San Francisco				100

*Characteristics of State's Older Population by Planning & Service Area
State of California Y 1976

Racial/Ethnic Composition of the Population 60 or Over for the
Total Planning and Service Area, and its Component Counties or Major Sub-areas

Planning and Service Area, County or Major Sub-area	Total, 60 or over		American Indian		Caucasian <u>1/</u> (White)		Negro		Oriental		Spanish <u>2/</u> Language		Other <u>3/</u>	
	Number	% of total	Number	% of total	Number	% of total	Number	% of total	Number	% of total	Number	% of total	Number	% of total
Planning and Service Area, Total.....	140,550*		250*	-0-	108,841	77	8,850*	6	12,000*	9	10,409*	9	200*	-0-

1/ Caucasian refers to whites, with the exclusion of Spanish language and limited English-speaking groups which have been designated as minority by the State Agency on Aging.
2/ Generally Spanish language groups are classified as Caucasian. Due to the special needs of these groups, for purposes of Title III, they are separated out.
3/ Other limited English-speaking groups designated as minority by the State Agency on Aging. Other defined as:
 *Characteristics of State's Older Population by Planning & Service Area
 State of California 1972

Planning and Service Area: #6

Income of Older Persons, Total
Planning and Service Area

The primary source to identify individuals (65 years and over) with income below the poverty level was the 1970 Census of Population and Housing (PHC) (1) - 189 Census Tract Reports.

Other studies that were used to identify an income need included:

Social Security Administration Printout on Supplemental Security Income, 1975; U.S. Bureau of the Census, Census of Population 1970, Characteristics of the Population, and Economic Characteristics of the Older Population, 1974, Current Population Reports, Special Studies, Series P-23, No. 57; U.S. Bureau of Census. Census of Housing 1970, Housing of Senior Citizens, Final Report HC (7)-2; Three Budgets for a Retired Couple, Autumn 1974, Bureau of Labor Statistics.

The following indicators were used to identify same income need -

- total persons 60 years of age and older per public health district
- black and Spanish or Spanish surname persons age 60 years and older per public health district
- persons 65 years of age and over with incomes below the poverty level
- unrelated individuals with incomes below the poverty level

Using the 1970 Census information it was determined that 18,318 individuals (18.4%) over the age of 65 had incomes below the poverty level. The Bureau of the Census defines that level as \$2,215 for an elderly couple and \$1,757 for an unrelated individual. However, since the poverty guideline used by the State Department of Aging is the SSI allocation level (recently increased to \$279 a month or \$3,348 a year), the number of individuals in poverty tends to be much higher. Another factor that has to be considered in determining an income need is the age level. The Bureau of the Census breaks its poverty figures at age 65 and above while the State and Federal regulations for Title III of the Older Americans Act uses age 60 as one of the criteria to qualify for service.

In 1970 there were 41,471 individuals age 60 - 64 years in San Francisco who were not counted in the census poverty figures. This is roughly 29.4 percent of the elderly population. A low estimate would be that 10% of these individuals had incomes below the poverty level, (based on a 13.9% DOL poverty level figure for the entire city at all age levels).

Thus, conservatively, approximately 22% of the population 60 and over live below the DOL poverty index. Since the SSI level is higher, the figure for poverty defined by that criteria can be estimated to be close to 30% (although in June 1975 tables published by the Social Security Administration indicate that only 15% of the 65+

Planning and Service Area: #6

Exhibit C-4
Page 2 of 2

Need: INCOME

population in San Francisco were receiving SSI). Even this figure, however, is not entirely satisfactory when estimating income need. Considerations of cost of living in San Francisco, inflation, specific high costs in some categories of expenditure, the DOL median budget, etc., all tend to raise the estimate of income need. Thus a rough estimate of 32% with income need will be utilized.

Based upon the methodology and criteria used:

the number of older persons 60+ with identified need	<u>45,187</u>
the percentage of all older persons 60+ with identified need	<u>32%</u>

Planning and Service Area: #6

Exhibit C-5
Page 1 of 27

Analysis of Needs of Older Persons, Resources and Deficiencies in the
Services System

The following sets forth a summary analysis of: (1) the needs of older persons in the planning and service area, (2) the resources currently available to meet these needs, and (3) the deficiencies, barriers, or gaps in the services system for older persons.

Analysis of Needs of Older Persons, Resources and Deficiencies in the
Services System

NEED: HOUSINGMETHODOLOGY AND CRITERIA USED:

Determination of need was derived from the following sources: "Owner and Renter-Occupied Units by Living Condition, by Elderly, Non-Elderly, and by Race", Printout by the U. S. Department of HUD in 1970 Census; U. S. Bureau of the Census, Census of Housing: 1970, Vol. 1, Housing Characteristics for States, Cities, and Counties, Part 6, California; U. S. Bureau of the Census, Census of Housing: 1970, Housing of Senior Citizens, Final Report HC (7) -2; U. S. Bureau of the Census, Census of Housing: 1970: Metropolitan Housing Characteristics, Final Report HC (2)-195 San Francisco, Oakland, California (SHSA); Residence 1973 Vacancy Survey, S.F. Department of City Planning, October, 1973; 1976 Community Development Program and Housing Assistance Plan, Preliminary Proposal, Mayor's Office of Community Development.

Duplication in reported figures served in some cases to strengthen the accuracy of the base data.

The primary resource (the HUD report) defines the elderly as 62 years of age and above. Official determination of housing adequacy is based upon two measures: plumbing deficiencies which are characterized by lack of hot water, incomplete plumbing and substandard heating equipment, and overcrowding which is defined as a person-to-room ratio of 1.01 or higher.

In addition to these two standards, two other indicators were used in this analysis-income to rent ratio, and the number of elderly on waiting lists for various senior citizen housing programs. (According to the U. S. Census, 74.8% of household heads in rental housing, over the age of 65 and in the 0 - \$9,999 income level were paying 25% or more of their income for rent for a total of 25,026 persons, or 25% of the population 65 and over. An example of the housing shortage is provided by the San Francisco Housing Authority, which has 3,700 low-income elderly on their waiting list. Clearly, these figures do not represent all unduplicated individuals - yet, each figure must be used in the estimate of need. Thus, the estimate of housing need is not a unified figure. Basic to the estimate is those that need decent housing, at a price they can afford. This means more building, renovation and rehabilitation, and where necessary, relocation assistance. Housing needs, however, can also mean improved safety, simple home repair, locational desirability, access to transportation and so on - each somewhat more difficult to measure. Also difficult to measure is the intensity with which the need for housing was expressed in some areas of the city - particularly Chinatown. People want to stay within their own neighborhood but have clean apartments rather than one room without kitchen.

Thus the estimate of need is based on clear quantitative data and not so clear, yet equally impressive qualitative data.

# of older persons	84,725
# of older persons	<u>60%</u>

Planning and Service Area # 6

Exhibit C-5
Page 3 of 27Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services SystemNEED: NUTRITION

Methodology and Criteria Used:

Utilization of 1970 census volumes as indicated in employment section, study by Peter May, Nutrition Programs for the Elderly in San Francisco, May, 1976; "Owner and Renter - Occupied Units by Living Condition by Elderly, Non-elderly, and by Race," Special Printout by the U. S. Department of Housing and Urban Development on 1970 U. S. Census; U. S. Census, Computer Printout, Housing Characteristics for States, Cities and Counties, Table 40. Community input from nine neighborhood meetings, June 1976, from the Community Advisory Council, and from the Nutrition Committee of the COA, May, 1976.

Criteria to establish nutritional needs include: 1) %/no. of elderly with low incomes which is approximately 21% of the city's over 60 population 2) %/number elderly living alone or with unrelated individuals which is 35% of the over 65 population. 3) % number elderly living in inadequate housing (poor plumbing, etc.) which is approximately 34% of the 62 plus population 4) %/minority elderly - about 35% of the 60+ population. Additionally, we know that approximately 1400 individuals or under 5% of San Francisco's low income seniors can be served at Title VII sites. Since there is turnover at sites an estimate of the total population pool served is 1880, a total of 2000 other elderly San Franciscans receive meals from other sources. (from P. May, 1976). Data derived from meetings are more quantitative but indicated a strong need to have companionship for meals - not specifically nutritional but perhaps affecting the quality of one's diet. In the same vein is the need for nutrition education - a truism, but eating is not quite the same as eating adequately.

A reasonable estimate (based on % living alone and % below poverty) of total San Francisco elderly population who would desire and utilize Title VII sites is 30,000 individuals. Since not all would attend each day, a daily estimate of needed meals is about 20,000; thus with 4500 currently being served the gap in Title VII meals is approximately 15,000. However, this does not take into account others who would benefit from or prefer other forms of meal service or nutrition education and would choose to use the service every day. Taking the 15,000 estimated gap for daily Title VII use, and adding another 8,000 who would prefer other forms of meal service, and an estimate of 10,000 who could benefit from a variety of nutrition education programs, an estimate of need can be derived.

Based upon the methodology and criteria used:

the number of older persons 60+ with identified need	<u>33,000</u>
the percentage of all older persons 60+ with identified need	<u>23%</u>

Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services System

NEED: TRANSPORTATION

Methodology and Criteria Used:

Data utilized include: A Planning Report of Target Needs and Priorities of the Aging in San Francisco, Shephard Assoc., Aug. 1973; Transportation of the elderly in San Francisco - a study conducted by Peter May for the Commission on the Aging, Aug. 1975; Para-Transit Survey Component of MIC Special Transit Needs Study, 1974. In addition, transportation was discussed at the nine community meetings held in June 1975. 1970 census data provides a further estimation of transportation needs that can be extrapolated to San Francisco.

Approximately 70% (in S.F. = 98,700) of those over 60 do not have their own cars (census data). The Urban Mass Transit Authority estimates that 35% of all persons over 60 have dysfunctions which prevent them from adequately being served by public transportation. Extrapolated to San Francisco, that is about 49,350 persons. Other problems with transportation in a basically well served city, include those who live in areas poorly served by public transportation, or just difficulties using MUNI which is not, at the moment, sensitive to the special needs of older persons. Also low income plays a part in creating transportation problems for those unable to utilize public transportation. The flood of calls received during the 1976 San Francisco bus strike also gives some rough indication of those who cannot easily afford hired vehicles when the buses are not running.

The estimate of need in this category, based primarily on the % of expected dysfunctions combined with the factors of low income and no car, suggest a figure of about 30% with a transportation need.

Based upon the methodology and criteria used:

the number of older persons 60+ with identified need	<u>42,300</u>
the percentage of all older persons 60+ with identified need	<u>30%</u>

Planning and Service Area: #6

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Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services System

NEED: INCOME

The primary source to identify individuals (65 years and over) with income below the poverty level was the 1970 Census of Population and Housing (PHC) (1) - 189 Census Tract Reports.

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- unrelated individuals with incomes below the poverty level

Using the 1970 Census information it was determined that 18,318 individuals (18.4%) over the age of 65 had incomes below the poverty level. The Bureau of the Census defines that level as \$2,215.00 for an elderly couple and \$1,757.00 for an unrelated individual. However, since the poverty guideline used by the State Department of Aging is the SSI allocation level (recently increased to \$279 a month or \$3,348.00 a year), the number of individuals in poverty tends to be much higher. Another factor that has to be considered in determining an income need is the age level. The Bureau of the Census breaks its poverty figures at age 65 and above while the State and Federal regulations for Title III of the Older Americans Act uses age 60 as one of the criteria to qualify for service.

In 1970 there were 41,471 individuals age 60 - 64 years in San Francisco who were not counted in the census poverty figures. This is roughly 29.4 percent of the elderly population. A low estimate would be that 10% of these individuals had incomes below the poverty level, (based on a 13.9% DOL poverty level figure for the entire city at all age levels).

Thus, conservatively, approximately 22% of the population 60 and over live below the DOL poverty index. Since the SSI level is higher, the figure for poverty defined by that criteria can be estimated to be close to 30% (although in June 1975 tables published by the Social Security Administration indicate that only 15% of the 65+ population in San Francisco were receiving SSI). Even this

Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services SystemNEED: INCOME - continued:

figure, however, is not entirely satisfactory when estimating income need. Considerations of cost of living in San Francisco, inflation, specific high costs in some categories of expenditure, the DOL median budget, etc., all tend to raise the estimate of income need. Thus a rough estimate of 32% with income need will be utilized.

Based upon the methodology and criteria used:

- the number of older persons 60+ with identified need 45,187
- the percentage of all older persons 60+ with identified need 32%

Planning and Service Area: #6

Exhibit C-5
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Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services System

NEED: HEALTH

METHODOLOGY AND CRITERIA USED:

Health needs cover a broad range of services: from preventive programs to the hospice and each one involves its own problems of funding and service delivery. Hence, the determination of need for health services is programmatically related. Health is defined by this agency to include programs that are clearly preventative in nature. Thus, percent and number will vary depending upon the utilization of a disease or health model of care.

To arrive at an estimate of need the following data were utilized: national figures extrapolated to San Francisco (see selected list of resources attached).

Health Systems Plan - Bay Area Comprehensive Health Planning Council; data from San Francisco Department of Health and Department of Social Services - Adult Services; providers of local health services; the Shephard Report, 1973, served as basis of local data.

The criteria for establishing a health need include the general variables of sex, race, education, income, and transportation. Estimates based on these particular indicators suggest a health need of 20 - 30%. The specific need is entry point into the system, finding appropriate payment mechanisms, having sufficient knowledge to be responsible for initiating good health practices, and lastly, having a method to reach a source of available care. Overriding all this, for the person without a committed personal physician, is the need to have a facilitator, or coordinator - someone to help move the individual through the care system.

More specific criteria for estimated health need include 1) % without a doctor, 2) % with need for some health or social services to stay at home, 3) % with various mobility limitations (functional incapacity), 4) % institutionalized 5) % inappropriately institutionalized, 6) % inappropriately utilizing emergency services as entry point into the system, 7) % utilizing outpatient services as entry point into the system. (see attached table). This, then, is compared to available resources.

Preventative health programs further open the definition of health needs. This would include all non-institutionalized and relatively mobile older persons and must be considered a key need if we are to reduce more expensive forms of care.

Thus, the estimate for health care needs is a composite figure. Each specific category of health need will produce its own figures.

Based upon the methodology and criteria used:

the number of older persons 60+ with identified need	<u>63,544</u>
the percentage of all older persons 60+ with identified need	<u>45%</u>

Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services System

HEALTH RESOURCES (Sample)

Shanas, Ethel "Measuring Home Health Needs of the Aged in
Five Countries". J. of Geront. 1971, 26, 37 - 40

Shanas, Ethel Old People in Three Industrial Societies

Special Committee on Aging, U. S. Senate
Mental Health and the Elderly, Joint Hearing,
Washington, D. C., September 1975

Special Committee on Aging, U. S. Senate
Nursing Homes, Failure in Public Policy

Series: Health Insurance Statistics, (SSA)
Research and Statistics Note (SSA), Monthly
Benefit Statistics (SSA), Monthly Vital Statistics (SSA)

HEW, "Health Service Use, National Trends and Variations,
1953-1971 (#73 - 3004, 10/72), 1970

Aday, Lu Ann, and Andersen. Access to Health Care, 1975.

Rushmer, Humanizing Health Care, 1975.

STATE OF ELDERLY HEALTH	NATIONAL DATA	
1) Institutionalized	3.7%	5,217
1) Housebound	8.0%	11,280
1) Needs Help to Get Outdoors	6.0%	8,460
1) Visited by physician at home at least 1x/yr.	50.0%	70,500
2) No regular source of care	11.0%	15,510
2) Utilize outpatient clinics for regular care	16.0%	22,560
3) Chronic medical disorders (65 - 74)	80.0%	49,957 (based on 62,446 ages 65 - 74)
3) Chronic medical disorders (75+)	87.0%	25,406 (based on 29,191, 75+)
4) Living - Alone		
Men	14.0%	5) Men and Women = 37.3%
Women	41.0%	52,593

10% population (65+) use 30% health care (Brotman)

- 1) From E. Shanas, "Measuring Home Health Needs of the Aged in Five Counties," - J. of Geront., 1971, 26, 37-40.
- 2) From HEW Study "Health Service Use, National Trends and Variations. 1953-71 (#73-3004, 10/72), 1970
- 3) Shanas, "Old People in Three Industrial Societies"
- 4) Washington Post 5/77
- 5) APAA - Shepard Report, 1971

Planning and Service Area: #6

Exhibit C-5
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Analysis of Needs of Older Persons, Resources and Deficiencies
in the Services System

NEED: EMPLOYMENT

METHODOLOGY AND CRITERIA USED:

Collation and analysis of data from the following sources: U.S. Bureau of Census, Census of Population 1970, Vol. 1 Characteristics of the Population, part - U. S. Summary, and part 6 - California; Employment and Earnings: January 1976, and unpublished monthly reports, U. S. Department of Labor Statistics; "The Myth and Reality of Aging in America," the Lou Harris Associates survey for the N.C.O.A., 1974. Determination of local conditions was additionally based upon: Semi-Annual Report for the Second Half of 1975, Mayor's Office of Manpower; Employment Development Department Program Records (CETA), Northern California Employment Data and Research; State of California;

Final figures were derived from (officially) tabulated unemployment figures adjusted for undercounting; based on NCOA survey data.

Non-quantitative data derived from community neighborhood meetings indicated an interesting decision on the need for employment. Most suggested that having the option available was desirable. Others were really opposed to creating jobs for older people because of the high unemployment of youth.

Conventional tabulation criteria resulted in significant undercounting of the "unemployed" elderly; to be counted, one must have been officially processed by a State's Employment Department.

The convention of designating an individual "not in the labor force" simply on the basis of age (65 years and over) also tends to mask real unemployment of the aged. For our purposes an individual is unemployed if he is out of work and expresses the desire for employment.

(It should be noted that our figures are conservative -- we used the base minimum in computing the 3 out of 10, which was in fact slightly more than 3 in 10; we also do not adjust for increased labor market tightening in recent years.)

<u>99,000</u>	65 years +
<u>48,998</u>	employed 65+
<u>30,589</u>	not in labor force

From these figures, 19,413 unemployed, or 19.6% of 99,000.

Taking 0.3 (i.e. 3 in 10) of retirees and unemployed, we get 15,000 or 15.2% of 99,000.

Taking the mid-point (which is another reason why our figures are conservative) we get 17,206 or 17.4%

Based upon the methodology and criteria used:

the number of older persons <u>65+</u> with identified need	<u>17,206</u>
the percentage of all older persons <u>65+</u> with identified need. *(see next page)	<u>17.4%</u>

(Attached continuation sheets as necessary)

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over			3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
		Public	Private			
City & County of San Francisco	Adult Homemakers	X		Home Assist.	Welfare Clients	No record
	Adult Literacy Ct.	-	X	Leisure Time	Must speak English	30 per mo.
	Alcoholism Clinic		X	Health	Need	20 per mo.
	Alemany Activities Ctr.		X	Health	Need	No record
	Ambulatory Health		X	Home Assist.	Resident of Area	420/mo.
	American Cancer Society		X	Home Assist.	Cancer patient	No record
	American Indian Center		X	Inf. & Ref	Native American	No record
	American Red Cross		X	Emerg. Assist.	Need	No record
	Anchor Rescue Mission		X	Food Service	Indigent	30/week
	Assn. for Protection of Tenants		X	Tenant/Landlord	Must be a tenant	30/week
	Arthritis Foundation		X	Health	Must have arthritis	No est. mostly Inf. & Ref.
	Better Business Bureau		X	Legal & Advocacy Services	Need	No est. Mostly Inf & Ref.
	Blindcraft (Lthse)		X	Employment	Blind	50/week

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Center for Special Problems		X	Alcoholism Emergency Assist.	Psychiatric problems	2 daily
	Chamber of Commerce		X	Inf. & Ref.	None	I&R only 5-day
	Children's Hospital		X	Health	Need	100 daily
	Chinese Hospital		X	Hospital	Need	50 daily
	City Physician		X	Doctor	Need	5 daily
	Community Mental Health Areas	X		Psychiatric	Need	3 daily
	Community Music Center		X	Educational	None Class Work	
	Council for Civic Unity		X	Housing	Housing & Discrimination	
	Diabetes Ass'n of S.F.		X	Health	Need	
	District Health Depts. #1, #2, #3, #4, #5		X	Health	Need	
	D.S.S.		X	Social services	Need	3,741 per mo.
	Crescent Manor		X	Food, Housing	None	20 daily
	Day Center, S.F. General Ward 55		X	Health	Need	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Easter Seal Society		X	Health	Need	
	E.O.C. of San Francisco	X		Inf. & Ref.	Low income resident of area	
	Employment Development Department	X		Employment	Unemployed	
	Emergency Hospital Service	X		Medical	Need	
	Emergency Poisons Control Center	X		Medical	Need	
	Everyman's Free Clinic		X	Medical	Need	
	Eye Screening Clinic		X	Medical	Need	
	Fair Employment Practices Commission	X		Employment	Discrimination	Age 40 up 295 - 6 mos
	Family Relations Bureau	X		Social Service	Need	No est on 60
	Family Service Agency	X		Social Service	Need	Ongoing 400
	Family Welfare Bureau (Salvation Army)	X		Social Service	Need	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City & County of San Francisco	Food Stamp Field	X		Food sources	Low income	No record
	Fort Help		X	Counseling	Drugs, alcohol	20 per week
	Foster Grandparent Project		X	Employment	Over 60	60 week
	Friendly Visitors		X	In Homes Asst.	Over 60	53 week
	Federal employees		X	Services (emp)	60 or over	No record
	Franciscan		X	Inf. & Ref.	All ages	35 week
	Friends Outside		X	Counseling	Prisoners Families	50 week
	Garden Hospital		X	Health	Need	500 per mo.
	Geriatric Services Program	X		Mental	Need	250 week
	Golden Gate Reg.		X	Mental	Retardation	39
	Goodwill Industries		X	Employment	Handicapped	95 week
	The Group		X	Food Services	Need	20 week
Guide Dogs for the Blind		X	Health	Visually Handicapped	No record	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Haight Ashbury Clinic	x		Health	Need	No record
	Haight Ashbury #2 Drug Treatment Project	x		Psychiatric	Need	5 yr.
	Haight Ashbury Legal Project	x		Legal Advo.	Need	No record
	Haight Ashbury Medical Clinic	x		Health	Need	No record
	Haight Ashbury Switchboard	x		Inf. & Ref.	Need	No record
	Harbor Emergency Hospital	x		Health	Need	No record
	Harbor Light Center (Salvation Army)	x		Inf. & Ref. Counseling	Need	No record
	Harriet St. Day Activity Center (Nursing & Counseling Center)	x		Nursing & Counseling Health	Alcoholic	10 per mo.
	Health Centers 1,2,3,4,5	x		Health	Need	No record
	Health Help	x		Home Ass't.	Homemaker service	250 yr

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Heart Association	x		Health	Need	N.R.
	The Henry	x		Rehabilitation	Alcoholic	N.R.
	Holy Family Day Homes	x				
	Holy Names Sr Parishners Club	x		Rehabilitation	Need	N.R.
	Holy Order of Mans	x		Food Service	None	300 wks
	Homemakers, Inc.	x		Health	None	N.R.
	Homemaking Sojourners	x		In Home Assist.	Aged & Blind	27 wks
	Housing Authority of San Francisco	x		Housing	Low Income	3600 yr
	Holy Innocent Epis. Church	x		Food Service	Low Income, Elderly	N.R.
	Human Resources Department	x		Employment	Age 55 and over	N.R.
	Immigration & Naturalization	x		Legal	18 and over and Need	N.R.
	International Institute	x		Leisure Time	50 yr or over	50 yr

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Industrial Club		x	Leisure	Adults not under the influence of drugs or alcohol	40 mo.
	Italian Welfare Club		x	Emerg. Asst.	Italian descent	400 yr.
	International Inst.		x	Leisure Time	Immigrants	50 yr.
	Jobs for Older Americans	x		Employment	Over 55, low income under or unemployed	60 wk.
	Jewish Family Service Agency		x	Info. & Ref.	Age 60 & up	100 mo.
	Jewish Home for Aged		x	Housing	Age 60 & up	300 wk.
	Jewish Family Sr. Citizens Wkshop		x	Employment	Referral by J.F.S.	30 wk.
	KABL (call for action)		x	Info. & Ref.	Legitimate Need	30 wk.
	Kimochi, Inc.		x	Leisure Time	None	125 wk.
	King Arthur Proj.		x	Rehabilitation	Alcoholism	N.R.
Langley Porter neuro-psychiatric Institute		x	Rehabilitation	Psychiatric	N.R.	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	La Raza	x		Inf. & Ref.	Spanish-speaking persons	10 per mo.
	Legal Assistance Foundation	x		Legal & Advo.	Low Income	1000 per yr.
	Longshoremans Pension Club		x	Leisure Time	62 or over or disabled	App. 500 mem.
	Lutheran Care for Aging	x		Inf. & Ref.	Age 55 and over	455 yr.
	Marian Visitors		x	In Home Asst.	Visit Elderly in homes, care.	No record
	Mattachine Soc.	x		Info. & Ref.	Counseling Svcs.	App. 60 per. y
	McAuley Neuro. Institute		x	Health	None	77 wk.
	Mature Temp. Emp.	x		Employment	Need & 55 to 70	20-30 wk.
	Meals on Wheels	x		Food Service	Homebound or lack Facilities	200 wk.
	Men's Soc. Serv. Center (Sal. Army)		x	Rehabilitation	Alcoholics	20 per wk.
	Mission Alcoholic Center		x	Rehabilitation	Alcoholics	No record
	Mission Emer. Hospital		x	Public Health	Need	May & Jun. 1979 1200

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INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Mission EDD Cntr.	x		Employment	Need	15 per yr.
	Mission Mntl. Hlth.	x		Health	Psychiatric	80 (6 mos.)
	Mission Adult Ctr.		x	Leisure	Education	200 ea. 3 mo. sem.
	Mission Neighborhood Health Ctr.	x		Health	Insurance and Income Ambu. and Dental Care	No record
	Mission Rebels	x		Food Serv.	Need	1400 month
	Mt. Zion Hosp.	x		Health	Need-psychiatric and clinic	2000 yr.
	Muni. Small Claims Court		x	Legal & Advo.	Need	No records
	Multiple Sclerosis Society	x		Health	None	250 yr.
	Muscular Dystrophy Assn.	x		Health	Need	4
	Nat. Asso. of Ret Fed. Empl.	x		Legal & Advo.	Retired Federal Employees	1500 memb.
Natl. Aid to Visually Handicapped	x		Health	Textbooks & reading material for people with sight handicaps	No records	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	National Council on Aging	x		Employment	55 and Over	155 per yr.
	National Council on Alcoholism		x	Health	Alcoholism	N.R.
	Nat'l Sr. Citizen Law Project	x		Leg. & Advo.	Class Action suits	50 per mo.
	N. Calif. Kidney Foundation		x	Health	Need	N.R.
	No. Calif. Presby. Home		x	Housing	Low Income-Elderly	350 Res.
	No. Cal. Serv. League		x	Rehabilitation	Counseling Serv.	5 yr.
	Northeast Health Serv.	x		Health	Need	N.R.
	North of Mkt. Senior Service Center		x	Inf./Ref. Health	55-Neighborhood Resident	1200 per mo.
	OBECA/Arriba Juntos		x	Inf./Ref. Educ.	Bi-Lingual Span.	20 per mo.
	Pac. Heights Adult Sch.	x		Education	None	N.R.
	Pac. Med. Center		x	Health	Need - 5 yrs. up	1500 yr.

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over			3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
		Public	Private			
City and County of San Francisco	Planning for Later Years	x		Inf. & Ref.	None	N.R.
	Poison Con. Ctr.	x		Health	None(Agency Dept. Pub. Health)	N.R.
	Psychiatric Emer. Service	x		Health	Psychiatric (Dept.Pub.Health)	N.R.
	Presby. Med. Ctr.	x		Health	None	N.R.
	Psychiatric Day Center of S.F.	x		Counseling	Psychiatric.	N.R.
	Public Guardian	x		Leg. & Advo. Sr.	No next of kin or legal guardian	300 per mo.
	Pub. Health Dept.	x		Health	Need	1200 bi-mo.
	Public Library	x		Education	None	No Est.
	Rafael House	x		Housing	Women & Children (Families)	10 per wk.
	Reality House W.	x		Health-Rehab.	Counseling, Drug Addicts	3 (6 mos.)
Retire Club (Dept. Star Emp. Union)	x		Legal & Advoc.	Former Union Member	250 Members	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Ret. Empl. City & County of S. F.	x		Leg. & Advo.	Retired City & Co. Empl.	
	Retirement Jobs	x		Employment	Age 55 and over	105 week
	RSVP	x		Leisure Time	Retired	400 Vol.
	Ralph Davies Med. Center	x		Health	Need	150
	Salvation Army	x		Ntrn.-Inf.& Ref.	Need	42 daily
	S.F. Assn. Mental Health	x		Health & Ref.	None	No Est.
	S.F. Bay Area Hearing Society	x		Health	Hearing Problem	2,124 per yr.
	S.F. Hearing & Speech Center	x		Health	Need	70 per mo.
	S.F. Home Health	x		Health	Need	714 mo.
	Self Help for Aging	x		Advocacy	Need	N.R.
	Self Help for Elderly	x		Inf. & Ref.	Need - Food Prog.	12,000 per mo.
	Soc. For Prevention of Blindness	x		Health	Need	140 - 6 mo.
	Stepping Stone	x		Rehabilitation	Alcoholism	4 yr.
Suicide Prevention	x		Rehabilitation	Drug Users	250 per mo.	
St. Anthony	x		Food Serv. & So Serv.	Need	600 per day	

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	Telegraph Hill Neighborhood Assn.	x		Lunch & Food Service Counseling	Services to all ages	60 per day
	Telephone Pioneers of America	x		Leisure Time	55 and over	400 members
	Travelers Aid Soc. of S.F.	x		Inf. & Ref.	All ages	N.R.
	Tuberculosis Assn.	x		Health	Need	4000 per yr.
	Third Baptist Sr. Ct.	x		Leisure	None	N.R.
	The Heritage	x		Housing	Nursing Care	104 Res.
	United Japanese Community Services	x		Inf. & Ref.	Referred to Kimochi	N.R.
	United Bay Area Crusade	x		Inf. & Ref.	None	N.R.
	U. C. Adult Educ. Center for learning in Retirement	x		Leisure	Retired	164 members
	U. C. School of Dentistry	x		Health	Full Dental Care	1000 per mo.
	UOP School of Dentistry	x		Health	Dental Care	N.R.

INVENTORY OF RESOURCES IN THE PLANNING AND SERVICE AREA

1. County and/or Major Jurisdiction within Planning and Service Area	2. List Agencies Having Resources to Serve Persons 60 & Over	Public	Private	3. Type of Services or Resources	4. Eligibility Requirements (if any)	5. Number of Persons Aged 60 or Over Presently Being Served
City and County of San Francisco	U. S. Medical Center	x		Health	Need	26,000 visits /yr.
	Urban Indian Health Clinic		x	Health	Need	10 per mo.
	Utility Workshop		x	Work Program	Elderly Handi-capped	N.R.
	Veterans Adminis.	x		Inf. & Ref.	Veterans	No Record
	Visiting Nurses Assoc.		x	Health & In-Home Assist.	Need of Help	3000 per yr.
	Volunteer Bureau Action Center		x	Leisure Time	None	
	Volunteers of America		x	Clothing	Need	50 per mo.
	Westside Comm. Mental Health Ctr.		x	Health	Need	50 per mo.
	Women's Center		x	Drop-in Group Counseling Ref.	Women	12 per yr.
	YWCA		x	Housing - Ref.	Need	N.R.
	YWCA		x			

ANALYSIS OF NEEDS OF OLDER PERSONSRESOURCES AND DEFICIENCIES IN THE SERVICE SYSTEM

The following Inventory of Resources is a representative sample of agencies having resources to serve persons sixty years of age and over. This is not meant to be an exhaustive listing of all such agencies in the City. The Commission on the Aging wishes to present a range of resources to illustrate the scope of available services. Additional listings are maintained and circulated by the COA's Information and Referral Office.

Planning and Service Area: #6

Exhibit C-5
Page 7COMMISSION ON THE AGING
1095 Market St., Room 700
San Francisco, Ca. 94103CHURCH SPONSORED APARTMENTSTHESE APARTMENTS HAVE BEEN ESPECIALLY DESIGNED FOR OLDERPERSONS AND HANDICAPPED

Alexis Apartments St. Patrick Church	390 Clementina St.	495-3690
El Bethel Arms Bethel Baptist Church	1234 McAllister St.	567-5495 567-3950
Bethany Center Grace Methodist Church	580 Capp St.	282-0287
Jones Memorial Homes Jones Memorial Methodist Church	1640 Steiner St.	346-4850
Martin Luther Tower St. Marks Lutheran Church	1001 Franklin St.	928-7770
Silver Crest Apartments Salvation Army	133 Shipley St.	543-5381
Sunset Apartments Presbyterian Church	1353 - 7th Ave.	661-8411
Vincentian Villa St. Vincent de Paul Society	1825 Mission St.	621-5305
Western Park Apartments North California Presbyterian Home, Inc.	1280 Laguna St.	922-5436

OTHER APARTMENTS

Apartamentos de la Esperanza	1390 - 19th St.	648-6113
Nihonachi Terrace	1629 Sutter St.	346-1200
Notre Dame Apts.	1590 Broadway	673-2011
Royal Adah Arms	1240 Fillmore St.	567-2981

DESCRIPTION OF THE PLANNING PROCESS

Congress recognized the need for rational allocation of scarce resource to meet the virtually unlimited needs of the elderly. Congressional legislation gave area agencies responsibility for the elderly population in a defined planning and service area and instructed the respective states to do the following:

- " . . . to strengthen or to develop at the sub-state or area level, a system of coordinated and comprehensive services for older persons - services which will enable older persons to live in their own homes or other places of residence as long as possible and to remove individual and social barriers to economic and personal independence for older persons."

The local Area Agencies are given responsibility "to engage in a continuous process of planning in order to define or redefine objectives and to establish priorities and to develop a system designed to improve the delivery of services". This process of local planning is set in a context of certain federally mandated services and national priorities. Using the latitude remaining to them, Area Agencies must analyze local needs, define problems, survey available resources, and determine local priorities. No one part of the state or country can require precisely the same package of services to assure the overall goal of Title III, stated above. The local planning process will identify those local gaps that hinder the delivery of a comprehensive coordinated system of services. If we think in terms of a giant jigsaw puzzle, representing the system in each broadly defined service category - health, employment, housing, nutrition, etc., planning will indicate which of the pieces of the puzzle are missing, which of the particular pieces of each puzzle is most critical to fill, and lastly, which puzzle must be completed first. The decision-making is done against a background of limited resources, and realistic possibilities, and is done with as much community input as can be obtained. When thinking in such terms, the necessity for local planning becomes evident. Each locality's puzzles will be different as will the missing pieces. So too will be the manner in which the gaps can be filled.

Through this process, the COA establishes broad agency goals (in conformity with the overall goal of the Older Americans Act), to be achieved over a longer duration than one year, and from these, develops particular objectives and program strategies which spell out the precise method by which goal achievement will be sought, in any one year. As the process goes on, there are constant feedback loops - from community to C.A.C. to staff to C.O.A. to service provider and back to community in preliminary public hearings - until a final plan emerges which is subject to review by the CAC and public.

Planning and Service Area: #6

Exhibit D-1
Page 2 of 5

At each step of the planning process, decisions must be made. Such decisions reflect, at the broadest level, community attitudes and value structures. At the level of objectives and programs, such decisions reflect more programmatic issues such as severity or number affected, target areas, possibility of doing something about the problem, the availability of funding, and community support.

A new element has been added to the process, with the 1975 Amendments to the Older Americans Act. They create four national priorities: transportation, in-home care, legal and other counseling services, and residential repair and renovation programs. This is in contrast to earlier versions of the act which emphasized establishing linkage between existing services, particularly through information and referral. At this writing, the precise method of implementing those at the local level is unclear. Depending upon interpretation, these new priorities may seriously hinder an agency's ability to respond freely to local needs.

a) Establish Goals, Objectives and Priorities.

All planning takes place against a background of general goals. In the broadest sense, the overall goal of the COA is defined by Title III of the Older Americans Act, as stated above. The COA defines its own goals in a similar manner to that which defines objectives. Goals specifically related to the planning process, however, include continued identification of available resources, estimation of service need, identification of barriers to provision of services, and the development of a work plan each year.

In the establishment and re-definition of objectives as well as in setting priorities, the area agency uses the basic processes of: 1) community input through meetings with consumers, potential consumers of services, the Community Advisory Council, and with the service providers. 2) through literature searches, 3) through the compilation of basic statistical and demographic data &, 4) documentation of available community resources. This permits definition of target areas and documents quantitatively the availability, utilization, and need for services. Goals and objectives developed by this process must directly relate to previously determined comprehensive services needed by the older individual (part of Year III's planning effort). The COA recognizes that it cannot fund all such programs. However, by presenting an overall conceptualization, other providers and funding sources can clearly identify gaps in services.

The COA will utilize Title III funds in the manner it determines most effective in filling particular identified gaps. This will be determined through a definition of priorities: the determination of the order in which identified needs will be developed into programs.

Planning and Service Area: #6

Exhibit D-1
Page 3 of 5

The COA utilizes procedural and judgmental approaches to priority setting. Included in the process are: 1) ranking in order of severity of need (as determined by comparing numbers of people involved and how serious the unmet need is to health and well being), 2) ranking according to available resources, 3) ranking according to input from the Community Advisory Council, the COA, the Technical Advisory Council, the COA Planning Committee, and neighborhood community meetings (nine of these were held in preparation of Year IV Plan) 4) ranking according to what is feasible to accomplish in one year and what conforms to longer range goals.

Items identified as the highest priority in this manner will be translated into one-year objectives and then become subject to the process of overall program development.

b) Develop Action Programs to achieve the objectives.

The activities undertaken to implement chosen objectives will reflect a policy-making process that will involve consumers, providers, COA staff, the COA and the Community Advisory Council.

For each category of objectives (e.g. health, housing, income/employment) in which specific programs are to be developed an ad hoc task force will be established. These task forces include specialists in the respective area under consideration, as well as the aforementioned groups. As program guidelines emerge they will be subject to review and comment by the C.A.C. and C.O.A. The staff and specialists will offer technical guidance in the organization and development of programs.

The COA will send potential providers information and requests for proposals after decisions about program requirement, guidelines for proposals, evaluation criteria, and deadlines have been made. The COA program development staff will meet with all interested groups to review written materials and to provide, on an individual basis, whatever technical assistance is needed. In addition, the COA will seek indigenous community groups who may be apt providers of many forms of service.

Objectives that involve specific in-house activities will be placed on schedules, with monthly assessment of progress to date. In addition, these as well as the ones subject to subcontract will be reviewed at weekly staff meetings, at which time particular activities that relate to completion of each action step will be delineated.

Achievement of objectives will depend on continued self monitoring of subcontracted programs on the basis of previously determined criteria.

c) Conduct ongoing data gathering and analysis activities related to the needs of the elderly and the resources available to meet such needs.

Planning and Service Area: #6

Exhibit D-1
Page 4 of 5

Basic information about the needs of San Francisco's elderly has been developed over the past several years. This data is now being added to, refined, and corrected, and where no local data exists, national information is being sought and will be used to approximate need for San Francisco. Working with the State Department of Aging and other planners, the COA is seeking more effective and uniform indicators to assist in the determination of need. Each main category of need is being examined in several ways: 1) through a breakdown of need into component parts, 2) through the choice of indicators for each part, 3) through a review of national data as to both need and potential resources available to meet the need, 4) through local census data, data from other agencies, surveys, etc., 5) through a general and specific review of the literature. With the assistance of the information and referral component, local resources will be determined by neighborhood to assist in the development of target areas for particular categories of need.

Demographic and statistical information collected by the COA's information and referral component and planning section will provide additional data. In addition, wherever feasible, the COA will encourage other agencies providing any kind of information and referral to use the same or similar forms to those utilized by the COA. This will help provide summary information to the COA in a way that can be easily assimilated into its own data collection process.

Reports developed through COA - funded outreach programs will also be utilized to backup more general census data. Indicators suggest that people with certain characteristics will have the greatest likelihood of having certain specified, unmet needs. More direct assessment methods such as: surveys, interviews, outreach, I & R Intake, identification by individuals or population samplings of specific needs, permit us to test out the validity of indicators. Since we will probably not be doing major population sampling, we can try to utilize these more direct methods to see what elements in a person's life - be it ascribed or achieved - covaries with what spoken need. This in turn, can help us develop more sensitive indicators.

Over the course of BY 4, the COA will utilize AOA's survey instrument to a random sampling of people who come to the I & R center and to participants at community meetings.

d) Program Evaluation: The COA will review any proposals submitted to it for conformity with the overall goals for comprehensive and coordinated services. It will also effect a monitoring process for any subcontracted programs to determine costs, and impact when possible. For this year's health related programs, a more rigid evaluation research design will be formulated to get at the question of impact.

Planning and Service Area: #6

Exhibit D-1
Page 5 of 5

The COA will, to increase the operational efficiency, increase the capacity, and integrate, to the extent feasible, the components of the service delivery system as follows:

1. establishing on-going contact with other planning activities in affecting older persons throughout the county.
 - a. The COA can act as a catalyst to more broadly based agencies.
 - b. it can provide technical assistance and programmatic ideas through utilization of research data and special information.
2. participation by AAA staff in regional committees related to delivery of services to the aging, including:
 - a. Community Coalition for Nursing Home Reform.
 - b. Continuity of Care Nurses Association.
 - c. Coalition of In-Home Services.
 - d. Health Services Agency
 - e. Council of Subcontracting Agencies.
 - f. Housing Coalition.
 - g. Human Rights Commission - Housing Subcommittee
3. continuation of the Technical Advisory Council to facilitate exchange between providers of services, academicians, consultants, city agency personnel, in an effort to link and integrate service delivery.
4. continue the ongoing meetings of the planning committee for review of programs, on-going needs assessments and priority setting.

Please refer to Action Plans for Coordination and Pooling for further activities related to this section.

SUMMARY LISTING OF AREA PLAN OBJECTIVESObjective #1:

To provide within the Commission on the Aging's Information and Referral component, by April 1978, specialized health referral services that will, for example, 1) facilitate ease of entry and passage through the health care system and, 2) provide payment source information to 1,800 seniors per year.

Objective #2:

By April 1978, utilizing as a base the comprehensive housing referral network established in Year III, provide specialized housing services within the Commission on the Aging's information and referral system to provide location and other assistance to 50 seniors per month.

Objective #3:

To conduct as a part of the updating and expansion of the Information and Referral service an analysis on the availability and accessibility of overnight camping facilities available to San Francisco seniors.

Objective #4:

To contract for the provision of workshops/classes related to the improvement and maintenance of health (e.g. exercise, relaxation, nutrition, health education) to 500 seniors at, at least, three appropriate sites, by January 1978.

Objective #5:

To contract for a program designed to provide peer counseling, senior to senior, in the area of situational problems, such as those associated with loss of spouse, finances, and/or role, to 450 seniors by January 1978.

Objective #6:

To contract for direct legal services, to be offered in at least three neighborhood centers, for the purposes of offering legal assistance to a minimum of 700 senior San Franciscans by December 1977 and as a part of Commission on the Aging's pooling efforts, work with the legal community to search for additional resources to expand legal services for the elderly.

Objective #7:

Utilizing as a basis the income study developed in Year III, establish a task force to review issues involved in income maintenance, income

Planning and Service Area: #6
SUMMARY LISTING OF AREA PLAN OBJECTIVES--continued

Exhibit D-2
Page 2 of 2

Objective #7: (continued)

expansion, and income related legislation for the purposes of making action recommendations by November 1977 (and on legislation as necessary)

Objective #8:

To train, in coordination with the Community College District, 150 senior citizens in basic home repair/home maintenance and other identified marketable skills for purposes of potential employment (either full or part-time paid or volunteered) by August 1977.

Objective #9:

In conjunction with the skill training objective, develop on a trial basis, a skill resource center within the Commission on the Aging's Information and Referral component to link 200 trained individuals with those needing home or minor repair services.

Objective #10:

To renew contract for the provision of operating costs for purposes of transporting seniors to identified activities by April 1978.

Objective #11:

To renew those outreach contracts successfully operated and evaluated in Year III to the extent funds are available.

Objective #12:

To renew those nutrition support subcontracts successfully operated and evaluated in Year III to the extent funds are available.

STATEMENT OF AREA PLAN OBJECTIVESTATEMENT OF OBJECTIVE (#1)

To provide within the Commission on the Aging's Information and Referral component by April 1978 specialized health referral services that will, for example, 1) facilitate ease of entry and passage through the health care system 2) provide payment source information to 1800 seniors per year.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

San Francisco has a fairly complex and extensive health care system, but for many, entering the system is a difficulty. If San Francisco is even close to the national average - and there is nothing to indicate that the national data is not applicable here - 11% of people over 65 are without personal physicians, 16% utilize outpatient clinics, and 22% inappropriately utilize emergency medical services. The problem is compounded when people have low incomes or do not speak English. Movement from one level of care to another can be satisfactory if it is facilitated by an active preparation and follow-up. Problems with paying for services, retroactive denials, advance billing for Medicare etc., also are recurrent expressed needs of older people.

"Provision of one central number for older people to call for a wide range of health issues should permit better utilization of existing service components as appropriate referrals and follow-up is done." Physicians and other health professionals can be served by well trained health referral specialists familiar with the total system and the full range of services available.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>		<u>ESTIMATED DATE OF COMPLETION</u>
<u>Step 1)</u>	To analyze main health related issues coming into the I & R System	August 1977
<u>Step 2)</u>	To organize special training for I & R staff in those areas.	September 1977

Planning and Service Area: #6

Exhibit D-3
Page 2 of 35Statement of Area Plan Objective
Objective # 1 continued

<u>Major Action Steps to Achieve Objective</u>	<u>Estimated Date of Completion</u>
Step 3 To complete the training of the I & R staff	Oct. 1977
Step 4 To test adequacy of training through analysis of random phone or drop-in calls.	Nov-Jan 1978
Step 5 To provide additional training as need indicated	Feb. 1978
Step 6 To have service fully operational	April 1978
Step 7.	
Step 8	
Step 9	

Planning and Service Area: #6

Exhibit D-3

Page 7 of 35

Statement of Area Plan Objective
Objective # 1 continued

Actual/Projected Funds to Achieve Objective

Title III	\$ 10, 767	
Title III Matching Funds	\$ 4, 436	
Other Resources	\$ -----	
Total Estimated Funds		\$ 15, 203

This budget page has been included in the Year IV Plan to give you, the reader, a more accurate understanding of the cost for the major activities of the Commission on the Aging during Year IV (July 1, 1977 to June 30, 1978). This information has been generated through the management information of the Commission on the Aging and is based on realistic estimates of the staff time, funds and other resources necessary to complete this task.

This supplement page is designed to be viewed as it relates to this element only of the work program and in some cases, resources identified here may appear in more than one element of the work program. For instance, a part of the resources for pooling that appear in the action plan for pooling also appear in each of the objectives where pooling efforts are indicated. Therefore, the total of the supplementary budget pages exceeds the total dollars available.

Statement of Area Plan Objective

Statement of Objective (# 2)

By April 1978, utilizing as a base the comprehensive housing referral network established in Year III, provide specialized housing services within the COA's information and referral system to provide location and other assistance to 50 seniors per month.

Rationale for Selection of Objective and Projected Impact, Especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for serving Low-Income and Minority Older Persons.

The Housing Referral System established in Year III will provide basis for an expanded housing component within the I & R system. San Francisco has a 2 - 3% vacancy rate, limited availability of low-income housing, high average rents, and no mechanism to control rents as they affect seniors. Thus problems with housing average about 100 calls per month to the I & R System. Individuals need assistance in locating housing, and advocates when problems with their current housing situation develops, and assistance with relocation when that becomes necessary. Specially trained I & R staff can provide assistance in each of these areas. Problems with housing were strongly reflected in neighborhood community meetings, particularly in Chinatown and Bayview Hunters Point, and were quantitatively indicated in the housing component completed in Year III.

Increased possibility of locating whatever potential housing exists and linking housing and client. Documenting clearly the specific housing needs of older persons. Reducing anxiety associated with a necessary move through relocation assistance. Offering one phone number for older people to use to get a range of housing assistance.

<u>Major Action Steps to Achieve Objective</u>		<u>Estimated Date of Completion</u>
Step 1.	To identify range of other services to be provided through this objective.	July 1977
Step 2.	Train I & R workers in use of housing referral system.	July 1977

Statement of Area Plan Objective
Objective # 2 continued

<u>Major Action Steps to Achieve Objective</u>	<u>Estimated Date of Completion</u>
Step 3 To develop evaluation element for system	Aug. 1977
Step 4 To identify further training needs to handle range of services	Nov. 1977
Step 5 To design training sessions to meet identified need	Nov. 1977
Step 6 To select trainee for such sessions to implement required training sessions	Dec.-Jan. 1978
Step 7 To report nature of calls and determine ability to handle present problem.	Mar/ 1978
Step 8 To provide additional training, if and as Step #7 warrants	Apr. 1978
Step 9 To have the housing component fully operational	May 1978

Statement of Area Plan Objective
Objective # 2 continued

<u>Major Action Steps to Achieve Objective</u>	<u>Estimated Date of Completion</u>
Step 10 To evaluate effectiveness of system	June 1978
Step 11 To make revisions indicated in Step 10	June 1978
Step	
Step	
Step	
Step	
Step	

Planning and Service Area: #6

Exhibit D-3
Page 7 of 35Statement of Area Plan Objective
Objective # 2 continuedActual/Projected Funds to Achieve Objective

Title III	\$ 7, 153	
Title III Matching Funds	\$ 4, 437	
Other Resources	\$ -----	
Total Estimated Funds		\$ 11, 590

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Planning and Service Area: #6

STATEMENT OF AREA PLAN OBJECTIVE
(For each objective complete one set)STATEMENT OF OBJECTIVE (#3):

to conduct as a part of the updating and expansion of the Information and Referral service, an analysis on the availability and accessibility of overnight camping facilities available to San Francisco seniors.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

As part of the continuous public input into the planning process through the hearings and meetings held by the Commission on the Aging the need for recreational activities is expressed. Compilation of such a resource will identify gaps and overlaps in existing service thus improving the Area Agency.

Coordination of existing services with the ultimate goal of improving and expanding service will facilitate the effectiveness of the Area Agency. The analysis will make special efforts to identify facilities that are available and accessible to low-income and minority older persons.

Through a combination of increased awareness of the programs that are available and more coordinated approach to delivery, it is the goal of this objective to provide recreational service days to 500 seniors with special emphasis on the low-income and minority older people who would not otherwise be able to enjoy such facilities.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>ESTIMATED DATE OF COMPLETION</u>
<u>Step 1):</u> Develop and test survey instrument.	- January, 1978
<u>Step 2):</u> Identify recipients of survey	February, 1978
<u>Step 3):</u> Survey identified agencies on availability, types of and use of camping facilities	April, 1978
<u>Step 4):</u> Compile results of survey	May, 1978
<u>Step 5):</u> Institute recreation component in I & R System	July, 1978

Planning and Service Area: #6

Exhibit D-3
Page 9 of 35Statement of Area Plan Objective
Objective # 3 continuedActual/Projected Funds to Achieve Objective

Title III	\$ <u>1, 480</u>	
Title III Matching Funds	\$ <u>4, 437</u>	
Other Resources	\$ <u>-----</u>	
Total Estimated Funds		\$ <u>5, 917</u>

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Planning and Service Area: #6

Exhibit D-3
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STATEMENT OF AREA PLAN OBJECTIVE

Statement of Objective (#4)

To contract for the provision of workshops/classes related to improvement and maintenance of health (e.g. exercise, relaxation, nutrition, health education) to 500 seniors at, at least, three appropriate sites by January 1978.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons

"Although the Department of Public Health has played an important role in primary prevention and health maintenance in San Francisco, limitations in funding restrict such programs to basic screening (glaucoma, hypertension) in most of the health districts. Preventative health programs, moreover, are not paid for by third party payers. Yet, increasing evidence suggests that preventive measures preserve good health and mobility longer and contribute to an overall sense of well-being. This project is being developed in cooperation with a broadly based group of community agencies and will be done with a research component so that actual evaluation of the effectiveness of such programs can be initiated. Given the facts that over 80% of older persons have no major debilitating condition, although perhaps one or more chronic illness, one program of the COA should be directed toward helping maintain this status.

SUMMARY OF EXPECTED IMPACT: Reach a demonstration group of older persons with a preventative program that removes dominant existing impediments to such services.

<u>Major Action Steps to Achieve Objective</u>	<u>Date of Completion</u>
Step 1. To continue meeting with currently established planning group.	July 1977.
Step 2. To develop complete program guidelines and evaluation component result planning groups and staff recommendations.	August 1977
Step 3. To identify potential subcontractors for the program.	September 1977
Step 4. To write RFP's and mail to potential subcontractors.	September 1977
Step 5. To provide technical assistance, as needed, to potential subcontractors.	October 1977

Planning and Service Area: #6

Exhibit D-3
Page 11 of 35

Major Action Steps to Achieve Objective

Statement of Objective #4 (continued)	<u>Estimated Date of Completion</u>
Step 6. To evaluate proposals and make recommendations to COA.	November, December 1977
Step 7. To make contract award recommendations to the Board of Supervisors.	December 1977
Step 8. To submit contracts to Board of Supervisors for final approval.	December 1977
Step 9. To have program operational.	January 1978

Planning and Service Area: #6

Exhibit D-3
Page 12 of 35Statement of Area Plan Objective
Objective # 4 continuedActual/Projected Funds to Achieve Objective

Title III*	\$ 28, 860	
Title III Matching Funds	\$ 3, 389	
Other Resources	\$ -----	
Total Estimated Funds		\$ 32, 249

* Title III includes-

Contracts	\$26,000
AAA Activity	2,860
Total	28,860

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Planning and Service Area: #6

Exhibit D-3
Page 13 of 35

STATEMENT OF AREA PLAN OBJECTIVE

Statement of Objective (#5.)

To contract for a program designed to provide peer counseling, senior to senior, in the area of situational problems, such as those associated with loss-widowhood, finances, role. This service will be offered to 450 seniors by January 1978.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a comprehensive, Coordinated Service System for Older Persons, and for Serving Low-income and Minority Older Persons

Frequent indications at both neighborhood meetings and among those working with seniors indicate the value of having one trained senior to help another with problems so identified to be common to many age peers. The Community Mental Health (CMH) Centers are interested in the problems of older individuals and will assist with training and provide linkages in case more serious problems emerge. This program is one more aspect of a preventative approach to health care. By handling situations early, it is anticipated that later crisis can be avoided or, at least, delayed. We also know that many elderly do not seek assistance at traditional locations; there is usually a wide disparity between the actual number of elderly users of C.M.H. facilities and potential users. Yet, high suicide rates, increased alcoholism and apathy, are problems daily confronted by workers among the elderly. "One of the greatest needs is the lack of well organized mental health services for the elderly." *

For the 450 seniors expected to be the beneficiaries of this service, it will improve their feelings of life satisfaction, and attitude (as measured by assessment tools, chosen by project coordinator as appropriate). In turn, it is hoped and expected that persons assisted by this program will in turn become counselors to assist others. Thus, there will be a gradual increase in the number of persons who can be served.

<u>Major Action Steps to Achieve Objective</u>	<u>Estimated Date of Completion</u>
--	---

Step 1. To continue working with planning group established 7/77	July 1977 and biweekly thereafter
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*(Varner & Calvert, "Psychiatric Assessment of the Aged: A Differential Model for Diagnosis," J. of Amer. Geriatrics Society, Vol. XXII, #5.

Planning and Service Area: #6

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Major Action Steps to Achieve Objective

Estimated
Date of
Completion

Statement of Objective #5 (continued)

Step 2.	To outline program components, organization, and appropriate agencies with planning group.	August 1977
Step 3.	To develop program guidelines and evaluation components for peer counseling program.	August 1977
Step 4.	Prepare RFP's. Identify agencies with capability to do such a program.	September 1977
Step 5.	Distribute RFP's.	September 1977
Step 6.	To provide technical assistance, as needed, to agencies.	October 1977
Step 7.	To review proposals submitted	November 1977
Step 8.	To make recommendations for contract award to the Board of Supervisors.	December 1977
Step 9.	To have contracts approved by the Board.	December 1977
Step 10.	To have programs operational.	January 1978

Planning and Service Area: #6

Exhibit D-3
Page 15 of 35Statement of Area Plan Objective
Objective # 5 continuedActual/Projected Funds to Achieve Objective

Title III*	\$ <u>18, 500</u>	
Title III Matching Funds	\$ <u>2, 878</u>	
Other Resources	\$: -----	
Total Estimated Funds		\$ <u>21, 378</u>

*Title III includes:

Contracts	\$16, 000
AAA Activity	<u>2, 500</u>
Total	<u>18, 500</u>

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Planning and Service Area: #6

Exhibit D-3
Page 16 of 35STATEMENT OF AREA PLAN OBJECTIVE

Statement of Objective #6

To contract for direct legal services for the purposes of offering legal assistance in at least 3 neighborhood centers to a minimum of 700 senior San Franciscans by December 1977, and as part of the Commission on the Aging's pooling efforts, work with the legal community to search for additional resources to expand legal services for the elderly.

Rationale for Selection of Objective and Projected Impact, especially for progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

San Francisco has 1) the Senior Citizens Project of CRLA, 2) San Francisco Neighborhood Legal Assistance Foundation, 3) Legal Aid, 4) the American Jewish Congress Law Project for the elderly. However, #1 does law review or class action suits only; #2 must serve all of San Francisco's poor and has a poverty level means test, and a long wait for service; #3 is on the same basis; and #4 which does direct legal services, is a one-day a week volunteer program. Independent attorneys who do not specialize in administrative law can be far less effective than especially trained lawyers and paralegals in handling the many legal problems that older individuals face. Nationwide legal aid type services handle only 6% of 20% low income seniors (Sen. Cranston). Some problems faced by seniors are legal but not identified as such. Thus, a direct legal service program that will also try to reach older people where they are, is a gap in San Francisco's extensive legal system.

This would provide legal services to at least 700 seniors per year. It will also work with the housing, and nursing home components of the I & R system and so reach people with particular sorts of problems. It will thus work effectively to coordinate the delivery of services by handling problems identified in either of the above areas.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>ESTIMATED DATE OF COMPLETION</u>
Step 1) To develop program guidelines, and evaluation criteria for legal services program.	July 1977
Step 2) To recruit and identify potential subcontractors for program.	July 1977
Step 3) To write and distribute RFP's to potential subcontractors.	August 1977

Planning and Service Area: #6

Exhibit D-3
Page 17 of 35Major Action Steps to Achieve ObjectiveEstimated
Date of
Completion

Statement of Objective #5 (continued)

Step 4. To provide technical assistance to any potential subcontractor that requests such aid.	September 1977
Step 5. To receive, record proposals.	September 1977
Step 6. To review proposals and make recommendations to the COA.	September 1977
Step 7. To evaluate proposals and make decisions about funding.	October 1977
Step 8. To submit such recommendations to Board of Supervisors for final approval.	November 1977
Step 9. To do quarterly evaluations of project.	March, June 1978

Planning and Service Area: #6

Exhibit D-3
Page 18 of 35Statement of Area Plan Objective
Objective # 5 continuedActual/Projected Funds to Achieve Objective

Title III*	\$ 23, 520	
Title III Matching Funds	\$ 2, 923	
Other Resources	\$ -----	
Total Estimated Funds		\$ 26, 443

*Title III Includes:

Contracts	\$20, 000
AAA Activity	3, 520
	\$23, 520

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STATEMENT OF AREA PLAN OBJECTIVE

Statement of Objective (#7)

Utilizing as a basis the income study developed in Year III, establish a task force to review issues involved in income maintenance, income expansion, and income related legislation for purposes of making action recommendations by November 1977 (and legislation as necessary.)

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

Some small progress has been made in the income status of older persons, yet, when 20% of older San Franciscans live at or below the D.O.L. poverty line and 32% at or below the SSI, the problem is not solved. The task force is one method of exploring 1) ways to increase actual income, and 2) ways to expand purchasing power of existing income, 3) methods to achieve recommendations. It also will analyze income related legislation as it appears throughout the year and organize action to be taken on favorable legislation. The task force is also a valid coordination tool that brings together all of the interested parties.

The issue of income was a recurring concern at both the meetings of the Community Advisory Council and at basically all the community neighborhood meetings.

No certainty of a direct impact this year. Recommendations emerging from group brainstorming, priority setting, and action plans will, as possible, be incorporated into next year's plan. Action on 1977 income related legislation can have an immediate impact on low income seniors should the legislation be proposed and the outcome successful.

<u>Major Action Steps to Achieve Objective</u>	<u>Estimated Date of Completion</u>
Step 1. To identify potential members of such a task force	July 1977
Step 2. To identify possible issues for the task force to review.	August 1977
Step 3. To issue invitations for the first meeting of the task force.	September 1977
Step 4. To hold first meeting of the task force for purposes of organizing and outlining future activities.	October 1977

Planning and Service Area: #6

Exhibit D-3
Page 20 of 35

Major Action Steps to Achieve Objective

Estimated
Date of
Completion

Statement of Objective #7 (continued)

- | | |
|--|--|
| Step 5. To review legislation regarding income each month and make any necessary action recommendations. | October 1977
monthly thereafter |
| Step 6. To implement action recommendations as they emerge. | October 1977 and
monthly thereafter |
| Step 7. To evaluate activities of task force and prepare a statement of recommendations and alternative means to implement them. | May 1978 |

Planning and Service Area: #6

Exhibit D-3
Page 21 of 37Statement of Area Plan Objective
Objective # 7 continuedActual/Projected Funds to Achieve Objective

Title III	\$ 5, 920	
Title III Matching Funds	\$ 500	
Other Resources	\$ -----	
Total Estimated Funds		\$ 6, 420

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STATEMENT OF AREA PLAN OBJECTIVEStatement of Objective #8:

To train, in coordination with the Community College District, 150 senior citizens in basic home repair/home maintenance and other identified marketable skills for purposes of potential employment (either full or part pay, or volunteer) by October 1977.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for serving Low-Income and Minority Older Persons.

The Objective has a dual purpose: to provide training or retraining for those who want to enter the job market (see related objective 8) and to provide training to individuals interested in maintaining their own dwelling unit or to those who would be willing to provide such services to others at no cost or on a sliding scale. The Community College is willing to do the training and has already begun such a program in Chinatown. The objective was developed in accordance with input from neighborhood community meetings, the Training and Education Committee of the Commission on the Aging, the Community College District, against a background of individual need to find ways of expanding income and also the need of residents to maintain their homes if they are to preserve independent living status.

These persons receiving the training will develop potentially marketable skills (in conjunction with outcomes of the companion objective) and thus develop the ability to have a more substantial income. Secondly, it will have an impact on those who either learn to do their own repairs or will have other seniors to call upon to do it at little or no charge.

MAJOR ACTION STEPS TO ACHIEVE OBJECTIVEESTIMATED DATE OF COMPLETION

<u>STEP 1):</u> To develop a list of individuals willing to perform home repair services.	September 1977
<u>STEP 2):</u> Initiate training program.	October 1977
<u>STEP 3):</u> To initiate exploration of funding sources for a more extensive home repair program.	October 1977
<u>STEP 4):</u> To initiate a campaign for the donation of tools and supplies.	October 1977
<u>STEP 5):</u> To complete a packet of information for those involved in the home repair program.	December 1977

Planning and Service Area: #6
STATEMENT OF AREA PLAN OBJECTIVE #2 - continued:

Exhibit D-3
 Page 23 of 35

	<u>ESTIMATED DATE OF COMPLETION</u>
<u>STEP 6):</u> To meet with individuals willing to do home repair to review the guidelines of the program.	December 1977 and quarterly thereafter
<u>STEP 7):</u> To prepare forms & train I & R workers to complete them properly when handling inquiries.	January 1978
<u>STEP 8):</u> To implement the functioning of program within the I & R system.	February 1978
<u>a):</u> To advertise the availability of this service through the media, flyers, posters, etc.	March 1978

Planning and Service Area: #0

Exhibit D-3
Page 24 of 35Statement of Area Plan Objective
Objective # 8 continuedActual/Projected Funds to Achieve Objective*

Title III	\$ <u>2, 500</u>	
Title III Matching Funds	\$ <u>400</u>	
Other Resources	\$ <u>-----</u>	
Total Estimated Funds		\$ <u>2, 900</u>

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STATEMENT OF AREA PLAN OBJECTIVEStatement of Objective #9:

In conjunction with the skill training objective, develop, on a trial basis, a skill resource center within the Commission on the Aging's information and referral component to link 200 trained individuals with those needing home or minor repair services.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

It will provide those seniors who need minor home maintenance to have a central place to call to receive the name or names of individuals able to offer this kind of service at a cost they are able to pay. A sliding scale payment schedule will be worked out that can be utilized or the individuals can make such arrangements on their own. The Commission on the Aging will monitor this periodically. Concern for such service was expressed frequently at community meetings. This objective also responds, on a trial basis, to the national priorities set by the 1975 Amendments to the Older Americans Act. Depending on the success of this limited program, the Commission on the Aging can develop an expanded program in Budget Year V.

Provision of minor repair services will impact at least 200 homeowners who own their home but who are having financial difficulties in paying for any repair that becomes necessary. In many cases, they would be willing to pay a small amount to assure that the repair is done. Ready repair can serve to prevent further deterioration of existing housing stock and can contribute to permitting individuals to remain in their own homes for as long as possible.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>ESTIMATED DATE OF COMPLETION</u>
<u>STEP 1):</u> Train I & R Staff in the use of the system.	January 1978
<u>STEP 2):</u> Implement the referral service.	February 1978
<u>STEP 3):</u> Advertise the availability of the referral service.	March 1978
<u>STEP 4):</u> Test effectiveness of the referral system.	May 1978

Planning and Service Area: #6
STATEMENT OF AREA PLAN OBJECTIVE #9 - continued:

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Page 26 of 35

STEP 5):
Develop additional training as necessary.

ESTIMATED DATE
OF COMPLETION

May 1978

STEP 6):
Test and evaluate the referral system.

June 1978
and quarterly
thereafter

Planning and Service Area: #6

Exhibit D-3
Page 27 of 35Statement of Area Plan Objective
Objective # 9 continuedActual/Projected Funds to Achieve Objective

Title III	\$ 7, 785	
Title III Matching Funds	\$ 4, 437	
Other Resources	\$: -----	
Total Estimated Funds		\$ 12, 222

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Planning and Service Area: #6

Exhibit D-3
Page 23 of 35STATEMENT OF AREA PLAN OBJECTIVESTATEMENT OF OBJECTIVE (#10)

To contract for the provision of operating costs for purposes of transporting 1,000 seniors to identified activities by July 1977.

Rationale for Selection of Objective and Projected impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

RATIONALE FOR SELECTING OBJECTIVE: Transportation is one of the priority services of the Older Americans Act and serves as a method of linking individuals with available services. Though San Francisco has a rather good public transportation system, the Urban Mass Transit Authority estimates that 35% of all persons 60 and over have dysfunctions which prevent them from being adequately served by public transportation. According to 1970 census data, less than 30% of individuals over 60 have their own cars. Evidence of this was seen during San Francisco's bus strike when over 3,000 persons were served by the COA's volunteer corps of drivers. Operation Transport vehicles can fill the gap of transporting individuals efficiently and effectively to needed medical appointments or shopping or other necessary services.

THE EXPECTED IMPACT OF OBJECTIVE #10 is to serve directly a minimum of 1,000 seniors over 60 during FY 4. The AAA would like to determine the characteristics of people who utilize this form of transportation and design a study to determine impact on I & R calls and service utilization.

MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE

Estimated
Date of
Completion

- | | | |
|---------|--|---------------|
| Step 1. | To determine the terms of the contract with Operation Transport. | February 1977 |
| Step 2. | To request proposal from potential contractors outlining budget means by which vehicles will be scheduled, and other items that appear in the terms of the contract. | March 1977 |

Planning and Service Area: #5

Exhibit D-3
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STATEMENT OF AREA PLAN OBJECTIVE
Objective # 10 continued

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>Estimated Date of Completion</u>
Step 3. To provide technical assistance as needed to subcontractors.	October 1977
Step 4. Review proposal for contract renewal and make recommendations to the COA.	December 1977
Step 5. To hold COA meeting to make decision on contract.	January 1978
Step 6. To pass on recommendation to Board of Supervisors.	January 1978
Step 7. Have completed contract renewal for uninterrupted service.	March 1978
Step 8. Change over from Year III to Year IV contract.	April 1978

Planning and Service Area: #6

Exhibit D-3
Page 30 of 35Statement of Area Plan Objective
Objective # 10 continuedActual/Projected Funds to Achieve Objective

Title III*	\$ 94, 100	
Title III Matching Funds	\$ 10, 800	
Other Resources	\$ -----	
Total Estimated Funds		\$ 104, 900

*Title III Includes:

Contracts	\$90, 000
AAA Activity	4, 100
	<u>94, 100</u>

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Planning and Service Area: # 6

Exhibit D-3
Page 31 of 35STATEMENT OF AREA PLAN OBJECTIVE
(For each objective complete one set)STATEMENT OF OBJECTIVE (#11):

To renew those outreach contracts successfully operated and evaluated in Year III to the extent funds are available.

Rationale for Selection of Objective and Proposed Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

A number of seniors in San Francisco live in relative isolation. They are not highly visible or identifiable. As a result, they are often unaware of the services or benefits to which they are entitled. Since July, 1975, the COA has provided a direct link between clients, community agencies, services, and the delivery of services through the community outreach program.

Additional Title III funds may be generated which would allow renewal of contracts and provide the maximum funding for this service allowable under federal regulations.

After evaluation of current Title III contracts, the COA will determine which agencies have most successfully demonstrated the ability to conduct a community outreach program and will renew and continue contracts with those agencies based on the availability of funds.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>ESTIMATED DATE OF COMPLETION</u>
<u>Step 1):</u> Evaluate current contracts	May, 1977
<u>Step 2):</u> Determine which contracts are eligible for renewal and continuation.	May, 1977
<u>Step 3):</u> Prepare contracts for renewal	May, 1977
<u>Step 4):</u> Submit contracts to Commission for approval	June, 1977
<u>Step 5):</u> Submit contracts to Board of Supervisors for approval	June, 1977
<u>Step 6):</u> Start-up of contracts	August, 1977

Planning and Service Area: 6

Exhibit D-3
Page 32 of 35Statement of Area Plan Objective
Objective # 11 continuedActual/Projected Funds to Achieve Objective

Title III	\$ _____	
Title III Matching Funds	\$ _____	
Other Resources	\$ _____	
Total Estimated Funds		\$ _____

NOTE: No funds allocated to this objective. However, we are anticipating an award of additional Title III funds from the state. If these funds are made available, a portion will be allocated to this objective by Budget Amendment.

Planning and Service Area: #6

Exhibit D-3
Page 33 of 35STATEMENT OF AREA PLAN OBJECTIVESTATEMENT OF OBJECTIVE (#12)

To renew those nutrition support subcontracts successfully operated and evaluated in Year III to the extent funds are available.

Rationale for Selection of Objective and Projected Impact, especially for Progress toward a Comprehensive, Coordinated Service System for Older Persons, and for Serving Low-Income and Minority Older Persons.

Congregate meal sites provide a valuable meeting place for S.F. older residents. Seniors are able to socialize and benefit from necessary supportive services which are provided through a coordinated effort of Title III and Title VII resources. The C.O.A. has recognized this need and has subcontracted with agencies to provide services since July 1975.

Additional Title III funds may be generated which would allow renewal of contracts and provide the maximum funding for this service allowable under federal regulations.

After evaluation of current Title III subcontracts, the C.O.A. will determine which have most successfully delivered supportive services to the elderly at congregate meal sites and will renew contracts with those agencies which have demonstrated the ability, based on the availability of funds.

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>Estimated Date of Completion</u>
Step 1. Evaluate current contracts.	May 1977
Step 2. Determine which contracts are eligible for renewal.	May 1977
Step 3. Prepare contracts.	May 1977

Planning and Service Area: #6

Exhibit D-3
Page 34 of 35

STATEMENT OF AREA PLAN OBJECTIVE
Objective #12 continued

<u>MAJOR ACTION STEPS TO ACHIEVE OBJECTIVE</u>	<u>Estimated Date of Completion</u>
Step 4. Submit to Commission for approval.	June 1977
Step 5. Submit to the Board of Supervisor for approval.	July 1977
Step 6. Start-up of contracts.	August, 1977

Planning and Service Area: 6

Exhibit D-3
Page 35 of 35Statement of Area Plan Objective
Objective # 12 continuedActual/Projected Funds to Achieve Objective

Title III	\$ _____	
Title III Matching Funds	\$ _____	
Other Resources	\$ _____	
Total Estimated Funds		\$ _____

NOTE: No funds allocated to this objective. However, we are anticipating an award of additional Title III funds from the state. If these funds are made available, a portion will be allocated to this objective by Budget Amendment.

Action Plan for Coordination of the Delivery
of Existing Services

I. HEALTH

The Area Agency will assume lead responsibility in establishing a health coordinating committee made up of health providers, independent professionals, consumers, and other interested parties so that: 1) an overall awareness of existing programs and services can be developed and shared, 2) a continued effort can be made to define gaps in service delivery, 3) joint exploration of possible solutions occurs, 4) program development can be an on-going activity, 5) where feasible, joint funding programs are developed.

The Area Agency will continue its participation and current chairing of the Community Coalition for Nursing Home Reform and will lead efforts in the following directions: 1) updating the nursing home referral system established in Year III, 2) providing technical assistance and support to the nursing home ombudsman proposal and ultimate program, 3) develop a model nursing home concept with related costs so that effective comparisons can be made between that and the results of the financial study of current nursing home costs done by Accountants in the Public Interest. In a coordinated effort with the Continuity of Care Nurses group, the AAA will do a quarterly evaluation of nursing homes to become part of the Nursing Home Referral System.

The Agency will further coordinate, through establishing quarterly contact with the City Health Department, the Health Districts, and the Community Mental Health Centers, to determine ways that services to seniors can be preserved and, if possible, further developed in years of decreasing financial reserves.

The Area Agency will also continue its documentation of existing services and updating the data base for health programs to share with and to assist other community agencies in the development of proposals and programs to serve the health needs of the elderly.

The Area Agency will continue staff participation in health related groups such as the Continuity of Care Nurses, the Coalition for In-Home Services, the Ad Hoc Task Force on Preventive Health Programs, the City-wide Coalition for Day Health Centers. This will assure utilization of information, sharing of information, and development of additional funding proposals.

In anticipation of the resolution of the HSA designation problem, the Area Agency sent letters to agencies and consumers encouraging them to submit applications for Board membership. In whatever way it proves possible (depending on factors outside the AAA's control) the AAA will be involved with the new HSA. The Area Agency will take lead responsibility in these city-wide coordination efforts.

Planning and Service Area: #6

Exhibit D-4
Page 2 of 8**II. LEGISLATION AND ADVOCACY**

The Area Agency will periodically bring together legislative persons to review issues most pertinent to seniors, analyze legislation, and set up workshops for groups that want training in the field of legislative analysis and action. Tentatively, this group will evolve into a legislative consortium that can work with the COA and the CAC to act quickly, inform others, and educate the remainder of the community. The newsletter, special legislative sheets, and workshops will be methods of coordinating this effort. The consortium will bring recommendations to the committees of the Commission for review as a route to action by the full Commission.

II. CRIME

The Area Agency will provide the leadership and continue the coordinating efforts of the Senior Crusade Against Crime. The Senior Crusade Against Crime is focused on citizen involvement in crime prevention training programs. The COA will continue to provide the coordination for crime prevention and safety programs with the District Attorney's Office, the State Attorney General's Office, the local FBI Office, the San Francisco Police Department, the Mayor's Office of Criminal Justice, the Board of Education, and agencies serving all age groups in San Francisco.

The Commission on the Aging will co-sponsor a workshop with the State Attorney General's Office and the San Francisco Police Department to train agency personnel and seniors. Those trained individuals will offer seminars and workshops to train senior citizens and senior groups in crime prevention techniques.

IV. HOUSING

The coordinative efforts with these city agencies is an effort stated in BY 3 and will continue in BY 4. In regard to housing, the Area Agency works on issues such as site selection, design criteria, and neighborhood, and is assisting City Planning in drawing up recommendations, proposed guidelines, and a packet of research findings and information for and about housing for the elderly.

These guidelines will be utilized in working with those identified non-profit sponsors of senior citizen housing. The Area Agency will offer technical assistance to such sponsors in the areas mentioned above and will additionally assist in the development of social service programs and coordination of social service programs and coordination of social services that are part of section 202 housing. During BY 4, the Area Agency anticipates working with, at least, three sponsors of 202 housing.

Planning and Service Area: #6

Exhibit D-4
Page 3 of 8

The Mayor's office on Community Development reviews HUD proposals, develops the City-wide Housing Assistance Plan, and plans for the allocation of Housing and Community Development funds. With such funds, they will be building multipurpose neighborhood centers in five targeted areas of the city. The Area Agency will work with the task forces in each of the neighborhoods to develop appropriate senior services within these centers.

Participation in a Housing Coalition which emerged from a hearing held here by Supervisor Dianne Feinstein and jointly sponsored by the Area Agency and the Council on Civic Unity will continue. This is a coordinated effort with a broadly based group of consumers and agencies concerned with issues related to the costs of rental housing. A recurrent problem in San Francisco is sudden rent increases, leaving the older person with no place to turn in a city with an extremely low vacancy rate and high base rents.

In an effort to alleviate this problem, the Agency will work with realtors to identify available rental units as a reference for seniors needing housing. This is currently part of the housing referral system developed in BY 3.

In an effort to be initiated in BY 4, the Area Agency will invite City Planning housing consultants and other interested providers to open a discussion of the needs of the single room elderly. This can result in a feasibility study and also suggested legislation and standards to improve the quality of life of the single room elderly.

V. INCOME/EMPLOYMENT

The COA will establish an income task force in BY 4 (see relevant objective) to explore the problems of inadequate income and to recommend possible methods of expanding income and assuring that what income does exist can be used as wisely as possible.

In order to help guarantee employment to those people over 60 who desire it, the AAA and the Community College District will coordinate the development of training of seniors in potentially marketable skills (see relevant objective). Linked to this will be a skill resource center, within the I & R component, to assist in linking those providing services with those needing services (see relevant objective). In the process of development, at the close of BY 4 is an on-going link with the Mayor's Office on Training and Employment and the State Employment Development Department for the purpose of exploring methods of job development for seniors in both the public and private sectors.

VI. OTHER COORDINATED ACTIVITIES

- A. Technical Advisory Committee. This was set up in BY 3 and will continue in meeting bi-monthly in BY 4. It consists of professionals in the field of aging and in certain specialty areas. This will permit the regular exchange of ideas for purposes of planning, and program development, proposal writing, and COA committee input. It will also serve as source for the establishment of ad hoc committees to address specific issues.
- B. With service providers: In addition, to further coordinate services provided to the elderly, the COA's education staff and appropriate specialists will meet regularly with representatives of those agencies receiving contracts for services and will provide coordination, technical assistance, and training, upon request. This procedure has been in operation since August 1975. Out of this has developed an inter-agency coalition which meets regularly with the COA's program specialist. They are particularly concerned with exploring other avenues of possible funding when COA subcontracts terminate.
- C. Exploration of intergovernmental linkages with key groups such as the Social Security Administration, the Community College District, and the Recreation and Park Department.
- D. The AAA will initiate an exploration of the feasibility of establishing a Coordinating Council of senior clubs, and activity centers. This would bring together group leaders for purposes of discussion, for training as requested, and for needs assessment linkages.

Lead responsibility for these city-wide activities will be assumed by the Area Agency unless otherwise noted.

Assuring that services are well-coordinated depends, in part, on regular communication between those providing services, those informing consumers of available services, and those hearing from the community places where linkages break down. In this manner pieces can be brought together, true gaps identified, duplication prevented whenever possible, and ease of transition from one service to another facilitated. Regular communication further permits exploration of new ways of delivering services or parts of a service, of cooperation between one or more agencies on sharing delivery, and possible new sources of funds for gaps. It also allows for a comprehensive view of what exists and what providers would like to see exist and having that information mutually shared.

Thus, coordination will assure that services can be utilized in the most effective way possible and, in the days of limited resources, assure that programs function as effectively and efficiently as possible.

Planning and Service Area: #6

Exhibit D-4
Page 5 of 8Action Plan for Coordination of the Delivery
of Existing Services

The following sets forth the Area Agency's plan for the coordination of activities in the planning and service area which are related to programs and services for older persons.

<u>Major Action Steps</u>	<u>Estimated Date of Completion</u>
Step 1	
To continue staff participation in health groups listed in action plan.	July 1977 and monthly thereafter
Step 2	
To continue leadership in the meetings of the Senior Crusade Against Crime	July 1977 bi-monthly thereafter
Step 3	
To continue regular meetings of the Technical Advisory Committee established in BY 3.	July 1977 bi-monthly thereafter
Step 4	
To continue regular meetings with the coalition of agencies established in BY 3.	July 1977 and monthly thereafter
Step 5	
To work with new non-profit sponsors of 202 housing to develop service component.	August 1977 and as needed
Step 6	
To assist neighborhood task forces in the development of neighborhood multi-purpose centers funded by Mayor's Office on Community Development.	August 1977 and as needed
Step 7	
To hold regular meetings of the Community Coalition for Nursing Home Reform to review and update work of task force.	August 1977 and bi-monthly thereafter

Planning and Service Area: #6

Exhibit D-4
Page 6 of 8Action Plan for Coordination of the Delivery
of Existing Services

The following sets forth the Area Agency's plan for the coordination of activities in the planning and service area which are related to programs and services for older persons.

Major Action Steps	Estimated Date of Completion
Step 8	
To initiate regular meetings with City health agencies.	August 1977
Step 9	
To hold first of crime prevention workshops as mentioned in Action Plan.	August 1977
Step 10	
To meet with the Housing Coalition to review rent issues	September 1977 and quarterly thereafter
Step 11	
To establish the Joint Health Coordinating Committee as described in Action Plan.	September 1977
Step 12	
To hold the first meeting of the income task force.	September 1977
Step 13	
To do a quarterly update of nursing home referral in coordination with Discharge Planners.	September 1977 and quarterly thereafter
Step 14	
To complete the development of senior housing guidelines with City Planning and with other relevant participants.	October 1977

Planning and Service Area: #6

Exhibit D-4
Page 7 of 8Action Plan for Coordination of the Delivery
of Existing Services

The following sets forth the Area Agency's plan for the coordination of activities in the planning and service area which are related to programs and services for older persons.

<u>Major Action Steps</u>	<u>Estimated Date of Completion</u>
Step 15	
To start regular meetings of legislative persons in key senior organizations to act on issues described in the Action Plan	October 1977
Step 16	
To initiate discussions with appropriate individuals on the issue of the single room elderly.	October 1977
Step 17	
To hold first class in the skill learning program as described in relevant objective.	October 1977
Step 18	
To initiate discussions with relevant groups described in Action Plan for purposes of job development.	November 1977
Step 19	
To complete the model nursing home concept with related costs to compare with Accountants in the Public Interest data.	January 1978
Step	
Step	

Planning and Service Area: #6

Exhibit D-4
Page 8 of 8Action Plan for Coordination of the Delivery
of Existing Services

Title III	\$ <u>32, 572</u>
Title III Matching Funds	\$ <u>3, 739</u>
Other Resources	\$ <u>-0-</u>
Total Estimated Funds	\$ <u>36. 311</u>

This budget page has been included in the Year IV Plan to give you, the reader, a more accurate understanding of the cost for the major activities of the Commission on the Aging during Year IV (July 1, 1977 to June 30, 1978). This information has been generated through the management information of the Commission on the Aging and is based on realistic estimates of the staff time, funds and other resources necessary to complete this task.

This supplement page is designed to be viewed as it relates to this element only of the work program and in some cases, resources identified here may appear in more than one element of the work program. For instance: a part of the resources for pooling that appears in the action plan for pooling also appears in each of the objectives where pooling efforts are indicated. Therefore, the total of the supplementary budget pages exceeds the total dollars available.

Action Plan for Pooling of
Available but Untapped Resources

The COA will assume lead responsibility in pooling available but untapped resources. Many services exist in the community to serve the general public but special service for the elderly, in cases where special services are required, are fragmentary. Some services need to be specifically designed to meet needs of seniors -- often going to where the senior is, rather than waiting for the senior to come to them. In this regard, particular concern exists around health related services. For example, seniors living in different sections of the city find that the local District Health Centers do not all provide the same services to the older clientele of the district. Or the service might exist, and the senior has no way of getting to it. Or it may be designed in a way to discourage utilization by seniors.

Other agencies have not included seniors in specific programming guidelines; this offers another area for technical assistance, suggestions and program development. In many cases, agency personnel are interested in serving the needs of seniors but have neither the training nor expertise to meet particular needs. By bringing groups together, meeting with them regularly as a group and individually, the Area Agency can articulate the needs of seniors to local providers and encourage the adjustments of program priorities to reflect needs of seniors. The Area Agency can also provide education and training so that increased awareness of needs and problems of seniors will be felt throughout the community.

A. The COA will continue working relations with Social Security District Offices, Adult Social Services, and the Medicare and Medical programs by meeting regularly. It will seek the input of a trained individual to assure that the I&R staff has accurate information on service availabilities, and the technical information necessary to assure full utilization of benefits. See Objectives relating to Health and Housing services within the I&R component.

More specifically, the COA is cooperating with a citywide group of day care providers to seek Medi-Cal funding for the expiring "222 Project". It will work on a demonstration health care project and seek alternative sources of funds for such a system.

The COA will continue working with the alternative care task force of the Community Coalition for Nursing Home Reform to develop mechanisms whereby the State will alter the current stress on institutional care for Medi-Cal reimbursement, in favor of a more appropriate, efficient and economical non-institutional base.

B. The COA will meet with staff of ACTION to determine methods whereby senior volunteers can serve more fully in senior-oriented programs. Additionally, other (non-senior) ACTION volunteers can be brought into the service of senior needs. RSVP volunteers are already serving seniors in a direct way at Title VII sites, through a repair service and in any number of other ways. Another area that the COA will explore with ACTION is ways that VISTA volunteers might be utilized in community work with seniors or ways that SCORE or ACE volunteers can assist other older individuals in developing their capacity to expand their income. Such

Planning and Service Area #6

Exhibit D-5
Page 2 of 3

meetings will be initiated during BY 3 and continue in BY 4. Assistance will be sought from these groups in the specific objectives related to skill training, job development and resources center.

C. Closely related to the above will be the COA's effort to work with unions, the Mayor's Office on Employment & Training and EDD to develop job opportunities for seniors and seek ways to provide necessary home repair, in compliance with need expressed at community hearings and in the national priority service. Developing jobs will involve open relationships with both the public and private sectors.

D. During Budget Years II and III, the COA subcontracted for direct social services and some transportation at Title VII sites. During BY IV, with the cooperation of Title VII Project Directors, the COA will continue to try to develop the nutrition sites as a catalyst for expanding neighborhood level services. In regard to nutrition, in general, the COA will be exploring alternative ways to assure adequate diets for senior citizens in the PSA. Possibilities include community gardens, surplus commodities, shared meals.

E. The COA will utilize a study, completed in December 1975, on general revenue sharing's role in senior programming to call attention to ways in which revenue sharing can be used creatively for the needs of seniors. The Coalition of Agencies (subcontractors) will be developing joint proposals for submission and efforts will be made to get groups of seniors and others together to speak for a different allocation of general revenue sharing funds. A part of this must involve community education as to the roles and functions of the COA and the appropriations available through the Older Americans Act.

F. The COA's information and referral component has established linkages with the Social Security District Offices and other appropriate agencies. The Assistant to the Director functioning in the I & R Section works on the development of resources and proper referral sources with appropriate staff members of SSA.

G. Additional Pooling.

1. Transportation: Identify existing alternative transportation systems that might be available to provide services to the elderly, that are not currently being utilized in such a manner.

2. Educational Institutions

a. seek special senior days

b. develop curriculum to meet needs of seniors as to content and location

The Area Agency will assume lead responsibility for these city-wide functions.

Action Plan for Pooling Untapped Resources

The following sets forth the Area Agency's plan for increasing the amount of resources of other public and private organizations in the planning and service area committed for programs for older persons.

<u>Major Action Steps</u>	<u>Estimated Date of Completion</u>
Step 1 To initiate regular meetings with the Departments of Social Services (Adult Services), ACTION, Mayor's Office on Employment & Training	Aug '77
Step 2 To continue meeting with the "222 Project" group for city-wide day care services.	As meetings are called
Step 3 To meet with the educational institutions to expand special programs for seniors	Aug '77
Step 4 Identify and explore potential resources to expand legal service for the elderly	Aug '77 as appropriate
Step 5 To meet quarterly with the alternative care group of the community coalition for Nursing Home Reform	Aug, Nov 7 Feb, May 7
Step 6 To continue meeting regularly with Nutrition Project Directors to expand nutritional services	Sep, Dec 7 Mar, Jun 7
Step 7 To utilize agency expertise to train I & R workers in benefits under specific programs	Oct '77
Step 8 To continue meeting with the Coalition of Agencies to review programs and funding sources	Oct '77 and Apr '78

Planning and Service Area: #6

Exhibit D-6
Page 1 of 5

Action Plan for Coordination
of Title VII Nutrition Program with the
Area Agency Service

The COA will work and coordinate with Nutrition project to: 1) find ways that nutrition sites and AAA contract locations and the AAA can work together; 2) identify uniqueness of site and help facilitate the delivery of services so that Title III staff can help bring specific supportive and social services to nutrition programs. In addition, the COA will coordinate with the Department of Health to: 1) continue to identify the deterrents to good nutrition of the city's elderly; 2) re-determine methods by which nutritional needs are currently addressed; 3) continue to examine existing programs; 4) re-examine priorities for addressing determined needs; 5) verify methods of allocating meals on a rational basis. Additionally, the COA will continue to develop methods and possible programs for purposes of expansion of purchasing power through food distribution, discount operations and/or other forms of meal delivery by the end of Year IV (subject to existing laws and regulations).

RATIONALE:

Title VII sites provide a valuable meeting place for San Francisco's older residents. However, the limited amount of Title VII funding excludes many from the meal program. Any expansion must come from available Title VII resources; thus, if Title III funds can be utilized to expand through coordination the delivery of social services to this assembled group of seniors, Title III and Title VII programs can effectively coordinate to bring the widest range of services to seniors at local levels. Such nutrition sites can further be developed to serve as the originating point of a neighborhood support system.

In addition, other means need to be found to meet nutritional gaps that Title VII cannot handle. Given the limited resources of Meals on Wheels and Title VII's home delivery programs and the high numbers of poor and relatively isolated and incapacitated seniors--22,500 possible high risk (16% of S.F. elderly) the COA must seek ways of meeting such needs through new or expanded services.

For individuals living on a low income budget, costs for food approach 30% of total income, second only to housing. (U.S. Dept. of Labor, Bureau of Labor Statistics for S.F. - Oakland, Autumn 1973). The COA recognizes this link between income and diet and the further connection between diet and health. Since the COA cannot directly expand income to help older people purchase proper foods, it can approach it indirectly

Planning and Service Area: #6

Exhibit D-6
Page 2 of 5RATIONALE: (Continued)

through contracting for improved food distribution systems, trying to arrange for discounts, and offering instruction in preparation of low-cost nutritional food.

Lastly, verification of rational food allocation patterns will provide a basis for any expansion of Title VII funds, so that those most in need of the kind of nutrition program Title VII offers, as predetermined by selected indicators, can receive such services.

EXPECTED IMPACT:

Approximately 1,000 seniors will have available information and/or other social services at a place where they regularly meet or through neighborhood supports developed through Area Agency activities. About 1400 will be low-income seniors and 1000 minorities. Approximately 500 additional seniors will be impacted by the other aspects of the nutrition objective. In the long range, the COA hopes that many more lower income and minority seniors can benefit from a rational expansion of Title VII projects.

The needs for adequate nutrition, socialization, and expansion of purchasing power through food distribution and/or discount operations will be impacted by this objective. The specific impact on the need will be the result of increasing the numbers served and, for those individuals, offer a significant improvement in meeting those particular needs.

The impact on participants will be felt within the first month's implementation of this objective, and by extension, to other neighborhood residents by the end of the budget year.

GEOGRAPHIC AREA:

Sites within the City and County of San Francisco to be determined. Remainder of objective city-wide.

Caveat

The intent of this objective is to bring required social and other services to nutrition sites and is in no way intended to reduce funding levels agreed upon by the State and Title VII projects:

Planning and Service Area: #6

Exhibit D-6
Page 3 of 5

Action Plan for Coordination of Title VII Nutrition Program with the Area Agency Services System Development under Title III

The following sets forth the Area Agency's plan to coordinate the activities initiated under Title VII of the Older Americans Act in the planning and service area with the Area Agency's services system development under Title III.

	<u>Major Action Steps</u>	<u>Estimated Date of Completion</u>
Step 1	Meet quarterly with Title VII and other Nutrition Project Directors:	Sept. 1977 Dec. 1977 March 1978 June 1978
Step 2	Coordinate with Nutrition Projects to develop ways that COA staff specialists and other COA staff can assist Nutrition Projects.	Nov.. 1977
Step 3	Outline information required to complete review of Title VII programs and to establish a model system for expansion based on selected criteria of need.	Dec. 1977
Step 4	Assign a staff person to function as a nutrition specialist to work with nutrition programs to 1) arrange or provide needed social services, 2) perform their activities defined in the job description.	Jan. 1978
Step 5	Establish criteria for nutrition support programs, select indicators for target areas, select target areas.	Mar. 1978
Step 6	Initiate exploration of food distribution systems and other forms of meal delivery with community groups/agencies engaged in such activities or interested and capable of becoming so engaged.	Apr. 1978
Step 7	Develop program guidelines for food distribution and alternative meal delivery systems as developed in Step 5.	June 1978

Planning and Service Area: #6

Exhibit D-6
Page 4 of 5

Major Action Steps

Estimated
Date of
Completion

Step 8	Initiate a review of the Title VII Nutrition Project preliminary to determining model for allocating meals on basis of need.	July 1977
Step 9	Complete review of pattern of Title VII nutrition sites, verify model for allocation of meals based on need.	Oct. 1977
Step 10	Review Proposals for Title VII funding and make recommendations to COA.	As necessary

Planning and Service Area:

Exhibit D-6
Page 5 of 5Action Plan for Coordination of Title VII Nutrition Program with
AAA Service

Title III	\$ <u>23,621</u>	
Title III Matching Funds	\$ <u>4,862</u>	
Other Resources	\$ <u> </u>	
Total Estimated Funds		\$ <u>28,483</u>

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Planning and Service Area: #6

Exhibit D-7
Page 1 of 3

ACTION PLAN FOR ADVOCACY ON BEHALF OF PROGRAMS AND BENEFITS FOR
OLDER PERSONS IN THE PLANNING AND SERVICE AREA

ESTIMATED DATE OF COMPLETION:

Effective advocacy is a continuing process. Although action on an individual piece of legislation may have a completion date, the process is an integral responsibility of the Commission on the Aging and does not end.

MAJOR ACTION STEPS:Step 1:

Assigned staff person reviews and prepares fact sheets on legislation and regulations proposed at the local, state and national level.

Step 2:

Appropriate Commission on the Aging and Senior Community Advisory Council Committees discuss proposed legislation and regulations and prepare recommendations.

Step 3:

Appropriate Commission on the Aging and Senior Community Advisory Council Committees discuss needed legislation and prepare recommendations.

Step 4:

Information disseminated through appropriate channels: e.g. 4,000 monthly copies of "Senior Scene," Commission on the Aging and Senior Community Advisory Council, news media, Mayor and Board of Supervisors, Community Contacts by Commissioners, and Senior Community Advisory Council members and Staff.

Step 5:

Appropriate contacts made with legislators and constitutional officers.

Step 6:

Testimony offered by Commissioners and staff.

Step 7:

Creation of broad general awareness of needs of the elderly through general public involvement in Commission on the Aging and Senior Community Advisory Council activities and Commission on the Aging and Senior Community Advisory Council and staff involvement with other groups.

Step 8:

Make efforts to improve services for the elderly through Commission on the Aging subcontracts for services.

Planning and Service Area: #6
MAJOR ACTION STEPS - continued:

Exhibit D-7
Page 2 of 3

Step 9:

Make efforts to improve services for the elderly through coordination, pooling and tapping other resources and programs.

Step 10:

Make efforts to improve services for the elderly by seeking funds through a variety of public and private resources.

Step 11:

Establish and train a Speakers' Bureau who can address meetings of groups concerned with the needs of San Francisco's elderly.

Step 12:

Develop and distribute a packet that will explain:

- 1) Steps in the legislative process.
- 2) Key names/addresses.
- 3) Points at which individual can effect legislation, how to accomplish end.

Planning and Service Area: # 6

Exhibit D-7
Page 3 of 3

Action Plan for Advocacy - Continued

Actual/Projected Funds to Achieve Objective

Title III	\$	<u>21,179</u>	
Title III Matching Funds	\$	<u>6,996</u>	
Other Resources	\$	<u> </u>	
Total Estimated Funds			\$ <u>28,175</u>

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Planning and Service Area: #6.

ACTION PLAN FOR INVOLVEMENT OF THE ADVISORY
COUNCIL IN AREA AGENCY ACTIVITIES

The following sets forth the Area Agency's plan to involve the Advisory Council in Area Agency activities, including the development and implementation of the Area Plan.

<u>MAJOR ACTION STEPS</u>	<u>ESTIMATED DATE OF COMPLETION</u>
<u>STEP 1:</u> SCAC Committees meet jointly with COA Committees at regular monthly meetings for immediate input into discussions and recommendations.	Continual
<u>STEP 2:</u> SCAC members meet monthly by District to develop recommendations and raise issues for full SCAC and COA.	Monthly
<u>STEP 3:</u> Full SCAC meets one week prior to COA meeting to develop recommendations and raise issues.	Monthly
<u>STEP 4:</u> COA agenda has regular item for report from SCAC President, as well as item for comments from the general public.	Monthly
<u>STEP 5:</u> All of the above meetings are an integral part of the planning process as issues arise that must be addressed in the Year V Plan.	Continual
<u>STEP 6:</u> SCAC asked for specific items they believe should be included in the Year V Plan, which is starting to be put on paper.	October
<u>STEP 7:</u> Development of Year V Plan discussed at monthly SCAC meetings, Committee meetings, and monthly District meetings. Recommendations made for revisions.	November 1977 through January 1978
<u>STEP 8:</u> The COA will refer all appropriate matters to the SCAC for review and advice through the Committee structure.	Completion as necessary

Action Plan For Involvement of Advisory
Council in AAA Activities - ContinuedActual/Projected Funds to Achieve Objective

Title III	\$	<u>16,285</u>
Title III Matching Funds	\$	<u>6,823</u>
Other Resources	\$	<u> </u>
Total Estimated Funds		\$ <u>23,108</u>

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ACTION PLAN FOR TECHNICAL ASSISTANCE

The primary goal of increasing and improving the provision of services to older persons can be obtained, in part, through technical assistance which will be offered to current and potential subcontracting agencies, other agencies providing services to the elderly and nutrition programs.

Technical assistance and training will be designed to improve and enhance the providers' skills in the areas of administration, specifically: 1) assist agencies to determine their agency goals in keeping with the assessed needs and priority objectives outlined in the area plan; 2) assist agencies to develop proposals which achieve the objectives set forth in the area plan; 3) continued monitoring and assistance designed to improve provision of service.

Technical assistance for BY 4 will stress staff training efforts aimed at strengthening the skills of current and potential minority contractors in providing services to the minority elderly. The various catchment areas in San Francisco are at varying programmatic points, reflective of the levels of expertise, exposure, geographic, demographic, and ethnic factors. Assessment of the impact of these factors determines the need for technical assistance.

The technical assistance package will include: 1) formal communications; proposal and program instructions, technical assistance memorandum, updates on guidelines, criteria and monitoring as necessary to provide information and enhance program development and implementation, 2) delivery of other pertinent reports, manuals, and information necessary for the providers to carry out their services, 3) training by staff and consultants as requested and as staff deems necessary, dictated by contract monitoring procedures, and 4) site visits, telephone calls, and feedback to agencies.

The following sets forth the Area Agency's plan for the provision of technical assistance (a) to agencies/organizations in the planning and service area which receive funding under Title III; (b) to nutrition projects in the planning and service area when the Area Agency is the grantee of the State Agency on Aging for Title VII funds; and (c) to other agencies/organizations whose programs can improve the lives of older persons.

<u>MAJOR ACTION STEPS</u>	<u>Estimated Date of Completion</u>
Step 1. Develop Technical Assistance Memorandum pertinent to information needs based on the area plan objectives.	Beginning July 1977 & as needed
Step 2. Distribute Technical Assistance Memorandum to Title III funded agencies, nutrition projects, and agencies and organizations dealing with the aging.	Beginning July 1977 & as needed
Step 3. Provide technical assistance to Coalition of Agencies (provider sub-contractors) for the contract compliance and reporting procedure.	July 1977, monthly thereafter

ACTION PLAN FOR TECHNICAL ASSISTANCE

<u>MAJOR ACTION STEPS</u>	<u>Estimated Date of Completion</u>
Step 4. Through utilization of research data bank provide technical assistance, information and programmatic ideas to develop proposals which meet the area plan objectives.	Beginning July 1977 & as needed
Step 5. Provide technical assistance in the form of feedback on the outcome of all proposals submitted (funded or not funded) for future reference of the service providers.	Beginning August 1977 & as needed
Step 6. Provide technical assistance to assist in the development of senior citizen housing programs, work with non-profit sponsors to develop and review 202 project proposals.	August 1977 & as needed
Step 7. Provide technical assistance to the continuity of Care Committee (Nurses Association) to develop quarterly evaluation of SF nursing homes to become part of the Nursing Home Referral System.	August 1977, bimonthly thereafter
Step 8. Provide neighborhood task forces with technical assistance in the development of neighborhood multipurpose centers funded by the Mayor's Office of Community Development.	August 1977
Step 9. Provide technical assistance and support to the Community Coalition for Nursing Home Reform Ombudsman program.	September 1977 quarterly thereafter
Step 10. Conduct a workshop for proposal preparation related to the area objective pertaining to legal services.	September 1977
Step 11. Staff will seek out and train qualified minority applicant agencies capable of serving the minority elderly in the unserved and under-served areas of the San Francisco. Provide expertise to assist in the development of proposals to meet these needs.	September 1977 & as needed for pro- posal development
Step 12. Conduct workshops to provide technical assistance to current & potential providers in the areas of program development, budgeting, accountability, proposal writing and program implementation to achieve the area plan objectives.	October 1977
Step 13. Conduct a workshop for proposal preparation related to the area objective pertaining to health maintenance.	October 1977
Step 14. Conduct workshop for proposal preparation related to the area objective pertaining to peer counseling.	October 1977

Planning and Service Area: #6
MAJOR ACTION STEPS - continued:

Exhibit D-9
Page 3 of 4

ACTION PLAN FOR TECHNICAL ASSISTANCE

- | | <u>ESTIMATE DATE
OF COMPLETION</u> |
|---|--|
| Step 15. Provide technical assistance as necessary for Operation Transport contract renewal. | January 1978 |
| Step 16. Provide technical assistance to service providers to seek private and public funding to expand the service delivery system to seniors. | On-going |

Action Plan for Technical Assistance - Continued

Actual/Projected Funds to Achieve Objective

Title III	\$ <u>24,513</u>
Title III Matching Funds	\$ <u>979</u>
Other Resources	\$ _____
Total Estimated Funds	\$ <u>25,492</u>

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Planning and Service Area: #6

DIRECT PROVISION OF SOCIAL SERVICES BY THE AREA AGENCY

The Area Agency requests approval of the State Agency on Aging for direct provision of the following social service, setting forth its justification for direct provision of the service and the Title III funds and Area Agency staff involved. (This exhibit is completed for each social service directly provided by the Area Agency.)

- 1) Social Service: see attachment
- 2) Justification for Direct Provision by Area Agency:

Information and Referral provides a direct link between individuals and available services. It also provides a valuable guide for planning purposes - data obtained is fed into the planning process in setting objectives and determining priorities for services. The decision to use the I & R component to build on specialized service components rests on the frequency of calls in certain areas, the desire to coordinate information and referral, and the effort to utilize just one phone number for as many calls as possible.

The total I & R component reaches approximately 10% of San Francisco's elderly population (about 14,000 individuals). When the I & R community workers and rest of staff are in the community more frequently, and as the advertisement of the services grow, it is anticipated that service requests will increase by 50% to a total of 21,000 calls per year.

- 3) Resources:

Title III Funds	Percent of Area Agency Budget
Requested: \$151,651	for Service: 31%

Area Agency Staff Involved, by Position Title and Percent of Time Devoted to Direct Provision of the Service:

Position Title (Full/Part-time)	Percent of Time
Assistant to the Director (Full-time)	100%
Specialist in Aging III (Full-time)	100%
Specialist in Aging II (Full-time)	100%
Specialist in Aging I (Full-time)	100%
Specialist in Aging I (Full-time)	100%
Clerk Steno (Full-time)	100%
2 Reproduction Persons (Full-time)	100%
Receptionist (Full-time)	100%
5 Community Representatives (Part-time)	100%
8 Community Workers (Part-time)	100%

Planning and Service Area: #6

Exhibit D-10
Page 2 of 3DIRECT PROVISION OF SOCIAL SERVICES BY THE AREA AGENCY

1) Social Service: Area #6 will provide direct service to client population within the areas of general information, referral, and follow-up in response to drop-ins and phone requests, 2) Issue MUNI identification, 3) Specific housing and relocation assistance, 4) Specific health information in regard to services, sources of funds, 5) Specific linkage of trained individuals in areas of home repair/handyman with those needing such services, and 6) Specific guidance in the selection of information about nursing homes. This service is available 24 hours a day, 7 days a week. This extended service time is for phone-in calls only.

The San Francisco Commission on the Aging will undertake the direct provision of information and referral services. The Commission on the Aging is uniquely situated to allow it to provide the most efficient and effective mechanism for linking senior citizens with available services. Through the utilization of a subcontract this service is now available on a 24-hour, 7-day a week basis. The Commission is the only county agency directly responsible for planning and coordinating senior services. It has the capacity to relate to the activities of all other city/county agencies to both bring information into the I & R resource file and to serve as a referral point when problems reach other city departments. Upon request, it can bring appropriate resources together to meet needs of other city or community groups. Providing such services directly also permits the Commission on the Aging to respond immediately to crises - as happened during San Francisco's 1976 bus strike when the I & R component coordinated over 3,000 rides for seniors.

The I & R service provides senior citizens direct access to the Commission and to the resources that it must constantly develop and update for other agency activities. It also offers an opportunity for direct accumulation of information for the on-going process of planning, while also making the dual task of research and evaluation of I & R systems more functionally and administratively feasible.

The area agency has a central I & R coordinator and trained I & R workers responding to phone calls and drop-in clients. At the time of the intake interview, a needs assessment is completed and recorded for planning purposes. Referral/follow-up is then done for the client.

Information developed by outreach workers (subcontracted to community agencies until contract expiration, July 1977) is further fed into the central I & R system. Thus, the outreach program can reach seniors who would otherwise remain invisible. Problems and needs are identified and the senior then has a place to contact as future needs develop.

Having the Information and Referral system as a direct service of the Commission on the Aging permits development of the system to meet particular needs. For example, the I & R network currently includes (or will include by December 1976) a housing and nursing home referral system. Both will be updated, at a minimum, on a quarterly basis during the course of Budget Year IV. During BY 4, it will be expanded to include a special housing and health referral

Planning and Service Area: #6
DIRECT PROVISION OF SOCIAL SERVICES BY
THE AREA AGENCY- continued: /

Exhibit D-10
Page 3 of 3

component to utilize the systems developed in Budget Year III and to expand capability to offer services in these areas. It will also include a skill resource center to link trained individuals with other seniors or employers requiring particular abilities. Payment will be worked out by the individuals and monitored by the Commission on the Aging. (see relevant objectives for complete discussion).

The employment of eight part-time Information and Referral workers in July 1976 (Community Worker, by title) have added to the ability of the previous staff to expand follow-up, develop expertise in specific areas, and build new services into the system as need emerges.

Another direct service will be the continued availability of equipment, on a loan basis, to agencies lacking the resources to purchase equipment such as projectors, screens and videotapes. This project developed from the equipment loan program funded during Budget Year's II and III.

This program will serve approximately 200 organizations and agencies in the city of San Francisco currently serving the elderly. Many of these groups are small, non-profit organizations, generally neighborhood-based, serving residents in a specific geographical area. They provide valuable social, recreational, and economic assistance to seniors and generally exist on limited budgets.

As an adjunct to these programs, the San Francisco Commission on the Aging will be maintaining an education specialist to coordinate training sessions provided both for and by the Commission on the Aging. For the most part, the direct conduct of these training sessions will be contracted to professional educators and institutions with particular expertise and background in the field of gerontology. The Commission on the Aging's education specialist will ensure the effective coordination of training mechanisms and, when appropriate, provide training directly.

The effort to coordinate service delivery and to link clients with whatever services they need is the basic reason for direct provision of social services. Because of the limited resources available to serve the aged, coordination is essential to insure non-duplicated provision of service and program as well as to obtain maximum program output for the limited financial, physical, and resources available to serve the elderly. The Commission on the Aging's direct service activity functions to assure that wherever possible, services are provided by those agencies and individuals best able to insure that the needs of senior citizens are met and that the maximum level of service is provided for each dollar spent in this field.

Planning and Service Area: #6

Exhibit D-11
Page 1 of 3

**ACTION PLAN FOR INCREASING THE PARTICIPATION
OF LOW INCOME AND MINORITY OLDER PERSONS AS BENEFICIARIES
IN TITLE III PROGRAMS**

Efforts on the part of the AAA to increase the participation of low income and minority older people as beneficiaries in the Title III Program have always had the biggest priority. As part of the Year IV Plan, action to increase this number will continue.

The following specific activities form the base for this action plan:

1. Our recently published (51,000 copies) Senior Services Directory was published in four languages: English, Spanish, Chinese and Japanese (currently being translated to Russian). We will continue to give these directories the widest possible distribution.

2. The AAA's Senior Community Advisory Council, just re-organized with solid representation of the low-income and minority elderly will be utilized to the fullest extent to increase the participation of these groups in the Title III Program.

3. We contract with a number of agencies serving the low-income and minority elderly. We will increase our efforts through the utilizing of agency outreach workers to increase participation.

4. The AAA's I&R Staff is capable of dealing with clients in need of service in five (5) languages. Through increased training and experience we can be expected by fuller use of the I&R skills, to further increase the participation of low-income and minority older persons in Title III.

5. By increasing emphasis on our Senior Discount Program, we again feel that this action will increase the participation in the benefits of the Title III Program.

ACTION STEPS	<u>Estimated Date of Completion</u>
Step 1. Alert minority and low income elderly of various programs through various media	August 1977
Step 2. Training of I&R Staff to increase awareness of need and in new techniques in reaching and involving low income and minority elderly in the program.	September 1977
Step 3. Develop and implement a way for the multi-lingual capabilities of our staff to be better utilized in involving more low income and minority older persons in Title III Program	October 1977

Planning and Service Area: #6

Exhibit D-11
Page 2 of 3

ACTION STEPS

Estimated Date
of Completion

Step 4. Coordinate efforts with other social service agencies and service providers to explore new ways to reach low income and minority elderly

Ongoing

Action Plan for Increasing the Participation of Low Income
and Minority Older Persons as Beneficiaries of Title III Programs

Actual/Projected Funds to Achieve Objective

Title III	\$	<u>5,500</u>
Title III Matching Funds	\$	<u>500</u>
Other Resources	\$	<u> </u>
Total Estimated Funds	\$	<u>6,000</u>

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Planning and Service Area: #6

Exhibit D-12
Page 1 of 3**Action Plan for the Inclusion of Minority Agencies and Organizations
in the Delivery of Social Services to Older Persons**

The total number of elderly in San Francisco is 144,100*, the number of minority elderly is 26,942 or 19% of the total elderly population.

Section 903.80(c) of the Title III program regulations encourages the awarding of grants and contracts to minority individuals in proportion to their relative number in the planning service area. Therefore, in accordance with Section 903.80(c), the AAA will incorporate affirmative action policies in the contractual process by soliciting proposals from and encouraging agencies and programs which are minority-operated or controlled to participate in the activities of the AAA.

The AAA will inform individuals, agencies and organizations, especially those representing low income minority and elderly applicants of its affirmative action policies in the awarding of grants and contracts. All contracts shall contain non-discrimination Code to insure that in all solicitations or advertisements for employment or inclusion in programs applicants or participants will receive consideration without regard to race, creed, color, ancestry, national origin, age, sex or sexual orientation. In accordance with Sec. 12B.4 of the Administrative Code, all contractors shall submit an affirmative action program which meets the requirements of the Human Rights Commission of the City and County of San Francisco.

Whenever feasible, the AAA will utilize staff to provide technical assistance to those individuals, organizations, or agencies submitting proposals to insure conformance with AAA policies on affirmative action.

Selection techniques for contractors or grantees will be subject to continuous review in accordance with selection standards of the AAA and the Human Rights Commission.

Subject to legal and policy requirements, the AAA will monitor programs to assure compliance with contract provisions relating to affirmative action and Section 12B and to evaluate the effectiveness of the affirmative action programs.

* AOA-TA-7/1/1975

Planning and Service Area: #6

Exhibit D-12
Page 2 of 3Action Plan for the Inclusion of Minority Agencies and
Organizations in the Delivery of Social Services to Older Persons

The following sets forth the Area Agency's plan for the inclusion of minority agencies and organizations in the delivery of social services to older persons, at least in proportion to the number of minority persons in the planning and service area.

<u>MAJOR ACTION STEPS</u>	<u>Estimated Date of Completion</u>
Step 1. To notify minority groups of subcontracts to be funded in BY 4.	July 1977
Step 2. Inform individuals, agencies and organizations of affirmative action policies required for contract applications.	July 1977
Step 3. Inform individuals, agencies and organizations of the San Francisco Administrative Code and Section 903.8 (c) of Title III in determining affirmative action policies.	July 1977
Step 4. Review selection techniques for granting awards to insure compliance with affirmative action guidelines	August 1977
Step 5. Advertise and encourage submission of contract applications through correspondence with minority operated or controlled programs.	September 1977
Step 6. To make technical assistance available after mailing RFP's for BY 4 subcontracts.	September 1977
Step 7. To offer technical assistance in program development, budgeting, accountability, and proposal writing.	September 1977 and as necessary
Step 8. To assess agencies to determine workshops that would assist in grant development.	October 1977
Step 9. To provide workshops requested in Step #8.	October 1977
Step 10. Offer staff assistance, when feasible, to individuals, agencies, or organizations needing technical assistance in determining affirmative action policies in programs.	In month of Mailing RFP's

Planning and Service Area: # 6

Exhibit D-12
Page 3 of 3

Action Plan for the Inclusion of Minority
Agencies and Organizations in the Delivery
of Social Services to Older Persons - Continued

Actual/Projected Funds to Achieve Objectives

Title III	\$	<u>5,500</u>	
Title III Matching Funds	\$	<u>500</u>	
Other Resources	\$	<u> </u>	
Total Estimated Funds			\$ <u>6,000</u>

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Planning and Service Area: #6

Exhibit D-13
Page 1 of 3Action Plan for Monitoring and Assessment of Grants and Contracts
Under the Area Plan

Section 903.80 (d) of the Title III regulations requires the AAA to monitor contractors and grantees under the Area Plan. To fully comply with this regulation, the AAA has established in BY III a Contracts Compliance Division which has the responsibility for all contract monitoring and evaluation activities. This division will carry out the internal and external monitoring and assessment functions of all objectives, subcontracts, and Area Agency activities in this Area Plan with the goal in mind of analysing, correcting, and improving the program performance of the Area Agency and its various subcontractors and grantees. Monetary analysis will center on compliance with the applicable statutes and regulations of the Older Americans Act, as well as the state regulations and the charter provisions of the City and County of San Francisco. In Year IV, the COA will develop monetary programs for the second year allocation of Title V funds as well as formal criteria for assessment of applications submitted to other funding sources where A-95 review or AoA has solicited COA review and comment.

Major Action Steps

		Estimated Date of Completion
Step 1	Re-evaluate program and objective criteria and forms for monitoring both program and fiscal performance of subcontractors.	July 1977
Step 2	Meet with subcontractors to acquaint them with procedures, required reporting forms, and applicable Federal and State regulations.	As contracts are prepared after approval
Step 3	Review required monthly program and fiscal reports and perform internal audit of reports for accuracy and compliance with contracts and regulations.	July 1977 and monthly thereafter
Step 4	Quarterly staff site visits for purpose of assessment of actual activities of subcontractors.	July 1977 and quarterly
Step 5	Fiscal review on site of each subcontractor performed by Contract Compliance staff.	Dec 1977 and Jan 1978

Planning and Service Area: #6

Exhibit D-13
Page 2 of 3

- | | | |
|--------|--|----------------------------------|
| Step 6 | Regular meetings with supervisors and staff of contractors to keep them fully aware of their progress or need for improvement in performance under contract. | July 1978 and quarterly |
| Step 7 | Periodic training sessions designed to meet needs of subcontractors' staff to improve skills and performance. Training to meet specific needs to be developed by AAA Education Specialist. | as needed |
| Step 8 | Review of internal operations of AAA and evaluation of progress on various objectives in Year IV Plan. | July 1977 and monthly thereafter |
| Step 9 | Staff meeting on progress toward AAA's goals and objectives. | July 1977 and monthly thereafter |

Action Plan for Monitoring and
Assessment of Grants and Contracts
Under the Area Plan - Continued

Actual/Projected Funds to Achieve Objective

Title III	\$	<u>22,489</u>	
Title III Matching Funds	\$	<u>5,288</u>	
Other Resources	\$	<u> </u>	
Total Estimated Funds			\$ <u>27,777</u>

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ACTION PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY
RELATIVE TO GRANTS AND CONTRACTS UNDER THE AREA PLAN

Section 903.80(c) of the Title III program regulations to encourage the awarding of grants and contracts to minority individuals at least in proportion to their relative number in the planning service area. Therefore, in accordance with Section 903.80(c), the AAA will incorporate affirmative action policies in the contractual process by soliciting proposals thru advertising in alternative language media and encouraging agencies and programs which are minority operated or controlled to participate in the activities of the AAA.

The AAA will inform individuals, agencies and organizations, especially those representing low income minority and elderly applicants of its affirmative action policies in the awarding of grants and contracts. All contracts shall contain non-discriminatory provisions in conformance with Section 128 of the San Francisco Administrative Code to insure that in all solicitations or advertisements for employment or inclusion in programs, applicants or participants will receive consideration without regard to race, creed, color, ancestry, national origin, age, sex or sexual orientation. In accordance with Section 12B.4 of the Administrative Code, all contractors shall submit an affirmative action program which meets the requirements of the Human Rights Commission on the City and County of San Francisco.

Whenever feasible, and with priority given to minorities, the AAA will utilize staff to provide technical assistance to those individuals, organizations, or agencies submitting proposals to insure conformance with AAA policies on affirmative action.

Selection techniques for contractors or grantees will be subject to continuous review in accordance with selection standards of the AAA and the Human Rights Commission.

The following sets forth the Area Agency's plan to insure that each grantee/contractor under the Area Plan has an affirmative action plan for equal employment opportunity.

Major Action Steps

	<u>Estimated Date of Completion</u>
Step 1. To notify minority groups of subcontracts to be funded in BY 4.	July 1977

Planning and Service Area: #6

Exhibit D-14
Page 2 of 3

Major Action Steps (continued)

- | | | |
|----------|--|--|
| Step 2. | Inform individuals, agencies and organizations of the San Francisco Administrative Code and Section 903.80(c) of Title III in determining affirmative action policies. | September 1977 |
| Step 3. | To offer technical assistance in program development, budgeting, accountability, and proposal writing. | As appropriate & during proposal submission cycles |
| Step 4. | To assess agencies to determine workshops that would assist in grant development. | July 1977 |
| Step 5. | To provide workshops requested in Step #3 | September 1977 & as necessary |
| Step 6. | Advertise and encourage submission of contract applications through correspondence with minority operated or controlled programs. | September 1977 |
| Step 7. | Inform individuals, agencies and organizations of affirmative action policies required for contract applications. | September 1977 |
| Step 8. | Review selection techniques for granting awards to insure compliance with affirmative action guidelines. | September 1977 |
| Step 9. | To make technical assistance available after mailing RFP's for BY 4 sub-contracts. | In month of mailing of RFP's |
| Step 10. | Offer staff assistance, when feasible, to individuals, agencies, or organizations needing technical assistance in determining affirmative action policies in programs. | In month of mailing of RFP's |

Action Plan for Equal Employment Opportunity Relative
to Grants and Contracts under the Area PlanActual/Projected Funds to Achieve Objectives

Title III	\$ <u>5, 500</u>	
Title III Matching Funds	\$ <u>500</u>	
Other Resources	\$ <u>-0-</u>	
Total Estimated Funds		\$ <u>6, 000</u>

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PUBLIC INFORMATION PLAN

Informed decisions are vital to successful programs and the San Francisco Commission on the Aging exercises every possible means of gaining and sharing the information necessary to successful programs.

The COA employs a Public Information Officer who carries part of the responsibility of sharing such information.

The Public Information Officer is responsible for publishing "Senior Scene", at least bi-monthly publication with a current mailing list of 4,000 older San Franciscans and those concerned with them. It is planned that this circulation will be expanded in BY 4. As funds become available, it is planned "Senior Scene" will be distributed to persons participating in the twenty-two Title VII nutrition sites in the city.

The public Information Officer shares -- with members of the Commission, the Advisory Council and with other staff -- responsibility for news media relations. News that concerns the city's senior is spread through daily and weekly newspapers, radio and television, as well as speciality publications (e.g. Pacific Gas and Electric bill inserts, Chamber of Commerce magazine, alternate language publications, etc.)

Under the COA's plan this is truly a shared responsibility. And it is a two-way street. Information is gathered -- and used -- as well as disseminated. Because the COA is an arm of the Mayor's office, its ability to gather and spread information is strengthened.

The COA subscribes to a wide variety of publications -- local, state and national -- in order to gather pertinent information. Commissioners, Advisory Council members, staff, and concerned citizens participate in a wide variety of organizations where information dealing with the elderly is shared.

Several COA staff members have had practical newspaper experience, which strengthens the public information program. Commissioners, Advisory Council members and staff have had a variety of political experiences, which further add to program.

The BY 4 Plan calls for increased use of photography as a means of communication. An example would be a photograph of the Mayor with the holder of the 30,000 "Gold Card" (entitling the senior to a discount at COA-recruited merchants), or the holder of the 15,000 Muni Senior Pass. (bus and streetcar rides for 5 cents for those over 65.)

The COA plans to publish and distribute a minimum of 12 Legislative Bulletins during BY 4. These will deal with local, state and national legislation of concern to seniors.

Public Information Plan - continued
Actual/Projected Funds to Achieve Objective

Title III	<u>\$ 41,551</u>	
Title III Matching Funds	<u>\$ 9,344</u>	
Other Resources	<u>\$ 4,249</u>	(CETA)
 Total Estimated Funds	 <u>\$ 54,144</u>	

This budget page has been included in the Year IV Plan to give you, the reader, a more accurate understanding of the cost for the major activities of the Commission on the Aging during Year IV (July 1, 1977 to June 30, 1978). This information has been generated through the management information of the Commission on the Aging and is based on realistic estimates of the staff time, funds and other resources necessary to complete this task.

This supplement page is designed to be viewed as it relates to this element only of the work program and in some cases, resources identified here may appear in more than one element of the work program. For instance: a part of the resources for pooling that appear in the action plan for pooling also appear in each of the objectives where pooling efforts are indicated. Therefore, the total of the supplementary budget pages exceeds the total dollars available.

Planning and Service Area: #6

Exhibit E-1-A
Page 1 of 2Summary Budget for Area Plan YEAR IV
(7/1/77 thru 6/30/78)

Title III				
Area Agency Program Activity	Funds Requested	Non-Federal Matching Funds	Total Budget	Other Resources
	Max. 75%	Min. 25%		
a. Planning, Administration and Advocacy	\$62,733	\$38,741	\$101,474	
	Max. 90%	Min. 10%		
b. Services under the Area Plan				
1. Coordina- tion of the Delivery of Existing Services	\$63,295	\$21,707	\$ 85,002	
2. Pooling of Existing but Untap- ped Resources	\$82,289	\$13,270	\$ 95,559	
3. Informa- tion and Referral	\$91,229	\$14,783	\$106,012	\$24,649*
4. Outreach				
*5. Transport- ation	\$90,000	\$10,000	\$100,000	
6. Escort				
*7. Home Ser- vices				
*8. Legal and Counseling	\$20,000	\$ 2,223	\$ 22,223	
*9. Resident- ial Repair & Renovation				
Services, Sub- Total	\$346,813	\$61,983	\$408,796	\$24,649

*National
Priority
Services
TOTAL\$110,000

*CETA

Inclusion under Area Plan consistent with State policy

Planning and Service Area: #6

Exhibit E-1-A
Page 2 of 2 PagesSummary Budget for Area Plan YEAR IV
(7/1/77 thru 6/30/78)

<u>Area Agency Program Activity</u>	<u>Title III Funds Requested</u>	<u>Non-Federal Matching Funds</u>	<u>Total Budget</u>	<u>Other Resources</u>
Services under the Area Plan (Continued)				
10. I&R Contract	\$ 8,500	\$ 945	\$ 9,445	
11. Health Maint.	\$ 26,000	\$ 2,889	\$ 28,889	
12. Peer Counsel.	\$ 16,000	\$ 1,778	\$ 17,778	
13. Training	\$ 34,614	\$ -----	\$ 34,614	\$ 18,205*
Services Total	\$431,927	\$ 67,595	\$499,522	\$ 42,854
GRAND TOTAL	\$494,660	\$106,336	\$600,996	\$ 42,854

Amount * of Title III
from
preceding Budget Year
Included in the Grand
Total \$ - 0 -

*Title IVA

*Estimated Actual

COMMISSION ON THE SEINE
 YEAR IV PLAN
 SCHEDULE OF SUB-CONTRACTS BUDGET
 (July 1, 1977 THRU June 30, 1978)

Schedule 3

CONTRACT CATEGORY	TITLE III	CITY MATCH	TOTAL
Transportation	\$ 90,000	\$ 10,000	\$ 100,000
Legal Services	20,000	2,223	22,223
I & R Contract	8,500	945	9,445
Health Maintenance	26,000	2,889	28,889
Peer Counseling	16,000	1,778	17,778
TOTALS	\$ 160,500	17,835	178,335

COMMISSION ON THE AGING
YEAR IV PLAN
SCHEDULE OF PERSONNEL COSTS
(July 1, 1977 THRU June 30, 1978)

Schedule 1
Exhibit E-1-A

POSITION	TITLE III	CITY MATCH	TOTAL
Executive Director	—	\$ 21,528	\$ 21,528
Assistant to Director (I & R)	—	16,011	16,011
Secretary to Commission	—	13,937	13,937
Clerk/Steno	—	9,683	9,683
Administrative Assistant - COA	\$ 18,035		18,035
Specialist in Aging III	16,457		16,457
Specialist in Aging II	14,655		14,655
Specialist in Aging II	14,668		14,668
Specialist in Aging II	14,668		14,668
Specialist in Aging I	12,411		12,411
Specialist in Aging I	12,455		12,455
Information Specialist	13,108		13,108
Accountant	12,423		12,423
Account Clerk	9,398		9,398
Sr. Clerk/Steno	10,327		10,327
Clerk/Steno	9,631		9,631
Clerk/Typist	9,206		9,206
Transcriber	9,511		9,511
Community Workers (4.5 FTE)	40,450		40,450
TOTAL SALARY	\$ 217,403	\$ 61,159	\$278,562
MANDATORY FRINGE BENEFITS	51,599	15,823	67,422
TOTAL PERSONNEL	269,002	76,982	\$ 345,984

Footnote: At the time that this Budget was prepared, the Board of Supervisors had not approved a Salary Standardization Ordinance for FY 77-78. In order to anticipate any changes we have prepared this budget with 1 step increase in each class. This will require change after a salary plan is approved.

Exhibit E-1-A

COMMISSION ON THE AGING
 YEAR IV PLAN
 SCHEDULE OF SUPPORT COSTS BUDGET
 (July 30, 1977 THRU June 30, 1978)

Schedule 2

ITEM	TWYLA ILLI	CITY SPRING	TOTAL
Travel	\$ 4,000	\$ 200	\$ 4,200
Equipment	3,900	-	3,900
Supplies	12,600	-	12,600
Rent	15,420	7,071	22,491
Telephone	9,000	-	9,000
Maintenance of Equipment	1,200	-	1,200
Printing & Duplicating	8,000	4,148	12,148
Postage	9,000	-	9,000
Membership & Resources	1,500	-	1,500
Other	1,138	100	1,238
TOTALS	\$65,153	\$11,519	\$ 76,672

SCHEDULE OF SUBCONTRACTS

Provide the following information for each subcontract which will earn Title III funds during the Budget Year of this Area Plan.

SERVICE PROVIDED (By Service Category)	PROJECT NAME or PROJECT SERVICE AREA	DATE* SUBCONTRACT FULLY SIGNED Mo/Day/Yr	SUBCONTRACT GRANT PERIOD Mo/Day/Yr to Mo/Day/Yr	AMOUNT OF SUBCONTRACT TITLE III FUNDS
Transportation	Canon Kip *	3/1/78	4/16/78 to 4/15/79	\$ 90,000
Legal Counseling	To Be Determined	11/1/77	12/1/77 to 11/30/78	\$ 20,000
I & R Contract	Suicide Prevention *	7/1/77	7/1/77 to 6/30/78	\$ 8,500
Health Maintenance	To Be Determined	12/1/77	1/1/78 to 12/31/78	\$ 26,000
Peer Counseling	To Be Determined	12/1/77	1/1/78 to 12/31/78	\$ 16,000

* If subcontract is not yet fully signed, indicate by placing the anticipated date in parentheses, e.g. (7/15/77)

Planning and Service Area:

Exhibit E-2
Supplement A
Page 1 of 3

Summary Budget for Administration, Planning, and Advocacy

Budget Category	Title III Funds Requested	Non-Federal Matching Funds		Total Budget	Other Resources <u>1/</u>
		Cash	In-kind		
1. Personnel (Position in Ex. B-2)	\$	\$	\$	\$	\$
See attached list	43,176	30,580		73,756	
Fringe Benefits	10,774	8,061		18,835	
2. Travel (Itemize):					
	540			540	
Equipment & Supplies (Itemize):					
Equipment	527			527	
Supplies	1,620			1,620	
Other (Itemize):					
See attached list	6,096	100		6,196	
Total Direct Costs:	62,733	38,741		101,474	
Total Indirect Costs: () %	NA	NA	-0-	NA	
Total Costs:	\$ 62,733	\$ 38,741	\$	\$ 101,474	\$

Excludes Title III and non-Federal machine funds.

Planning and Service Area: # 6

Exhibit E-2
Supplement A
Page 2 of 3Administration Planning Advocacy

<u>PERSONNEL :</u>	<u>TITLE III</u>	<u>CITY MATCH</u>	<u>TOTAL</u>
Executive Director	\$	\$ 10,764	\$ 10,764
Assistant to Director		8,006	8,006
Secretary to Commission		6,969	6,969
Clerk Steno		4,841	4,841
Deputy Director	7,214		7,214
Specialist in Aging II	8,801		8,801
Specialist in Aging I	7,446		7,446
Accountant	6,211		6,211
Account Clerk	4,699		4,699
Sr. Clerk Steno	3,098		3,098
Transcriber Typist	5,707		5,707
<u>TOTAL:</u>	<u>43,176</u>	<u>30,580</u>	<u>73,756</u>
<u>FRINGE:</u>	<u>10,774</u>	<u>8,061</u>	<u>18,835</u>
<u>TOTAL:</u>	<u>\$ 53,950</u>	<u>38,641</u>	<u>92,591</u>

Planning and Service Area: # 6

Exhibit E-2
Supplement B
Page 3 of 3A ACTIVITYOTHER:

<u>CATEGORY:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Rent	\$ 2,082	\$	\$ 2,082
Telephone	1,215		1,215
Maintenance of Equipment	162		162
Printing and Duplicating	1,080		1,080
Postage	1,215		1,215
Memberships & Resources	202		202
Other	140	100	240
	<u>6,096</u>	<u>100</u>	<u>6,196</u>

Planning and Service Area:

Exhibit B-2
Supplement P

Summary Budget for Coordination of the Delivery of Existing Services

Budget Category	Title III Funds Requested	Non-Federal Matching Funds		Total Budget	Other Resource
		Cash	In-kind		
1. Personnel (Position in Ex. B-2) See attached list	\$ 39,977	\$ 15,290	\$	\$ 55,267	\$
Fringe Benefits	9,786	3,881		13,667	
2. Travel (Itemize): 832	832			832	
3. Equipment & Supplies (Itemize): Equipment Supplies	811 2,496			811 2,496	
4. Other (Itemize): See attached list	9,393	2,536		11,929	
5. Total Direct Costs:	63,295	21,707		85,002	
6. Total Indirect Costs: () %	NA	NA	-0-	NA	
7. Total Costs:	\$ 63,295	\$ 21,707	\$	\$ 85,002	\$

1/ Excludes Title III and non-Federal matching funds.

Planning and Service Area: # 6

Exhibit E-3a
Supplement A
Page 2 of 3

COORDINATION OF DELIVERY OF EXISTING SERVICES

<u>PERSONNEL:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Executive Director	\$	\$ 5,382	\$ 5,382
Assistant to Director		4,003	4,003
Secretary to Commission		3,484	3,484
Clerk Steno		2,421	2,421
Deputy Director	3,606		3,606
Specialist in Aging III	7,405		7,405
Specialist in Aging II	8,793		8,793
Specialist in Aging I	2,482		2,482
Specialist in Aging I	2,491		2,491
Accountant	2,485		2,485
Account Clerk	1,880		1,880
Sr. Clerk Steno	4,130		4,130
Clerk Steno	3,853		3,853
Transcriber Typist	2,852		2,852
<u>TOTAL:</u>	<u>39,977</u>	<u>15,290</u>	<u>55,267</u>
<u>FRINGE:</u>	9,786	3,881	13,667
<u>TOTAL:</u>	<u>\$ 49,763</u>	<u>\$ 19,171</u>	<u>\$ 68,934</u>

Planning and Service Area: #6

Exhibit E-3a
Supplement B
Page 3 of 3B ACTIVITYOTHER:

<u>CATEGORY:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Rent	\$ 3,207		\$ 4,743
Telephone	1,872	\$ 1,536	1,872
Maintenance of Equipment	250		250
Printing and Duplicating	1,664	1,000	2,664
Postage	1,872		1,872
Memberships & Resources	312		312
Other	216		216
<u>TOTAL:</u>	<u>\$ 9,393</u>	<u>\$ 2,536</u>	<u>\$ 11,929</u>

Summary Budget for Pooling Untapped Resources

199-

Budget Category	Title III Funds Requested	Non-Federal Matching Funds		Total Budget	Other Resources
		Cash	In-kind		
1. Personnel (Position in Ex. B-2) See attached list	\$ 51,428	\$ 8,562	\$	\$ 59,990	\$
Fringe Benefits	12,645	2,173		14,818	
2. Travel (Itemize):	1,120			1,120	
3. Equipment & Supplies (Itemize):					
Equipment	1,091			1,091	
Supplies	3,360			3,360	
4. Other (Itemize):					
See attached list	12,645	2,535		15,180	
5. Total Direct Costs:	82,289	13,270		95,559	
Total Indirect Costs: ()	NA	NA	-0-	NA	
6. Total Costs: 58	\$ 82,289	\$ 13,270	\$	\$ 95,559	\$

Planning and Service Area: # 6

Exhibit E-3 B
Supplement A
Page 2 of 3POOLING OF UNTAPPED RESOURCES

<u>PERSONNEL:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Executive Director	\$	\$ 3,014	\$ 3,014
Assistant to Director		2,241	2,241
Secretary to Commission		1,951	1,951
Deputy Director	7,214		7,214
Specialist in Aging III	7,405		7,405
Specialist in Aging II	5,862		5,862
Specialist in Aging II	4,400		4,400
Specialist in Aging I	2,483		2,483
Specialist in Aging I	9,964		9,964
Accountant	2,485		2,485
Account Clerk	2,820		2,820
Sr. Clerk Steno	2,065		2,065
Clerk Steno	5,778		5,778
Transcriber Typist	952		952
Clerk Steno		1,356	1,356
<u>TOTAL:</u>	<u>51,428</u>	<u>8,562</u>	<u>59,990</u>
<u>FRINGE:</u>	12,645	2,173	14,818
<u>TOTAL:</u>	<u>\$ 64,073</u>	<u>\$ 10,735</u>	<u>\$ 74,808</u>

Planning and Service Area: # 6

Exhibit E-3 b
Supplement B
Page 3 of 3C. ACTIVITYOTHER:

<u>CATEGORY:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Rent	\$ 4,318	\$ 1,535	\$ 5,853
Telephone	2,520		2,520
Maintenance of Equipment	336		336
Printing and Duplicating	2,240	1,000	3,240
Postage	2,520		2,520
Memberships & Resources	420		420
Other	<u>291</u>	<u> </u>	<u>291</u>
TOTALS:	<u>\$ 12,645</u>	<u>\$ 2,535</u>	<u>\$ 15,180</u>

Planning and Service Area: # 6

Exhibit E-1
Page 1 of 1

Summary Budget for Other Services under the Area Plan

Line Category	Information and Referral	Information and Referral Contract	Transportation	Training
1 Personnel:	\$ 81,838	\$	\$	\$ 27,813
2 Travel:	1,296			412
3 Equipment and Supplies:	4,357			1,638
4 Other:	18,521	9,445	100,000	4,751
Total Direct Costs:	106,012	9,445	100,000	34,614
Indirect Costs: (_____ %)	NA	NA	NA	NA
Total Costs (Sum of Lines 5 and 6):	106,012	9,445	100,000	34,614
Title III Funds Requested:	91,229	8,500	90,000	34,614
Non-Federal Matching Funds:	14,783	945	10,000	-0-
Total Budget (Sum of Lines 8 and 9):	\$ 106,012	\$ 9,445	\$ 100,000	\$ 34,614
Other Resources: <u>1/</u>	\$ 24,649	\$ -0-	\$ -0-	\$ 18,205

Includes Title III and non-Federal matching funds

Planning and Service Area: # 6

Exhibit E-4
Supplement A
Page 2 of 6INFORMATION AND REFERRAL

<u>PERSONNEL:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Executive Director	\$	\$ 2,368	\$ 2,368
Assistant to Director		1,761	1,761
Secretary to Commission		1,533	1,533
Clerk Steno		1,065	1,065
Public Information Officer	10,487		10,487
Community Worker	4,564		4,564
Community Worker	9,129		9,129
Community Worker	4,511		4,511
Community Worker	4,564		4,564
Community Worker	4,146		4,146
Community Worker	4,146		4,146
Community Worker	4,695		4,695
Community Worker	4,695		4,695
Clerk Typist	<u>9,207</u>		<u>9,207</u>
TOTAL SALARIES:	<u>60,144</u>	<u>6,727</u>	<u>66,871</u>
FRINGE:	13,259	1,708	14,967
TOTAL:	<u>\$ 73,403</u>	<u>\$ 8,435</u>	<u>\$ 81,838</u>

Planning and Service Area: # 6

Exhibit E-4
Supplement B
Page 3 of 6INFORMATION AND REFERRALOTHER:

<u>CATEGORY:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Rent	\$ 4,225	\$ 4,000	\$ 8,225
Telephone	2,466		2,466
Maintenance of Equipment	329		329
Printing and Duplicating	2,192	2,148	4,340
Postage	2,466		2,466
Memberships and Resources	411		411
Other	<u>284</u>	<u> </u>	<u>284</u>
TOTAL:	<u>\$ 12,373</u>	<u>\$ 6,148</u>	<u>\$ 18,521</u>

Planning and Service Area: # 6

Exhibit E-4
Supplement C
Page 4 Of 6TRAINING

<u>PERSONNEL:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Specialist in Aging III	\$ 1,646	\$	\$ 1,646
Specialist in Aging II	14,668		14,668
Specialist in Aging II	1,467		1,467
Public Information Officer	2,621		2,621
Accountant	1,242		1,242
Sr. Clerk Stenographer	<u>1,034</u>	<u> </u>	<u>1,034</u>
 SALARIES:	<u>22,678</u>	 -0-	<u>22,678</u>
FRINGE:	5,135	-0-	5,135
TOTAL:	<u>\$ 27,813</u>	<u>\$ -0-</u>	<u>\$ 27,813</u>

Planning and Service Area: # 6

Exhibit E-4
 Supplement D
 Page 5 of 6

TRAINING

OTHER:

<u>CATEGORY:</u>	<u>TITLE III:</u>	<u>CITY MATCH:</u>	<u>TOTAL:</u>
Rent	\$ 1,588	\$	\$ 1,588
Telephone	927		927
Maintenance of Equipment	123		123
Printing and Duplicating	824		824
Postage	927		927
Memberships & Resources	155		155
Other	<u>207</u>		<u>207</u>
TOTAL:	<u>\$ 4,751</u>	<u>\$ -0-</u>	<u>\$ 4,751</u>

Planning and Service Area: # 6

Exhibit E-4
Page 6 of 6

Summary Budget for Other Services under the Area Plan

Budget Category	Legal and Counseling	Health Maintenance	Peer Counseling	
1. Personnel:	\$	\$	\$	\$
2. Travel:				
3. Equipment and Supplies:				
4. Other:	22,223	28,889	17,778	
5. Total Direct Costs:	22,223	28,889	17,778	
6. Indirect Costs: ()	NA	NA	NA	
7. Total Costs (Sum of lines 5 and 6):	22,223	28,889	17,778	
8. Title III Funds Requested:	20,000	26,000	16,000	
9. Non-Federal Matching Funds:	2,223	2,889	1,778	
10. Total Budget (Sum of lines 8 and 9):	\$ 22,223	\$ 28,889	\$ 17,778	\$
11. Other Resources: 1/	\$	\$	\$	\$

Planning and Service Area: # 6

Exhibit E-5

Page 1 of 1

Resources Other Than Title III
Included in the Area Plan

The following sets forth the source of resources which are included under the Area Plan, other than Title III funds and non-Federal matching funds.			
Sources of Resources (Indicate if cash or in-kind)	Amount		Purpose for which funds are to be used under Area Plan
	Anticipated to be Received	Currently Available	
Comprehensive Employment Training Act (CETA)		\$ 24,649.00	Employment of 4.0 FTE CETA employees assigned to I & R services
Title IVa Funds	\$ 18,205.00		Continued training for staff, Commission, Advisory Council, current service providers, potential service providers and agencies

1050

Exhibit No. 31

City College of San Francisco

Dr. Kenneth S. Washington
President

July 1, 1977

Dr. Stephen Horn, Chairman
United States Commission on Civil Rights
Age Discrimination Study
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

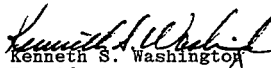
Dear Dr. Horn: Re: Requested Lists of Enrollment
Based on Age for the Previous
Five Years

Attached are the lists you requested during the
hearing on June 28th.

You will note that from Fall 1972 through Fall
1974 the last age group is "35 and Over". Fall 1975
and Fall 1976 statistics include "65 and Over".
Also, Fall 1972 and 1973 do not show grand totals--
the Day and Extended Day totals are calculated separately.

If Ms. Ness or I can be of any further assistance,
please do not hesitate to contact us.

Sincerely,


Kenneth S. Washington
President

KSW:ep

received
JUL 2 2 1977



60 Phelan Avenue
San Francisco, California 94112
(415) 239-3303

Member—San Francisco Community College District
Governing Board:

Peter M. Finnegan, *President* • Doris M. Ward, *Vice President* • Ernest Ayala
Robert E. Burton • John Yehall Chin • Reynold H. Colvin • John Riordan

CALIFORNIA COMMUNITY COLLEGES
ACTIVE GRADED ENROLLMENT
PART 1A

FALL 1972

2 ND YEAR OF ENROLLMENT AND SEX	DAY GRADED BY CLASS, AGE, AND SEX							EXTENDED DAY GRADED BY CLASS, AGE, AND SEX						
	DAY TOTAL	FULL TIME			PART TIME			EXT. DAY TOTAL	FULL TIME			PART TIME		
		FRESHMAN	SOPHOMORE	OTHER	FRESHMAN	SOPHOMORE	OTHER		FRESHMAN	SOPHOMORE	OTHER	FRESHMAN	SOPHOMORE	OTHER
TOTAL MALE	8,330	3,510	1,679	99	2,089	771	182	2,899	25	5	3	1,732	564	570
TOTAL FEMALE	6,100	3,631	1,118	47	1,556	656	89	3,019	3	2	0	2,011	543	461
LIDER 17 (12/19/1955) MALE	6	263	1				1	202				1	5	93
LIDER 17 (12/19/1955) FEMALE	4	3					1							
17 (1955) MALE	125	97			28			4				4		
17 (1955) FEMALE	200	138			62			10				10		
18 (1956) MALE	1,127	840	17		267	3		35	2			33		
18 (1956) FEMALE	1,161	849	19		290	3		65	1			64		
19 (1955) MALE	1,317	779	205	1	309	22	1	49	1	1		47		
19 (1955) FEMALE	1,215	687	220		274	33	1	118				105	13	
20 (1956) MALE	997	341	371		164	120	1	78	2			61	15	
20 (1956) FEMALE	915	255	336		159	164	2	150				112	36	2
21 (1957) MALE	722	179	209	1	175	151	7	87				64	20	3
21 (1957) FEMALE	506	136	172	4	109	91	3	164	1			105	48	10
22 (1958) MALE	526	154	123	4	157	80	18	142	3			85	42	12
22 (1958) FEMALE	322	101	75	3	77	62	4	224				148	53	23
23 (1949) MALE	530	181	109	7	160	58	15	141	4	1		88	30	18
23 (1949) FEMALE	281	82	49	5	88	47	10	238		1		132	48	57
24 (1948) MALE	609	210	133	9	177	59	21	176		1		102	38	35
24 (1948) FEMALE	223	67	40	7	62	41	6	197				106	49	42
25 TO 29 1-31-1947 MALE	1,627	510	363	19	467	207	62	812	10	1		454	176	171
25 TO 29 1-31-1947 FEMALE	595	173	91	16	184	102	28	731				451	121	120
30 TO 34 1-31-1942 MALE	440	136	99	20	112	41	32	463	2	1	3	244	94	119
30 TO 34 1-31-1942 FEMALE	274	69	46	5	102	44	8	379				244	67	68
35 TO 39 1-31-1939 MALE	298	83	59	38	72	30	25	910	1			549	148	212
35 TO 39 1-31-1939 FEMALE	403	73	70	7	157	69	27	737	1	1		534	108	93

THIS PAGE REQUIRED ON FALL REPORT ONLY

City College of San Francisco
COLLEGE

38 5 0922
CODE NO.

San Francisco Community College
DISTRICT

73536
CODE NO.

CALIFORNIA COMMUNITY COLLEGES
ENROLLMENT - ACTIVE GRADED
PART 1A

FALL 1973

AGE (OR YEAR OF BIRTH) AND SEX	DAY GRADED BY CLASS AGE AND SEX								EXTENDED DAY GRADED BY CLASS, AGE, AND SEX							
	DAY TOTAL	FULL TIME			PART TIME			EXT. DAY TOTAL	FULL TIME			PART TIME				
		FRESHMAN	SOPHOMORE	OTHER	FRESHMAN	SOPHOMORE	OTHER		FRESHMAN	SOPHOMORE	OTHER	FRESHMAN	SOPHOMORE	OTHER		
TOTAL	8262	3450	1629	91	2138	810	144	3637	43	23	10	2126	730	705		
ALL AGES	6209	2664	1105	63	1573	660	124	4045	11	1	1	2639	677	716		
UNDER 17	2	1	0	0	1	0	0	1	0	0	0	1	0	0		
(14-16-1956)	10	2	1	0	7	0	0	2	0	0	0	2	0	0		
17 (1956)	183	145	0	0	38	0	0	9	0	0	0	9	0	0		
	211	148	1	0	62	0	0	14	0	0	0	14	0	0		
18 (1955)	1095	775	18	1	207	3	1	66	0	0	0	66	0	0		
	1180	873	27	1	276	3	0	113	2	0	0	111	0	0		
19 (1954)	1295	916	175	0	272	30	3	86	1	0	0	81	4	0		
	1181	665	218	1	261	36	0	146	4	0	0	132	9	1		
20 (1953)	1056	331	381	0	219	125	0	122	3	0	0	100	17	2		
	851	264	315	0	138	134	0	190	0	0	1	145	40	4		
21 (1952)	653	168	214	4	145	118	4	146	1	0	0	107	34	4		
	500	145	149	2	86	115	3	212	1	1	0	147	52	11		
22 (1951)	517	143	107	5	178	76	8	144	1	1	1	91	32	18		
	335	103	79	5	74	67	7	232	0	0	0	141	59	32		
23 (1950)	506	164	86	4	158	82	12	182	1	1	1	98	52	29		
	285	92	46	6	75	52	14	274	1	0	0	150	58	65		
24 (1949)	494	170	83	9	161	61	10	209	3	1	0	111	47	47		
	228	65	41	8	65	35	13	307	0	0	0	166	60	81		
25 TO 29	1730	480	393	36	547	208	66	1040	15	6	3	546	243	227		
(1945-1946)	713	192	118	26	238	97	42	1029	1	0	0	561	195	272		
30 TO 34	472	149	106	18	116	64	19	600	4	6	1	321	108	160		
(1939-1944)	291	67	55	6	102	47	14	562	1	0	0	370	71	120		
35 AND OVER	348	108	66	14	96	43	21	1032	14	8	4	595	193	218		
(prior 1939)	424	68	55	8	189	73	31	964	1	0	0	700	133	130		

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City College of San Francisco

College 38 5 0922

CODE NO.

San Francisco Community

College District

73536

CODE NO.

PART IA. ACTIVE ENROLLMENT IN GRADED COURSES BY AGE AND SEX

FALL 1974

AGE OR YEAR OF BIRTH AND SEX	GRAND TOTAL	DAY GRADED BY CLASS, AGE, AND SEX						EXTENDED DAY GRADED BY CLASS, AGE, AND SEX							
		DAY TOTAL	FULL-TIME			PART-TIME			EXTENDED DAY TOTAL	FULL-TIME			PART-TIME		
			FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS		FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS
TOTAL	10863	7837	3824	604	82	2477	606	244	3026	99	29	10	1759	639	490
ALL AGES	10863	7837	3824	604	82	2477	606	244	3026	99	29	10	1759	639	490
MALE	5991	4051	2006	332	78	1893	490	215	3377	62	15	5	2246	592	457
FEMALE	20454	14051	7030	936	160	4370	1096	459	6403	161	44	15	4005	1231	957
UNDER 13 (1960-1965)	214	206	161			45			8				8		
MALE	277	263	179	1		83			14				14		
FEMALE	491	469	340	1		128			22				22		
TOTAL	1162	1107	859	7		239	2		55				55		
13 (1956)	1491	1386	1043	8	1	332	2		105	10			95		
MALE	2853	2493	1902	15	1	571	4		160	10			150		
FEMALE	1384	1312	905	65		315	23	4	72	2			67	3	
TOTAL	2728	2801	1690	130	1	629	50	4	215	24			179	11	1
14 (1954)	963	856	367	141		254	94		107	7		1	83	15	1
MALE	836	676	316	95		165	99		160				123	35	2
FEMALE	1790	1532	683	236		420	193		267	7		1	206	50	3
TOTAL	654	531	185	79	4	168	88	7	123	2			88	30	3
15 (1952)	509	415	173	45	3	104	85	5	184	6	1		125	45	7
MALE	1253	946	358	124	7	272	173	12	307	8	1		213	75	10
FEMALE	599	480	159	40	4	206	57	14	119	2	1		75	26	13
TOTAL	498	304	123	24	6	89	50	12	194	2	1	1	120	52	20
16 (1951)	1097	784	282	64	10	295	107	26	313	2	2	1	195	80	33
MALE	633	463	192	32	4	163	61	21	150	2	1	1	81	45	20
FEMALE	511	284	110	14	7	90	39	24	227	6	1		128	51	11
TOTAL	1144	767	292	46	11	273	100	45	377	8	2	1	209	96	61
17 (1950)	650	477	189	31	7	187	46	17	173	7	1		92	41	32
MALE	475	226	78	12	10	78	27	23	247	1	1	1	141	52	52
FEMALE	1125	795	267	43	17	265	73	40	420	7	2	1	233	93	84
TOTAL	2487	1618	533	146	34	634	156	115	869	35	8	3	452	213	158
18 to 22 (1944-1949)	1560	727	229	35	32	266	72	73	823	6	3	1	478	171	174
MALE	4047	2345	762	161	66	920	228	188	1702	41	11	4	930	384	332
FEMALE	924	434	165	39	17	135	47	31	490	9	8	1	266	95	111
TOTAL	750	287	80	17	8	123	35	24	463	6	3		315	62	77
19 to 24 (1930-1944)	1674	721	245	56	25	258	82	55	953	15	11	1	581	157	188
MALE	1193	333	119	24	12	111	32	35	860	33	10	4	492	169	152
FEMALE	1250	443	81	16	10	228	54	34	807	6	5	2	595	116	83
TOTAL	2443	776	280	40	22	339	86	89	1667	39	15	6	1087	285	235

*Must agree with TOTAL of A, B, and C on page 1 (Grand Total Column).

PART IA. ACTIVE ENROLLMENT IN GRADED COURSES BY AGE AND SEX - Con.

FALL 1975

AGE AND SEX	GRAND TOTAL	DAY GRADED BY CLASS, AGE, AND SEX									EXTENDED DAY GRADED BY CLASS, AGE, AND SEX								
		DAY TOTAL	FULL-TIME			PART-TIME			EXTENDED DAY TOTAL	FULL-TIME			PART-TIME						
			FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS		FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS				
ALL AGES	13,093	8,638	3,184	1,666	96	2,585	916	191	4,458	153	85	5	2,792	1,053	367				
MALE	12,882	7,560	2,827	1,310	77	2,281	782	283	5,322	40	8	1	3,808	1,014	451				
FEMALE	25,975	16,198	6,011	2,976	173	4,866	1,698	474	9,777	193	93	6	6,600	2,067	818				
13-15	498	474	328	4	0	142	0	0	22	0	0	0	22	0	0				
MALE	604	567	413	2	0	152	0	0	37	3	0	0	34	0	0				
FEMALE	1,100	1,041	741	6	0	294	0	0	59	3	0	0	56	0	0				
TOTAL	1,600	1,198	799	92	0	302	5	0	402	7	0	0	393	2	0				
16	1,754	1,314	851	130	0	324	9	0	440	12	0	0	425	3	0				
MALE	3,354	2,512	1,650	222	0	626	14	0	842	19	0	0	818	5	0				
FEMALE	1,312	1,198	515	304	1	317	60	1	114	2	0	0	84	27	1				
TOTAL	1,359	1,157	484	358	3	231	77	4	202	2	0	0	160	39	1				
17	2,671	2,355	999	662	4	548	137	5	316	4	0	0	244	66	2				
MALE	1,061	939	242	325	7	219	128	11	122	4	1	0	85	18	14				
FEMALE	1,031	799	200	274	8	180	122	15	232	3	1	0	169	31	28				
TOTAL	2,092	1,738	449	599	15	399	250	26	354	7	2	0	254	49	42				
18	818	655	155	176	9	179	122	14	163	4	1	1	109	42	6				
MALE	742	485	125	124	6	120	91	19	257	2	1	1	176	52	25				
FEMALE	1,560	1,140	280	300	15	299	213	33	420	6	2	2	285	94	31				
TOTAL	697	533	132	108	9	189	85	10	164	4	0	0	121	26	13				
19	600	357	100	56	5	115	59	22	243	2	0	0	165	48	28				
MALE	1,297	890	232	164	14	304	144	32	407	6	0	0	286	74	41				
FEMALE	667	480	139	95	3	168	60	15	187	4	2	1	116	47	17				
TOTAL	627	355	94	45	4	116	60	36	272	0	2	0	169	75	26				
20	1,294	835	233	140	7	284	120	51	459	4	4	1	285	122	43				
MALE	671	458	131	74	12	156	72	13	213	5	0	0	124	59	25				
FEMALE	578	275	79	42	7	89	37	21	303	0	1	0	192	60	50				
TOTAL	1,249	733	210	116	19	245	109	34	516	5	1	0	316	119	75				
21	3,154	1,813	468	333	35	632	282	63	1,341	45	21	0	745	375	155				
MALE	2,780	1,222	318	179	26	444	172	83	1,558	5	3	0	978	387	185				
FEMALE	5,934	3,035	786	512	61	1,076	454	146	2,899	50	24	0	1,723	762	340				
TOTAL	1,103	442	143	75	10	130	54	30	661	34	13	1	374	182	57				
22	1,015	422	91	52	8	183	56	32	593	2	0	0	428	110	53				
TOTAL	2,118	864	234	127	18	313	110	62	1,254	36	13	1	802	292	110				
23	620	228	67	45	5	72	25	14	392	27	27	1	203	111	23				
MALE	592	207	25	27	2	98	38	17	388	6	0	0	281	76	22				
FEMALE	1,212	435	92	72	7	170	63	31	777	33	27	1	484	187	45				

1st figure with TOTAL of A, B, and C on page 1 (Grand Total column).

PART IA. ACTIVE ENROLLMENT IN GRADED COURSES BY AGE AND SEX - Con.

AGE AND SEX		GRAND TOTAL	DAY GRADED BY CLASS, AGE, AND SEX						EXTENDED DAY GRADED BY CLASS, AGE, AND SEX							
			DAY TOTAL	FULL-TIME			PART-TIME			EXTENDED DAY TOTAL	FULL-TIME			PART-TIME		
				FRESH-MEN	SOPHO-MORES	ALL OTHERS	FRESH-MEN	SOPHO-MORES	ALL OTHERS		FRESH-MEN	SOPHO-MORES	ALL OTHERS	FRESH-MEN	SOPHO-MORES	ALL OTHERS
40 thru 44	MALE	349	101	29	21	1	29	11	10	248	7	8	1	138	63	31
	FEMALE	409	132	21	9	4	69	20	9	277	0	0	0	217	50	10
	TOTAL	758	233	50	30	5	98	31	19	525	7	8	1	355	113	41
45 thru 49	MALE	219	46	14	7	0	17	6	2	173	8	6	0	109	41	9
	FEMALE	362	118	13	5	2	66	20	12	244	1	0	0	189	38	16
	TOTAL	581	164	27	12	2	83	26	14	417	9	6	0	298	79	25
50 thru 54	MALE	175	33	9	4	1	13	5	1	142	2	2	0	92	39	7
	FEMALE	211	64	6	4	2	35	9	8	147	2	0	0	122	20	3
	TOTAL	386	97	15	8	3	48	14	9	289	4	2	0	214	59	10
55 thru 59	MALE	77	13	1	1	2	6	0	3	64	0	2	0	39	15	8
	FEMALE	128	42	3	3	0	27	6	3	86	0	0	0	65	18	3
	TOTAL	205	55	4	4	2	33	6	6	150	0	2	0	104	33	11
60 thru 64	MALE	48	17	3	1	1	9	0	3	31	0	1	0	24	5	1
	FEMALE	60	23	2	0	0	16	4	1	37	0	0	0	30	6	1
	TOTAL	108	40	5	1	1	25	4	4	68	0	1	0	54	11	2
65 and over	MALE	26	10	2	1	0	5	1	1	16	0	1	0	14	1	0
	FEMALE	30	21	2	0	0	16	2	1	9	0	0	0	8	1	0
	TOTAL	56	31	4	1	0	21	3	2	25	0	1	0	22	2	0

PART IA. ACTIVE ENROLLMENT IN CREDIT COURSES BY AGE AND SEX - Con.

FALL 1976

AGE AND SEX		GRAND TOTAL	DAY CREDIT COURSES BY CLASS, AGE, AND SEX									EXTENDED DAY CREDIT COURSES BY CLASS, AGE, AND SEX					
			DAY TOTAL	FULL-TIME			PART-TIME			EXTENDED DAY TOTAL	FULL-TIME			PART-TIME			
				FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS		FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS	
TOTAL	MALE	12,649 *	8,425	3,249	1,490	156	2,364	893	273	4,224	84	39	5	2,327	1,058	711	
	FEMALE	12,849 *	7,598	2,884	1,366	126	2,094	742	386	5,251	54	10	5	3,384	922	806	
ALL AGES	TOTAL	25,498 *	16,023	6,133	2,856	282	4,458	1,635	659	9,475	138	49	10	5,711	2,050	1,517	
Under 18	MALE	483	469	311	3	0	155	0	0	14	0	0	0	12	0	2	
	FEMALE	573	549	397	10	0	140	1	1	24	0	0	0	23	1	0	
	TOTAL	1,056	1,018	708	13	0	295	1	1	38	0	0	0	35	1	2	
18	MALE	1,358	1,251	813	69	0	361	8	0	107	2	0	0	104	1	0	
	FEMALE	1,343	1,201	766	103	1	320	10	1	142	3	1	0	137	1	0	
	TOTAL	2,701	2,452	1,579	172	1	681	18	1	249	5	1	0	241	2	0	
19	MALE	1,268	1,172	522	297	5	284	61	3	96	4	1	0	80	10	1	
	FEMALE	1,373	1,204	527	354	1	253	65	4	169	8	0	0	137	23	1	
	TOTAL	2,641	2,376	1,049	651	6	537	126	7	265	12	1	0	217	33	2	
20	MALE	1,050	940	291	317	8	199	117	8	110	5	0	0	83	20	2	
	FEMALE	981	765	211	277	9	132	120	16	216	3	1	0	159	41	12	
	TOTAL	2,031	1,705	502	594	17	331	237	24	326	8	1	0	242	61	14	
21	MALE	864	729	207	172	14	184	125	27	135	3	0	1	91	28	12	
	FEMALE	755	497	150	130	10	116	76	15	258	2	0	0	190	38	28	
	TOTAL	1,619	1,226	357	302	24	300	201	42	393	5	0	1	281	66	40	
22	MALE	695	526	171	91	11	160	77	16	169	5	1	0	110	35	18	
	FEMALE	574	370	132	74	5	85	45	29	304	3	0	0	194	64	43	
	TOTAL	1,369	896	303	165	16	245	122	45	473	8	1	0	304	99	61	
23	MALE	664	449	146	76	12	129	67	19	215	5	0	0	127	49	34	
	FEMALE	604	287	75	46	12	90	41	23	317	1	1	1	186	65	63	
	TOTAL	1,268	736	221	122	24	219	108	42	532	6	1	1	313	114	97	
24	MALE	693	455	145	64	20	138	64	24	238	10	0	0	141	54	33	
	FEMALE	678	321	94	47	9	98	38	35	357	3	0	0	195	84	75	
	TOTAL	1,371	776	239	111	29	236	102	59	595	13	0	0	336	138	108	
25 thru 29	MALE	3,188	1,714	467	280	53	537	258	109	1,474	25	19	3	702	392	333	
	FEMALE	2,927	1,305	325	209	49	412	168	142	1,622	17	3	2	898	334	368	
	TOTAL	6,115	3,019	792	489	102	949	436	251	3,096	42	22	5	1,600	726	701	
30 thru 34	MALE	1,050	395	97	72	21	110	58	37	655	9	7	0	326	196	117	
	FEMALE	1,132	460	106	56	19	161	69	49	672	5	1	1	434	123	109	
	TOTAL	2,182	855	203	128	40	271	127	86	1,327	14	8	1	760	319	225	
35 thru 39	MALE	533	154	39	23	8	46	28	10	379	5	3	1	195	105	70	
	FEMALE	588	214	37	26	7	85	40	19	374	1	0	0	259	78	36	
	TOTAL	1,121	368	76	49	15	131	68	29	753	6	3	1	454	183	106	

Must agree with TOTAL of A, B, and C on page 1 (Grand Total Column).

PART IA. ACTIVE ENROLLMENT IN CREDIT COURSES BY AGE AND SEX - Con.

AGE AND SEX	GRADE	TOTAL	DAY CREDIT COURSES BY CLASS, AGE, AND SEX						EXTENDED DAY CREDIT COURSES BY CLASS, AGE, AND SEX							
			DAY TOTAL	FULL-TIME			PART-TIME			EXTENDED DAY TOTAL	FULL-TIME			PART-TIME		
				FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS		FRESH-MEN	SOPHOMORES	ALL OTHERS	FRESH-MEN	SOPHOMORES	ALL OTHERS
40 thru 44	MALE	278	69	15	12	3	23	7	9	209	5	3	0	115	57	29
	FEMALE	414	153	36	16	0	63	22	16	261	3	1	1	188	45	23
	TOTAL	692	222	51	28	3	86	29	25	470	8	4	1	303	102	52
45 thru 49	MALE	230	42	15	9	0	9	5	4	188	4	3	0	94	58	29
	FEMALE	312	105	15	8	2	51	17	12	207	0	1	0	151	33	22
	TOTAL	542	147	30	17	2	60	22	16	395	4	4	0	245	91	51
50 thru 54	MALE	162	31	6	2	0	15	4	4	131	1	1	0	85	32	12
	FEMALE	256	71	6	3	0	39	12	11	185	3	1	0	129	39	13
	TOTAL	418	102	12	5	0	54	16	15	316	4	2	0	214	71	25
55 thru 59	MALE	68	9	4	1	0	3	1	0	59	1	0	0	33	11	14
	FEMALE	144	48	6	3	2	27	5	5	96	2	0	0	71	16	7
	TOTAL	212	57	10	4	2	30	6	5	155	3	0	0	104	27	21
60 thru 64	MALE	41	9	0	1	1	5	1	1	32	0	1	0	23	5	3
	FEMALE	57	24	1	1	0	11	7	4	33	0	0	0	25	5	3
	TOTAL	98	33	1	2	1	16	8	5	65	0	1	0	48	10	6
65 and over	MALE	24	11	0	1	0	6	2	2	13	0	0	0	6	5	2
	FEMALE	38	24	0	3	0	11	6	4	14	0	0	0	8	2	4
	TOTAL	62	35	0	4	0	17	8	6	27	0	0	0	14	7	6

1058

Exhibit No. 32

UNITED STATES COMMISSION ON CIVIL RIGHTS

AGE DISCRIMINATION STUDY
1730 K STREET, N.W., SUITE 214
WASHINGTON, D.C. 20425
TELEPHONE (202) 634-7138

DATE: October 13, 1977

REPLY TO
ATTN OF: S.A.K.

SUBJECT: Exhibit for San Francisco hearing -Bank Requirements for
Student Loans.

TO: Eileen Bradley

Relative to this exhibit, the ten largest California banks
were sent the attached letter.

UNITED STATES COMMISSION ON CIVIL RIGHTS

AGE DISCRIMINATION STUDY
1730 K STREET, N.W., SUITE 214
WASHINGTON, D.C. 20425
TELEPHONE (202) 634-7138

Dear ...:

Section 307 of the Age Discrimination Act of 1975 (P.L.94-135) requires the U.S. Commission on Civil Rights to study the area of age discrimination in federally funded programs and report findings and recommendations to the President and Congress. The law also required the use of public hearings in regards to this issue, and the Commission conducted three field hearings and one in Washington. During these hearings some testimony was received which required that other data be inserted in the record in order to clarify, support or refute statements. The following request is the result of such an incidence, and the data is necessary so that the hearing records can be completed.

During our hearings in San Francisco, testimony was received relative to the insured (or guaranteed) student loan program. It was indicated that, at least, in 1970, some California banks "were limiting loans to students under 26 years of age" except for veterans. The witness did not know if this was the current practice of the banks.

The Commission requested that the ten largest California banks be queried as to whether they have any age related requirements used in the administration of the federally insured or guaranteed student loans. Your response and those of the other nine banks are to be inserted in the record of the hearings.

I would appreciate your response as soon as possible, in order to complete the records and have the hearings printed. If you have any questions regarding this matter, please contact me on (202) 634-7138 or Sally Kornegay on (202) 634-6772.

Thank you for your assistance.

Sincerely,

EILEEN BRADLEY
Director

CABLE ADDRESS
SUMITBANK SAN FRANCISCO

TELEPHONE
(415) 445-8000

*The Sumitomo Bank
of California*

HEAD OFFICE

365 CALIFORNIA STREET • SAN FRANCISCO, CALIFORNIA 94104

MEMBER
FEDERAL DEPOSIT INSURANCE
CORPORATION

October 19, 1977

Miss Eileen Bradley, Director
United States Commission on Civil Rights
Age Discrimination Study
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

Dear Miss Bradley:

In response to your inquiry with regard to an age related requirement used in the administration of the federally insured or guaranteed student loans, we currently have no such requirement.

If you have any further question, please contact us.

Sincerely,



T. Sano
Vice President and Manager
Loan Administration Department

TS/HT:gs

NOV 3 1977



SECURITY PACIFIC NATIONAL BANK

STUDENT LOANS, 333 SOUTH HOPE STREET, LOS ANGELES, CALIFORNIA

MAILING ADDRESS: POST OFFICE BOX 30208, LOS ANGELES, CALIFORNIA 90030

October 20, 1977

Ms. Eileen Bradley
Director
U.S. Commission on Civil Rights
Age Discrimination Study
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

Dear Ms. Bradley:

Your letter of October 12, 1977, addressed to Joseph Mautner has been referred to me for reply as the Administrator of Security Pacific National Bank's Federally Insured Student Loan Program.

Our Bank's policy regarding student loans does not restrict a student from applying for a loan due to age. It is our requirement that all applicants under the age of 18 must have a cosigner as required by California State statute.

It appears that there was some misunderstanding at the hearings in San Francisco.

Any further questions you may have, please direct them to me to expedite the reply.

Very truly yours,

Gregory F. Lancaster
Assistant Vice President

GFL:rg



Lloyds Bank California

548 South Spring Street • Los Angeles, Calif. 90013

ROBERT H. COOKE
Executive Vice President

October 20, 1977

Ms. Eileen Bradley
Director
United States Commission on
Civil Rights
Age Discrimination Study
1730 "K" Street, NW
Washington, D. C. 20425

Dear Ms. Bradley:

Since I have the responsibility for general lending in our Bank, Mr. James A. Hollingsworth forwarded to me for reply your letter of October 13, 1977.

I am pleased to inform you that Lloyds Bank California does not have any age related requirements relative to the administration of our Federally insured or guaranteed student loans.

Should you require additional information, please do not hesitate to contact us.

Sincerely,

A handwritten signature in cursive script, appearing to read "R. H. Cooke".

Robert H. Cooke

RHC:rw



CALIFORNIA DIVISION SERVICE CENTERS

WILLIAM J. BREEN
Vice President

October 24, 1977

Ms. Eileen Bradley
Director
United States Commission on Civil Rights
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

Dear Ms. Bradley:

This is in response to your letter addressed to
Mr. J. A. Carrera, Executive Vice President concerning our
student loan policy regarding age requirements.

Prior to September 27, 1974 the bank's loan policy for
the granting of loans to students had an age restriction. Loans
were not made to students over age 25 except for veterans, members
of the Peace Corps and Vista. In addition, if a student began his
school program prior to age 26, he would be eligible for student
loans.

The bank's current policy, which was revised September 27, 1974,
is as follows: "All students, regardless of age, may now be considered
for loans as long as they are making normal progress toward a degree
and meet other requirements."

If you need any additional information, please write or
call me on (415) 622-2577.

Sincerely,

A handwritten signature in cursive script that reads 'W. J. Breen'.

cc: Mr. J. A. Carrera

OCT 31 1977



WELLS FARGO BANK

NATIONAL ASSOCIATION

STUDENT LOAN CENTER
44 MONTGOMERY STREET
SAN FRANCISCO, CALIFORNIA 94104

October 25, 1977

Eileen Bradley
Director
Age Discrimination Study
1730 K Street, N.W., Suite 214
Washington, D. C. 20425


Dear Ms. Bradley:

Reference is made to your letter of October 12th, 1977 which was addressed to Mr. W. Wayne Akert. Subject letter has been referred to me as Vice President and Manager of Wells Fargo Bank's Student Loan Center.

Wells Fargo Bank does not approve or decline loans based upon a student's age. During a sample study of 1,000 loans recently made in this department, we determined that the average age of students we are financing is 27½ years old and the ages ranged from 16 years to 67 years of age.

Should you have any further questions regarding this matter, please do not hesitate to contact me at (415) 396-4184.

Sincerely,



J. D. O'Flack
Vice President and Manager

JDO/jv

CC: W. Wayne Akert, Wells Fargo Bank

OCT 31 1977

**CALIFORNIA
FIRST BANK**



HEAD OFFICE, 350 CALIFORNIA STREET
P. O. BOX 3789, SAN FRANCISCO, CALIFORNIA 94119
(415) 445-0200

October 27, 1977

Ms. Eileen Bradley, Director
United States Commission on Civil Rights
Age Discrimination Study
1730 K. Street, N.W., Suite 214
Washington, D. C. 20425

Dear Ms. Bradley:

Reference is made to your letter of October 13, 1977,
pertinent to Age Discrimination Study.

Under our current federally insured loan program,
there exists no restrictions based upon age. Our earlier
26 year old age limitation was repealed as of June 1, 1976.

As to your inquiry relative to some "California banks
in 1970 limiting loans to students under 26 years of age
except for veterans" - our Bank did not enter into the
student loan market until June, 1974. We hope the above
information will serve to meet your inquiries.

Sincerely,

Takashi Tatsumo
Executive Vice President

TT:bk

U N I O N B A N K

Headquarters Banking Office

445 South Figueroa Street, Post Office Box 3100, Los Angeles, California 90051
Telephone (213) 687-5877

October 28, 1977

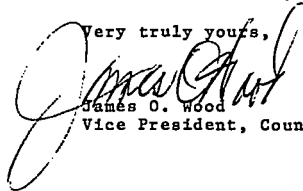
Ms. Eileen Bradley, Director
Age Discrimination Study
United States Commission on Civil Rights
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

Dear Ms. Bradley:

Mr. Harry J. Volk, Chairman of the Board of Union Bank, has requested the undersigned to respond to your letter dated October 12, 1977 addressed to him.

Please be advised that prior to February 2, 1976, it was the policy of Union Bank not to make federally insured student loans to persons over 25 years of age. However, on that date such age restriction was eliminated.

Very truly yours,



James O. Wood
Vice President, Counsel & Secretary

JOW:pm

UNITED CALIFORNIA BANK
LOS ANGELES, CALIFORNIA 90051



L. P. JUCKETT SENIOR VICE PRESIDENT

October 28, 1977

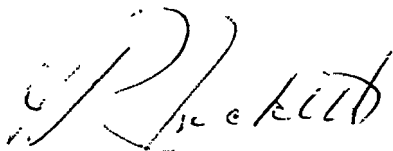
Ms. Eileen Bradley, Director
United States Commission on Civil Rights
Age Discrimination Study
1730 K Street, N. W. Suite 214
Washington, D. C. 20425

Dear Ms. Bradley:

UCB has no age restrictions in its federally insured or guaranteed Student Loan program (other than the requirement of a parent or other cosignor for those student applicants which are not of legal age.)

Prior to 1975, as a general rule, we did limit loans to students 26 years of age and over to veterans, and to graduate students in selected professions. That policy was eliminated approximately one year prior to ECOA and its implementing Regulation B.

Sincerely,





CROCKER NATIONAL BANK

STUDENT LOANS / 155 FIFTH STREET, SAN FRANCISCO, CALIFORNIA 94103
MAILING ADDRESS / P. O. BOX 38000, SAN FRANCISCO, CALIFORNIA 94138

November 10, 1977

Eileen Bradley, Director
United States Commission on Civil Rights
Age Discrimination Study
1730 K Street, N. W. Suite 214
Washington, D. C. 20425

Dear Ms. Bradley:

Your letter to Mr. Daegling regarding age discrimination in the Federally Insured Student Loan Program has been referred to me.

Crocker National Bank, in its administration of the Federally Insured Student Loan Program does not have any age related requirement.

Sincerely,

A handwritten signature in cursive script, appearing to read "Bobbie Gasper".

Bobbie Gasper,
Retail Banking Officer
and Manager

BG/pp

cc: William A. Daegling, Sr. Vice President
Chairman, Credit Policy Commission



THE BANK OF CALIFORNIA

Head Office: 400 California Street · San Francisco, California · Mailing Address: P.O. Box 45000, 94145 · (415) 765-0400

November 11, 1977

Ms. Eileen Bradley, Director
Age Discrimination Study
U. S. Commission on Civil Rights
1730 K Street, N.W., Suite 214
Washington, D.C. 20425

Dear Ms. Bradley:

This letter is in response to your inquiry of October 13, 1977, inquiring if the Bank of California imposes age restrictions on applicants for federally insured or guaranteed student loans.

Presently the Bank of California grants student loans only to those applicants who have existing student loans and, because they are continuing their education, need further assistance.

We do not now, nor have we ever imposed an age restriction on such applicants.

Sincerely yours,

Richard W. Selberg
Vice President
Consumer Loan Administration

RWS:smp

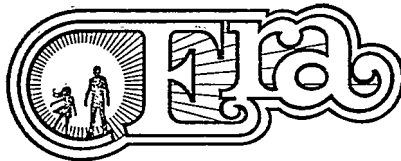
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Exhibit No. 33

**CALIFORNIA
HIGHER EDUCATION
STUDY FOR THE AGING**

SUMMARY REPORT

OCTOBER 10, 1975



new directions for aging

CALIFORNIA OFFICE ON AGING, 455 CAPITOL MALL, ROOM 500, SACRAMENTO, CALIFORNIA 95814

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FOREWORD

In response to the legislative directive contained in ACR 127, the University of California, State Universities and Colleges, and Community Colleges have come together in the pages of the complete California Higher Education Study for the Aging to formulate plans and development of expanded educational opportunities in the field of aging.

Prepared by Dr. Glen Burch, Lecturer in Applied Behavioral Sciences at the University of California, Davis, this summary is a direct, concise and comprehensive picture and preamble to future action. Whether it be the establishment of an institute on aging, a series of seminars and workshops, or the expansion and decentralization of training and educational resources, this summary is a practical realistic approach to statewide activities. It involves many ages with their innovative and profound methods of approach in response to the priority needs of a changing society.

To this end, this summary is offered as both a tool and a measure in the activation of a broad range of new roles and responsibilities for all of us concerned with an interwoven and progressive fabric of life in our later years.

Janet J. Levy, Director
California State Office on Aging

SUMMARY OF THE REPORT OF THE
CALIFORNIA HIGHER EDUCATION STUDY FOR THE AGING

Who are "the aging"? Strictly speaking, an individual begins the aging process the moment he is born. It has been suggested that in our society an individual may be considered to be "aging" when his or her advancing chronological age decreases his options to do something he wants to do. Thus a person with an athletic ambition is usually considered "aging" around 30. Similarly, the attainment of that age is considered a handicap for one seeking to enter medical school. Employment departments classify men and women 45 or over as "older workers." In the CHESA project it was agreed that no hard and fast definition was possible. The terms "older adult," "elderly," and "aging" as they are used throughout the Report generally refer to people 60 or over.

INTRODUCTION

Brief History of the Project

The impetus for the California Higher Education Study for the Aging came from California Assembly Concurrent Resolution No. 127, introduced by Assemblymen McCarthy, Moretti, Vasconcellos and Murphy (and co-authored by Senators Behr, Kennick and Zenovich) and filed August 15, 1972. This resolution called on the Regents of the University of California, Trustees of the California State Universities and Colleges and Board of Governors of the California Community Colleges to "meet and confer" to pursue these objectives:

1. Examine the adequacy of programs and curricula in the fields of social gerontology, medicine and other areas critical to the well-being of California's elderly citizens;
2. Discuss and report to the Joint Committee on Aging on the validity of establishing in California one or more institutes of gerontology which might provide focal points to continue research and expand knowledge of aging for future governmental action;

3. Examine the adequacy of programs and curricula now easily accessible to older Californians who wish to pursue higher educational attainment; and
4. Examine how planning procedures can be coordinated to obtain federal funds for future aging research and programs.

In response to this resolution the three segments of public higher education conducted surveys in the fall of 1972 of their current activities in the field of aging. Their findings and recommendations were presented to the Joint Legislative Committee on Aging in the spring of 1973. Representatives of the three segments held several meetings with the Joint Committee. They agreed that a more detailed and comprehensive study of educational and research needs should be made with a view to developing a comprehensive statewide plan for educational and research programs to serve the needs of the aging. It was also agreed that ways and means of involving the aging themselves in the development of such programs be explored.

The segmental representatives then met with the California Commission on Aging¹ (and later with its successor, the California Office on Aging) to seek funding for the study. The result was the development of a project proposal, the "California Higher Education Study for the Aging," supported by an Administration on Aging (Title II) grant of \$144,000. El Camino College, Torrance, California, was selected to administer the grant, and Dr. Lee Swanson, Dean of the El Camino Department of Communications, was named director of the study. Oversight of the project was vested in a Steering Committee² composed of representatives of the three public higher education segments, the State Department of Education, the

¹In 1974 this commission was dissolved and an Office on Aging was established to administer all state programs. A new commission was established at the same time to act as state advocate for the aged and to advise the Legislature on policy matters.

²Project Steering Committee members: Wallace Cohen, El Camino College; Mary DeNure and Bud Palmer, Chancellor's Office, Community Colleges; Oreitha Eggleston, California Office on Aging; Albert Feldman, Andrus Gerontology Center, University of Southern California; Janet Levy, Consultant, Joint Legislative Committee on Aging; Ralph Mills and Anthony Moye, California State Universities and Colleges; Ken O'Brien, Postsecondary Education Commission; Keith Sexton, University of California; and Roy Steeves, State Department of Education.

Office on Aging, the Postsecondary Education Commission, and the University of Southern California (representing the private sector of higher education). The study got under way in the spring of 1974 and the final report was submitted in April, 1975.

Conduct of the Study

Both project staff³ and Steering Committee agreed that each assistant director, working with an advisory committee from his segment, would be responsible for determining individualized methods of data collection. An omnibus "data collection instrument" and a "needs assessment model" were developed subject to modification to fit the circumstances of each segment.

Early in the study the heads of the three public higher education systems were asked to appoint project representatives on each campus to assist in data collection.⁴ Although most communication with campus representatives was by telephone and mail, there were some conferences with them. Assistant directors also visited campuses when possible.

A Citizens' Advisory Committee, the majority of whom were over 60, was appointed to help the staff sample the needs and interests of the elderly in various parts of the state.⁵ The Committee held four meetings.

To involve private colleges and universities making important contributions to the field the project staff co-sponsored with the University of Southern California a conference on activities in these institutions at the Andrus Gerontology Center.

³Project staff: Director, Lee Swanson (El Camino College); assistant directors John Barr (San Jose State University), Glen Burch (University of California, Davis), Jacqueline Ridley (Riverside City College); research assistant Doug Loveday (El Camino College); project secretary Helen Maurseth (El Camino College); secretaries Nancy Allen (San Jose State University), Lillian Koppelman (University of California, Davis), and Elizabeth Reneker (Riverside City College).

⁴See Appendix for Directory including names of project representatives.

⁵Achile Abouze, Frances Albrier, Ariston Armada, Sarah Bachman, Carnella Barnes, Leona Betz, Marjorie Borchardt, Julian Bordano, John Bowersox, William Brown, Allen Campbell, Greciela Cashion, Helen Chesterman, Jayne Coburn, Harry Covert, Richard Cronon, Alverto Curruth, Albert DeLeon, Sudie Douglas, Jack Dubois, Ted Ellsworth, J. O. E. Emmons, Maria Fasano, John Gorrell, Mildred Hanna, Burton Hubbard, Phillip Kimble, Ted Knowles, Leo Kuntz, Louis Kuplan, Peter Kwan, Mary LaNier, Florence Lewis, Charles F. Lyle, Jean Naquin, Ed Neill, Sergei Nutkiewicz, Rey Ochoa, Vern Pomeroy, Lance Pruitt, Robert Rupert, Norman Satir, Beatrice Schiffman, Marguerite Schwarzman, Pedro Semsem, Ann Shirrells, Richard Thomson.

The 540-page Report from which this Summary is drawn consists of a review of the findings and recommendations, the segmental reports in their entirety, and an extensive Appendix containing miscellaneous materials developed during the project (bibliographies, film lists, funding sources, directory of national agencies in the field of aging, etc.).⁶

The Summary has been organized around the Report's five major headings: "Educational Opportunities for Older Adults," "Training for Workers in the Field of Aging," "Research--Basic and Applied," "Institutional Organization," and "Inter-Institutional and Agency Cooperation." The Summary also includes a plan for the creation of statewide institutes on aging.

Some additional pertinent information which came to the attention of the Office on Aging subsequent to receipt of the Report is referred to in footnotes.

⁶Copies of the full Report have been given to the libraries of all institutions cooperating in the study.

I. EDUCATIONAL OPPORTUNITIES FOR THE AGING

 California Legislature

Assembly Concurrent Resolution No. 149 -- Relative to public postsecondary education. (Filed with Secretary of State August 28, 1974.)

... Statewide goals for public postsecondary education during the next decade shall be as follows:

...

(b) Equal and universal accessibility to the system for persons of both sexes and all races, ancestries, incomes, ages and geographies in California.

(c) Lifelong learning opportunities for persons with capacity and motivation to benefit.

...

By long tradition, American colleges and universities discriminate against those who are older than "normal student age" and those whose established life and work patterns make returning to a campus difficult, if not impossible.⁷

In the Spring Quarter, 1974, there were 108,687 students enrolled full-time on the nine campuses of the University of California (UC). Nearly three-fourths of these were under 26 years of age. Less than one percent (900) were in the 45+ age category; eleven were age 60 or over.

Information for the California State Universities and Colleges (CSUC) covers the period Fall, 1973. At that time 286,633 students were enrolled both full- and part-time on the 19 CSUC campuses. About 20 percent were over 30 years of age; of these, 9,737 were in the age category 45 and over, or about 3.5 percent. Students aged 60 and over totaled 474.⁸

No comparable data was available at the time of the study for the 99 two-year colleges reporting for the California Community College system (CC).

⁷U. S. Task Force on Higher Education, Frank Newman, chairman, Report on Higher Education (Washington, D. C., 1971), p. 11.

⁸The CSUC figures combine full- and part-time students, and a substantial portion of their programs are given in the evening. This may account for the somewhat higher percentage of older students.

However, a study of population changes in two Community Colleges showed a slight increase in older student populations.⁹

Part-time off-campus degree programs introduced in recent years in both the UC and CSUC systems promise to give older students substantially wider access to academic programs. UC's Extended University program, offering regular University classes at off-campus locations to regular students enrolled part-time, had 1,117 students in Spring, 1973. About 10 percent were over 45. No data was available for the new CSUC statewide External Degree program.

Some universities and colleges permit adult enrollment in regular academic campus classes for a fee and on a space-available basis. These concurrent course programs seem to be increasingly popular with some older adults, but age data was not available.

Besides the extensive formal academic and professional programs of instruction, all three segments of public higher education offer a variety of informal educational and cultural activities throughout the school year.¹⁰ These are usually sponsored through campus extension, continuing education, adult education or community service departments. Educational opportunities available range from adaptations of regular campus classes given for extension credit to weekend workshops, seminars, conferences and special events of various kinds. These have steadily grown in enrollment.

9	1973			1974		
	Total*	50+	60+	Total*	50+	60+
Los Angeles	108,922	4,392	789	124,839	6,657	1205
Los Rios	33,289	1,187	210	35,865	1,411	234

*Full-time, part-time and ungraded students

¹⁰ No hard data was found on the extent to which California's older adults took advantage of these opportunities. A 1971 study made of UC San Diego Extension's student population, summarized in the UC segmental report, indicated that perhaps 3 percent of their participants were over 60 years of age. Some University Extension staff willing to hazard an informed estimate put a figure for their programs at less than 1 percent. A 1969 HEW study noted that of an eligible 18,600,000 people in the United States over 65, only 263,000 (1.6 percent) actually participated in adult education activities of any kind. (U. S. Department of Health, Education and Welfare National Center for Educational Statistics, Participation in Adult Education. Initial Report, 1969.)

UC's University Extension enrolled a total of 319,852 throughout its nine-campus system in 1973-1974. The Cooperative Extension Service, an off-campus teaching arm of the University with offices in nearly every county, provides information and educational leadership in the fields of family and consumer sciences, agriculture, community and resource development. The program operates primarily in rural areas. A recent survey indicated that 10 to 30 percent of its 80,000 documented inquiries are from people over 60 years of age.¹¹

Finally, there is the great variety of cultural events on college and university campuses every year: lectures, art exhibits, music recitals, films, dance programs, dramatic presentations, etc., sponsored by Committees on Arts and Lectures, student and faculty groups, and departments. Although primarily for campus audiences, nearly all are open to the public either without charge or for moderate fees.¹² The Report provided no data on the extent of older adult participation, but it did note that for some of those interviewed the presence in a community of such cultural resources made university and college towns attractive for retirement living. There is no breakdown by age of the audiences, but informed observers at UC (box-office attendants and program managers) estimate that no more than 5 percent and in some instances less than 1 percent are older adults and that a majority are people associated with the institution rather than the general public.

Obstacles to Participation: The Problem of Access

As a result of interviews with staff, faculty and older students themselves, and use of questionnaires distributed to members of the study's advisory group, the project staff identified the following significant barriers to participation by the aging in public education's regular educational programs:

- Inflexible admission policies
- Arbitrary requirements for entrance in particular courses
- Lack of appropriate off-campus learning opportunities
- Lack of effective counseling, particularly for re-entering students

¹¹No data was provided in the Report on the 19 CSUC campus Continuing Education departments or on enrollments in the CC Community Service divisions.

¹²Some UC campus Arts and Lectures events are available at half-fee for senior citizens.

Lack of public transportation convenient to campus, or to the location of the activities
 Indifference of faculty and staff to needs of older adults
 Low motivation on the part of older adults themselves
 Relatively high fees in four-year institutions

While in theory age is not a barrier to entering academic programs, in reality it is, particularly in graduate and doctoral programs. There is evidence that younger applicants are favored in both undergraduate and graduate programs where there is a limit on the number of students. In the UC and CSUC systems admission standards and procedures are the same for all applicants regardless of age, but there is no clear-cut method for helping applicants who have not earned high school diplomas, cannot provide the traditional documents, or who otherwise do not meet regular admission requirements.

The aging find no barrier to entry in the CC system, which admits anyone over 18 years of age. There are no high fees to discourage enrollment in regular CC programs, yet some report that even a small sum can be an obstacle to older people on low, fixed incomes. To eliminate cost as a barrier to participation over one-half the institutions in the CC system have adopted the Gold Card policy giving privileges such as reduced or no fees for registration, parking, community services programs, or special events.¹³ Both institutional representatives and advisory group members reported the fee costs at CSUC are a strong deterrent to the aging.¹⁴ Fees are particularly high in UC and CSUC Extension and Summer Session programs--programs where admission is easiest for non-matriculated adults.¹⁵

Transportation can be a major factor in determining whether educational opportunities are truly available to older students.¹⁶ CSUC Sonoma's Meridian Center provides free transportation to classes and special programs on campus and in the six-county area it serves.

¹³Gold Card programs, assuring older adults free or reduced tuition in Community Colleges, usually require individuals to be 50 or 60 years old.

¹⁴Senate Bill 274, Chapter 44, has authorized the Trustees of the CSUC to establish pilot projects on two campuses to enable students 60 years of age and over and citizens of California to enroll in classes on a "space available" basis, without fee. This is a two-year experimental program which will end in July, 1977.

¹⁵Some UC Extension divisions, notably UCLA, Davis, and Berkeley, are experimenting with reduced fees for people over 65.

¹⁶See "Assessing Needs of Older People" below.

Although older students may readily fit into regular college programs many need help in getting used to the academic routine after years away from it. They need help in test-taking, library research, studying and learning, and choosing goals.

Special Programs for the Aging

Educators, generally, have not been interested in the later stages of life. Although education for aging is occasionally mentioned, it cannot be said to be a central area of interest of public schools, colleges, or informal educational agencies. Older people have been assumed to have interests and needs similar to persons in younger years, and programming especially designed for older people has been slow in development.¹⁷

The Report indicated both educators and older adults appear somewhat divided on the issue of how higher education may best provide for needs of older adults. Some argue that what is most needed is improvement of access to existing programs. Reduce costs to the aging, relax admission standards, provide adequate transportation, and with wider dissemination of information concerning educational opportunities plus a little counseling, most older adults can find the answers to their educational needs among the plethora of activities already available. Many radical changes are taking place in higher education. The increased attention to non-traditional approaches to degree acquisition such as the Extended University and other external degree programs, off-campus learning centers, television courses, are cited as evidence that the traditional rigidities surrounding higher education are breaking down, and that the end of what Newman called "educational apartheid"¹⁸ is in sight. Since there is increasing evidence that advancing age brings only minor loss in learning capacity, attempts to establish separate programs for "older adults" would only serve, it is argued, to sustain and shore up this long-held artificial segregation.

On the other hand, many educators and older adults maintain just as vehemently that for most of the aging population educational programs must be specially designed to meet their needs. They contend the failure of the majority of older persons to participate in educational programs lies not so much in lack of capacity as in lack of motivation. For adults who

¹⁷David A. Peterson, "The Role of Gerontology in Adult Education," in S. M. Grabowski and W. D. Mason (eds.), Education for the Aging. ERIC Clearinghouse on Adult Education, Syracuse University. Washington, D. C. (1974).

¹⁸C.f. Newman et al.

have made a habit of lifelong learning, continued access to whatever higher education offers seems all that is required. But if only 1 percent of all eligible older adults are involved in any kind of education, doubling or tripling the number now attending through widened access would make little impact. Up to the present, the bulk of the adult population regrettably have not formed the lifelong learning habit and have difficulty entering the mainstream of learning. For these, special programs which take into account problems of motivation seem to be required.

The Report describes two experimental programs sponsored by UC Extension: the Center for Learning in Retirement (CLIR) in San Francisco, and the Institute for Retired Persons (IRP) in San Diego. Participants in both are for the most part college graduates to whom the programs give an opportunity to make new friends, plan and conduct educational programs to suit their interests, as well as have the privilege of attending a specified number of regular Extension classes with other age groups.

The Community Colleges offer several outstanding examples of special programs for the aging: Marin has an Emeritus College similar to the two UC programs; Bakersfield has an outreach program which offers 17 classes for the aging; Cosumnes has developed a "Telebrary" of cassettes available through public libraries and other agencies; Fullerton has a Center for Creative Retirement; and Grossmont a "Traveling College" which brings 15 courses to the aging.

In the CSUC system, the Center for Aging at San Diego both trains professionals and provides services to the aging, as does Sonoma's Meridian Center.

Jacqueline Ridley, in her report on the CC segment, eloquently stated the case for the specially designed program for the aging. A wealth of detail on methods and materials, illustrations of successful practice, and practical tools for program development are provided. The CC report constitutes a useful handbook for all Community Colleges interested in improving program offerings to the aging.

Pre-Retirement Training Programs¹⁹

Another aspect of specially designed programs for older adults is demonstrated by pre-retirement training programs.

¹⁹For information regarding pre-retirement programs for staff and faculty see Section IV, page 25, "Institutional Organization: Policies and Practices."

Thirty-one of the Community Colleges reported activities in this field, usually presented through the Community Services divisions.

Six of the UC Extension divisions sponsored one or more pre-retirement programs in 1973-1974.

The report on the CSUC system contained no specific information on pre-retirement courses.

Most of the programs now available seem to be short-term (6-10 week) events designed to provide information and counseling on such matters as health care, income maintenance, use of leisure time, housing, etc.

In some instances pre-retirement courses were reported as "poorly attended" or even cancelled. This suggests inadequate publicity rather than lack of interest, particularly where this was the only educational offering for the aging.

Counseling

Special counseling such as that designed for veterans and re-entering women is virtually non-existent for the aging in all three segments, though most regular counseling services are open to all. A few institutions in the CC system assigned special counselors to older adults though on the basis of interest or availability rather than training. Many colleges remarked on the observed need for establishing such services. Porterville has established a mobile counseling service operated in a van.

The CSUC institutions mentioned no special counseling for the aging, but several indicated that their re-entry programs provided counseling services for older men as well as older women.

Among the UC campuses, only UCLA sponsors a program of counseling (through its Extension division) for mature students or staff members in areas of vocational and continuing education, financing and job re-direction. Many campus women's centers offer comprehensive counseling services which include assistance with re-entry problems. The UC Davis Division of Extended Learning has a part-time counselor. Most institutions simply pointed out that their counseling services were open to all students for testing, interviews, etc., and some make these services available to the public for a fee.

Assessing the Needs of Older People

Howard McClusky of the University of Michigan refers to "categories of need" in writing about educational needs of older persons: the coping need (minimal ability to read, write and compute), expressive needs

(using physical ability and talents for their own sake), contributive needs (giving service as volunteers or for pay), influence needs (exerting influence over individual circumstances and the world about them), and the need for transcendence (rising above the limitations of age, both physically and mentally).²⁰ The Report in noting these categories quoted McClusky: "The preeminent and universal need of the aging is the need for that kind of education that will assist them in creating margins of power for the attainment and maintenance of well-being and continuing growth toward self-fulfillment."²¹

The Report indicated little has been done in California in assessing the educational needs of the aging but recognized the importance of carrying out such assessments on a local basis.

A "Needs assessment Model" is included in the CC segment report. It can be used as a framework for "creative thinking about educational needs of the aging." The model considers the needs of the elderly in four basic areas: Physical/Mental Health; Maintenance/Personal Living; Vocation/Work; and Avocation/Leisure Time. Each area is then related to the educational service meeting that need. This tends to reveal existing gaps in service to the aging and emphasizes education as a tool for meeting their needs.

What do the aging themselves see as their educational needs?

The results of a UCLA Extension survey-study conducted in 1973 were summarized in the Report. In this project participants who were themselves older adults were trained to survey a random sample of the aging in the West Los Angeles area. Questions pertained to class content, format, location, time of day, cost, health factors, affinity, and isolation. The questionnaires revealed that those interested in educational opportunities were equally interested in age-integrated and special courses, preferred non-credit classes in neutral locations such as community centers, and guided discussion-group format with lectures and films. They were especially interested in current affairs, health, and humanities. Interest in job re-training was low. Problems in participation due to transportation,

²⁰Howard Y. McClusky, "Education for Aging: The Scope of the Field and Perspectives for the Future," in Education for the Aging.

²¹-----, "Education," in Background and Issues for the 1971 White House Conference on Aging. Washington, D. C., 1971.

cost and scheduling were cited. In response UCLA Extension reduced fees and moved daytime classes to central locations in the community. Enrollment of older adults increased in proportion to the numbers living in the community.

Summary

The enrollment of older adults in formal academic programs of the UC and CSUC systems is minimal. Off-campus, evening, extension, continuing education and external degree programs showed greater numbers of older adults enrolled. In the UC Extended University program, about 10 percent were over 45. The CC system reported no age breakdown of student population.

At UC, and to a lesser extent in the CSUC and CC systems, formal educational opportunities have been structured for young students pursuing on-campus, daytime programs leading to degrees. Regular admission policies, prerequisites for courses, orientation of faculty and administration to a young student population and high fees all establish de facto barriers to participation by older adults. Higher enrollment of the aging is seen in informal extension, continuing education and external degree programs offered off-campus during evening hours and weekends. Unfortunately, the high costs of self-supporting programs such as Extension and Summer Session is a barrier to the aging. The CC system, where the fees are low, reported over half the institutions have adopted a Gold Card policy to enable the aging to take advantage of educational opportunities of all kinds at reduced or no fees.

All three segments of public higher education reported a lack of special counseling for the aging. This, too, constitutes a barrier to the aging who seek re-entry into the academic process. Location of educational opportunities and transportation problems were also seen as obstacles to participation.

Special programs attract enthusiastic participation from some older adults, but raise the question of segregation by age. Many pre-retirement programs are presented for the public by the Community Colleges, others through University Extension.

Relatively little was reported on assessment of educational needs of the aging, with the exception of surveys done on a local basis by some Community Colleges and UCLA Extension.

There is some evidence that this situation is changing. The UC Extended University, CSUC's Commission on Extended Degree program, the proliferation of outreach programs among the Community Colleges and the recent creation of the Postsecondary Education Commission (mandated to coordinate and extend higher education opportunities to all citizens), are significant straws in the wind. The future may assure older adults much wider access to higher education programs, as well as more programs especially suited to their needs.

II. TRAINING

In nearly every field--education, medicine, long-term health care, law, recreation, social services--there appears to be a shortage of personnel educated and trained to work with the aging. The Report attributed this shortage to the rapid increase in the aging population and to society's growing awareness of the problems faced by this group. Consequently a movement has arisen to develop appropriate programs of training.²²

This movement seems to have taken two forms: 1) a steady pressure on higher education to create courses and curricula leading to degrees and certificates for those preparing to enter the field, and 2) growing demand for informal "refresher" programs to increase the knowledge and skills of those already working in the field.

Thirty-one of the 99 Community Colleges involved in the study indicated they are providing some form of training. Six institutions (Canada, Chabot, Chaffey, Crafton Hills, Merritt and Riverside) reported offering certificates and AA degrees related in one way or another to the field of aging. Examples: a certificate program in care of the elderly (Chaffey), AA degrees in gerontology at Riverside and Canada; nursing home administration (Chabot). Informal training programs include: homemakers' assistants (Merced), health technicians (Long Beach), service and leadership (Marin's Emeritus College), geriatric home health aide (Yuba), activity coordination in care facilities (College of the Sequoias). Ventura sponsors a county-wide workshop for those who work with the aging; Cerritos provides training classes for information and referral center workers; and Citrus offers a community home management program to retrain workers in rest homes. These and other programs illustrate the impressive response of many Community Colleges to felt needs for training in their respective areas.

²² Although the CHESA project was confined to training activities in public higher education, it should be noted that many local public school adult education programs conduct adult vocational training in the field of aging and programs to train volunteers. The Department of Education recently appointed a Consultant in Gerontology, Mrs. Marian Marshall, with offices at 721 Capitol Mall, Sacramento.

Thirteen of the 19 institutions comprising the CSUC system reported courses of one kind or another related to aging. Some indicated substantial comprehensive programs. Dominguez Hills offers an undergraduate degree major in Gerontology. Sonoma provides a Gerontology Training program in connection with its Meridian Center, and San Diego has a number of programs for professional workers in connection with its Center on Aging. San Jose emphasizes the development of recreation specialists to work with the aging.²³

CSUC Hayward is in process of broadening its former Child Development program into a sequence of 28 courses under the rubric "Human Development," which includes substantial attention to aging. At CSUC Los Angeles the Departments of Nursing and Psychology now include courses in aging as part of their regular programs; CSUC Sacramento reported courses in its Departments of Recreation and Park Administration, Social Work, and Psychology; and "Health Aspects of Aging" appeared in the Health Education program of CSUC San Francisco.

None of the nine campuses of the University of California at present offers either baccalaureate or advanced degrees in this field. There are, however, courses offered through the Graduate Group in Human Development at UCSF which provide regular graduate credit to qualified graduate students within the UC system.²⁴

UC Berkeley has a "special aging concentration" in the professional Master of Social Welfare degree program, and the Doctor of Social Welfare program provides special guidance in aging. An experimental "dual degree" option program in the Health and Medical Science Department provides interested health science students an opportunity to take special courses related to the field of aging.

The UC system's five medical schools reported little substantial course or clinical work in aging, although the Schools of Nursing at San Francisco and Los Angeles are beginning to stress problems of aging.

²³Since the Report was submitted, San Jose has established a Gerontology Center on campus providing adult counseling and practicum work in gerontology for students.

²⁴UCSF has recently proposed a formal program of Graduate Studies in Human Development and Aging for the Ph.D. degree.

Several UC campuses seem to be developing concentrations of courses relating to aging in their Human Development programs (which are now emerging as extensions of long existing "child development" programs). At Davis the Human Development program is in the Department of Applied Behavioral Sciences and at Irvine in the new Social Ecology program. At Riverside it appears to be an emerging inter-disciplinary program. Courses on aging appear in the Department of Ergonomics and Physical Education at Santa Barbara.

All nine divisions of UC Extension present some informal training courses in the field of aging each year. In 1973-1974 over 100 such courses were presented on and off campus.

No comparable data was reported from CSUC's continuing education departments. However it was indicated that both Sonoma's Meridian Center and San Diego's Center for Aging were involved in a variety of informal experimental training activities.

III. RESEARCH

Since the California Master Plan for Higher Education designates the University of California as the primary state-supported academic agency for research, the project's principal inquiry into research activities in the field of aging was addressed to that institution.

Some 82 different research projects were identified within the UC system as being concerned in one way or another with problems relating to aging. About 40 were in the area of the biological sciences, 27 in sociology and psychology, and 15 were classified as "interdisciplinary."

An unusual longitudinal research project relating to measurement of age-related changes in personality, intelligence and physical health is underway in the Institute of Human Development at Berkeley. Other subjects of research on that campus include developmental physiology and aging, intergenerational changes and problems of elderly Chicanos, environmental designs for elderly adults, and analysis of social policy for the elderly.

The interdisciplinary Human Development Training and Research Program at San Francisco conducts on-going research on such subjects as stages of the life span, intergenerational conflict, adaptation to transitions of adulthood. Publications of its research results have achieved international recognition.

The Institute of Environmental Stress at Santa Barbara has a continuing research project relating women's physiological adaptation to heat stress (ages 8-91). A socioeconomic analysis of aging persons with special emphasis on elderly Blacks is going forward at UC Riverside.

At UCLA an informal Research Group in Gerontology has proposed projects in geriatric microbiology, genetic damage and aging, and age-related changes in behavior and neurobiology.

At Davis on-going research projects include a long-range study of the effects-of radioactivity on the aging patterns of the Radiobiology Laboratory's canine subjects; aging in chickens, quail and partridges; a study of the physical deterioration of middleaged and elderly subjects; nutrition for the elderly. Among proposals for research at Irvine are an exploration of age-related changes in behavior and neurobiology and a project on planning the environmental situation for the older adult. San Diego is conducting long-term research in the biochemistry of aging.

Scientists on four northern campuses (Berkeley, Davis, Santa Cruz and San Francisco) are currently cooperating on a long-term cellular research project.

In many of these and other projects, research on aging is essentially a by-product of research conducted with other primary purposes in mind. Most of them depend on outside funding for support, chiefly from various units of the federal government and from foundations.

No specific CSUC research activities were mentioned, but the Report indicated that one institution (CSUC San Diego) was known to be involved in scientific investigation and others could probably be identified. "In some it was being done through the medium of student graduate theses, and in others as part of a funded program."

In the report of the activities of the CC system, research was defined as "practical and social investigation carried out in the local area to determine needs and resources."

Twenty colleges reported research activities of this kind. Examples: investigation of groups providing services to aging (Cosumnes); questionnaire survey to develop data for talent bank of professional resources for older adult programming (Marin); investigation of educational needs and services (West Valley); needs assessment of aging persons for career services in Southern California (East Los Angeles); investigation of guidelines for planning, execution and evaluation of model direct services program for the aging (Bakersfield).

While the Report uncovered a wealth of research talent in the University of California, and identified a number of research projects that may significantly advance work in the field of aging, it was evident there was no attempt at coordinated planning.²⁵ None of the nine campuses possessed a center or institute for research on aging. The closest approach to such a unit is the interdisciplinary Human Development Training and Research Program at San Francisco, which has become an informal focus of many research efforts in the Bay Area. (Recently the chancellor of UCSF appointed a special faculty committee to explore the possibility of developing an Institute on Aging on that campus.)

²⁵Partly as a result of the CHESA project an effort is now under way at UC to prepare an up-to-date description of all research in human development and aging now going forward within the system, and a summary is being made which may be published in cooperation with the State Office on Aging.

The chief deterrents to increased research on problems of aging at UC appear to be lack of coordination and of long-term funding, apathy in dominant faculty groups, and absence of administrative concern. The recent developments in San Francisco, and the existence of faculty groups on Gerontology and Aging on the Berkeley, Davis, and Los Angeles campuses, are encouraging evidence that the situation may be changing.

The fact that no specific research projects were mentioned in the CSUC segment report may not be significant. This system, like that of UC, lacks a mechanism for the encouragement and coordination of its research efforts in this field.

The presence of "action research" efforts in several Community Colleges provides some evidence that a scientific approach is possible as well as desirable in these institutions as they become increasingly involved in planning programs for the aging.

Of special concern to practitioners is the absence in this Report of any evidence of substantial on-going research on educational methods, techniques, and curricula necessary to meet the needs of the elderly, both for them and in service to them. This should add support to the proposal outlined in Appendix A for the early establishment of state-wide Institutes of Gerontology.

Finally, there appears to be a serious lack of provision for adequate dissemination of research findings, particularly those which may assist in the improvement of present practices of all who are at present working with the aging--and even of the aging themselves!

IV. INSTITUTIONAL ORGANIZATION: POLICIES AND PRACTICES

The University (should) re-examine and re-define its commitment to older people to determine whether the University truly wishes to recognize its obligations and debt to the older people of the state and take appropriate action to insure that its resources are more accessible and that older people are made more fully aware that they are welcome to utilize these resources.²⁶

The above quotation identifies a central problem faced by all segments of public higher education: lack of institutional commitment both to widening access to its educational resources to older people and to developing programs especially suited to their needs. Administrative and faculty commitment, or the lack of it, also appears to be a decisive factor in whether or not efforts will be made to increase training opportunities for those who work with the aging, and even whether to undertake research in this field.

The new master plan of De Anza Community College contains a policy statement of commitment to "all ages": "Traditional concepts of 'higher education' must be broadened to include the learning needs of many individuals in our communities that heretofore have been excluded either deliberately by policy or sometimes inadvertently through institutional procedures and traditions." This may be a precursor of similar policy statements in other institutions. It is an encouraging sign of change in attitude toward the role of public higher education, but in most institutions this has yet to be translated into action.

It is clear from the Report that most institutions have given little attention to the establishment of system-wide or campus-wide policies with respect to such matters as coordination of activities, faculty involvement, participation of older adults in planning activities, "public service," funding, and dissemination of information.

²⁶Beth Trachtenberg and Vivian Wood, Older People and the University (mimeo). Faye McBeath Institute on Aging and Adult Life, University of Wisconsin--Madison, September, 1974.

Coordination of Activities

It appears that the majority of activities related to the field of aging on all campuses are independently initiated and organized, often without reference to other programs carried out on the same campus, frequently the result of individual staff or faculty interest, and too often dependent on outside funding. People doing age-related work in one department are often unaware of work being done in another. Those wishing to volunteer in the field may be ignorant of opportunities offered through the institution. The aging themselves report lack of knowledge of educational opportunities especially designed for them. There are apparently no central sources of information on activity in the aging field, either within single institutions or statewide.

There are a few encouraging signs of change. At UC Berkeley a group of faculty and staff have drawn up an ambitious proposal for an Academic Organization for Aging, which, if implemented, will coordinate teaching, public service, and research activities on that campus. At UC San Francisco, as previously noted, a Chancellor's Committee is considering a proposal for an Institute on Aging.

Within the CSUC system the three institutions which have special units for work with the aging (San Diego, San Jose and Sonoma) have made substantial beginnings in the direction of greater coordination of effort.

The Report expressed the hope that the various campus project representatives assigned to work on the CHESA project might constitute continuing focal points for coordination on their respective campuses. However, the great variety of fields from which they were drawn--community service, program planning, admissions, special programs, research development, University Extension, student activities, central administration, and many others--suggests that appointments were often a matter of administrative expedience.

Faculty Involvement

It is generally conceded that active faculty involvement is essential to the development of any substantial institutional commitment to research, teaching and public service in this field. While the Report was unclear as to just what percentage of the faculty in any given institution was active in the field, it may be significant that 16 of the Community Colleges reported faculty committees on aging, and four of the

UC campuses (Berkeley, Davis, Los Angeles and San Francisco) had informal committees on aging and gerontology, composed of faculty and staff interested in research, teaching and public service. Although no comparable data was reported by the CSUC system, faculty were involved in the work of the three special units concerned with aging at San Diego, San Jose and Sonoma.

Participation of Older Adults in Planning

Almost all the institutions sponsoring special programs for older adults reported continuing efforts to involve representative older people in the planning and conduct of their programs. This appears to be a key element in the success of UC Berkeley's Center for Learning in Retirement in San Francisco, and UC San Diego's Institute for Retired Persons in La Jolla. It is also a feature of the College of Marin's Emeritus College, and the special programs conducted at CSUC Sonoma, San Jose and San Diego.

In the CC system 36 reported active Community Advisory Committees on Aging composed largely of older people. The very active Bakersfield campus has an advisory group which includes representatives from the American Association for Retired Persons, Retired Teachers, the Volunteer Bureau, and independent senior citizens' groups. Chabot's advisory group assists in putting on training programs and classes for the elderly, sponsors a Senior Information Fair, and helps get out a Directory of Services to Senior Citizens. Monterey Peninsula, sponsor of an Alliance for Aging, reported heavy involvement of older volunteers in their program.

"Public Service" Activities for the Aging

"Public service," as it relates to programs of all educational institutions, is a difficult concept to define. The UC report suggested public service activities be considered "those non-academic services performed on behalf of the general public at no charge or for a nominal fee, by individuals or units associated with the University." Within this framework a variety of activities were reported on all campuses: faculty and staff speaking to senior citizens' groups and medical school personnel donating their time to convalescent homes and day clinics.

Many Associated Student groups undertake special projects designed to serve the elderly. At UC Santa Cruz, students support a volunteer

Senior Citizens' Law Project; students at Irvine analyzed residential care facilities. Berkeley students sponsored a Pilipino Youth Development Corps, which in turn renovated a residence hotel for elderly Pilipinos. At San Francisco students and faculty bring health care to elderly clients in their homes through a Haight-Ashbury free health clinic.

The work of the UC Cooperative Extension Service has already been mentioned.

Most of the CSUC institutions reported community services to older adults apart from academic programs, but gave no details.²⁷

A great many of the activities of the Community Colleges might be said to fit loosely into the definition of public service given above. The CC report used the term "supportive services" as most appropriate for some. Examples include the nutrition site at San Jose, the Retired Seniors Volunteer Project housed at Laney, the Stroke Center at Cabrillo, a dance program for seniors sponsored by Cerritos, a Telebrary program at Cosumnes, and the Senior Center Information and Referral hotline sponsored by Los Angeles City College.

Funding

One of the critical questions to be asked about any program in an educational institution is, How is it funded?

From the evidence supplied by the Report, the bulk of the activities described above, whether they relate to research, training or special programs, would probably have to be considered marginal, i.e., not yet fully integrated into the institutions' recognized programs.

Almost all the research described depends on "soft money" derived from government or foundation grants. A classic example of the uncertainties attending this form of financing is found in the near demise of the UCLA School of Public Health's promising concentration of interdisciplinary courses on aging when outside funding was terminated. The distinguished Human Development Training and Research Program at UC San Francisco has long been largely dependent on federal grants.

Although no data was supplied for the CSUC system, it appears that the units at Sonoma, San Diego and San Jose may be in the same state of dependence.

²⁷Since the project was completed, a Gray Panthers student organization has been formed at CSUC San Jose.

Training programs for workers with the aging are for the most part in the same position in both the UC and CSUC systems. In the Community Colleges, where financial support comes largely from ADA and Community Service appropriations, many plans for special programs and "needs assessment" are dependent on outside funding.²⁸

The fact that the UC University Extension and the CSUC Continuing Education programs are dependent on fee income for support tends to limit their social effectiveness as providers of significant programs.

Pre-retirement Training for Faculty and Staff

Relatively few of the institutions reported offering pre-retirement for faculty and/or staff.

In the UC system, the Emeriti Service Center at UCLA provides the best model for such a program. It was established in 1969 as an on-campus office to furnish or secure information, assistance, benefits and privileges for academic staff members soon to retire or already retired. UC Santa Barbara gives faculty-staff pre-retirement courses consisting of eight two-hour meetings on various topics. On other campuses responsibility for providing pre-retirement information and counseling usually falls to the personnel offices. The University Retirement Board is working with the UCLA Emeriti Service Center to develop a packaged program of pre-retirement materials for UC system-wide use.

No pre-retirement programs for faculty and staff were reported by the CSUC system.

Five of the Community Colleges reported offering some kind of faculty-staff pre-retirement program.

Publicity

Many references were made throughout the Report to apparent ineffectiveness of public information programs in recruiting older people into both formal and informal educational programs. Some institutions, notably among the Community Colleges, have attempted special bulletins

²⁸ California's Community Colleges are financed by local district taxes, and by state support based on Average Daily Attendance in terms of enrolled student hours. Community Services are local programs financed by a 5% tax each district may levy; the community specifies the kinds of no-credit, no-grade, short-term courses, or cultural events, or senior citizens' activities it wishes the Community College to offer.

aimed at the interests of the aging. Others have utilized annual "Senior Days" to display announcements of campus opportunities. Still others arrange for spot announcements on television and radio featuring events of special interest to the aging. There seems to be general agreement, however, that the most effective means are contacts with organizations like the AARP, the independent senior citizens' groups, senior centers and congregate housing units for the elderly, etc.

V. INTER-INSTITUTIONAL COOPERATION

The Report noted that while continuing inflation coupled with a growing economic crisis is cutting deeply into resources available to maintain and improve education at all levels, demand for educational opportunities for adults is increasing at an accelerated rate. Consequently there is a need to promote institutional and agency cooperative planning at community, regional and state levels in order to reduce unnecessary duplication of programs and encourage sharing of teaching resources and physical facilities.

The Report also called attention to a number of existing mechanisms which could become involved in this effort.

The newly formed Postsecondary Education Commission is concentrating on an exploration of alternative non-traditional approaches to the provision of college and university educational opportunities.²⁹

The California Office on Aging is planning to re-introduce the statewide Inter-Agency Committee to promote cooperative efforts among major units of state government such as Welfare, Education, Health, Employment Development, etc., in promoting improvement and coordination of services to the aging. That office's newly created Area Agencies on Aging are mandated to effect comprehensive planning and coordination at the county and regional levels, and some are beginning to form subcommittees on education for the aging.

Regional Adult Continuing Education Continuing Education Coordinating Councils have been set up throughout the state by the State Department of Education to bring about better coordination of community college and public school adult education. A Higher Education Articulation Conference has been in existence for several years to effect better procedures for transfer of credit among the three higher education segments and to iron out disputes over degree and certificate programs. A California Network of Regional Health Services/Manpower Consortia encourages the development of cooperative training programs in the health field.

²⁹A proposal is now before the Legislature to fund experimental Area Advisement Centers designed to counsel adults on non-traditional opportunities now available.

While not all these organizations have yet given any special attention to the problems of the aging, their multi-institutional character suggests great potential for this purpose.

Other regional educational organizations have already given attention to inter-institutional coordination of programs. The Northeastern Council for Higher Education, headquartered in Chico and made up of representatives of six Community Colleges, CSUC Chico and UC Davis, has established a Task Force on Older Americans. The Regional Association of East Bay Colleges and Universities, a planning group, has prepared a report, "RAEBCU and the Older Citizens of the East Bay."³⁰ The San Francisco Consortium of Institutions of Higher Education was reported to be developing a plan for people over 65 to take courses at reduced fees on member campuses.

In Southern California, UCLA and the University of Southern California have entered into informal cooperative arrangements to conduct training and research programs, and the Report noted the existence of other consortia in the Los Angeles, San Diego and Sacramento areas.

Community Colleges have initiated cooperative enterprises with local organizations. For example, Riverside joined with the Chamber of Commerce in planning pre-retirement workshops. Cabrillo works with the County Social Welfare Department, Volunteer Services of Santa Cruz County, and maintains liaison with the Social Security office. El Camino cooperated with the Southwest Region Senior Citizens Recreation Committee in planning special "days" and community service programs. East Los Angeles is coordinating the needs assessment study of the Southern California Institutional Research Association (SCIRA) in which College of the Desert is also participating.

There is considerable cooperation between institutions. The UC San Francisco School of Nursing is operating a joint program with Franklin Hospital's Ralph Davies Medical Center extended care facility. UC San Francisco includes students from Berkeley, Davis, Santa Cruz and Santa Barbara in the Human Development Training and Research Program. In cooperation with UC Berkeley it is conducting an experimental program in health and medical sciences for undergraduate and graduate students. Santa Rosa Junior College is planning to enter a regional consortium

³⁰ For more information contact RAEBCU, T-7 Room 106, University of California, Berkeley.

program with CSUC Sonoma at Rohnert Park. The Monterey Peninsula Community College has taken leadership in promoting cooperation in that area through sponsorship of a county-wide Alliance on Aging.

Finally, the proposal for Institutes of Gerontology within the framework of California's institutions of higher education--endorsed by the Steering Committee and staff of the CHESA project--promises to provide a significant added stimulus to cooperative programming throughout the state.³¹

³¹Reproduced as Appendix A in this Summary Report.

VI. RECOMMENDATIONS

I. Educational Opportunities for the AgingAll institutions of public higher education should:

1. Make their formal educational programs more accessible to older adults by modifying present scheduling, registration, and advisory procedures; changing admission policies and grading practices; reducing or eliminating fees; and providing support services such as transportation.
2. Provide counseling* for older adults with counselors trained and experienced in working with the aging.
3. Investigate the possibility of using non-traditional techniques of teaching and recruitment appropriate to older adults.
4. Experiment with programs designed especially for older adults, including pre-retirement programs.
5. Involve older adults wherever possible in planning and conducting such programs.
6. Conduct studies of kinds of training or retraining needed by older adults to qualify for employment.

II. TrainingAll institutions of public higher education should:

7. Create and/or improve, where appropriate to institutional objectives, degree and certificate programs in gerontology and human development in response to the emerging needs of those preparing for vocational and professional work in aging and related fields (health, social service, recreation, education, housing, etc.).
8. Review their current course offerings to insure that the concepts of aging receive adequate attention in the general curricula.
9. Endeavor to provide appropriate opportunities for in-service and continuing education for persons working in the field of aging.

* Term includes personal, educational and career change or career transition counseling.

II. Training (continued)

The California Office on Aging should:

10. Develop an annual directory of formal and informal training opportunities in gerontology, human development and aging in cooperation with public and private institutions sponsoring such programs.

The California Legislature should:

11. Consider enlarging existing programs of financial assistance and scholarships to help eligible older persons to prepare for jobs, especially in areas where there are shortages of personnel trained to work with the aging, for example in nursing and convalescent homes.

III. Research

All segments of public higher education should:

12. Encourage institutions having the necessary resources and demonstrating their commitment, to undertake applied and "action research" projects in order to improve techniques of recruitment and teaching of older adults and assess the educational needs of the aging.

The California Office on Aging, together with the University of California, the California State Universities and Colleges, the University of Southern California and other private institutions should:

13. Develop and maintain an index to on-going research in gerontology and in human development and aging.

IV. Institutional Organization: Policies and Practices:

All institutions of public higher education should:

14. Establish faculty-staff Task Forces on Aging to define institutional objectives, to review present policies and practices as they relate to the field of aging, and to develop appropriate plans for the future.
15. Encourage cooperative planning among departments such as Biology, Health, Human Development, Psychology, Sociology, to develop appropriate course offerings in the field of aging; also to conduct interdisciplinary research.

IV. Institutional Organization: Policies and Practices (continued)

16. Encourage their students and faculty to extend present voluntary efforts in community work with the aging by giving appropriate public service recognition and/or credit.
17. Provide pre- and post-retirement educational opportunities for faculty and staff.

All institutions with clearly defined objectives in the field of aging should:

18. Make specific assignments of responsibility for program development and implementation to appropriate faculty and staff members.

All segments of public higher education should:

19. Assure adequate and continued funding to those institutions committed to programs of teaching and research in gerontology and human development and aging. Reliance on limited federal and state grants breeds uncertainty and lack of continuity.

The chief officer of each segment of public higher education should:

20. Assign a staff member as an "education for aging" specialist to assist in coordinating, improving, and promoting the institution's work in the field of aging.

The California Office on Aging and the California Postsecondary Education Commission should:

21. Assign staff members as "education for aging" specialists.

V. Inter-Institutional and Agency Cooperation

Area Adult Continuing Education Coordinating Councils should:

22. Make a special effort to foster cooperative planning between community colleges and public school adult education divisions, to assure maximum use of resources and avoid unnecessary duplication of services in developing programs for the aging.

V. Inter-Institutional and Agency Cooperation (continued)

Area Agencies on Aging should:

23. Actively encourage public and private institutions of higher education and public school adult education divisions to develop regional consortia where none now exist, designed to improve and extend educational programs for the aging.

The California Office on Aging should:

24. Re-institute immediately the California State Inter-Agency Committee on Aging, and encourage the establishment of a sub-committee on Education for Aging.

The Higher Education Articulation Conference should:

25. Give special attention to matters concerning academic programs for students planning to enter the field of aging.

All public and private institutions of higher education should:

26. Cooperate in developing training programs and planning research in aging, particularly in the San Francisco, Los Angeles, and San Diego areas.

1106

Exhibit No. 34

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO

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SANTA BARBARA • SANTA CRUZ

DAVID S. SAXON
President of the University

Office of the Chancellor
San Francisco, California 94143

FRANCIS A. SOOY, M.D.
Chancellor at San Francisco

September 6, 1977

Ms. Eileen Bradley, Director
Age Discrimination Study
U.S. Commission on Civil Rights
1730 K Street N.W.
Suite 214
Washington, D.C. 20425

Dear Ms. Bradley:

I am responding to your request to Dr. Chauncey Leake for a summary of admissions by age information, as a result of the recent Age Discrimination hearings in San Francisco.

Best wishes for success in your project from both Dr. Leake and me.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "F. Sooy".

Francis A. Sooy, M.D.
Chancellor

FAS:rp
Attachment

cc: Dr. Leake
Ms. Pool
Dr. Chater
Dr. Reinhardt
Mr. Suelzle

SCHOOL OF MEDICINE
SUMMARY BY AGE - UCSF APPLICANT POOL

1974 - 1977

Age Range	Applicants		Accepted			Enrolled		
	No.	% Ap'd	No.	% Ap'd	% Ac'd	No.	% Ap'd	% En'd
18-21	2603	53	152	6	55	67	3	45
22-24	1364	28	52	4	19	32	2	22
25-29	782	16	62	8	23	41	5	28
30 and over	<u>157</u> 4906	3	<u>8</u> 274	5	3	<u>7</u> 147	4	5
<u>1975</u>								
Age Range								
18-21	2415	53	132	5	54	73	3	49
22-24	1290	28	53	4	22	34	3	23
25-29	730	16	50	7	21	35	5	24
30 and over	<u>137</u> 4572	3	<u>8</u> 243	6	3	<u>6</u> 148	4	4
<u>1976</u>								
Age Range								
18-21	2412	51	141	6	58	77	3	52
22-24	1413	30	56	4	23	36	3	25
25-29	714	15	34	5	14	26	4	18
30 and over	<u>161</u> 4700	4	<u>11</u> 242	7	5	<u>8</u> 147	5	5
<u>1977 (as of 8/11/77)</u>								
Age Range								
18-21	2241	49	133	6	58	71	3	50
22-24	1441	32	47	3	20	33	2	23
25-29	711	16	39	5	17	29	4	20
30 and over	<u>145</u> 4538	3	<u>11</u> 230	8	5	<u>10</u> 143	7	7

8/11/77 KP

Compiled by: SCHOOL OF MEDICINE
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO

ADMITTED DENTAL STUDENTS AGES/1972 to 1976

YEAR ADMITTED	AGE	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	39	43
1972			4	19	15	16	12	5	3	6	2	3	1	1	1						1
1973		1	2	17	14	12	10	3	4	4	9	3	3		2		2	1			
1974			3	10	21	9	4	6	6	7	7	4	2	4	1		3		1		
1975		1	2	13	18	9	10	10	3	5	9	3	2	1		1					
1976			2	9	19	14	11	8	5	4	7	2	1				1	1		1	
TOTALS BY AGE		2	13	68	87	60	47	32	21	26	34	15	9	6	4	1	6	2	1	1	1

AVERAGE ANNUAL AGE BY YEAR	<u>1972</u>	<u>1973</u>	<u>1974</u>	<u>1975</u>	<u>1976</u>
	23.21	24.36	24.95	24.14	24.27

AVERAGE AGE OF AN ADMITTED STUDENT DURING THE ABOVE TIME PERIOD WAS 24.18

Compiled by: SCHOOL OF DENTISTRY
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
AUGUST 18, 1977

1109

Exhibit No. 35

STANFORD UNIVERSITY
STANFORD, CALIFORNIA 94305

DEAN OF GRADUATE STUDIES

August 11, 1977

Ms. Eileen Bradley, Director
U.S. Commission on Civil Rights
Age Discrimination Study
1730 K Street, N.W., Suite 214
Washington, D.C. 20425


Dear Ms. Bradley:

To supply age breakdowns on applications to Stanford's Graduate Division would in fact be prohibitively costly. The Graduate Admissions process at Stanford is not on a computer system and any such tally would have to be hand-done. We receive close to 15,000 applications each year. We do not keep records beyond two years.

We can provide an age breakdown for total graduate enrollment by year for the past three years (see material enclosed). This does not include students' fields of study. A 1976-77 summary, which you have already received, does include a breakdown by field of study. We don't have any information for the years before 1974.

We are planning to keep records of student's ages, with their areas of study, from now on. I hope the material enclosed will be helpful.

Sincerely yours,



W.B. Carnochan
Dean of Graduate Studies

WBC/sl
encl.

REPORT OF GRADUATE ENROLLMENT
 BY AGE AND SEX

 Institution: Stanford County: Santa Clara

Age and Sex	1976-7	1975-6	1974-5
Total: All Ages: Male	4587	4631	4417
Female	1456	1511	1291
Under 17 years Male	-----	-----	-----
Female	-----	-----	-----
17 Male	-----	-----	-----
Female	1	-----	-----
18 Male	-----	-----	-----
Female	-----	-----	-----
19 Male	1	2	1
Female	1	-----	2
20 Male	17	11	15
Female	4	4	9
21 Male	143	136	115
Female	52	53	58
22 Male	569	518	584
Female	161	173	142
23 Male	576	622	570
Female	209	225	156
24 Male	631	579	573
Female	192	137	157
25 to 29 Male	1865	1837	1870
Female	503	501	458
30 to 34 Male	578	508	489
Female	223	174	154
35 to 39 Male	135	144	200*
Female	59	55	105*
40 to 44 Male	42	40	-----
Female	31	37	-----
45 to 49 Male	17	23	-----
Female	18	18	-----
50 to 54 Male	10	7	-----
Female	2	3	-----
55 & over Male	3	4	-----
Female	-----	-----	-----

*Figures include all students 35 and over.

Exhibit No. 36

John V. Steward, M. D.
Chairman, Administrative Committee
Stanford University Medical School
Stanford, California 94305

Dear Dr. Steward:

This follows the recent hearing on age discrimination held by the U. S. Commission on Civil Rights in San Francisco, California on June 27 and 28, 1977.

During the course of your testimony, you agreed to provide for the hearing record, data on applicants and admitted students by age for the past five years for Stanford Medical School, and if possible for the dental school.

This constitutes an official request for the information. Since we would like to achieve completion of the record, I will appreciate having the data as soon as possible. If there should be any problems or alternative arrangements need to be made, please be in touch with me.

Thanks in advance for your cooperation and for appearing to testify at the hearing.

Sincerely,

EILEEN BRADLEY
Director



STANFORD UNIVERSITY MEDICAL CENTER

STANFORD, CALIFORNIA 94305 • (415) 497-6951

Office of Student Affairs
Room M107

2 August 1977

Eileen Bradley
Director
Age Discrimination Study
1730 K Street, N.W. Suite 214
Washington, D.C. 20425

Dear Eileen Bradley:

I am responding to your letter dated July 26, 1977 and requesting some data on the age of applicants and admitted students for the past five years for Stanford University School of Medicine. Please be informed that we do not have a dental school, nor for that matter, any other schools related to the health professions.

I am appending what data we have available to you with regard to the age of accepted applicants. Unfortunately, as I indicated in my testimony we do not have comparable figures for our applicant pool. However, these are potentially available to you from the Association of American Medical Colleges. Since Stanford is a participant in the centralized application service sponsored by the AAMC, data on the ages of our applicants are theoretically in the data base of the computer in Washington. Although there may be a charge for this, I am certain that the AAMC would cooperate with you and respond to a request to provide data comparable to what I have included, but for the applicants rather than the accepted students. I believe the appropriate division to contact there is the Division of Student Services. The address of the AAMC is 3200 One Dupont Circle, N.W. Washington, D.C. 20036 Phone #(202) 466-5100.

I hope these data and comments about obtaining additional data are helpful to you in your study. If we can be of any further assistance to you, do not hesitate to write.

Most respectfully,

John P. Steward, M.D.
Associate Dean

JPS/r1h

AUG 10 1977

AGE AT TIME OF MATRICULATION
FOR ENTERING MEDICAL SCHOOL CLASSES

AGE	TOTAL # APPLICANTS ACCEPTED					
	Fall 1973 Stanford	Fall 1974 Stanford	Fall 1975 Stanford	Fall 1975 National	Fall 1976 Stanford	Fall 1977 Stanford [†]
	195 Total	149 Total	154 Total	15,365 Total	146 Total	120 Total ^{**}
# 20 years and under	13 (6.7%)	8 (5.4%)	8 (5.2%)	581 (3.8%) ^{***}	4 (2.7%)	7 (5.8%)
# 21-23 years	150 (76.9%)	118 (79.2%)	116 (75.3%)	10,978 (71.4%)	103 (70.5%)	76 (63.3%)
# 24-27 years	23 (11.8%)	20 (13.4%)	21 (13.6%)	2,808 (18.3%)	26 (17.8%)	25 (20.8%)
# 28-31 years	7 (3.6%)	3 (2.0%)	6 (3.9%)	803 (5.2%)	9 (6.2%)	10 (8.3%)
# 32-37 years	1 (0.5%)	0 (0.0%)	2 (1.3%)	176 (1.1%)	3 (2.1%)	1 (0.8%)
# 38 years and over	0 (0.0%)	0 (0.0%)	1 (0.6%)	13 (0.1%)	1 (0.7%)	1 (0.8%)
Unknown	0 (0.0%)	0 (0.0%)	0 (0.0%)	6 (0.04%)	0 (0.0%)	0 (0.0%)

^{**} As of 7 June, 1977.

^{***} Figures in parenthesis represent % of total accepted.

Exhibit No. 37

June 27, 1977

A NAACP REPORT TO THE UNITED STATES COMMISSION ON CIVIL RIGHTS
Age Discrimination Study

The Age Discrimination Act of 1975 attempts to provide older citizens with the opportunity to be as independent as possible, while also providing them with the care and attention they require. The NAACP believes that an age discrimination act is necessary because of the degree of discrimination that is present in many federally assisted programs. However, the operative phrase of the act, "unreasonable discrimination" will make its enforcement difficult. More specific language is suggested.

In order to insure that the elderly receive the services and employment opportunities provided by many federally assisted programs, the act should require that the larger employment programs such as CETA specify the types of jobs that are available and then match these facts with ^{the} job supply. Through greater specification, many jobs that the elderly could perform would be identified. The means and ends of job programs can be brought in closer correspondence only by making each partially dependent on the other.

The CETA programs direct most of their efforts at the young, because of the preferences of employers. Middle-aged and older people are all but denied access to training programs and labor agreements a continual obstacle for elderly workers. As far as age limitations exist, they should relate to actual job characteristics. Middle-aged workers should be eligible for training programs. Jobs that are less physically demanding are needed by all age groups. Efforts should be made to encourage employers to hire older workers. With regard to the Vocational Rehabilitation program, evaluation standards need immediate revamping.

Because of the particular problems that older citizens experience in coping with day-to-day life, special efforts to provide them with information, jobs, services, transportation, assistance in filling out forms and/or simpler forms are necessary. Without the apparatus to guarantee access to the information and

and services to which they are entitled, elderly citizens are, in effect, denied many benefits.

With many service organizations constrained by limited budgets and overcrowded caseloads, the elderly are especially disadvantaged. There must be greater coordination between federal programs because often the operations of one program conflict with another. Again, the elderly, since they are often forced to use a variety of different services are especially victimized, as evidenced by the confusing relationship between SSI and MEDICAID. Effective delivery of services for the aged requires that specific or smaller programs understand clearly how other community agencies operate. Linkage must be maintained to make sure that primary clients are successful in obtaining their rightful services from other agencies where they are disadvantaged.

While making federal programs more receptive to the elderly would prove expensive, a more than "reasonable" effort is required. Access services help remove some of the confusion surrounding services by assisting people to understand and receive benefits that are available to them. It is crucial, in this matter, that programs are completely specified as to operations and procedures in order for them to avoid confusion and changes in objectives. Access services help people learn about their rights. They act as a supplement to large programs that "leave access to happenstance". Access services include: providing information; clarifying eligibility for public assistance; assisting people in locating appropriate offices; follow-up; and advocacy. Integration arrangements include all provisions for assuring the effective meshing of the services offered by different units, bureaus, departments, agencies, programs and service systems. Forms must be made simpler, inconvenience must be minimized and accessibility insured.

Service personnel must become more receptive to the needs of the aged. Not enough people are being trained to help the elderly and federal programs are not currently addressing the problem. Many of the beneficial interest viewed as implicit in social welfare agencies/organizations are overshadowed by staff priorities and other requirements. Clerical people could be used as social

workers, assisting in areas where they already have experience as employees or volunteers.

Older citizens deserve the same consideration as other age groups and this involves special efforts. Title XX programs must recognize the needs of the elderly and include them in the initial plan. When arriving at a comprehensive needs analysis, Title XX administrators, where appropriate, should direct his/her efforts at meeting the needs of all age groups.

In the area of legal services, effort must be made to insure that once an elderly person is referred to an attorney he or she receives the assistance that was being sought. In this area follow-up services are necessary. Legal services or legal aid should be readily available and it should encourage the participation and help of retired attorneys and others involved in advocacy. In this regard, advocates for the aged can have a serious impact on critical community issues through locating in critical areas and aggressively influencing bureaucratic institutions.

Given greater federal cooperation, there should be a concerted effort to relate organizations to their communities and to view particular organizations as part of a larger external system.

Respectively,

A handwritten signature in cursive script that reads "Guy Jones".

Guy Jones, NAACP consultant.

1117

Exhibit No. 38

NURSING DYNAMICS CORPORATION
412 Rose Avenue
Mill Valley, CA 94941
(415) 388-0409

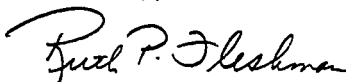
Commissioner Horn,
US Commission on Civil Rights
1730 K St NW Suite 214
Washington DC

9/5/77

Dear Sirs:

In re my volunteered testimony June 28, 1977, I have already given you the essence of my attempts to track down the age limitations for social detoxification of aged alcoholics in Marin County, Calif. It is common knowledge among those who work with the aged that there are no social detox programs for those over 64. Since no one could tell me why, I tried to track this down. I phoned the one social setox facility, Lincoln House in San Rafael, and was told this was true because of "the regulations." When I asked for more specifics, the answering worker said she was sure it was the state regulations from the Office of Alcoholism. At my request, she looked up to get the citation and was clearly surprised to see that it only stipulated that clients under 18 could not be treated without parental consent. Since she was sure their policy of treating those between 18 and 65 was based on some government policy, she suggested it must be from county regulations regarding community mental health. I phoned the person there she had directed me to and was there assured that the upper limit was indeed 64, but not because of county regulations but from the state Welfare and Institutions Code. I did not phone the man in charge of enforcing those regulations since that would have been a long distance call. I did phone the local medical detoxification program at Ross Hospital, which provides the far more expensive medical services required for aged alcoholics. I was not allowed to talk with the program director but the head nurse talked with me and insisted that such an upper limit was valid because older people have many more health problems that could complicate detoxification. "Any more than they had at 64?" I asked. She became angry and replied, "Well, you have to draw the line somewhere!" I asked why the line wasn't drawn at "many more health problems" instead of an artificial age boundary, since we all know lots of younger folks in very bad shape. After some discussion she cooled down enough to see it was an artificial dis+inction but she still didn't see it as discriminatory.

Sincerely,



Ruth P. Fleshman, RN, MS
Community Health Nurse Practitioner

STAFF MEMORANDUM IN RESPONSE TO REQUEST TO LOOK INTO
COMPLAINT MADE BY RUTH P. FLESHMAN

Commission staff were directed to identify the origin of the law or regulation (at the local, county, state or federal level) restricting the provision of alcohol detoxification services to those over 18 and under 65, with the focus being on the upper age limit.

Commission staff found that eligibility for receipt of alcohol detoxification services is unrestricted as to age. Staff learned, however, that fire and safety regulations which govern facilities providing services affect who, by age, can receive services.

According to Mr. Donald Truhett, Assistant California State Fire Marshall, the State has established safety rules designed to protect persons who receive services from "community care facilities." The State Fire Marshall's Office has classified these facilities into three categories based on the age of the population to whom the facilities provide or intend to provide services: "under 18;" "18 to 64;" and "65 or over." Fire and safety requirements vary by category: (1) less stringent regulations govern facilities whose services are oriented primarily to the 18 to 64 age group. They require a five alarm system and smoke-detection devices; (2) regulations governing facilities intended to serve children and older persons require that more extensive precautions be taken, including thicker walls, and sprinkling systems. Conformance to these requirements involves greater expense.

Cost in general, has been a major factor in constructing community care facilities; and thus, many have opted for the less expensive safety features. Mr. Truhett estimated that currently the number of buildings qualifying under the minimal regulations for the 18 to 64 age group is three times as many as those meeting the more stringent standards for facilities serving children and older persons. The State regulations, however, permit facilities targeted to the 18 to 64 group to have up to 6 persons under 18 or over 64. If this limit is exceeded, the facility must adhere to the more extensive regulations.

These age differential requirements were developed based in part on data that showed a higher mortality rate in fires for those 65 or over. It is believed that older persons show a greater reluctance to evacuate a building in an emergency, and they are often not so mobile as those 18 to 64. The fire regulations were published by the State Fire Marshall's Office in 1971.

Mr. Truhett indicated that the requirements may result in restricting the number of children and older persons who can be accepted by various programs including alcohol detoxification. Rejection of individuals 65 or over by many facilities is due to the small number of persons in this age category who can be maintained legally by the less fire-resistant facilities.

Commission staff also spoke with Dr. Paul Wyatt, Deputy Director of the California State Office of Alcoholism. Dr. Wyatt identified another factor which would contribute to the alleged limit on services to older alcoholics. He said that the increasing severity of condition of those older persons who have alcohol problems results in higher treatment costs.

Dr. Wyatt indicated that treatment for alcohol-related problems falls into two categories: the social detoxification centers; and the medical detoxification centers. The former are generally not equipped to handle severe cases, and some of them have an automatic screen-out process combined with referral to a more intensive program. Dr. Wyatt believes that the "social detox" centers to which Ms. Fleshman refers in her testimony may have transferred some particularly difficult cases, including many older alcoholics, to the more expensive medical centers where proportionately fewer patients can be seen because of the high treatment costs. The difference per day in the cost of treatment is significant --- \$40 for the one, \$125 for the other.

The combination of these two factors --- the limitation on the number of older persons who can be accepted into most community care facilities and the higher cost of the more intensive treatment for older alcoholics --- accounts for why older persons may not be receiving detoxification services. The State has no policy preventing services to older persons. Dr. Wyatt also noted that in California 3 percent of the people receiving alcohol detoxification treatment are over 65. Dr. Wyatt defended this statistic

as reflecting a reasonable proportion of the population based on need. He said that patterns of drinking show a general decline in the quantity of alcohol consumed by people in their 50's and 60's, while the few who continue to drink very heavily at these ages intensify their medical problems.

Both State officials speculated that Federal regulations published to implement Section 504 of the Rehabilitation Act Amendments of 1974 would have a major effect on those community care facilities that do not meet the more stringent fire and safety standards. They suggested that current structural impediments would have to be eliminated to comply with the new Federal requirements thus removing obstacles to program participation by older persons.

City and County of San Francisco**Civil Service Commission**

August 26, 1977



Mr. Larry Simi
Mayor's Office
Room 203 City Hall
San Francisco, Ca. 94102

Dear Mr. Simi:

The Honorable Mayor's letter of July 28, 1977 to Mr. John Walsh, General Manager, Personnel, apparently had not been received and thus the reason for this late reply.

In his letter the Mayor had enclosed a communication from Ms. Eileen Bradley, Director of the U. S. Civil Rights Commission's Age Discrimination Study, relative to certain questions that they had concerning our practices. Our answers are set forth below:

1. This question relates to the maximum age requirements for employment as Police Officer or Firefighter. Section 8.320 of the Charter, in sub-section (b) and (c), sets forth the age requirements for Police Officer and Firefighter. These sections read as follows:
 - (b) Applicants for entrance positions in the uniformed force of the fire department shall not be less than nineteen years of age at the time of taking the examination, nor less than twenty years of age or more than thirty-two years of age at the time of appointment and shall have the physical qualifications required for enlistment in ((the United States Army, Navy and Marine Corps)) any of the armed forces of the United States.
 - (c) Applicants for entrance positions in the uniformed force of the police department shall not be less than twenty years of age at the time of taking the examination, nor less than twenty-one years of age or more than thirty-five years of age at the time of appointment and shall have the physical qualifications required for enlistment in ((the United States Army, Navy and Marine Corps)) any of the armed forces of the United States.

We would note that the age requirement for Police Officer is the subject of litigation and, thus, we cannot make a detailed reply until we have conferred with the City Attorney. Mr. Michael C. Killelea, Deputy City Attorney, is handling this matter, and he will return from vacation on or after August 29th. You may wish to contact him on his return.

Mr. Larry Simi

-2-

August 26, 1977

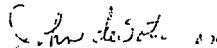
2. This question deals with minimum or maximum age requirements relative to non-Police Officer/Firefighter employments which are established in the City Charter or otherwise. As a general rule, no occupation other than Police Officer or Firefighter has an age requirement except for the general requirements that an individual must be old enough (in combination with educational attainment) to be legally entitled to work, and an eligible who has reached the retirement age of 65 may not be appointed to a permanent position or to a long-term temporary position such that the applicant would enter the Retirement System. There also may be specific age requirements for an occupation if it has been demonstrated that age is a job-related factor. Thus, in prior years we had a minimum age of 30 for applicants for the classification which exercised Housemother responsibilities in 'the student nurses' quarters, and we have had an age maximum for applications for Municipal Bus Drivers positions which we would note seems to have been supported by the U. S. Supreme Court's decision in the Greyhound Case.

It is unusual to find age to be a job-related factor for employment, and, thus, in recent years we have abandoned almost all age requirements. We would maintain that the maximum age requirements for Police Officer and Firefighter applicants appear to be job related and defensible.

In summary, the only age requirements which we would establish would be those which are demonstrably job related. These are exceptional cases and affect very, very few employments.

Please contact me at 558-3202 should you wish further information.

Yours very truly,



John deSoto
Division Manager, Personnel

JDS:mfg
cc: John Walsh

UNIVERSITY OF CALIFORNIA, BERKELEY

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SANTA BARBARA • SANTA CRUZ

INSTITUTE OF HUMAN DEVELOPMENT

EDWARD CHACE TOLMAN HALL
BERKELEY, CALIFORNIA 94720

June 30, 1977

The Hon. Stephen Horn
Vice Chairman, Commission on Civil Rights
Age Discrimination Study
Suite 214
1731 K Street, N.W.
Washington, D.C. 20036

Dear Mr. Horn:

It gives me pleasure to enclose a copy of the statement most of which I read to you and your committee on Tuesday, 28 June.

May I say that I found the hearings very interesting, and I admire the very courteous interest which all of you showed to those who spoke. Of course I am very much in agreement with the intent behind the hearings, as well.

If I could be of further service to the committee I would be more than happy to do so. I know from my own experience that there is, indeed, discrimination, and I would like to do whatever I can to abolish this discrimination.

Sincerely yours,

A handwritten signature in cursive script that reads "Dorothy Field".

Dorothy Field, Ph.D.
Research Fellow

Changes in Adult Intelligence: Is Intellectual Decline a Myth?

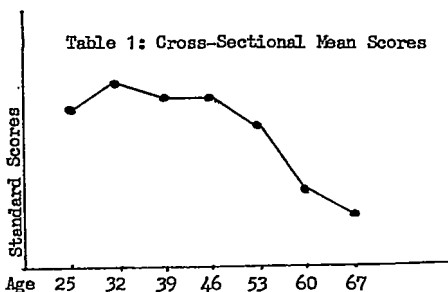
Presentation made at the Hearings on Age Discrimination
 United States Commission on Civil Rights
 San Francisco, California, 28 June, 1977

Dorothy Field, Ph.D.
 1311 Bay View Place
 Berkeley California 94708

I offered to come today because of a newspaper article describing these hearings and some of your earlier findings, such as the refusal of the Social Security unit head to hire people over age 45 for certain jobs because he doubted their intellectual competence. I would like to do what I can here to demolish the myth which this belief represents. This concerns the "reasonableness of using age to distinguish among potential participants in ... federally assisted programs", which these hearings are interested in determining.

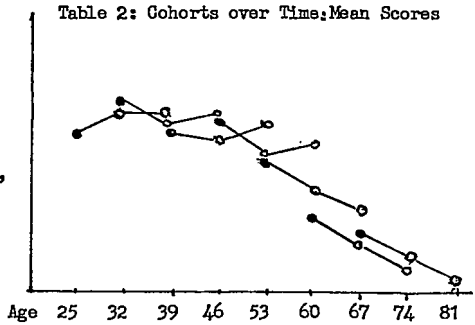
There is indeed a myth, a strong and lusty myth of intellectual decline throughout adulthood. I myself, when I had an opportunity to become a "re-entry" woman at age 43, felt so uncertain that I returned to do an undergraduate year to see if there was still anything "up here", for I believed the myth. Now that I have earned the Ph.D. and understand something of developmental psychology, it gives me great satisfaction to know that I will continue to get wiser and smarter until well past my 70th birthday, assuming continued good health; and I expect all of you will be happy to have this prediction made about your later years as well.

The one idea I will try to convey is best illustrated by the work of Schaie. This began when he tested well over 300 ordinary people with a test of intellectual capacity. Plotting their scores, revealed a pattern like Table 1. It appeared that the



myth was right, for the scores certainly decreased with age. But Schaie's work was longitudinal, and he returned to the same people, retesting them after seven years, and again after another seven years. He had test results, then, on the same people, with the same test, over a 14-year span.

Table 2 shows that the results for each of the original age groups did not decline in the 14-year period. Although the younger groups continued to score higher, on average, it was only the two oldest cohorts which showed any significant decline over the 14 years. All other groups maintained or improved in intellectual functioning.



There are individual differences, of course, just as there are individual differences in physical health and age at death; in fact, there is some evidence to suggest that these may be closely tied together. In addition, we find that some functions are more specific to certain age groups. For instance, younger people scored higher in psychomotor speed, but the older people more than made up for this in word fluency, whenever the test was given. And even in the dimensions of perceptual rigidity and cognitive rigidity, areas where the myth is very strong, the same pattern of lack of change over age prevailed. In other words, the apparent age decrement is an artifact created by the usual testing situation which leads to the easily misinterpreted results of Table 1.

Why is it that we find cohort differences? Why do the people who were born more recently score higher in these tests, on average? There are many differences between these cohorts. First, the amounts and kinds of education are different. As we know, younger people have received more years of education, but it is harder to assess the difference in quality of education: that of the older people

D. Field

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is in many ways obsolete. We have trained our young people to fit our technological society, but we have not retrained the older. Second, differences in nutrition are important. We have seen a generation grow much taller than their elders due to greatly improved diets. Cognitive functioning is likewise affected by nutrition. Third, the environment is much broader and more positive for young people. As one example, television has had good impact on the young as well as bad. There is no doubt that horizons are broader among the young than was possible for the older people. The young can travel, become more aware of different attitudes and life styles throughout the world. What does this do to their cognitive functioning? Fourth, motivation may well be different in older people. They seem to be more cautious and less willing to take risks. In some instances this is a disadvantage, for example in many testing situations. But in other situations, caution may well be an indicator of wisdom. It has been shown that in a timed speed test younger people will score higher than older. But if the test conditions are amended to include instructions to "take as much time as you like", then the age difference disappears.

Implications for social policy are clear. The evidence makes it plain that people can and do function at high levels throughout life. Other testimony has provided suggestions for the implementation of social policy. This includes retraining and re-education of older people where needed and a re-examination of mandatory retirement policies to take individual differences into account. We must begin to maintain contact between generations. Wrongly, we have emphasized age segregation, and now it appears that this is as disadvantageous as other forms of segregation. A recognition that at any age there are vast individual differences in all human functioning, including intellectual functioning, and that discrimination on the basis of age is a denial of very basic human rights is the laudable aim of this Commission.

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